

# « Forward Non-relational Infinitary Static Analysis »

Patrick Cousot

Jerome C. Hunsaker Visiting Professor  
Massachusetts Institute of Technology  
Department of Aeronautics and Astronautics

cousot@mit.edu  
www.mit.edu/~cousot

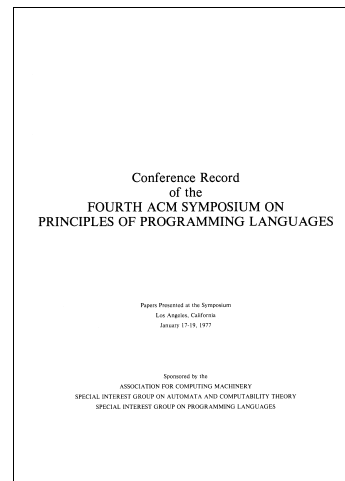
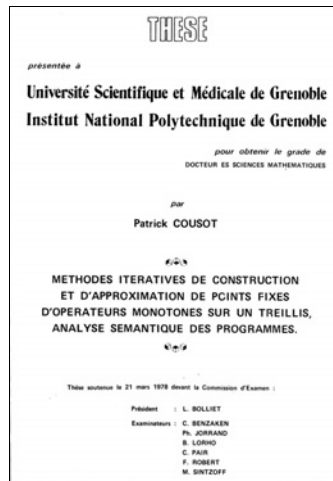
Course 16.399: “Abstract interpretation”

<http://web.mit.edu/afs/athena.mit.edu/course/16/16.399/www/>



## Proving the correctness of static analyzers

- The abstract interpretation theory provides a formal basis for proving the soundness (and sometimes the completeness) of static analyzers (abstract semantics)
- The principle is to proceed by induction on the syntax of programs, which yields a proof for the whole programming language
- This structural proof will be formalized independently of any particular programming language



- The proof relies on the use of a quite general form of collecting semantics, abstraction and abstract semantics as formalized by concretization functions (or abstract functions or Galois connections in case of existence of best abstractions)
- It is based on the use of fixpoint definitions for monotone operators on cpos (complete lattices)
- In absence of ACC, monotony is lost due to the use of widening/narrowing (and indeed monotony must be lost to enforce convergence)



- In this case, despite non-monotony, the structural argument remains valid, replacing fixpoints by convergent iterations with convergence acceleration through widening/narrowing
- Even if the abstract is non-monotone, soundness follows from monotony in the concrete



## An abstract definition of abstract syntax

- The abstract syntax defines a collection  $\{\text{Com}_i \mid i \in \Delta\}$  of syntactic categories and a well-founded relation  $\prec$  meaning "to be an immediate subcomponent of"
- For example,  $\{\text{Com}_i \mid i \in \Delta\} = \{A, B, C\}$  where  $A$  are arithmetic expressions,  $B$  are boolean expressions, and  $C$  is a set of commands. Then  $\prec$  is defined by the grammar defining  $A, B, C$ .



An abstract formalization of  
finitary structural analysis  
by abstract interpretation



- In general we have:
  - Syntactic categories:

$$C_i \in \text{Com}_i, i \in \Delta$$

- Immediate subcomponent relation:

$$\langle \bigcup_{i \in \Delta} \text{Com}_i, \prec \rangle \text{ is well - founded}$$

- Example:

$$C = \text{while } B \text{ do } C' \text{ od}$$

$$B \prec C, T(\neg(B)) \prec C, C' \prec C$$



## An abstract definition of the structural concrete (collecting) semantics

- **Concrete domains:** define the semantics information associated to each syntactic category  $\text{Com}_i$ ,  $i \in \Delta$ . For each  $i \in \Delta$  and  $C_i \in \text{Com}_i$ :

$\langle \mathcal{D}_{C_i}, \sqsubseteq_{C_i}, \perp_{C_i}, \sqcup_{C_i} \rangle$  is a poset (cpo, complete lattice, ...)



The collecting semantics transformer is defined in the form:

$$\mathcal{F}_i[[C_i]](S_1, \dots, S_n) \stackrel{\text{def}}{=} e[[\mathcal{D}_{C_i}]](S_1 : \mathcal{D}_{C'_1}, \dots, S_n : \mathcal{D}_{C'_n})()$$

where  $\{C' \mid C' \prec C_i\} = \{C'_1, \dots, C'_n\}$  and the right-hand side is an expression written according to the following attribute grammar, where we are given

- $S = S_1 : \mathcal{D}_{C'_1}, \dots, S_n : \mathcal{D}_{C'_n}$ : the collecting semantics of components
- $X = X_{n+1} : \mathcal{D}'_{n+1}, \dots, X_m : \mathcal{D}'_m$ : fixpoint variables
- $\langle \mathcal{D}, \sqsubseteq, \perp, \sqcup \rangle$ : the domain of the result



- **Concrete (aka collecting) semantics:**

$$C_i \in [C_i \in \text{Com}_i \mapsto \mathcal{D}_{C_i}]$$

is defined, by structural induction, as

$$c_i[[C_i]] \stackrel{\text{def}}{=} \mathcal{F}_i[[C_i]]\left(\prod_{C'_j \prec C_i} c_j[[C'_j]]\right)$$

where

$$\mathcal{F}_i[[C_i]] \in \left(\prod_{C'_j \prec C_i} \mathcal{D}_{C'_j}\right) \mapsto \mathcal{D}_{C_i}$$

is the collecting semantics transformer



The attribute grammar of expressions is as follows:

$$e[[\mathcal{D}]](S)(X) ::= \begin{array}{l} | d \\ | S_j \\ | X_k \\ | f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell}} \mathcal{D}(e_1[[\mathcal{D}_{j_1}]](S)(X), \dots, e_\ell[[\mathcal{D}_{j_\ell}]](S)(X)) \\ | \text{lfp}_{\sqsubseteq}^\perp \lambda Y. e[[\mathcal{D}]](S)(X, Y : \mathcal{D})^1 \end{array}$$

where

- $d \in \mathcal{D}$  is a constant
- $S_j$ ,  $j \in [1, n]$  is the semantics of an immediate component of  $C_i$  such that  $\mathcal{D}_j = \mathcal{D}$

<sup>1</sup>  $Y$  must be a new fresh variable



- $X_k, k \in [n+1, m]$  appears inside a fixpoint definition and  $\mathcal{D}'_k = \mathcal{D}$
- $f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell}} \mathcal{D} \in \left( \prod_{j=j_1}^{j_\ell} \mathcal{D}_j \right) \mapsto \mathcal{D}$  is a constant function such as  $f(x, y) = x \sqcup y; x \sqcap y, x \circ y, x(y)$ , etc).
- The existence of the fixpoint definition should be ensured (by def. of the poset  $\langle \mathcal{D}, \sqsubseteq, \perp, \sqcup \rangle$  and properties of the function  $\lambda Y . e[\mathcal{D}][S](X, Y : \mathcal{D})$  (such as monotony, continuity, extensivity, etc).
- In particular **lfp** need not be a fixpoint and can be defined as the limit of an iteration process, a solution of constraints, etc.



## Notes on typing the structural concrete semantics

- **language:**
  - We have a set  $\Delta$  of indexes  $i$  of syntactic categories  $\text{Com}_i$
  - The language is  $\langle \bigcup_{i \in \Delta} \text{Com}_i, \prec \rangle$  where " $\prec$ " is the well-founded "immediate component" relation
- **types:**
  - We can consider a set  $T$  of base types
  - The set  $\mathbb{T}$  of types is then defined inductively as  $\forall t \in T : t \in \mathbb{T}$  and if  $t_i \in \mathbb{T}, i = 1, \dots, n+1$  then  $t_1 \times \dots \times t_n \rightarrow t_{n+1} \in \mathbb{T}$



- $Y \notin \{X_{n+1}, \dots, X_m\}$  is a fresh variable and  $(X, Y : \mathcal{D})$  is short for  $X_{n+1} : \mathcal{D}'_{n+1}, \dots, X_m : \mathcal{D}'_m, Y : \mathcal{D}$ .
- Note that for given  $S$  and  $X$ , we have  $\lambda Y . e[\mathcal{D}][S](X, Y : \mathcal{D}) \in \mathcal{D} \mapsto \mathcal{D}$



- **abstract domains:**
  - For each type  $t \in T$ , the (concrete or abstract) semantic domain is  $\langle \mathcal{D}_t, \sqsubseteq \rangle_t, \perp_t, \sqcup_t$
- **typing program component:**
  - A base type  $t_i \in T$  is associated to each program component  $\text{Com}_i$ , which is written  $\text{Com}_i^{t_i}$
  - The intention is that the domain  $\langle \mathcal{D}_{t_i}, \sqsubseteq \rangle_{t_i}, \perp_{t_i}, \sqcup_{t_i}$  describes the possible behaviors of program component  $\text{Com}_i$



– typing semantic expressions:

- All expressions are typed:

$$e^t[S_1^{t_1}, \dots, S_n^{t_n}](X_{n+1}^{t_{n+1}}, \dots, X_m^{t_m})$$

- These expressions are interpreted as functions:

$$\mathcal{D}_{t_1} \times \dots \times \mathcal{D}_{t_n} \times \mathcal{D}_{t_{n+1}} \times \dots \times \mathcal{D}_{t_m} \mapsto \mathcal{D}_t$$

– typing rules for semantic expressions:

- The typing rules for expressions are as follows:

- $d^t \in \mathcal{D}_t$ ,  $S^t$  and  $X^t$  have type  $t$
- $f$  has type  $t_1 \times \dots \times t_n \rightarrow t$  (written  $f^{t_1 \times \dots \times t_n \rightarrow t}$ ) if, whenever  $e_i$  has type  $t_i$ ,  $i = 1, \dots, n$ , then  $f(e_1, \dots, e_n)$  has type  $t$



– type soundness for semantic equational definitions:

- It follows, by structural induction, that  $\mathcal{C}[[C_i^t]] \in \mathcal{D}_t$ ,
- If the implementation is in a typed functional language (such as OCaml), this typing is done by the compiler. Otherwise, that may have to be done by hand.



- If  $F$  has type  $t_1 \times \dots \times t_n \rightarrow t \rightarrow t$  then  $\text{lf}_{\sqsubseteq_t}^{\perp_t} F$  has type  $t_1 \times \dots \times t_n \rightarrow t$

– typing rule for semantic expressions:

- It follows, by structural induction, that

$$e^t[S_1^{t_1}, \dots, S_n^{t_n}](X_{n+1}^{t_{n+1}}, \dots, X_m^{t_m})$$

belongs to

$$\mathcal{D}_{t_1} \times \dots \times \mathcal{D}_{t_n} \times \mathcal{D}_{t_{n+1}} \times \dots \times \mathcal{D}_{t_m} \mapsto \mathcal{D}_t$$

– type soundness for semantic equational definitions:

- In the definition  $C_i^t[[C_i]] \stackrel{\text{def}}{=} F_{C_i}(\prod_{C_j' < C_i} C_j[[C_j^{t_j}]])$ , it is required that  $F_{C_i}$  has type  $\prod_{C_j' < C_i} C_j^{t_j} \rightarrow t$ .



