

« Mathematical foundations: (6) Abstraction — Part II »

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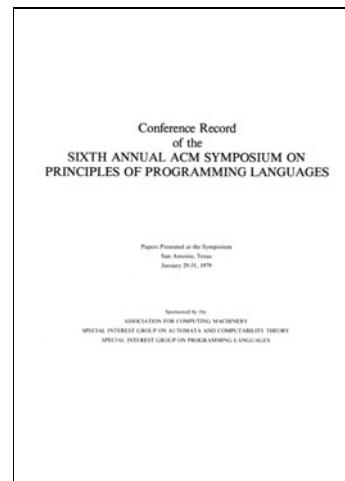
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Course 16.399: “Abstract interpretation”

<http://web.mit.edu/afs/athena.mit.edu/course/16/16.399/www/>



Exact fixpoint abstraction



Property transformer abstraction

Let

- $\langle L, \leq, 0, 1, \wedge, \vee \rangle$ be complete lattice
- $F \in L \xrightarrow{m} L$ be a monotonic transfer fonction
- $\alpha \in L \mapsto \bar{L}$ be an abstraction

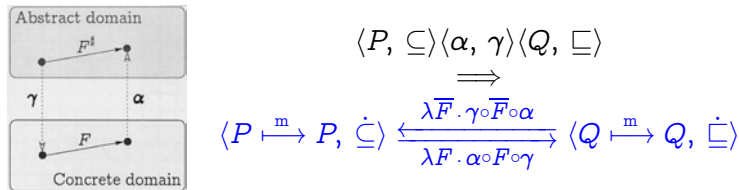
We would like to:

- compute $\alpha(\text{lfp } F)$
- without computing $\text{lfp } F$ (which is, in general, impossible)



One solution is to have:

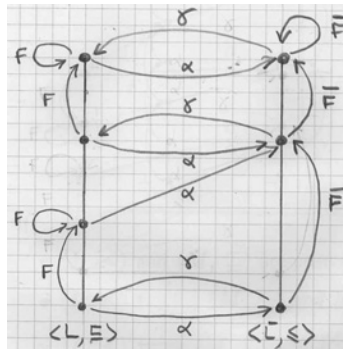
- an abstract transformer $\overline{F} \in \overline{L} \mapsto \overline{L}$
- such that $\alpha(\text{lfp } F) = \text{lfp } \overline{F}$
- to exclude trivial solutions (like $\overline{F} = \lambda X. \alpha(\text{lfp } F)$), more must be imposed on the choice of \overline{F}
- for monotonic functions, one way may be to use higher-order abstraction



So we are interested in studying **fixpoint abstraction**:

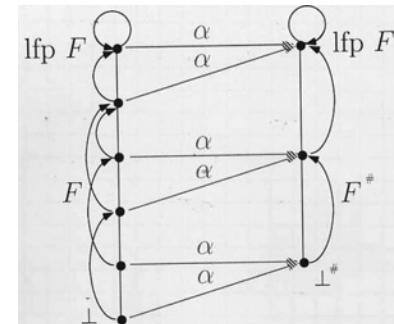
- **Exact abstraction**: $\alpha(\text{lfp } F) = \text{lfp } \overline{F}$
- **Approximate abstraction**: $\alpha(\text{lfp } F) \sqsubseteq \text{lfp } \overline{F}$

- In general however, $\langle L, \leq \rangle \xleftrightarrow[\alpha]{\gamma} \langle \overline{L}, \sqsubseteq \rangle, F \in L \mapsto L$ and $\overline{F} = \alpha \circ F \circ \gamma$ does not imply $\alpha(\text{lfp}^{\leq} F) = \text{lfp}^{\sqsubseteq} \overline{F}$ as shown by the following counter-example:



À la Kleene exact fixpoint abstraction with Galois connections¹

Example:



¹ also called fixpoint fusion, fixpoint inducing, lifting, morphism, transfer, precise abstraction, etc...