## Example of structural concrete semantics: forward collecting semantics of arithmetic expressions

- $X \in \mathbb{V}$ , variables  
 $A ::= n \mid X \mid uA' \mid A_1 \text{ b } A_2$ ,  $A \in \text{Aexp}$ , arithmetic expressions
- $\text{Faexp} \in \text{Aexp} \mapsto \mathcal{D}_{\text{Aexp}}$   
 $\text{Faexp}[[A]] \stackrel{\text{def}}{=} \{v \mid \exists \rho \in R : \rho \vdash A \Rightarrow v\}^2$
- $\mathbb{I} \stackrel{\text{def}}{=} [\text{min\_int}, \text{max\_int}]$ , machine integers

<sup>2</sup> where  $\rho \vdash A \Rightarrow v$ , as defined by the operational semantics, holds whenever evaluation of  $A$  in environment  $\rho$  may return value  $v$



- $\mathbb{E} \stackrel{\text{def}}{=} \{\Omega_i, \Omega_a\}$ , errors
- $\mathbb{I}_\Omega \stackrel{\text{def}}{=} \mathbb{I} \cup \mathbb{E}$ , machine values
- $\mathbb{R} \stackrel{\text{def}}{=} \mathbb{V} \mapsto \mathbb{I}_\Omega$ , environments
- $\mathcal{D}_{\text{Aexp}} \stackrel{\text{def}}{=} \wp(\mathbb{R}) \xrightarrow{\sqcup} \wp(\mathbb{I}_\Omega)$  (same for all  $A \in \text{Aexp}$ ), properties
- $\text{Faexp}[n] = \mathcal{F}_{\text{Aexp}}[n]()$  (a)
- $\mathcal{F}_{\text{Aexp}}[n]() \stackrel{\text{def}}{=} \lambda R. \{n\}$  constant of  $\mathcal{D}_{\text{Aexp}}$
- $\text{Faexp}[X] = \mathcal{F}_{\text{Aexp}}[X]()$  (b)
- $\mathcal{F}_{\text{Aexp}}[X]() \stackrel{\text{def}}{=} \lambda R. R(X) = \lambda R. \{\rho(X) \mid \rho \in R\}$  constant of  $\mathcal{D}_{\text{Aexp}}$



where

$$\underline{b}^C \in \mathcal{D}_{\text{Aexp}} \times \mathcal{D}_{\text{Aexp}} \mapsto \mathcal{D}_{\text{Aexp}}$$

and

$$\underline{b}^C(S_1, S_2) \stackrel{\text{def}}{=} \lambda R. \{v_1 \underline{b} v_2 \mid \exists \rho \in R : v_1 \in S_1(\{\rho\}) \wedge v_2 \in S_2(\{\rho\})\}$$

so that

$$\text{Faexp}[A_1 \text{ b } A_2] \stackrel{\text{def}}{=} \lambda R. \underline{b}^C(\text{Faexp}[A_1], \text{Faexp}[A_2])R$$



- $\text{Faexp}[?] = \mathcal{F}_{\text{Aexp}}[?]()$  (c)
- $\mathcal{F}_{\text{Aexp}}[?]() \stackrel{\text{def}}{=} \lambda R. R(X) = \mathbb{I}$  constant of  $\mathcal{D}_{\text{Aexp}}$
- $\text{Faexp}[uA] = \mathcal{F}_{\text{Aexp}}[uA](\text{Faexp}[A])$  (d)
- $\mathcal{F}_{\text{Aexp}}[uA](S) = f_{uA}(S) = \underline{u}^C \circ S$
- where  $f_{uA} \in \mathcal{D}_{\text{Aexp}} \mapsto \mathcal{D}_{\text{Aexp}}$  and  $\underline{u}^C(V) \stackrel{\text{def}}{=} \{u(v) \mid v \in V\}$
- so that:
- $\text{Faexp}[uA] = \lambda R. \underline{u}^C(\text{Faexp}[A]R)$
- $\text{Faexp}[A_1 \text{ b } A_2] = \mathcal{F}_{\text{Aexp}}[A_1 \text{ b } A_2](\text{Faexp}[A_1], \text{Faexp}[A_2])$  (e)
- $\mathcal{F}_{\text{Aexp}}[A_1 \text{ b } A_2](S_1, S_2) \stackrel{\text{def}}{=} \underline{b}^C(S_1, S_2)$



### Example of structural concrete semantics: boolean expressions

- $B ::= \text{true} \mid \text{false} \mid A_1 \text{ c } A_2 \mid B_1 \ \& \ B_2 \mid B_1 \mid B_2$ ,  $B \in \text{Bexp}$ , boolean expressions
- $\text{Cbexp}[B] \in \text{Bexp} \mapsto \mathcal{D}_{\text{Bexp}}$
- $\text{Cbexp}[B] \stackrel{\text{def}}{=} \lambda R. \{\rho \in R \mid \rho \vdash B \Rightarrow \text{tt}\}^3$
- $\mathcal{D}_{\text{Bexp}} \stackrel{\text{def}}{=} \wp(\mathbb{R}) \xrightarrow{\sqcup} \wp(\mathbb{R})$
- $\text{Cbexp}[\text{true}] \stackrel{\text{def}}{=} \lambda R. R$  constant of  $\mathcal{D}_{\text{Bexp}}$
- $\text{Cbexp}[\text{false}] \stackrel{\text{def}}{=} \lambda R. \emptyset$  constant of  $\mathcal{D}_{\text{Bexp}}$

<sup>3</sup> where  $\rho \vdash B \Rightarrow \text{tt}$  is defined by the operational semantics as holding in environment  $\rho$  when  $B$  is true and without runtime error.



- $\text{Cbexp}[A_1 \text{ c } A_2] \stackrel{\text{def}}{=} \mathcal{F}_{\text{Bexp}}[A_1 \text{ c } A_2](\text{Faexp}[A_1], \text{Faexp}[A_2])$   
 $\mathcal{F}_{\text{Bexp}}[A_1 \text{ c } A_2](S_1, S_2) \stackrel{\text{def}}{=} \underline{c}^C(S_1, S_2)$   
 $\underline{c}^C \in \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Bexp}} \mapsto \mathcal{D}_{\text{Bexp}}$   
 $\underline{c}^C(S_1, S_2) \stackrel{\text{def}}{=} \lambda R. \{ \rho \in R \mid \exists v_1 \in S_1(\{\rho\}) \cap \mathbb{I} : \exists v_2 \in S_2(\{\rho\}) \cap \mathbb{I} : v_1 \underline{c} v_2 = \text{tt} \}$

so that:

$$\text{Cbexp}[A_1 \text{ c } A_2] = \lambda R. \underline{c}^C(\text{Faexp}[A_1], \text{Faexp}[A_2])R$$

- $\text{Cbexp}[B_1 \& B_2] \stackrel{\text{def}}{=} \mathcal{F}_{\text{Bexp}}[B_1 \& B_2](\text{Cbexp}[B_1], \text{Cbexp}[B_2])$   
 $\mathcal{F}_{\text{Bexp}}[B_1 \& B_2](S_1, S_2) = f_{B_1 \& B_2}(S_1, S_2)$   
 $f_{B_1 \& B_2} \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Bexp}} \mapsto \mathcal{D}_{\text{Bexp}}$   
 $f_{B_1 \& B_2}(S_1, S_2) \stackrel{\text{def}}{=} \lambda R. S_1(R) \cap S_2(R)$



## Example of structural concrete semantics: commands, sequences and programs

- $C ::= \text{skip} \mid X := A \quad C \in \text{Com}, \text{ commands}$   
 $\mid \text{if } B \text{ then } S \text{ else } S \text{ fi}$   
 $\mid \text{while } B \text{ do } S \text{ od}$   
 $S ::= C ; S \mid C \quad S \in \text{Seq}, \text{ sequences of commands}$   
 $P ::= S ; ; \quad P \in \text{Prog}, \text{ programs}$
- For all  $I \in \text{Com} \cup \text{Seq} \cup \text{Prog}$ , we have  $\text{Rcom}[I] \in \mathcal{D}_{\text{Com}}[I]$  where

$$\mathcal{D}_{\text{Com}}[I] \stackrel{\text{def}}{=} \wp(\mathbb{R}) \mapsto (\text{in}_P[I] \rightarrow \wp(\mathbb{R}))$$



so that:

$$\text{Cbexp}[B_1 \& B_2] \stackrel{\text{def}}{=} \lambda R. \text{Cbexp}[B_1]R \cap \text{Cbexp}[B_2]R$$

- $\text{Cbexp}[B_1 \mid B_2] \stackrel{\text{def}}{=} \mathcal{F}_{\text{Bexp}}[B_1 \mid B_2](\text{Cbexp}[B_1], \text{Cbexp}[B_2])$   
 $\mathcal{F}_{\text{Bexp}}[B_1 \mid B_2](S_1, S_2) = f_{B_1 \mid B_2}(S_1, S_2)$   
 $f_{B_1 \mid B_2} \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Bexp}} \mapsto \mathcal{D}_{\text{Bexp}}$   
 $f_{B_1 \mid B_2}(S_1, S_2) \stackrel{\text{def}}{=} \lambda R. S_1(R) \cap S_2(R)$

so that:

$$\text{Cbexp}[B_1 \mid B_2] \stackrel{\text{def}}{=} \lambda R. \text{Cbexp}[B_1]R \cup \text{Cbexp}[B_2]R$$



- $\text{Rcom}[I]R\ell \stackrel{\text{def}}{=} \lambda R. \lambda \ell. \{ \rho \mid \exists \rho' \in R : \langle \langle \text{at}_P[C], \rho' \rangle, \langle \ell, \rho \rangle \rangle \in \tau^*[C] \}$

reachable states (according to the operational semantics defined in lecture 5)

- $\text{Rcom}[\text{skip}] = \lambda R. \lambda \ell. R$  (constant of  $\mathcal{D}_{\text{Com}}[\text{skip}]$ )
- $\text{Rcom}[X := A] = \mathcal{F}_{\text{Com}}[X := A](\text{Faexp}[A])$   
 $\mathcal{F}_{\text{Com}}[X := A](S) = f_{X := A}(S)$   
 $f_{X := A} \in \mathcal{D}_{\text{Aexp}} \mapsto \mathcal{D}_{\text{Com}}[X := A]$   
 $f_{X := A}(S) \stackrel{\text{def}}{=} \lambda R. \lambda \ell. = \text{match } \ell \text{ with}$   
 $\mid \text{at}_P[X := A] \rightarrow R$   
 $\mid \text{after}_P[X := A] \rightarrow \{ \rho[X := i] \mid \rho \in R \wedge i \in (S(\{\rho\})) \cap \mathbb{I} \}$



so that

$$\begin{aligned} \text{Rcom}[X := A] R \ell &= \text{match } \ell \text{ with} \\ &| \text{at}_P[X := A] \rightarrow R \\ &| \text{after}_P[X := A] \rightarrow \{\rho[X := i] \mid \rho \in R \wedge i \in (\text{Faexp}[A]\{\rho\}) \cap \mathbb{I}\} \end{aligned}$$

–  $\text{Rcom}[C]$  where  $C = \text{if } B \text{ then } S_t \text{ else } S_f \text{ fi}$   
 $= \mathcal{F}_{\text{Com}}[C](\text{Cbexp}[B], \text{Cbexp}[T(\neg(B))], \text{Rcom}[S_t], \text{Rcom}[S_f])$   
 $\mathcal{F}_{\text{Com}}[C](B_1, B_2, S_1, S_2) \stackrel{\text{def}}{=} f_C(B_1, B_2, S_1, S_2)$   
 where  
 $f_C \in \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Com}}[S_t] \times \mathcal{D}_{\text{Com}}[S_f] \mapsto \mathcal{D}_{\text{Com}}[C]$



–  $\text{Rcom}[C]$  where  $C = \text{while } B \text{ do } S \text{ od}$   
 $= \mathcal{F}_{\text{Com}}[C](\text{Cbexp}[B], \text{Cbexp}[T(\neg(B))], \text{Rcom}[S])$   
 $\mathcal{F}_{\text{Com}}[C](B_1, B_2, S_1) \stackrel{\text{def}}{=} f_C(B_1, B_2, S_1, \text{Ifp}_0 \stackrel{\text{def}}{=} \lambda X. f_S(B_1, S_1, X))$

where

$$\begin{aligned} f_S &\in \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Com}}[S] \times \mathcal{D}_{\text{Com}}[C] \mapsto \mathcal{D}_{\text{Com}}[C] \\ f_S(B_1, S_1, X) &\stackrel{\text{def}}{=} \lambda R. R \cup S_1(B_1(X))(\text{after}_P[S]) \\ f_C &\in \mathcal{D}_{\text{Bexp}} \times \mathcal{D}_{\text{Com}}[S] \times \mathcal{D}_{\text{Com}}[C] \mapsto \mathcal{D}_{\text{Com}}[C] \\ f_C(B_1, B_2, S_1, F_1) &\stackrel{\text{def}}{=} \lambda R. \lambda \ell. \text{match } \ell \text{ with} \\ &| \text{at}_P[C] \rightarrow I \\ &| \text{in}_P[S] \rightarrow S_1(B_1(F_1(R)))(\ell) \\ &| \text{after}_P[C] \rightarrow B_2(F_1(R)) \end{aligned}$$


$$\begin{aligned} f_C(B_1, B_2, S_1, S_2) &\stackrel{\text{def}}{=} \lambda R. \lambda \ell. \text{match } \ell \text{ with} \\ &| \text{at}_P[C] \rightarrow R \\ &| \text{in}_P[S_t] \rightarrow S_1(B_1(R))\ell \\ &| \text{in}_P[S_f] \rightarrow S_2(B_2(R))\ell \\ &| \text{after}_P[C] \rightarrow S_1(B_1(R))(\text{after}_P[S_t]) \cup \\ &\quad S_2(B_2(R))(\text{after}_P[S_f]) \end{aligned}$$

so that:

$$\begin{aligned} \text{Rcom}[C] R \ell \text{ where } C = \text{if } B \text{ then } S_t \text{ else } S_f \text{ fi} &= \\ \text{match } \ell \text{ with} & \\ &| \text{at}_P[C] \rightarrow R \\ &| \text{in}_P[S_t] \rightarrow \text{Rcom}[S_t](\text{Cbexp}[B] R)\ell \\ &| \text{in}_P[S_f] \rightarrow \text{Rcom}[S_f](\text{Cbexp}[T(\neg(B))] R)\ell \\ &| \text{after}_P[C] \rightarrow \text{Rcom}[S_t](\text{Cbexp}[B] R)(\text{after}_P[S_t]) \\ &\quad \cup \text{Rcom}[S_f](\text{Cbexp}[T(\neg(B))] R)(\text{after}_P[S_f]) \end{aligned}$$


so that

$\text{Rcom}[C] R \ell$  where  $C = \text{while } B \text{ do } S \text{ od} =$

$$\begin{aligned} \text{let } I = \text{Ifp}_0 \stackrel{\text{def}}{=} \lambda X. R \cup \text{Rcom}[S](\text{Cbexp}[B] X)(\text{after}_P[S]) &\text{ in} \\ \text{match } \ell \text{ with} & \\ &| \text{at}_P[C] \rightarrow I \\ &| \text{in}_P[S] \rightarrow \text{Rcom}[S](\text{Cbexp}[B] I)\ell \\ &| \text{after}_P[C] \rightarrow \text{Cbexp}[T(\neg(B))] R I \end{aligned}$$

– Note that to prove equivalence, we need the following result:





**THEOREM.** Let  $\langle L, \sqsubseteq, \perp, \sqcup \rangle$  be a cpo,  $F \in E \mapsto (L \xrightarrow{m} L)$ . Then  $\forall R \in E$ :

$$\text{lfp}_{\perp}^{\sqsubseteq} \lambda X . F(R, X) = \left( \text{lfp}_{\perp}^{\sqsubseteq} \lambda Y . \lambda R . F(R, Y(R)) \right)(R)$$

■

**PROOF.** – Let  $X^\delta, \delta \in \mathbb{O}$  be the iterates of  $\text{lfp}_{\perp}^{\sqsubseteq} \lambda X . F(R, X)$  with rank  $\epsilon$

– Let  $Y^\delta, \delta \in \mathbb{O}$  be the iterates of  $\text{lfp}_{\perp}^{\sqsubseteq} \lambda Y . \lambda R . F(R, Y(R))$  with rank  $\epsilon'$

– We prove by transfinite induction that  $X^\delta = Y^\delta(R)$ .

–  $X^0 = \perp = \dot{\perp}(R) = Y^0(R)$

– If  $X^\delta = Y^\delta(R)$  then



$$= \bigsqcup_{\beta < \lambda} Y^\beta(R)$$

$$= Y^\lambda(R) \quad \{\text{def. iterates}\}$$

– It follows that  $\text{lfp}_{\perp}^{\sqsubseteq} \lambda X . F(R, X) = X^\epsilon = X^{\max(\epsilon, \epsilon')} = Y^{\max(\epsilon, \epsilon')}(R)$

$$= Y^{\epsilon'}(R) = \left( \text{lfp}_{\perp}^{\sqsubseteq} \lambda Y . \lambda R . F(R, Y(R)) \right)(R)$$

□

–  $\text{Rcom}[C ; S] = \mathcal{F}_{\text{Com}}[C ; S](\text{Rcom}[C], \text{Rcom}[S])$

$$\mathcal{F}_{\text{Com}}[C ; S](C_1, S_1) \stackrel{\text{def}}{=} f_{C ; S}(C_1, S_1)$$

$$f_{C ; S} \in \mathcal{D}_{\text{Com}}[C] \times \mathcal{D}_{\text{Com}}[S] \mapsto \mathcal{D}_{\text{Com}}[C ; S]$$



$$X^{\delta+1} = F(R, X^\delta) \quad \{\text{def. iterates}\}$$

$$= F(R, Y^\delta(R)) \quad \{\text{ind. hyp.}\}$$

$$= \lambda R' . F(R', Y^\delta(R'))(R)$$

$$= \lambda Y . (\lambda R' . F(R', Y(R'))(R))(Y^\delta)$$

$$= Y^{\delta+1}(R) \quad \{\text{def. iterates}\}$$

– It  $\lambda$  is a limit ordinal and  $\forall \beta < \lambda : X^\beta = Y^\beta(R)$  then

$$X^\lambda = \bigsqcup_{\beta < \lambda} X^\beta \quad \{\text{def. iterates}\}$$

$$= \bigsqcup_{\beta < \lambda} Y^\beta(R) \quad \{\text{ind. hyp.}\}$$

$$= (\lambda R' . \bigsqcup_{\beta < \lambda} Y^\beta(R'))(R)$$



$$f_{C ; S}(C_1, S_1) \stackrel{\text{def}}{=} \lambda R . \lambda \ell . \text{match } \ell \text{ with}$$

$\mid \text{in}_P[C] \rightarrow C_1(R)\ell$

$\mid \text{in}_P[S] \rightarrow S_1(C_1(R)(\text{after}_P[C]))\ell$

so that we get

$\text{Rcom}[C ; S]R\ell = \text{match } \ell \text{ with}$

$\mid \text{in}_P[C] \rightarrow \text{Rcom}[C]R\ell$

$\mid \text{in}_P[S] \rightarrow \text{Rcom}[S](\text{Rcom}[C]R(\text{after}_P[C]))\ell$

–  $\text{Rcom}[S ; ;] = \mathcal{F}_{\text{Com}}[S ; ;](\text{Rcom}[S])$

$$\mathcal{F}_{\text{Com}}[S ; ;](S_1) = S_1$$

so that we get



$$\text{Rcom}[\![S \ ; \ ;]\!]R\ell = \text{Rcom}[\![S]\!]R\ell$$

- This concludes the proof that the forward collecting semantics of a command (as introduced in lecture 16) is of the general form on which we reason afterwards.



## Well-definedness of structural semantics

**THEOREM.** If fixpoints exist then the structural semantic definition is well-defined. ■

**PROOF.** By structural induction.

- For expressions  $e[\![\mathcal{D}]\!][S](X) \in \mathcal{D}$ , by cases:

- Basis
  - $d \in \mathcal{D}$ , by hypothesis
  - $S_j \in \mathcal{D}_j = \mathcal{D}$  by hypothesis
  - $X_k \in \mathcal{D}_k = \mathcal{D}$  by hypothesis
- Induction step
  - For all  $k = 1, \dots, \ell$ ,  $e_k[\![\mathcal{D}_{j_k}]\!][S](X) \in \mathcal{D}_{j_k}$  by induction hypothesis and  $f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}} \in \left( \prod_{j=j_1}^{j_\ell} \mathcal{D}_j \right) \mapsto \mathcal{D}$  by hypothesis, proving that  $f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}}(e_1[\![\mathcal{D}_{j_1}]\!][S](X), \dots, e_\ell[\![\mathcal{D}_{j_\ell}]\!][S](X))$



## Fixpoint existence

In the structural definition of the semantics, all elements are well-defined but, may be, the fixpoints.

**DEFINITION (FIXPOINT EXISTENCE).**

- We say that *the fixpoints exist* if and only if all fixpoints appearing in the structural definition exist.
- A fixpoint  $\text{lfp}_a^{\sqsubseteq} F$  (where  $F \in L \mapsto L$ ,  $\langle L, \sqsubseteq, \perp, \sqcup \rangle$  is a poset) exists whenever the transfinite iteration sequence  $X^0 = a$ ,  $X^{\delta+1} = F(X^\delta)$ ,  $X^\lambda = \bigsqcup_{\beta < \lambda} X^\beta$  for limit ordinals is well-defined (i.e. the lub  $\bigsqcup$  does exist), ultimately stationary at rank  $\epsilon$  (so that  $\forall \delta \geq \epsilon : X^\delta = X^\epsilon$  in which case we let  $\text{lfp}_a^{\sqsubseteq} F \stackrel{\text{def}}{=} X^\epsilon$ ).



is well-defined and belongs to  $\mathcal{D}$

- By induction hypothesis  $\lambda Y . e[\![\mathcal{D}]\!][S](X, Y : \mathcal{D}) \in \mathcal{D} \mapsto \mathcal{D}$ , and fixpoints exist. By transfinite induction, all iterates belong to  $\mathcal{D}$ , whence for the fixpoint  $\text{lfp}_{\sqsubseteq}^{\lambda Y . e[\![\mathcal{D}]\!][S](X, Y : \mathcal{D})} \in \mathcal{D}$
- For semantics  $\mathcal{C}_i \in [\mathcal{C}_i \in \text{Com}_i \mapsto \mathcal{D}_{\mathcal{C}_i}]$ , we proceed by structural induction
  - For the basis,  $\mathcal{C}_i$  has no  $\mathcal{C}'_j$  such that  $\mathcal{C}'_j < \mathcal{C}_i$  whence  $\mathcal{C}_i[\![\mathcal{C}_i]\!] = \mathcal{F}_i[\![\mathcal{C}_i]\!]() = e[\![\mathcal{D}_{\mathcal{C}_i}]\!][S_1 : \mathcal{D}_{\mathcal{C}'_1}, \dots, S_n : \mathcal{D}_{\mathcal{C}'_n}]()$  is well-defined and belongs to  $\mathcal{D}_{\mathcal{C}_i}$
  - For the induction step,  $\mathcal{C}_j[\![\mathcal{C}'_j]\!] \in \mathcal{D}_{\mathcal{C}'_j}$  by induction hypothesis and so  $\mathcal{C}_i[\![\mathcal{C}_i]\!] = \mathcal{F}_i[\![\mathcal{C}_i]\!] \left( \prod_{\mathcal{C}'_j < \mathcal{C}_i} \mathcal{C}_j[\![\mathcal{C}'_j]\!] \right) = e[\![\mathcal{D}_{\mathcal{C}_i}]\!][\mathcal{C}_1[\![\mathcal{C}'_1]\!] : \mathcal{D}_{\mathcal{C}'_1}, \dots, \mathcal{C}_n[\![\mathcal{C}'_n]\!] : \mathcal{D}_{\mathcal{C}'_n}]()$  where  $\{\mathcal{C}'_j \mid \mathcal{C}'_j < \mathcal{C}_i\} = \{\mathcal{C}'_1, \dots, \mathcal{C}'_n\}$  is well-defined and belongs to  $\mathcal{D}_{\mathcal{C}_i}$  □



## Monotonic structural semantics

**DEFINITION.** The structural semantics is said to be *monotonic* whenever all  $f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}} \in \left( \prod_{j=j_1}^{j_\ell} \mathcal{D}_j \right) \mapsto \mathcal{D}$  are monotonic on the poset  $\langle \mathcal{D}, \sqsubseteq \rangle$ , that is:  $\forall k = 1, \dots, \ell : \forall X_{j_k}, X'_{j_k} \in \langle \mathcal{D}_{j_k}, \sqsubseteq_{j_k} \rangle$ :

$$\begin{aligned} & X_{j_k} \sqsubseteq_{j_k} X'_{j_k} \\ \implies & f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}} \left( \prod_{k=1}^{\ell} X_{j_k} \right) \sqsubseteq f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}} \left( \prod_{k=1}^{\ell} X'_{j_k} \right) \end{aligned}$$

■



- Induction step
  - For all  $k = 1, \dots, \ell$ ,  $e_k \llbracket \mathcal{D}_{j_k} \rrbracket [S](X) \in \mathcal{D}_{j_k}$  is well-defined and monotone by induction hypothesis and  $f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}} \in \left( \prod_{j=j_1}^{j_\ell} \mathcal{D}_j \right) \mapsto \mathcal{D}$  by hypothesis, proving that if  $Y \sqsubseteq Y'$  then  $e \llbracket \mathcal{D} \rrbracket [S](X, Y : \mathcal{D}) \sqsubseteq e \llbracket \mathcal{D} \rrbracket [S'](X', Y' : \mathcal{D})$  so that the function  $\mathcal{F} \stackrel{\text{def}}{=} \lambda Y. e \llbracket \mathcal{D} \rrbracket [S](X, Y : \mathcal{D}) \in \mathcal{D} \mapsto \mathcal{D}$  is monotonic in its  $Y$  parameter. By the constructive version of Tarski's theorem,  $\text{lfp}_{\perp}^{\sqsubseteq} \mathcal{F}$  does exist on the cpo  $\langle \mathcal{D}, \sqsubseteq, \perp, \sqcup \rangle$  and is an element of  $\mathcal{D}$ .

Moreover if we let

$$\begin{aligned} \mathcal{F} & \stackrel{\text{def}}{=} \lambda Y. e \llbracket \mathcal{D} \rrbracket [S](X, Y : \mathcal{D}) \\ \text{and } \mathcal{F}' & \stackrel{\text{def}}{=} \lambda Y. e \llbracket \mathcal{D} \rrbracket [S'](X', Y : \mathcal{D}) \end{aligned}$$

then  $\mathcal{F} \sqsubseteq \mathcal{F}'$  pointwise and to  $\text{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \text{lfp}_{\perp}^{\sqsubseteq} \mathcal{F}'$ , proving monotony.