THEOREM. If

- $\langle L, \leq \rangle$ and $\langle M, \sqsubseteq \rangle$ are posets;
 - $\langle L, \leq \rangle \xrightarrow[\alpha]{\gamma} \langle M, \sqsubseteq \rangle$ and $F^\sharp \in M \mapsto M$
- or $\langle L, \leq \rangle \xrightarrow[\alpha]{\gamma} \langle M, \sqsubseteq \rangle$ and $F^\sharp \in M \xrightarrow{m} M$
- $F \in L \xrightarrow{m} L$ is a monotonic partial map
 - $\perp \leq F(\perp)$
 - $F^0 = \perp$, $F^{\delta+1} = F(F^\delta)$, $\bigvee_{\beta < \lambda} F^\beta$ exists when λ is a limit ordinal and $F^\lambda = \bigvee_{\beta < \lambda} F^\beta$
 - $\forall \delta : \alpha \circ F(F^\delta) = F^\sharp \circ \alpha(F^\delta)$



$$\begin{aligned}
 & \alpha(F^{\delta+1}) \\
 &= \alpha(F(F^\delta)) && \text{def. } F^{\sharp\delta+1} \\
 &= F^\sharp(\alpha(F^\delta)) && \text{commutation property} \\
 &= F^\sharp(F^{\sharp\delta}) && \text{ind. hyp.} \\
 &= F^{\sharp\delta+1} && \text{def. } F^{\sharp\delta}
 \end{aligned}$$

- If $\alpha(F^\beta) = F^{\sharp\beta}$ for $\beta < \lambda$, λ limit ordinal by induction hyp., then

$$\begin{aligned}
 & \alpha(F^\lambda) \\
 &= \alpha(\bigvee_{\beta < \lambda} F^\beta) && \text{def. } F^\lambda \text{ where the lub is assumed to exist} \\
 &= \bigvee_{\beta < \lambda} \alpha(F^\beta) && \text{since } \alpha \text{ preserves existing lubs so } \bigvee_{\beta < \lambda} \alpha(F^\beta) \text{ exists} \\
 &= \bigvee_{\beta < \lambda} F^{\sharp\beta} && \text{induction hyp.} \\
 &= F^{\sharp\lambda} && \text{def. } F^{\sharp\lambda} \text{ for } \lambda \text{ limit ordinal.}
 \end{aligned}$$

□



then:

- $F^\sharp = \alpha \circ F \circ \gamma$
- $\alpha(\text{lfp}_\perp^\leq F) = \text{lfp}_{\alpha\perp}^\sqsubseteq F^\sharp$
- The iteration order of F^\sharp is less than or equal to that of F

■

PROOF.

Lemma 1

$\forall \delta \in \mathbb{O} : \alpha(F^\delta) = F^{\sharp\delta}$ where $F^{\sharp 0} = \perp \stackrel{\text{def}}{=} \alpha(\perp)$, $F^{\sharp\delta+1} = F^\sharp(F^{\sharp\delta})$ and $F^{\sharp\lambda} = \bigvee_{\beta < \lambda} F^{\sharp\beta}$ is well-defined when λ is a limit ordinal.

PROOF. By transfinite induction on δ :

- $\alpha(\perp) = \perp^\sharp$, def. \perp^\sharp
- The induction hyp. $\alpha(F^\delta) = F^{\sharp\delta}$ implies



Lemma 2

$F^\delta, \delta \in \mathbb{O}$ is a \sqsubseteq -increasing chain.

PROOF. By transfinite induction on δ :

- $F^0 = \perp \leq F(\perp) = F^1$ since \perp is assumed to be a prefixpoint
- If $F^\delta \leq F^{\delta+1}$ induction hyp.
 $\implies F^{\delta+1} = F(F^\delta) \leq F(F^{\delta+1}) = F^{\delta+2}$ by monotony
- $\forall \delta < \lambda$, λ limit ordinal:
 $F^\delta \leq \bigvee_{\beta < \lambda} F^\beta = F^\lambda$ by def. of lubs and F^δ assumed to exist.

□



Lemma 3

$$\exists \epsilon \in \mathbb{O} : F(F^\epsilon) = F^\epsilon.$$

PROOF. – The chain $F^\delta, \delta \in \mathbb{O}$ cannot be strictly increasing since it is included in the set L so that its cardinality must be less than that of L proving that $\exists \epsilon < \epsilon' : F^\epsilon = F^{\epsilon'}$ where ϵ' is the least ordinal with the same cardinality as L .