- An immediate consequence is that the functions  $\mathcal{F}_i \llbracket C_i \rrbracket (S_1, \dots, S_n) = e \llbracket \mathcal{D}_{C_i} \rrbracket [S_1 : \mathcal{D}_{C_1}, \dots, S_n : \mathcal{D}_{C_n}]()$  are monotonic in  $S_1, \dots, S_n$ :



## Well-definedness of monotonic structural semantics

**THEOREM.** In a monotonic structural semantics, all expressions are monotonic, whence the fixpoints exist on cpos, so the semantics is well-defined on cpos. ■

**PROOF.** – For expressions if  $\langle \mathcal{D}_i, \sqsubseteq_i, \perp_i, \sqcup_i \rangle$  and  $\langle \mathcal{D}, \sqsubseteq, \perp, \sqcup \rangle$  are cpos then  $\prod_{i=1}^n \mathcal{D}_i$  and  $\prod_{i=n+1}^m \mathcal{D}_i$  are cpos for the componentwise orderings  $\sqsubseteq_{1,n}$  and  $\sqsubseteq_{n+1,m}$ . Assume  $S \sqsubseteq_{1,n} S'$ ,  $X \sqsubseteq_{n+1,m} X'$ . We prove by structural induction on expression  $e$  that  $e \llbracket \mathcal{D} \rrbracket [S](X) \sqsubseteq e \llbracket \mathcal{D} \rrbracket [S'](X')$  and the expression is well-defined in  $\mathcal{D}$

- Basis

- $d \sqsubseteq d$  by reflexivity and  $d \in \mathcal{D}$ , by hypothesis
- $S_j \sqsubseteq_j S'_j$  by def. componentwise ordering and  $\sqsubseteq_j = \sqsubseteq$  with  $S_j, S'_j \in \mathcal{D}_j = \mathcal{D}$  by hypothesis
- $X_k \sqsubseteq_k X'_k$  by def. componentwise ordering and  $\sqsubseteq_k = \sqsubseteq$  with  $X_k \in \mathcal{D}_k = \mathcal{D}$  by hypothesis



$$\mathcal{F}_i \llbracket C_i \rrbracket \in \left( \prod_{C'_j \prec C_i} \mathcal{D}_{C'_j} \mapsto \mathcal{D}_{C_i} \right)$$

and well-defined

- Since fixpoints exist, the structural semantics is well-defined

□



## Structural abstract semantics

The abstract semantics is in the same structural form as the collecting semantics. More precisely:

- **Abstract domains**: define the abstract information associated to each syntactic category  $\text{Com}_i$ ,  $i \in \Delta$ . For each  $i \in \Delta$  and  $C_i \in \text{Com}_i$ :

$\langle \overline{\mathcal{D}}_{C_i}, \overline{\sqsubseteq}_{C_i}, \overline{\perp}_{C_i}, \overline{\sqcap}_{C_i} \rangle$  is a poset (cpo, complete lattice, ...)

(The nature of the correspondence between the abstract domains and the corresponding concrete ones will be considered later).



The **abstract transformer** is defined in the form:

$$\overline{\mathcal{F}}_i[[C_i]](S_1, \dots, S_n) \stackrel{\text{def}}{=} \overline{e}[[\overline{\mathcal{D}}_{C_i}]][\overline{S}_1 : \overline{\mathcal{D}}_{C'_1}, \dots, \overline{S}_n : \overline{\mathcal{D}}_{C'_n}]()$$

where  $\{C' \mid C' \prec C_i\} = \{C'_1, \dots, C'_n\}$  and the right-hand side is an expression written according to the following attribute grammar, where we are given

- $\overline{S} = \overline{S}_1 : \overline{\mathcal{D}}_{C'_1}, \dots, \overline{S}_n : \overline{\mathcal{D}}_{C'_n}$ : the abstract semantics of components
- $\overline{X} = \overline{X}_{n+1} : \overline{\mathcal{D}}'_{n+1}, \dots, \overline{X}_m : \overline{\mathcal{D}}'_m$ : fixpoint variables
- $\langle \overline{\mathcal{D}}, \overline{\sqsubseteq}, \overline{\perp}, \overline{\sqcap} \rangle$ : the abstract domain of the result



- **Abstract semantics**:

$$\overline{c}_i \in [C_i \in \text{Com}_i \mapsto \overline{\mathcal{D}}_{C_i}]$$

is defined, by structural induction, as

$$\overline{c}_i[[C_i]] \stackrel{\text{def}}{=} \overline{\mathcal{F}}_i[[C_i]]\left(\prod_{C'_j \prec C_i} \overline{c}_j[[C'_j]]\right)$$

where

$$\overline{\mathcal{F}}_i[[C_i]] \in \left(\prod_{C'_j \prec C_i} \overline{\mathcal{D}}_{C'_j}\right) \mapsto \overline{\mathcal{D}}_{C_i}$$

is the abstract transformer



The attribute grammar of expressions is as follows:

$$\begin{aligned} \overline{e}[[\overline{\mathcal{D}}]][\overline{S}](\overline{X}) ::= & \\ & | \overline{d} \\ & | \overline{S}_j \\ & | \overline{X}_k \\ & | \overline{f}_{\overline{\mathcal{D}}_{j_1} \dots \overline{\mathcal{D}}_{j_\ell}} \overline{\mathcal{D}}(e_1[[\overline{\mathcal{D}}_{j_1}]][\overline{S}](\overline{X}), \dots, e_\ell[[\overline{\mathcal{D}}_{j_\ell}]][\overline{S}](\overline{X})) \\ & | \text{fp}_{\overline{\perp}} \lambda \overline{Y}. \overline{e}[[\overline{\mathcal{D}}]][\overline{S}](\overline{X}, \overline{Y} : \overline{\mathcal{D}}) \end{aligned} \quad ^4$$

where, by hypothesis:

- $\overline{d} \in \overline{\mathcal{D}}$  is a constant



<sup>4</sup>  $\overline{Y} \notin \{\overline{X}_{n+1}, \dots, \overline{X}_m\}$  must be a new fresh variable

- $\bar{S}_j, j \in [1, n]$  is the abstract semantics of an immediate component of  $C_i$  such that  $\bar{D}_j = \bar{D}$
- $\bar{X}_k, k \in [n+1, m]$  appears inside a fixpoint definition and  $\bar{D}'_k = \bar{D}$
- $\bar{f}_{\bar{D}_{j_1} \dots \bar{D}_{j_\ell} \bar{D}} \in \left( \prod_{j=j_1}^{j_\ell} \bar{D}_j \right) \mapsto \bar{D}$  is a constant function
- The fixpoints exist.



## Local abstraction

DEFINITION. We say that the abstract domains

$$\langle \bar{\mathcal{D}}_{C_i}, \bar{\sqsubseteq}_{C_i}, \bar{\perp}_{C_i}, \bar{\sqcup}_{C_i} \rangle, i \in \Delta \text{ and } C_i \in \text{Com}_i$$

are *local abstractions* of the concrete domains

$$\langle \mathcal{D}_{C_i}, \sqsubseteq_{C_i}, \perp_{C_i}, \sqcup_{C_i} \rangle, i \in \Delta \text{ and } C_i \in \text{Com}_i$$

whenever there exists a concretization function  $\gamma_{C_i}$  which is monotone:

$$\gamma_{C_i} \in \bar{\mathcal{D}}_{C_i} \xrightarrow{m} \mathcal{D}_{C_i} \quad (\text{LA1})$$

such that in the definitions of the corresponding structurally identical expressions  $e[[\mathcal{D}]][\mathcal{S}](X)$  and  $\bar{e}[[\bar{\mathcal{D}}]][\bar{\mathcal{S}}](\bar{X})$ , we have



## Local abstraction hypotheses on the correspondence between concrete and abstract semantics

- Observe that the concrete and abstract semantics have the same structural form (and so are stated to be *structurally identical*)
- So, intuitively, if the ingredients of the abstract semantics are upper-approximations of their concrete counterparts, then the abstract semantics should be an upper-approximation of the concrete semantics
- This is made precise and proved in what follows



$$- d \sqsubseteq \bar{d} \text{ when } d \in \mathcal{D}_{C_i} \text{ and } \bar{d} \in \bar{\mathcal{D}}_{C_i} \quad (\text{LA2})$$

– If  $\forall k = 1, \dots, \ell: X_k \sqsubseteq_{j_k} \gamma_{j_k}(\bar{X}_k)$  then

$$f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}}(X_1, \dots, X_\ell) \sqsubseteq \gamma_{\bar{\mathcal{D}}_{j_1} \dots \bar{\mathcal{D}}_{j_\ell} \bar{\mathcal{D}}}(\bar{X}_1, \dots, \bar{X}_\ell) \quad (\text{LA3})$$

■

– Remark 1:  $\perp_{C_i} \sqsubseteq_{C_i} \gamma_{C_i}(\bar{\perp}_{C_i})$  by def. infimum (otherwise this should be assumed as an additional hypothesis)

– Remark 2: when lubs exist  $\bigsqcup_{C_i} \{\gamma_{C_i}(X_i) \mid i \in \Delta\} \sqsubseteq_{C_i} \gamma_{C_i}(\bigsqcup_{C_i} \{X_i \mid i \in \Delta\})$  by monotony of  $\gamma_{C_i}$



- Remark 3: in case of a Galois connection based abstractions

$$\langle \mathcal{D}_{C_i}, \sqsubseteq_{C_i} \rangle \xleftrightarrow[\alpha_{C_i}]{\gamma_{C_i}} \langle \overline{\mathcal{D}}_{C_i}, \overline{\sqsubseteq}_{C_i} \rangle$$

the usual soundness requirement that

$$\alpha \circ f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}}(\gamma_{j_1}(\overline{X}_1), \gamma_{j_2}(\dots, \overline{X}_\ell)) \overline{\sqsubseteq} \overline{f}_{\overline{\mathcal{D}}_{j_1} \dots \overline{\mathcal{D}}_{j_\ell} \overline{\mathcal{D}}}(\overline{X}_1, \dots, \overline{X}_\ell)$$

implies (LA3) when  $f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}}$  is monotone



## A soundness of the correspondence between expressions

**THEOREM.** If  $e$  and  $\overline{e}$  are structurally identical, (LA1), (LA2) and (LA3) hold, concrete and abstract fixpoints exist, and

$$- S_j \sqsubseteq_j \gamma_i(\overline{S}_j), \quad j = 1, \dots, n \quad (\text{a})$$

$$- X_k \sqsubseteq_{ki} \gamma_i(\overline{X}_k), \quad k = n + 1, \dots, m \quad (\text{b})$$

then

$$e[\mathcal{D}][S](X) \sqsubseteq \gamma(\overline{e}[\overline{\mathcal{D}}][\overline{S}](\overline{X}))$$

■

**PROOF.** By structural induction on expressions.



## A soundness theorem on the correspondence between a concrete semantics and its local abstraction

- Given an abstract semantics which is a local abstraction of a structurally identical concrete semantics, we prove that this abstract semantics is a sound upper-approximation of the concrete semantics
- We proceed by structural induction on the considered programming language



- $d \sqsubseteq (\overline{d})$  by (LA2)
- $S_j \sqsubseteq_j \gamma_i(\overline{S}_j) = \gamma(\overline{S}_j)$  by (a) and  $\mathcal{D} = \mathcal{D}_j, \overline{\mathcal{D}} = \overline{\mathcal{D}}_j, j = 1, \dots, n$
- $X_{kj} \sqsubseteq_k \gamma_k(\overline{X}_k) = \gamma(\overline{X}_k)$  by (b) and  $\mathcal{D} = \mathcal{D}_k, \overline{\mathcal{D}} = \overline{\mathcal{D}}_k, k = n + 1, \dots, m$
- By induction hypothesis, we have:

$$e_k[\mathcal{D}_{j_k}][S](X) \sqsubseteq_{jk} \gamma_{jk}(\overline{e}_k[\overline{\mathcal{D}}_{j_k}][S](X)), \quad k = 1, \dots, \ell$$

and so by (LA3):

$$f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}}\left(\prod_{k=1}^{\ell} e_k[\mathcal{D}_{j_k}][S](X)\right) \overline{\sqsubseteq} \gamma(\overline{f}_{\overline{\mathcal{D}}_{j_1} \dots \overline{\mathcal{D}}_{j_\ell} \overline{\mathcal{D}}}\left(\prod_{k=1}^{\ell} \overline{e}_k[\overline{\mathcal{D}}_{j_k}][S](X)\right))$$

– let

$$\mathcal{F} \stackrel{\text{def}}{=} \lambda Y. e[\mathcal{D}][S](X, Y : \mathcal{D})$$

$$\text{and } \overline{\mathcal{F}} \stackrel{\text{def}}{=} \lambda Y. \overline{e}[\overline{\mathcal{D}}][\overline{S}](\overline{X}, \overline{Y} : \overline{\mathcal{D}})$$

If  $Y \sqsubseteq \gamma(\overline{Y})$  then, by induction hypothesis on the identical structures of  $e$  and  $\overline{e}$ , we have,



$$\mathcal{F}(Y) \sqsubseteq \gamma(\overline{\mathcal{F}}(\overline{Y})) \quad (c)$$

for all  $Y \in \mathcal{D}$  and  $\overline{Y} \in \overline{\mathcal{D}}$

- Let us now consider the iterates  $\langle X^\delta, \delta \in \mathbb{O} \rangle$  of  $\mathcal{F}$  and  $\langle Y^\delta, \delta \in \mathbb{O} \rangle$  of  $\overline{\mathcal{F}}$  which are respectively stationary at  $\epsilon$  and  $\epsilon'$ , by fixpoint existence hypothesis
- $X^0 \stackrel{\text{def}}{=} \perp \sqsubseteq \gamma(\overline{\perp}) \stackrel{\text{def}}{=} Y^0$
- If  $X^\delta \sqsubseteq \gamma(Y^\delta)$  by induction hypothesis, then  $X^{\delta+1} = \mathcal{F}(X^\delta) \sqsubseteq \gamma(\overline{\mathcal{F}}(Y^\delta))$  by (c) proving that  $X^{\delta+1} \sqsubseteq \gamma(Y^{\delta+1})$  since  $Y^{\delta+1} = \overline{\mathcal{F}}(Y^\delta)$
- If  $\lambda$  is a limit ordinal and  $\forall \beta < \lambda : X^\beta \sqsubseteq \gamma(Y^\beta)$  then  $X^\lambda = \bigsqcup_{\beta < \lambda} X^\beta \sqsubseteq \bigsqcup_{\beta < \lambda} \gamma(Y^\beta) \sqsubseteq \gamma(\bigsqcup_{\beta < \lambda} Y^\beta) = \gamma(Y^\lambda)$  (which are well-defined by fixpoint existence)
- It follows that  $\text{lfp}_{\perp} \mathcal{F} = X^\epsilon = X^{\max(\epsilon, \epsilon')} \sqsubseteq \gamma(Y^{\max(\epsilon, \epsilon')}) = \gamma(Y^{\epsilon'}) = \gamma(\text{lfp}_{\perp} \overline{\mathcal{F}})$   $\square$



$$\begin{aligned} & \mathcal{C}[\mathcal{C}_i] \\ = & \mathcal{F}[\mathcal{C}_i] \left( \prod_{C'_j \prec C_i} \mathcal{C}[\mathcal{C}'_j] \right) \\ = & e[\mathcal{D}_{C_i}] \left[ \prod_{C'_j \prec C_i} \mathcal{C}[\mathcal{C}'_j] : \mathcal{D}_{C'_j} \right] () \\ \sqsubseteq_{C_i} & \gamma_{C_i}(\overline{e}[\overline{\mathcal{D}}_{C_i}] \left[ \prod_{C'_j \prec C_i} \overline{\mathcal{C}}[\mathcal{C}'_j] : \overline{\mathcal{D}}_{C'_j} \right] ()) \\ = & \gamma_{C_i}(\overline{\mathcal{F}}[\mathcal{C}_i] \left( \prod_{C'_j \prec C_i} \overline{\mathcal{C}}[\mathcal{C}'_j] \right)) \\ = & \gamma_{C_i}(\overline{\mathcal{C}}[\mathcal{C}_i]) \end{aligned}$$

$\square$



## Soundness of the correspondence between a concrete and abstract semantics

**THEOREM.** If (LA1), (LA2) and (LA3) do hold, concrete and abstract fixpoints exist, then for all  $i \in \Delta$  and  $C_i \in \text{Com}_i$ , we have

$$\mathcal{C}[\mathcal{C}_i] \sqsubseteq_{C_i} \gamma_{C_i}(\overline{\mathcal{C}}[\mathcal{C}_i])$$

■

**PROOF.** By structural induction on the well-founded relation  $(\bigcup_{i \in \Delta} \text{Com}_i, \prec)$ . Given any  $i \in \Delta$  and  $C_i \in \text{Com}_i$ , assume by induction hypothesis that

$$\forall C'_j \prec C_i : \mathcal{C}[\mathcal{C}'_j] \sqsubseteq_{C'_j} \gamma_{C'_j}(\overline{\mathcal{C}}[\mathcal{C}'_j])$$



An abstract formalization of  
infinitary structural analysis  
by abstract interpretation



## Hypotheses on widenings

Given a poset  $\langle L, \sqsubseteq \rangle$ , a widening operator on  $L$  is  $\nabla \in L \times L \mapsto L$  satisfying

(W1)  $y \sqsubseteq x \nabla y$

(W2) For all sequences  $x^0, x^1, \dots$  in  $L^\omega$ , the sequence defined by

$$y^0 \stackrel{\text{def}}{=} x^0$$

$$y^{n+1} \stackrel{\text{def}}{=} y^\ell \quad \text{if } \exists \ell \leq n : x^\ell \sqsubseteq y^\ell$$

$$\stackrel{\text{def}}{=} y^n \nabla x^n \quad \text{otherwise}$$

is not strictly increasing.



## Structural abstract semantics with widening

The abstract semantics with widening is in the same structural form as the collecting semantics. More precisely:

– **Abstract domains:** For each  $i \in \Delta$  and  $C_i \in \text{Com}_i$ :

$\langle \overline{\mathcal{D}}_{C_i}, \overline{\sqsubseteq}_{C_i}, \overline{\perp}_{C_i}, \overline{\sqcup}_{C_i} \rangle$  is a poset

– **Widenings:** For each  $i \in \Delta$  and  $C_i \in \text{Com}_i$ :

$\nabla_{C_i} \in \overline{\mathcal{D}}_{C_i} \times \overline{\mathcal{D}}_{C_i} \mapsto \overline{\mathcal{D}}_{C_i}$  is a widening satisfying (W1) and (W2)



**THEOREM.** The sequence  $\langle y^k, k \in \mathbb{N} \rangle$  is strictly increasing up to a least  $\ell \in \mathbb{N}$  such that  $x^\ell \sqsubseteq y^\ell$  and the sequence is stationary at  $\ell$  onwards. ■

**PROOF.** The sequence  $\langle y^k, k \in \mathbb{N} \rangle$  cannot be strictly increasing by (W2). So there is a least  $\ell$  such that  $y^\ell \not\sqsubseteq y^{\ell+1}$ . We cannot have  $y^{\ell+1} = x^\ell \nabla y^\ell$  since by (W1), this would imply that  $y^\ell \sqsubseteq x^\ell \nabla y^\ell = y^{\ell+1}$ . Hence, by definition of the sequence  $\langle y^k, k \in \mathbb{N} \rangle$ , we must have  $y^{\ell+1} \stackrel{\text{def}}{=} y^k$  where  $k \leq \ell$  and  $x^k \sqsubseteq y^k$ . We cannot have  $k < \ell$  since for the smallest such  $k$  we would have  $x^k \sqsubseteq y^k$  whence  $y^{k+1} = y^k$  whence, by reflexivity,  $y^k \sqsubseteq y^{k+1}$  in contradiction with the hypothesis that  $\ell$  is the smallest natural with that property. It follows that  $k = \ell$  and so by (W2):  $y^{\ell+1} = y^\ell$  and  $x^\ell \sqsubseteq y^\ell$ . For all  $n \geq \ell$ , we have  $\exists \ell \leq n : x^\ell \sqsubseteq y^\ell$  whence  $y^{n+1} \stackrel{\text{def}}{=} y^\ell$  proving that the sequence is stationary at  $\ell$ . □

Note:  $\langle x^k, k \in \mathbb{N} \rangle$  not assumed to be increasing.



– **Abstract semantics with widening:**

$$\overline{\mathcal{C}}_i \in [C_i \in \text{Com}_i \mapsto \overline{\mathcal{D}}_{C_i}]$$

is defined, by structural induction, as

$$\overline{\mathcal{C}}_i[[C_i]] \stackrel{\text{def}}{=} \overline{\mathcal{F}}_i[[C_i]] \left( \prod_{C'_j \prec C_i} \overline{\mathcal{C}}_j[[C'_j]] \right)$$

where

$$\overline{\mathcal{F}}_i[[C_i]] \in \left( \prod_{C'_j \prec C_i} \overline{\mathcal{D}}_{C'_j} \right) \mapsto \overline{\mathcal{D}}_{C_i}$$

is the abstract transformer





The **abstract transformer** is defined in the form:

$$\overline{\mathcal{F}}_i[C_i](S_1, \dots, S_n) \stackrel{\text{def}}{=} \overline{e}[\overline{\mathcal{D}}_{C_i}][\overline{S}_1 : \overline{\mathcal{D}}_{C'_1}, \dots, \overline{S}_n : \overline{\mathcal{D}}_{C'_n}]()$$

where  $\{C' \mid C' \prec C_i\} = \{C'_1, \dots, C'_n\}$  and the right-hand side is an expression written according to the following attribute grammar, where we are given

- $\overline{S} = \overline{S}_1 : \overline{\mathcal{D}}_{C'_1}, \dots, \overline{S}_n : \overline{\mathcal{D}}_{C'_n}$ : the abstract semantics of components
- $\overline{X} = \overline{X}_{n+1} : \overline{\mathcal{D}}'_{n+1}, \dots, \overline{X}_m : \overline{\mathcal{D}}'_m$ : fixpoint variables
- $\langle \overline{\mathcal{D}}, \overline{\sqsubseteq}, \overline{\perp}, \overline{\sqsupset} \rangle$ : the abstract domain of the result



where, by hypothesis:

- $\overline{d} \in \overline{\mathcal{D}}$  is a constant
- $\overline{S}_j, j \in [1, n]$  is the abstract semantics of an immediate component of  $C_i$  such that  $\overline{\mathcal{D}}_j = \overline{\mathcal{D}}$
- $\overline{X}_k, k \in [n+1, m]$  appears inside a fixpoint definition and  $\overline{\mathcal{D}}'_k = \overline{\mathcal{D}}$
- $\overline{f}_{\overline{\mathcal{D}}_{j_1} \dots \overline{\mathcal{D}}_{j_\ell} \overline{\mathcal{D}}} \in \left( \prod_{j=j_1}^{j_\ell} \overline{\mathcal{D}}_j \right) \mapsto \overline{\mathcal{D}}$  is a constant function
- $\overline{\nabla} \in \overline{\mathcal{D}} \times \overline{\mathcal{D}} \mapsto \overline{\mathcal{D}}$  is a widening
- $\text{lfp}_{\perp}^{\overline{\sqsubseteq}} F$  is a shorthand for the limit  $X^\epsilon$  of the transfinite iteration sequence  $X^0 = \perp, X^{\delta+1} = F(X^\delta)$  and  $X^\lambda = \bigsqcup_{\beta < \lambda} X^\beta, \lambda$  limit ordinal,  $\forall \delta \geq \epsilon : X^\delta = X^\epsilon$ , whenever it exists.



The attribute grammar of expressions is as follows:

$$\overline{e}[\overline{\mathcal{D}}][\overline{\mathcal{S}}](\overline{X}) ::=$$

$$\begin{array}{l} | \overline{d} \\ | \overline{S}_j \\ | \overline{X}_k \\ | \overline{f}_{\overline{\mathcal{D}}_{j_1} \dots \overline{\mathcal{D}}_{j_\ell} \overline{\mathcal{D}}}(e_1[\overline{\mathcal{D}}_{j_1}][\overline{\mathcal{S}}](\overline{X}), \dots, e_\ell[\overline{\mathcal{D}}_{j_\ell}][\overline{\mathcal{S}}](\overline{X})) \\ | \text{let} \\ \quad \overline{\mathcal{F}} = \lambda \overline{Y}. \overline{e}[\overline{\mathcal{D}}][\overline{\mathcal{S}}](\overline{X}, \overline{Y} : \overline{\mathcal{D}}) \text{ and} \\ \quad \overline{\mathcal{G}} = \lambda X. \text{let } Y = \overline{\mathcal{F}}(X) \text{ in } (Y \overline{\sqsubseteq} X ? X : X \overline{\nabla} Y) \\ | \text{in} \\ \quad \text{lfp}_{\perp}^{\overline{\sqsubseteq}} \overline{\mathcal{G}} \end{array}$$