– $F^\epsilon \leq F^{\epsilon+1} \leq F^{\epsilon'} = F^\epsilon$ so that $F^\epsilon = F^{\epsilon+1} = F(F^\epsilon)$ by antisymmetry and definition of the iterates. \square

Lemma 4

$$\text{If } \perp \leq X = F(X) \text{ then } \forall \delta \in \mathbb{O} : F^\delta \leq X.$$

PROOF. By transfinite induction on δ :

- $F^0 = \perp \leq X$ by hypothesis;
- If $F^\delta \leq X$ by induction hyp., then



Lemma 7

F^\sharp is monotonic.

PROOF.

$$\begin{aligned} \alpha \circ F &= F^\sharp \circ \alpha && \text{commutation property} \\ \implies \lambda x. \alpha \circ F \circ \gamma(x) &= F^\sharp \circ \alpha \circ \gamma(x) && \text{def. = on functions} \\ \implies \alpha \circ F \circ \gamma &= F^\sharp \text{ since } \alpha \circ \gamma(x) = x \text{ when } \alpha \text{ is surjective in a Galois} \\ &\text{connection} \\ \implies F^\sharp &\text{ is monotonic, as a composition of monotonic functions.} && \square \end{aligned}$$

Note: Instead of α surjective and $F^\sharp \in M \mapsto M$, we can also assume that $F^\sharp \in M \xrightarrow{m} M$ without assuming α surjective.

Lemma 8

$$\text{If } \alpha(\perp) \sqsubseteq Y = F^\sharp(Y) \text{ then } \forall \delta \in \mathbb{O} : F^{\sharp\delta} \sqsubseteq Y.$$

PROOF. Identical to that of lemma 4, using lemma 7 (or the corresponding hypothesis $F^\sharp \in M \xrightarrow{m} M$). \square



$F^{\delta+1} = F(F^\delta) \leq F(X) = X$ by ind. hyp., monotonicity and fixpoint property;

- if $F^\beta \leq X$ for all $\beta < \lambda$, λ limit ordinal, then:
 $F^\delta = \bigsqcup_{\beta < \lambda} F^\beta \leq X$ by def. of lub (assumed to exist). \square

Lemma 5

$$\text{If } \exists \epsilon \in \mathbb{O} : F^\epsilon = \text{lfp}_\perp^\leq F.$$

PROOF. By lemma 2, $\perp = F^0 \leq F^\epsilon$ and $F(F^\epsilon) = F^\epsilon$ by lemma 3.

If $\perp \leq X = F(X)$ then, by lemma 4, $F^\epsilon \leq X$ proving that F^ϵ is the least fixpoint of F greater than or equal to \perp , so $F^\epsilon = \text{lfp}_\perp^\leq F$. \square

Lemma 6

$$\text{If } F^{\sharp\epsilon} = F^\sharp(F^{\sharp\epsilon}).$$

PROOF. $F^{\sharp\epsilon+1} = F^\sharp(F^{\sharp\epsilon}) = F^\sharp(\alpha(F^\epsilon)) = \alpha(F(F^\epsilon)) = \alpha(F^\epsilon) = F^{\sharp\epsilon}$ by def. $F^{\sharp\epsilon+1} =$, lemma 1, commutation property, lemma 3, lemma 1. $F^{\sharp\epsilon}$ exists by lemma 1. \square



Lemma 9

$$F^{\sharp\epsilon} = \text{lfp}_{\alpha\perp}^\sqsubseteq F^\sharp.$$

PROOF. $\perp \leq F^\epsilon$ hence $\alpha(\perp) \sqsubseteq \alpha(F^\epsilon) = F^{\sharp\epsilon}$ by lemma 2, α is monotonic, lemma 1. We have $F^\sharp(F^{\sharp\epsilon}) = F^{\sharp\epsilon}$ by lemma 6. If $\alpha(\perp) \sqsubseteq Y = F^\sharp(Y)$ then $F^{\sharp\epsilon} \sqsubseteq Y$ by lemma 8. \square