## Well-definedness of the structural abstract semantics with widening

**THEOREM.** Any structural abstract semantics with widening satisfying (W1) and (W2) is well-defined. ■



**PROOF.** By structural induction on the inductive definition of the abstract semantics.

- For expressions  $\bar{e}[\bar{\mathcal{D}}][\bar{\mathcal{S}}](\bar{X}) \in \bar{\mathcal{D}}$ , by cases:
  - Basis
    - $\bar{d} \in \bar{\mathcal{D}}$ , by hypothesis
    - $\bar{S}_j \in \bar{\mathcal{D}}_j = \bar{\mathcal{D}}$  by hypothesis
    - $\bar{X}_k \in \bar{\mathcal{D}}_k = \bar{\mathcal{D}}$  by hypothesis
  - Induction step
    - For all  $k = 1, \dots, \ell$ ,  $\bar{e}_k[\bar{\mathcal{D}}_{j_k}][\bar{\mathcal{S}}](\bar{X}) \in \bar{\mathcal{D}}_{j_k}$  by induction hypothesis and  $\bar{f}_{\bar{\mathcal{D}}_{j_1} \dots \bar{\mathcal{D}}_{j_\ell} \bar{\mathcal{D}}} \in \left( \prod_{j=j_1}^{j_\ell} \bar{\mathcal{D}}_j \right) \mapsto \bar{\mathcal{D}}$  by hypothesis, proving that  $\bar{f}_{\bar{\mathcal{D}}_{j_1} \dots \bar{\mathcal{D}}_{j_\ell} \bar{\mathcal{D}}}(\bar{e}_1[\bar{\mathcal{D}}_{j_1}][\bar{\mathcal{S}}](\bar{X}), \dots, \bar{e}_\ell[\bar{\mathcal{D}}_{j_\ell}][\bar{\mathcal{S}}](\bar{X}))$



$$\begin{aligned} Z^0 &\stackrel{\text{def}}{=} \perp \\ Z^{n+1} &\stackrel{\text{def}}{=} y^\ell && \text{if } \exists \ell \leq n : \bar{\mathcal{F}}(Z^\ell) \sqsubseteq Z^\ell \\ &\stackrel{\text{def}}{=} Z^\ell \nabla \bar{\mathcal{F}}(Z^\ell) && \text{otherwise} \end{aligned}$$

By the theorem on widening (on page 62), The sequence  $\langle Z^n, n \in \mathbb{N} \rangle$  is strictly increasing up to a least  $\ell \in \mathbb{N}$  such that  $\bar{\mathcal{F}}(Z^\ell) \sqsubseteq Z^\ell$  and the sequence is stationary at  $\ell$  onwards. By definition of lubs, the transfinite extension of the sequence is well-defined and stationary at  $\ell$ . By transfinite induction, all iterates belong to  $\mathcal{D}$ , whence for the fixpoint  $\text{lfp}_{\sqsubseteq} \lambda Y . e[\mathcal{D}][\mathcal{S}](X, Y : \mathcal{D}) = Z^\ell \in \mathcal{D}$

- For the abstract semantics  $\bar{C}_i \in [C_i \in \text{Com}_i \mapsto \bar{\mathcal{D}}_{C_i}]$ , we proceed by structural induction
  - For the basis,  $C_i$  has no  $C'_j$  such that  $C'_j \prec C_i$  whence  $\bar{C}_i[C_i] = \bar{\mathcal{F}}_i[C_i]() = \bar{e}[\bar{\mathcal{D}}_{C_i}][S_1 : \bar{\mathcal{D}}_{C'_1}, \dots, S_n : \bar{\mathcal{D}}_{C'_n}]()$  is well-defined and belongs to  $\bar{\mathcal{D}}_{C_i}$



is well-defined and belongs to  $\bar{\mathcal{D}}$

- If  $Y \in \bar{\mathcal{D}}$  then, by induction hypothesis,  $\bar{e}[\bar{\mathcal{D}}][\bar{\mathcal{S}}](\bar{X}, Y : \bar{\mathcal{D}})$  is well-defined and belongs to  $\bar{\mathcal{D}}$ , so that the locally defined function  $\bar{\mathcal{F}} = \lambda \bar{Y} . \bar{e}[\bar{\mathcal{D}}][\bar{\mathcal{S}}](\bar{X}, \bar{Y} : \bar{\mathcal{D}})$  is well-defined and belongs that  $\bar{\mathcal{D}} \mapsto \bar{\mathcal{D}}$ . Since, by hypothesis,  $\nabla \in \bar{\mathcal{D}} \times \bar{\mathcal{D}} \mapsto \bar{\mathcal{D}}$ , it follows that for all  $X \in \bar{\mathcal{D}}$ ,  $\bar{\mathcal{G}}(X)$  is well-defined and belongs to  $\bar{\mathcal{D}} \mapsto \bar{\mathcal{D}}$ . Let us now consider the iterates  $\langle Z^n, \delta \in \mathbb{N} \rangle$  of  $\bar{\mathcal{G}}$  starting from  $\perp \in \bar{\mathcal{D}}$ . They are defined as:

$$\begin{aligned} Z^0 &\stackrel{\text{def}}{=} \perp \\ Z^{n+1} &\stackrel{\text{def}}{=} Z^n && \text{if } \bar{\mathcal{F}}(Z^n) \sqsubseteq Z^n \\ &\stackrel{\text{def}}{=} Z^n \nabla \bar{\mathcal{F}}(Z^n) && \text{otherwise} \end{aligned}$$

Let  $\ell \in \mathbb{N}$  be the smallest  $n$ , if any, such that  $\bar{\mathcal{F}}(Z^\ell) \sqsubseteq Z^\ell$  then by recurrence,  $\forall k \geq \ell : \bar{\mathcal{F}}(Z^k) \sqsubseteq Z^k$  and so the above  $\langle Z^n, n \in \mathbb{N} \rangle$  can be defined in the equivalent form



- For the induction step,  $\bar{C}_j[C'_j] \in \bar{\mathcal{D}}_{C'_j}$  by induction hypothesis and so  $\bar{C}_i[C_i] = \bar{\mathcal{F}}_i[C_i] \left( \prod_{C'_j \prec C_i} \bar{C}_j[C'_j] \right) = \bar{e}[\bar{\mathcal{D}}_{C_i}][\bar{C}_1[C'_1] : \bar{\mathcal{D}}_{C'_1}, \dots, \bar{C}_n[C'_n] : \bar{\mathcal{D}}_{C'_n}]()$  where  $\{C' \mid C' \prec C_i\} = \{C'_1, \dots, C'_n\}$  is well-defined and belongs to  $\bar{\mathcal{D}}_{C_i}$  □



A soundness theorem on the correspondence  
between concrete semantics and its local  
abstraction by a structural abstract semantics  
with widening



**PROOF.** By structural induction on expressions.

- $d \sqsubseteq (\bar{d})$  by (LA2)
- $S_j \sqsubseteq_j \gamma_i(\bar{S}_j) = \gamma(\bar{S}_j)$  by (a) and  $\mathcal{D} = \mathcal{D}_j$ ,  
 $\bar{\mathcal{D}} = \bar{\mathcal{D}}_j$ ,  $j = 1, \dots, n$
- $X_{kj} \sqsubseteq_k \gamma_k(\bar{X}_k) = \gamma(\bar{X}_k)$  by (b) and  $\mathcal{D} = \mathcal{D}_k$ ,  $\bar{\mathcal{D}} = \bar{\mathcal{D}}_k$ ,  $k = n + 1, \dots, m$
- By induction hypothesis, we have:

$$e_k[\mathcal{D}_{j_k}][S](X) \sqsubseteq_{j_k} \gamma_{j_k}(\bar{e}_k[\bar{\mathcal{D}}_{j_k}][S](X)), \quad k = 1, \dots, \ell$$

and so by (LA3):

$$f_{\mathcal{D}_{j_1} \dots \mathcal{D}_{j_\ell} \mathcal{D}} \left( \prod_{k=1}^{\ell} e_k[\mathcal{D}_{j_k}][S](X) \right) \sqsubseteq \gamma \left( f_{\bar{\mathcal{D}}_{j_1} \dots \bar{\mathcal{D}}_{j_\ell} \bar{\mathcal{D}}} \left( \prod_{k=1}^{\ell} \bar{e}_k[\bar{\mathcal{D}}_{j_k}][S](X) \right) \right)$$

- In the case of a fixpoint definition with widening, we let

$$\mathcal{F} \stackrel{\text{def}}{=} \lambda Y. e[\mathcal{D}][S](X, Y : \mathcal{D})$$

If  $Y \sqsubseteq \gamma(\bar{Y})$  then, by induction hypothesis on the identical structures of  $e$  and  $\bar{e}$ , we have



**THEOREM.** If  $e$  and  $\bar{e}$  are structurally identical, (LA1), (LA2) and (LA3) hold, concrete fixpoints exist, (W1) and (W2) hold, and

$$- S_j \sqsubseteq_j \gamma_i(\bar{S}_j), \quad j = 1, \dots, n \quad (\text{a})$$

$$- X_k \sqsubseteq_{ki} \gamma_i(\bar{X}_k), \quad k = n + 1, \dots, m \quad (\text{b})$$

then

$$e[\mathcal{D}][S](X) \sqsubseteq \gamma(\bar{e}[\bar{\mathcal{D}}][\bar{S}](\bar{X}))$$

■



$$\mathcal{F}(Y) \sqsubseteq \gamma(\bar{\mathcal{F}}(\bar{Y})) \quad (\text{a})$$

for all  $Y \in \mathcal{D}$  and  $\bar{Y} \in \bar{\mathcal{D}}$

- Since the concrete fixpoint  $\text{lfp}_{\perp}^{\sqsubseteq} \mathcal{F}$  is well-defined, the corresponding iterates  $\langle X^\delta, \delta \in \mathbb{O} \rangle$  of  $\mathcal{F}$  are stationary at rank  $\epsilon \in \mathbb{O}$
- We have seen in the well-defined theorem proof that the iterates for  $\text{lfp}_{\perp}^{\sqsubseteq} \mathcal{G}$  are defined as:

$$Z^0 \stackrel{\text{def}}{=} \perp$$

$$Z^{n+1} \stackrel{\text{def}}{=} Z^n \quad \text{if } \bar{\mathcal{F}}(Z^n) \sqsubseteq Z^n \quad (\text{b})$$

$$\stackrel{\text{def}}{=} Z^n \nabla \bar{\mathcal{F}}(Z^n) \quad \text{otherwise} \quad (\text{c})$$

and proved using (W1), (W2) that they are ultimately stationery at rank  $\epsilon' < \omega$  and that  $\forall \delta \geq \epsilon' : Z^\delta = Z^{\epsilon'} = \text{lfp}_{\perp}^{\sqsubseteq} \mathcal{G}$ . We have:

$$- X^0 \stackrel{\text{def}}{=} \perp \sqsubseteq \gamma(\perp) \stackrel{\text{def}}{=} Z^0$$



- Assume that  $X^\delta \sqsubseteq \gamma(Z^\delta)$  by induction hypothesis.

· In case (b), we have

$$\begin{aligned} \overline{\mathcal{F}}(Z^\delta) &\sqsubseteq Z^\delta && \text{\{by (b)\}} \\ \implies \gamma(\overline{\mathcal{F}}(Z^\delta)) &\sqsubseteq \gamma(Z^\delta) && \text{\{\gamma monotone\}} \\ \implies \mathcal{F}(X^\delta) &\sqsubseteq \gamma(Z^\delta) && \text{\{by (a)\}} \\ \implies X^{\delta+1} &\sqsubseteq \gamma(Z^\delta) && \text{\{by def. iterates\}} \\ \implies X^{\delta+1} &\sqsubseteq \gamma(Z^{\delta+1}) && \text{\{by (b)\}} \end{aligned}$$

· In case (c), we have:

$$\begin{aligned} Z^{\delta+1} &= Z^\delta \nabla \overline{\mathcal{F}}(Z^\delta) && \text{\{by (c)\}} \\ \implies \overline{\mathcal{F}}(Z^\delta) &\sqsubseteq Z^{\delta+1} && \text{\{by (W1)\}} \\ \implies \mathcal{F}(X^\delta) &\sqsubseteq \gamma(Z^\delta) && \text{\{\gamma is monotone\}} \\ \implies X^{\delta+1} &\sqsubseteq \gamma(Z^\delta) && \text{\{by induction hypothesis, (a) and transitivity\}} \end{aligned}$$



**THEOREM.** If (LA1), (LA2) and (LA3) do hold, concrete fixpoints exist, (W1) and (W2) hold, then for all  $i \in \Delta$  and  $C_i \in \text{Com}_i$ , we have

$$\mathcal{C}[[C_i]] \sqsubseteq_{C_i} \gamma_{C_i}(\overline{\mathcal{C}}[[C_i]])$$

■

**PROOF.** By structural induction on the well-founded relation  $(\bigcup_{i \in \Delta} \text{Com}_i, \prec)$ . Given any  $i \in \Delta$  and  $C_i \in \text{Com}_i$ , assume by induction hypothesis that

$$\forall C'_j \prec C_i : \mathcal{C}[[C'_j]] \sqsubseteq_{C'_j} \gamma_{C'_j}(\overline{\mathcal{C}}[[C'_j]])$$

then

$$\mathcal{C}[[C_i]]$$



$$\implies X^{\delta+1} \sqsubseteq \gamma(Z^{\delta+1}) \quad \text{\{by def. iterates\}}$$

·  
·  
· If  $\lambda$  is a limit ordinal and  $\forall \beta < \lambda : X^\beta \sqsubseteq \gamma(Z^\beta)$  then  $X^\lambda = \bigsqcup_{\beta < \lambda} X^\beta \sqsubseteq \bigsqcup_{\beta < \lambda} \gamma(Z^\beta) = \gamma(\bigsqcup_{\beta < \lambda} Z^\beta) = \gamma(Z^\lambda)$  since  $\langle Z^\delta, \delta \in \mathbb{O} \rangle$  is stationary at rank