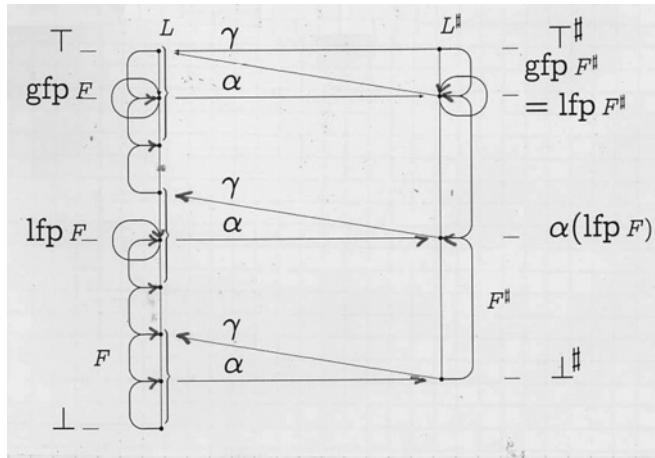
PROOF. (of the theorem)

$$\begin{aligned} &\alpha(\text{lfp}_\perp^\leq F) \\ &= \alpha(F^\epsilon) && \text{by lemma 5} \\ &= F^{\sharp\epsilon} && \text{by lemma 1} \\ &= \text{lfp}_{\alpha\perp}^\sqsubseteq F^\sharp && \text{by lemma 9} \end{aligned}$$

\square
 \square



example:



PROOF. Essentially identical to that of the previous theorem. One first prove lemma 2 by monotony of F and then lemma 1 since α is upper continuous and the iterates of F from \perp form an increasing chain. The proofs of lemmata 3 to 6 and 8 to 9 are unchanged while lemma 7 is now an hypothesis. The proof of the theorem is identical. \square

À la Kleene exact fixpoint abstraction with continuous abstraction

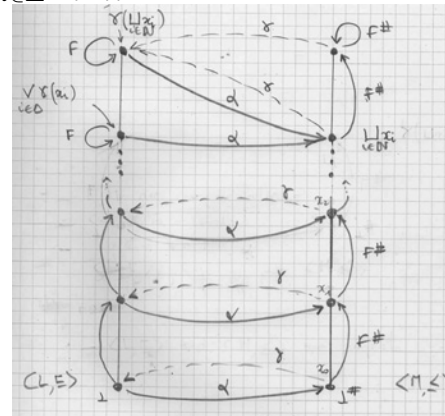
THEOREM. If

- $\langle L, \leq \rangle$ and $\langle M, \sqsubseteq \rangle$ are posets
- $F \in L \mapsto L$ is a monotonic partial map on L
- $F^\# \in M \mapsto M$ is a monotonic map on M
- $\alpha \in L \mapsto M$ is upper continuous
- $\perp \leq F(\perp)$
- $F^0 = \perp, F^{\delta+1} = F(F^\delta), \forall \beta < \lambda F^\beta$ exists when λ is a limit ordinal in which case $F^\lambda = \bigvee_{\beta < \lambda} F^\beta$
- $\forall \delta \in \mathbb{O} : \alpha \circ F(F^\delta) = F^\# \circ \alpha(F^\delta)$

then

- $\alpha(\text{lfp}_{\perp} F) = \text{lfp}_{\alpha(\perp)} F^\#$

Note: the commutation condition does not work with γ for $\text{lfp } F$ (it does, by duality, for $\text{gfp } F$). A counter-example is $(\gamma(\bigwedge_{i \in \Delta} x_i) \neq \bigvee_{i \in \Delta} \gamma(x_i))$:



We have

- $\langle L, \leq \rangle$ and $\langle M, \sqsubseteq \rangle$ are posets
- $F, F^\#$ are monotone
- $\langle L, \leq \rangle \xrightarrow{\gamma} \langle M, \sqsubseteq \rangle$
- $\gamma \circ F^\# = F \circ \gamma$

but

- $\alpha(\text{lfp } F) \neq \text{lfp } F^\#$

À la Tarski exact fixpoint abstraction

THEOREM. If $\langle \mathcal{D}^\natural, \sqsubseteq^\natural, \perp^\natural, \sqcup^\natural \rangle$ and $\langle \mathcal{D}^\sharp, \sqsubseteq^\sharp, \perp^\sharp, \sqcup^\sharp \rangle$ are complete lattices, $F^\natural \in \mathcal{D}^\natural \xrightarrow{m} \mathcal{D}^\natural$, $F^\sharp \in \mathcal{D}^\sharp \xrightarrow{m} \mathcal{D}^\sharp$ are monotonic and

– α is a complete \sqcap -morphism (a)

– $F^\sharp \circ \alpha \sqsubseteq^\sharp \alpha \circ F^\natural$ (b)

– $\forall y \in \mathcal{D}^\sharp : F^\sharp(y) \sqsubseteq^\sharp y \implies \exists x \in \mathcal{D}^\natural : \alpha(x) = y \wedge F^\natural(x) \sqsubseteq^\natural x$ (c)

then

$$\alpha(\text{lfp}^{\sqsubseteq^\natural} F^\natural) = \text{lfp}^{\sqsubseteq^\sharp} F^\sharp$$

■



Example: application to reachability



PROOF.