$\epsilon' < \omega \leq \lambda$

- By transfinite induction, it follows that  $\text{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} = X^\epsilon = X^{\max(\epsilon, \epsilon')} \sqsubseteq \gamma(Z^{\max(\epsilon, \epsilon')}) = \gamma(Z^{\epsilon'}) = \gamma(\text{lfp}_{\perp}^{\sqsubseteq} \overline{\mathcal{G}})$

□



$$\begin{aligned} &= \mathcal{F}[[C_i]](\prod_{C'_j \prec C_i} \mathcal{C}[[C'_j]]) \\ &= e[[\mathcal{D}_{C_i}]](\prod_{C'_j \prec C_i} \mathcal{C}[[C'_j]] : \mathcal{D}_{C'_j}()) \\ &\sqsubseteq_{C_i} \gamma_{C_i}(e[[\overline{\mathcal{D}}_{C_i}]](\prod_{C'_j \prec C_i} \overline{\mathcal{C}}[[C'_j]] : \overline{\mathcal{D}}_{C'_j}())) \\ &= \gamma_{C_i}(\overline{\mathcal{F}}[[C_i]](\prod_{C'_j \prec C_i} \overline{\mathcal{C}}[[C'_j]])) \\ &= \gamma_{C_i}(\overline{\mathcal{C}}[[C_i]]) \end{aligned}$$

□



## Hypotheses on narrowings

Given a poset  $\langle L, \sqsubseteq \rangle$ , a narrowing operator on  $L$  is  $\Delta \in L \times L \mapsto L$  satisfying

(N1)  $\forall y \sqsubset x : y \sqsubseteq x \Delta y \sqsubseteq x$

(N2) For all sequences  $x^0, x^1, \dots$  in  $L^\omega$ , the sequence defined by

$$y^0 \stackrel{\text{def}}{=} x^0$$

$$y^{n+1} \stackrel{\text{def}}{=} \begin{cases} y^n \Delta x^n & \text{if } x^n \sqsubset y^n \\ y^n & \text{otherwise} \end{cases}$$

is not strictly increasing (although it is decreasing by (N1)).



$\bar{e}[\overline{\mathcal{D}}][\overline{\mathcal{S}}](\overline{X}) ::= \dots$

| let

$\overline{\mathcal{F}} = \lambda \overline{Y}. \bar{e}[\overline{\mathcal{D}}][\overline{\mathcal{S}}](\overline{X}, \overline{Y} : \overline{\mathcal{D}})$  and

$\overline{\mathcal{G}} = \lambda X. \text{let } Y = \overline{\mathcal{F}}(X) \text{ in } (Y \sqsubseteq X ? X : X \nabla Y)$  and

$\overline{\mathcal{A}} = \text{lfp}_{\perp}^{\sqsubseteq} \overline{\mathcal{G}}$  and

$\overline{\mathcal{H}} = \lambda X. \text{let } Y = \overline{\mathcal{F}}(X) \text{ in } (Y \sqsubseteq X ? X \Delta Y : X)$

in

$\text{gfp}_{\perp}^{\sqsubseteq} \overline{\mathcal{H}}^5$

<sup>5</sup> where  $\text{gfp}_{\perp}^{\sqsubseteq}$  is (partially) defined as the limit  $X^\epsilon$  of the transfinite iteration sequence  $X^0 = \perp, X^{\delta+1} = F(X^\delta)$  and  $X^\lambda = \prod_{\beta < \lambda} X^\beta$  when  $\lambda$  is a limit ordinal in case this sequence is well-defined and ultimately stationary at rank  $\epsilon$ .



## Structural abstract semantics with widening/narrowing

– The structural definition is essential the same as the abstract semantics with widening (page 63), except for the use of a narrowing operator

– **Narrowing**: For each  $i \in \Delta$  and  $C_i \in \text{Com}_i$ :

$\Delta_{C_i} \in \overline{\mathcal{D}}_{C_i} \times \overline{\mathcal{D}}_{C_i} \mapsto \overline{\mathcal{D}}_{C_i}$  is a narrowing satisfying (N1) and (N2)

– For fixpoints in the attribute grammar of expressions, we now have:



## Well-definedness of the structural abstract semantics with widening/narrowing

**THEOREM.** A structural definition with widenings and narrowings respectively satisfying hypotheses (W1), (W2) and (N1), (N2) is well-defined. ■

**PROOF.** – The proof, by structural induction, is essentially the same as in the previous case of "structural abstract semantics with widening", but for the case of fixpoints

– For fixpoints, we have already shown in this proof that  $\overline{\mathcal{A}} = \text{lfp}_{\perp}^{\sqsubseteq} \overline{\mathcal{G}}$  is well-defined as the limit of an increasing chain stabilizing, in a finite number of steps, at a postfixpoint:  $\overline{\mathcal{F}}(\overline{\mathcal{A}}) \sqsubseteq \overline{\mathcal{A}}$ .



- It follows that the iterates  $\langle X^\delta, \delta \in \mathbb{O} \rangle$  of  $\mathbf{gfp}_{\bar{A}}^{\sqsubseteq} \bar{\mathcal{H}}$  are of the following form:
  - $X^0 = \bar{A}$ , where  $\bar{\mathcal{F}}(\bar{A}) \sqsubseteq \bar{A}$
  - $X^{\delta+1} = X^\delta \Delta \bar{\mathcal{F}}(X^\delta)$ , if  $\bar{\mathcal{F}}(X^\delta) \sqsubseteq X^\delta$
  - $X^{\delta+1} = X^\delta$ , otherwise
  - $X^\lambda = \prod_{\beta < \lambda} X^\beta$  when  $\lambda$  is a limit ordinal
- Observe that by def. of  $\bar{\mathcal{F}} = \lambda \bar{Y}. \bar{e}[\bar{\mathcal{D}}][\bar{\mathcal{S}}](\bar{X}, \bar{Y} : \bar{\mathcal{D}})$ ,  $\bar{\mathcal{F}}$  is well-defined by induction hypothesis
- By its def., the sequence  $\langle X^\delta, \delta < \omega \rangle$  is a decreasing chain, which is obvious in cases (c) and follow from (N1) in case (b)
- By (N2), the decreasing chain  $\langle X^\delta, \delta < \omega \rangle$  is not strictly decreasing so its is ultimately stationary at some rank  $\epsilon < \omega$
- Because  $\epsilon < \omega$ , the chain  $\langle X^\delta, \delta < \mathbb{O} \rangle$  is well-defined since  $\lambda \geq \epsilon$  in case (d) implies that  $\prod_{\beta < \lambda} X^\beta$  is well-defined and indeed equal to  $X^\lambda = X^\epsilon$ . So, by transfinite induction,  $\langle X^\delta, \delta < \mathbb{O} \rangle$  is also well-defined and ultimately stationary at rank  $\epsilon$  and so  $\mathbf{gfp}_{\bar{A}}^{\sqsubseteq} \bar{\mathcal{H}} = X^\epsilon$  is well-defined.  $\square$



PROOF. - The proof is similar to the case of expressions with widenings (on page 73), except for the use of narrowings

- From this proof, we already know that by letting

$$\mathcal{F} \stackrel{\text{def}}{=} \lambda Y. e[\mathcal{D}][\mathcal{S}](X, Y : \mathcal{D})$$

we have  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(\bar{A})$ .

- Let  $\langle X^\delta, \delta < \mathbb{O} \rangle$  be the iterates for  $\bar{\mathcal{H}}$ . We have shown that they are well-defined and ultimately stationary at rank  $\epsilon$  such that  $\mathbf{gfp}_{\bar{A}}^{\sqsubseteq} \bar{\mathcal{H}} = X^\epsilon$ .

- We have

$$\forall \delta \in \mathbb{O} : \mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^\delta)$$

The proof is by transfinite induction.

- We have  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(\bar{A})$  whence  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^0)$  since  $X^0 = \bar{A}$



## A soundness theorem on the correspondence between a concrete semantics and its local abstraction by a structural abstract semantics with widening/narrowing

**THEOREM.** If  $e$  and  $\bar{e}$  are structurally identical, (LA1), (LA2) and (LA3) hold, concrete fixpoints exist, (W1), (W2), (N1) and (N2) hold, and

$$- S_j \sqsubseteq_j \gamma_i(\bar{S}_j), j = 1, \dots, n \quad (\text{a})$$

$$- X_k \sqsubseteq_{ki} \gamma_i(\bar{X}_k), k = n + 1, \dots, m \quad (\text{b})$$

then

$$e[\mathcal{D}][\mathcal{S}](X) \sqsubseteq \gamma(\bar{e}[\bar{\mathcal{D}}][\bar{\mathcal{S}}](\bar{X}))$$



- If  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^\delta)$  by induction hypothesis, then
  - if  $\bar{\mathcal{F}}(X^\delta) \sqsubseteq X^\delta$  then  $X^{\delta+1} = X^\delta \Delta \bar{\mathcal{F}}(X^\delta)$ , whence by (N1)  $X^\delta \sqsubseteq X^{\delta+1}$  whence  $\gamma(X^\delta) \sqsubseteq \gamma(X^{\delta+1})$  and so  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^{\delta+1})$  by transitivity
  - otherwise,  $X^{\delta+1} = X^\delta$  and so  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^{\delta+1})$
- If  $\lambda$  is a limit ordinal then we know that  $X^\lambda = X^\epsilon$  where  $\epsilon < \omega \leq \lambda$  and so  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^\lambda)$
- We conclude that  $\mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(X^\epsilon) = \gamma(\mathbf{gfp}_{\bar{A}}^{\sqsubseteq} \bar{\mathcal{H}})$  whence  $e[\mathcal{D}][\mathcal{S}](\bar{X}) = \mathbf{lfp}_{\perp}^{\sqsubseteq} \mathcal{F} \sqsubseteq \gamma(\mathbf{gfp}_{\bar{A}}^{\sqsubseteq} \bar{\mathcal{H}}) = \bar{e}[\bar{\mathcal{D}}][\bar{\mathcal{S}}](\bar{X})$  in that case.  $\square$



THEOREM. If (LA1), (LA2) and (LA3) do hold, concrete fixpoints exist, (W1), (W2), (N1) and (N2) hold, then for all  $i \in \Delta$  and  $C_i \in \text{Com}_i$ , we have

$$C[C_i] \sqsubseteq_{C_i} \gamma_{C_i}(\bar{C}[C_i])$$

■

PROOF. Same as in the “structural abstract semantics with widening” case.

□



## On monotony

- The abstract structural definitions are not assumed to be monotone (because of the presence of widenings which are essentially not monotone)
- Nevertheless, they have been shown to be
  - well-defined
  - sound abstractions
 using “local abstraction conditions” only
- The proof is by structural induction on the programming language syntax, but formulated independently of any particular programming language



## On the use of widening/narrowing

- In lattices satisfying the ACC, one can chose  $x \nabla y = x \cup y$  and  $x \Delta y = x \cap y$
- In case of monotony and iteration form a pre/postfixpoint, one prefers  $x \nabla y = y$  and  $x \Delta y = y$

An abstract formalization of  
structural verification  
by abstract interpretation



## Structural safety specification

- We consider a language  $\langle \mathcal{L} = \bigcup_{i \in \Delta} \text{Com}_i, \prec \rangle$  with syntactic components  $C_i \in \text{Com}_i$  and well-founded “immediate subcomponent relation”  $\prec$
- The **concrete semantics** is given for all  $i \in \Delta$ ,  $C_i \in \text{Com}_i$  by
  - $\langle \mathcal{D}_{C_i}, \sqsubseteq_{C_i}, \perp_{C_i}, \sqcup_{C_i} \rangle$  concrete semantic domain (a)
  - $\mathcal{C}[[C_i]] \in \mathcal{D}_{C_i}$  concrete semantics (b)
- A **safety specification** is
 
$$S : C_i \in \text{Com}_i \mapsto \mathcal{D}_{C_i}, i \in \Delta \quad (\text{c})$$



## Structural abstract safety specification and proof

- An **abstract safety specification** is
  - $\langle \widehat{\mathcal{D}}_{C_i}, \widehat{\sqsubseteq}_{C_i}, \widehat{\perp}_{C_i}, \widehat{\sqcup}_{C_i} \rangle$  abstract domains (e)
  - $\widehat{S}[[C_i]] \in \widehat{\mathcal{D}}_{C_i}$  abstract spec. (f)
  - $\widehat{\gamma}_{C_i} \in \widehat{\mathcal{D}}_{C_i} \xrightarrow{m} \mathcal{D}_{C_i}$  spec. concretization (g)
- An **abstract safety proof** is the proof that
 
$$\forall i \in \Delta : \forall C_i \in \text{Com}_i : \mathcal{C}[[C_i]] \sqsubseteq_{C_i} \widehat{\gamma}_{C_i}(\widehat{S}[[C_i]]) \quad (\text{d})$$