(d) $F^\natural(x) \sqsubseteq^\natural x \implies \alpha \circ F^\natural(x) \sqsubseteq^\sharp \alpha(x)$ since α is monotonic by (a)
 $\implies F^\sharp \circ \alpha(x) \sqsubseteq^\sharp \alpha(x)$ by (b)

(e) $\{\alpha(x) \mid F^\natural(x) \sqsubseteq^\natural x\} = \{y \mid F^\sharp(y) \sqsubseteq^\sharp y\}$ by (c) and (d)

(f) $\sqcap^\sharp \{\alpha(x) \mid F^\natural(x) \sqsubseteq^\natural x\} = \sqcap^\sharp \{y \mid F^\sharp(y) \sqsubseteq^\sharp y\}$ by (e)
 $\implies \alpha(\sqcap^\natural \{x \mid F^\natural(x) \sqsubseteq^\natural x\}) = \sqcap^\sharp \{y \mid F^\sharp(y) \sqsubseteq^\sharp y\}$ by (a)
 $\implies \alpha(\text{lfp}^{\sqsubseteq^\natural} F^\natural) = \text{lfp}^{\sqsubseteq^\sharp} F^\sharp$ by Tarski's fixpt th.

□



Transition systems

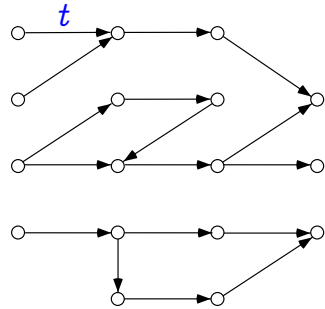
– $\langle S, t \rangle$ where:

– S is a set of states/vertices/...

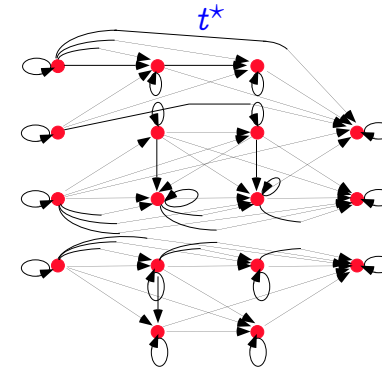
– $t \in \wp(S \times S)$ is a transition relation/set of arcs/...



Example of transition system



The reflexive transitive closure of the example transition system



Reflexive closure of transition systems

Let r be a relation on x :

– $r^0 \stackrel{\text{def}}{=} 1_x$ powers

– $r^{n+1} \stackrel{\text{def}}{=} r^n \circ r (= r \circ r^n)$

– $r^* \stackrel{\text{def}}{=} \bigcup_{n \in \mathbb{N}} r^n$ reflexive transitive closure

– $r^+ \stackrel{\text{def}}{=} \bigcup_{n \in \mathbb{N} \setminus \{0\}} r^n$ strict transitive closure

so $r^* = r^+ \cup 1_x$



Reflexive transitive closure in fixpoint form

$$t^* = \text{lfp} \subseteq \lambda X. t^0 \cup X \circ t$$

PROOF.

$$X^0 = \emptyset$$

$$X^1 = t^0 \cup X^0 \circ t = t^0$$

$$X^2 = t^0 \cup X^1 \circ t = t^0 \cup t^0 \circ t = t^0 \cup t^1$$

... ..

$$X^n = \bigcup_{0 \leq i < n} t^i \quad (\text{induction hypothesis})$$

$$X^{n+1} = t^0 \cup X^n \circ t$$

$$= t^0 \cup \left(\bigcup_{0 \leq i < n} t^i \right) \circ t$$

$$= t^0 \cup \bigcup_{0 \leq i < n} (t^i \circ t)$$

$$= t^0 \cup \bigcup_{1 \leq i+1 < n+1} (t^{i+1})$$

$$= t^0 \cup \left(\bigcup_{1 \leq j < n+1} t^j \right) \circ t$$

$$= \bigcup_{0 \leq i < n+1} t^i$$



$$\begin{aligned}
 \dots & \quad \dots \\
 X^\omega &= \bigcup_{n \geq 0} X^n \\
 &= \bigcup_{n \geq 0} \bigcup_{0 \leq i < n} t^i \\
 &= \bigcup_{n \geq 0} t^n \\
 &= t^*
 \end{aligned}$$

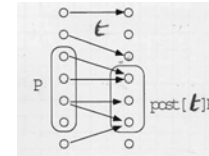
$$\begin{aligned}
 X^{\omega+1} &= t^0 \cup X^\omega \circ t \\
 &= t^0 \cup \left(\bigcup_{n \geq 0} t^n \right) \circ t \\
 &= t^0 \cup \bigcup_{n \geq 0} (t^n \circ t) \\
 &= t^0 \cup \bigcup_{n \geq 0} t^{n+1} \\
 &= t^0 \cup \bigcup_{k \geq 1} t^k \\
 &= \bigcup_{n \geq 0} t^n \\
 &= t^*
 \end{aligned}$$

□



Post-image

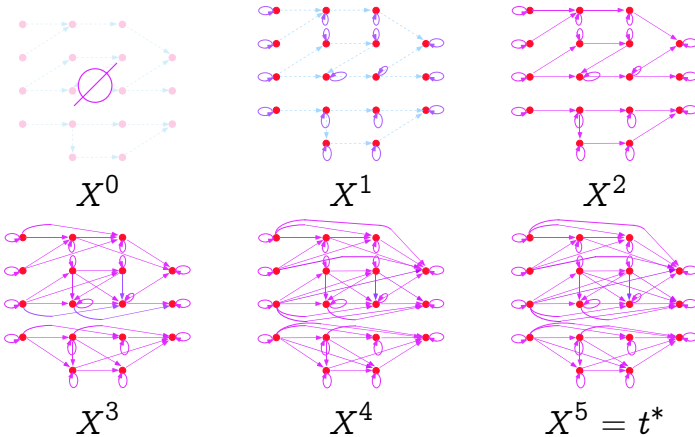
$$\text{post}[t]I = \{s' \mid \exists s \in I : \langle s, s' \rangle \in t\}$$



We have $\text{post}[\bigcup_{i \in \Delta} t^i]I = \bigcup_{i \in \Delta} \text{post}[t^i]I$ so $\alpha = \lambda t \cdot \text{post}[t]I$ is the lower adjoint of a Galois connection.



Examples of iterates



Postimage Galois connection

Given $I \in \wp(S)$,

$$\langle \wp(S \times S), \subseteq \rangle \xleftrightarrow[\lambda t \cdot \text{post}[t]I]{\gamma} \langle \wp(S), \subseteq \rangle$$

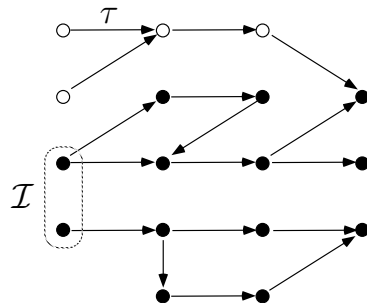
PROOF.

$$\begin{aligned}
 & \text{post}[t]I \subseteq R \\
 \Leftrightarrow & \{s' \mid \exists s \in I : \langle s, s' \rangle \in t\} \subseteq R \\
 \Leftrightarrow & \forall s' \in S : (\exists s \in I : \langle s, s' \rangle \in t) \Rightarrow (s' \in R) \\
 \Leftrightarrow & \forall s', s \in S : (s \in I \wedge \langle s, s' \rangle \in t) \Rightarrow (s' \in R) \\
 \Leftrightarrow & \forall s', s \in S : \langle s, s' \rangle \in t \Rightarrow ((s \in I) \Rightarrow (s' \in R)) \\
 \Leftrightarrow & t \subseteq \{\langle s, s' \rangle \mid (s \in I) \Rightarrow (s' \in R)\} \stackrel{\text{def}}{=} \gamma(R)
 \end{aligned}$$

□



Reachable states



$\text{post}[t^*]\mathcal{I}$



Discovering the abstract transformer by calculus

$$\begin{aligned}
 & \alpha \circ (\lambda X \cdot t^0 \cup X \circ t) \\
 = & \lambda X \cdot \alpha(t^0 \cup X \circ t) \\
 = & \lambda X \cdot \alpha(t^0) \cup \alpha(X \circ t) \\
 = & \lambda X \cdot \text{post}[t^0]I \cup \text{post}[X \circ t]I
 \end{aligned}$$



Reachable states in fixpoint form

$$\begin{aligned}
 & \text{post}[t^*]I, I \text{ given} \\
 = & \alpha(t^*) \text{ where } \alpha(t) = \text{post}[t]I = \{s' \mid \exists s \in I : \langle s, s' \rangle \in t\} \\
 = & \alpha(\text{lfp} \subseteq \lambda X \cdot t^0 \cup X \circ t) \\
 = & \text{lfp} \subseteq \overline{F} ???
 \end{aligned}$$



$\text{post}[t^0]I$

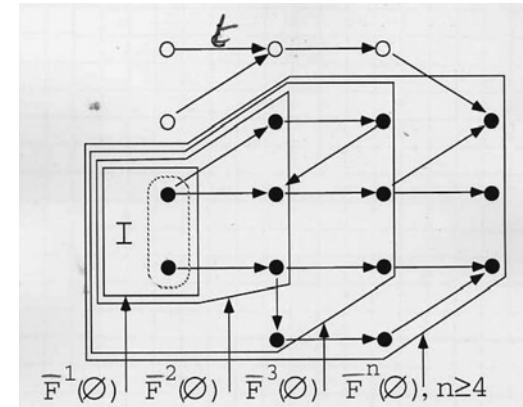
$$\begin{aligned}
 & = \{s' \mid \exists s \in I : \langle s, s' \rangle \in t^0\} \\
 & = \{s' \mid \exists s \in I : \langle s, s' \rangle \in \{\langle s, s \rangle \mid s \in S\}\} \\
 & = \{s' \mid \exists s \in I\} \\
 & = I
 \end{aligned}$$



$$\begin{aligned}
& \text{post}[X \circ t]I \\
&= \{s' \mid \exists s \in I : \langle s, s' \rangle \in (X \circ t)\} \\
&= \{s' \mid \exists s \in I : \langle s, s' \rangle \in \{\langle s, s'' \rangle \mid \exists s' : \langle s, s'' \rangle \in X \wedge \langle s', s'' \rangle \in t\}\} \\
&= \{s' \mid \exists s \in I : \exists s'' \in S : \langle s, s'' \rangle \in X \wedge \langle s', s'' \rangle \in t\} \\
&= \{s' \mid \exists s'' \in S : (\exists s \in I : \langle s, s'' \rangle \in X) \wedge \langle s', s'' \rangle \in t\} \\
&= \{s' \mid \exists s'' \in S : s'' \in \{s'' \mid \exists s \in I : \langle s, s'' \rangle \in X\} \wedge \langle s', s'' \rangle \in t\} \\
&= \{s' \mid \exists s'' \in S : s'' \in \text{post}[X]I \wedge \langle s', s'' \rangle \in t\} \\
&= \text{post}[t](\text{post}[X]I) \\
&= \text{post}[t](\alpha(X))
\end{aligned}$$



Example of iteration



$$\begin{aligned}
& \alpha \circ (\lambda X \cdot t^0 \cup X \circ t) \\
&= \dots \\
&= \lambda X \cdot \text{post}[t^0]I \cup \text{post}[X \circ t]I \\
&= \lambda X \cdot I \cup \text{post}[t](\alpha(X)) \\
&= \lambda X \cdot \overline{F}(\alpha(X))
\end{aligned}$$

by defining:

$$\overline{F} = \lambda X \cdot I \cup \text{post}[t](X)$$

proving:

$$\text{post}[t^*](I) = \text{lfp} \subseteq \lambda X \cdot I \cup \text{post}[t](X)$$



THE END

My MIT web site is <http://www.mit.edu/~cousot/>

The course web site is <http://web.mit.edu/afs/athena.mit.edu/course/16/16.399/www/>.