## Structural safety proof

- A **safety proof** is the proof that
 
$$\forall i \in \Delta : \forall C_i \in \text{Com}_i : \mathcal{C}[[C_i]] \sqsubseteq_{C_i} S[[C_i]] \quad (\text{d})$$
- Informally: the semantics of commands satisfies their specification



## Abstract semantics

- An abstract safety verification by abstract interpretation consists in designing an abstract semantics for all  $i \in \Delta$ ,  $C_i \in \text{Com}_i$ 
  - $\langle \overline{\mathcal{D}}_{C_i}, \overline{\sqsubseteq}_{C_i}, \overline{\perp}_{C_i}, \overline{\sqcup}_{C_i} \rangle$  abstract semantic domain (i)
  - $\overline{\mathcal{C}}[[C_i]] \in \overline{\mathcal{D}}_{C_i}$  abstract semantics (j)
  - $\overline{\gamma}_{C_i} \in \overline{\mathcal{D}}_{C_i} \xrightarrow{m} \mathcal{D}_{C_i}$  concretization (k)
- which are sound, in that
 
$$\forall i \in \Delta : \forall C_i \in \text{Com}_i : \mathcal{C}[[C_i]] \sqsubseteq_{C_i} \overline{\gamma}_{C_i}(\overline{\mathcal{C}}[[C_i]]) \quad (\text{l})$$
- and effectively computable (thanks to the choice of computer representable abstract domains, transfer functions and widening/narrowing)





## Choice of the abstractions

- The abstract domains  $\langle \overline{\mathcal{D}}_{C_i}, \underline{\mathbb{C}}_{C_i}, \overline{\mathbb{I}}_{C_i}, \overline{\mathbb{O}}_{C_i} \rangle$  are chosen to be *more precise* than the abstract specification domains  $\langle \widehat{\mathcal{D}}_{C_i}, \widehat{\mathbb{C}}_{C_i}, \widehat{\mathbb{I}}_{C_i}, \widehat{\mathbb{O}}_{C_i} \rangle$
- This can be formalized by the existence of concretizations:

$$\widehat{\gamma}_{C_i} \in \widehat{\mathcal{D}}_{C_i} \xrightarrow{m} \overline{\mathcal{D}}_{C_i} \quad (\text{m})$$

satisfying

$$\widehat{\gamma}_{C_i} \dot{\sqsubseteq}_{C_i} \overline{\gamma}_{C_i} \circ \widehat{\gamma}_{C_i} \quad (\text{n})$$



## Soundness of the abstract safety specification

**THEOREM.** An abstract structural safety verification is **sound**. ■

**PROOF.** By the abstract check (o), we have  $\overline{\mathcal{C}}[C_i] \underline{\mathbb{C}}_{C_i} \widehat{\gamma}_{C_i}(\widehat{\mathcal{S}}[C_i])$  whence by monotony (m)  $\overline{\gamma}_{C_i}(\overline{\mathcal{C}}[C_i]) \underline{\mathbb{C}}_{C_i} \overline{\gamma}_{C_i} \circ \widehat{\gamma}_{C_i}(\widehat{\mathcal{S}}[C_i])$  and so by (n) and transitivity,  $\overline{\gamma}_{C_i}(\overline{\mathcal{C}}[C_i]) \underline{\mathbb{C}}_{C_i} \widehat{\gamma}_{C_i}(\widehat{\mathcal{S}}[C_i])$  whence, by soundness (ℓ) of the abstraction, we conclude  $\mathcal{C}[C_i] \underline{\mathbb{C}}_{C_i} \widehat{\gamma}_{C_i}(\widehat{\mathcal{S}}[C_i])$ , proving soundness. □



## Abstract structural safety verification

- The abstract safety verification consists in checking that:

$$\overline{\mathcal{C}}[C_i] \underline{\mathbb{C}}_{C_i} \widehat{\gamma}_{C_i}(\widehat{\mathcal{S}}[C_i]) \quad (\text{o})$$



## Example of structural safety specification for arithmetic expressions: absence of runtime errors

- The execution of an arithmetic expression  $A$  in any environment  $\rho \in R \subseteq (\text{Var}[P] \mapsto \mathbb{I}_\Omega)$  is without any runtime error if and only if

$$\begin{aligned} \text{Faexp}[A]R \cap \mathbb{E} = \emptyset \\ \iff \text{Faexp}[A]R \subseteq \mathbb{I} \end{aligned}$$

where  $\text{Faexp}$  is the forward collecting semantics of arithmetic expressions.



- If we define  $\widehat{\mathcal{D}}_A \stackrel{\text{def}}{=} \begin{array}{c} \mathbb{I}_\Omega \\ | \\ \mathbb{I} \end{array}$  with  $\widehat{\gamma}_A(\mathbb{I}_\Omega) = \lambda R. \mathbb{I}_\Omega$  and  $\widehat{\gamma}_A(\mathbb{I}) = \lambda R. \mathbb{I}$  then the abstract safety proof is (c), (h):

$$\widehat{\mathcal{S}}[A] = \mathbb{I} \\ \text{Faexp}[A] \subseteq \widehat{\gamma}_A(\widehat{\mathcal{S}}[A])$$



### Example of concrete structural safety specification for arithmetic expressions: proper initialization

- The execution of an arithmetic expression  $A$  in any environment  $\rho \in R \subseteq (\text{Var}[P] \mapsto \mathbb{I}_\Omega)$  is without any initialization error if and only if

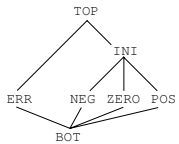
$$\text{Faexp}[A]R \cap \{\Omega_i\} = \emptyset \\ \iff \text{Faexp}[A]R \subseteq \mathbb{I} \cup \{\Omega_a\}$$

where Faexp is the forward collecting semantics of arithmetic expressions, so we define in that case the concrete specification



### Example of too imprecise abstraction

- Observe that this cannot be checked with the initialization and simple sign abstraction:



$$\begin{array}{ll} \gamma(\text{BOT}) \stackrel{\text{def}}{=} \{\Omega_a\} & \gamma(\text{INI}) \stackrel{\text{def}}{=} \mathbb{I} \cup \{\Omega_a\}, \\ \gamma(\text{NEG}) \stackrel{\text{def}}{=} [\text{min\_int}, -1] \cup \{\Omega_a\} & \gamma(\text{ERR}) \stackrel{\text{def}}{=} \{\Omega_i, \Omega_a\} \\ \gamma(\text{ZERO}) \stackrel{\text{def}}{=} \{0, \Omega_a\} & \gamma(\text{TOP}) \stackrel{\text{def}}{=} \mathbb{I}_\Omega \\ \gamma(\text{POS}) \stackrel{\text{def}}{=} [1, \text{max\_int}] \cup \{\Omega_a\} & \end{array}$$

since defining  $\widehat{\gamma}_A$  satisfying (m) and (n) is impossible since  $\Omega_a \notin \widehat{\gamma}_A(\mathbb{I})(R)$

- We can only strengthen the analysis by refining the abstraction or weaken the specification



$$S[A] \stackrel{\text{def}}{=} \lambda R. \mathbb{I} \cup \{\Omega_a\} \quad \text{or} \\ \stackrel{\text{def}}{=} \lambda R. (\mathcal{P}(R) ? \mathbb{I} \cup \{\Omega_a\} : \mathbb{I}_\Omega)$$

if we want to check absence initialization error under the hypothesis that some condition  $\mathcal{P}(R)$  holds on the precondition  $R$



## Example of abstract structural safety specification for arithmetic expressions: proper initialization

– An abstract safety specification is

- $\widehat{D}_A = \begin{array}{c} \text{TOP} \\ | \\ \text{INI} \end{array}$
- $\widehat{\gamma}_A(\text{TOP}) = \lambda R. \mathbb{I}_\Omega$ ,  $\widehat{\gamma}_A(\text{INI}) = \lambda R. \mathbb{I} \cup \{\Omega_a\}$
- $\widehat{S}[A] = \text{INI}$



– The abstract safety verification condition is:

$$\begin{aligned} \text{Faexp}^\triangleright[A] \dot{\subseteq} \widehat{\gamma}_A(\widehat{S}[A]) \\ \iff \text{Faexp}^\triangleright[A] \dot{\subseteq} \lambda R. \text{INI} \end{aligned}$$

which implies

$$\gamma^\triangleright(\text{Faexp}^\triangleright[A]) \dot{\subseteq} \gamma^\triangleright(\lambda R. \text{INI})$$

whence

$$\forall R : \text{Faexp}[A]R \subseteq \mathbb{I} \cup \{\Omega_a\}$$

as required



- We have shown the abstract interpretation of arithmetic expressions to be sound  $\text{Faexp}^\triangleright[A] \dot{\subseteq} \alpha^\triangleright(\text{Faexp}[A])$  or equivalently  $\text{Faexp}[A] \dot{\subseteq} \gamma^\triangleright(\text{Faexp}^\triangleright[A])$
- We define

$$\begin{aligned} \widehat{\gamma}_A^\triangleright(\text{TOP}) &\stackrel{\text{def}}{=} \lambda R. \text{TOP} \\ \widehat{\gamma}_A^\triangleright(\text{INI}) &\stackrel{\text{def}}{=} \lambda R. \text{INI} \end{aligned}$$

so that

$$\widehat{\gamma}_A = \gamma^\triangleright \circ \widehat{\gamma}_A^\triangleright$$



## Why choosing abstract specifications?

- The objective is to check the conformance of a semantics to a specification:

$$\text{Sem} \subseteq \text{Spec} \tag{a}$$

- We want to perform the check in the abstract:

$$\text{Sem}^\# \subseteq \text{Spec}^\# \tag{b}$$

so that it implies in the concrete:

$$\overline{\gamma}(\text{Sem}^\#) \subseteq \overline{\gamma}(\text{Spec}^\#) \tag{c}$$

- For (c) to imply (a) we need both:



$$\text{Sem} \sqsubseteq \overline{\gamma}(\text{Sem}^{\sharp}) \quad \text{and} \quad \overline{\gamma}(\text{Spec}^b) \sqsubseteq \text{Spec} \quad (1)$$

- $\text{Sem} \sqsubseteq \overline{\gamma}(\text{Sem}^{\sharp})$  is an *approximation from above*, which is pretty well studied
- $\overline{\gamma}(\text{Spec}^b) \sqsubseteq \text{Spec}$  is an *approximation from below* for which only finite abstractions are known to be automatizable, while specifications are most often infinite!



## Why choosing an abstract semantics more refined than an abstract specifications?

- The fact that the abstract semantics should be more refined than the abstract specification is similar the proof of theorem requiring stringer arguments in the proof
- For example, with Floyd's method

$$\begin{aligned} \text{lfp}_{\perp}^{\sqsubseteq} F \sqsubseteq P \\ \iff \exists I : F(I) \sqsubseteq I \wedge I \sqsubseteq P \end{aligned}$$

$P$  is *invariant* while the proof requires to find a stronger *inductive invariant* (while, in general  $F(P) \not\sqsubseteq P$ )



- By choosing *abstract specifications only*, we solve the problem by choosing

$$\text{Spec} = \overline{\gamma}(\text{Spec}^b)$$

but we are left with the problem of finding adequate machine representations of the specifications as abstract domain

- Progress is necessary in the abstraction of specifications from below!



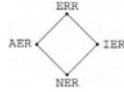
- Similarly we can always choose the abstraction  $\overline{\mathcal{D}}_A = \widehat{\mathcal{D}}_A$  as a starting point, but in general refinements are needed
- While in Floyd's method or abstract model checking this refinement is done for a particular program, the difficulty in this refinement must be done for a language





## The error complete lattice

The finite lattice



is obviously a complete lattice, with

- Partial ordering:  $\text{NER} \sqsubseteq_E \text{NER}$   $\text{AER} \sqsubseteq_E \text{AER}$   $\text{ERR} \sqsubseteq_E \text{ERR}$  and  $\text{NER} \sqsubseteq_E \text{IER} \sqsubseteq_E \text{IER} \sqsubseteq_E \text{ERR}$
- lub:  $x \sqcup_E x = x$        $\text{AER} \sqcup_E \text{IER} = \text{ERR}$   
 $\text{NER} \sqcup_E x = x$        $x \sqcup_E y = y \sqcup_E x$   
 $\text{ERR} \sqcup_E x = \text{ERR}$
- glb:  $x \sqcap_E x = x$        $\text{AER} \sqcap_E \text{IER} = \text{NER}$   
 $\text{NER} \sqcap_E x = \text{NER}$      $x \sqcap_E y = y \sqcap_E x$   
 $\text{ERR} \sqcap_E x = x$
- infimum: NER

upremum: ERR

PROOF. To prove  $\alpha(x) \sqsubseteq_E y \iff x \subseteq \gamma_E(y)$ , we consider 4 cases for  $y = \text{NER}$ ,  $y = \text{AER}$ ,  $y = \text{IER}$  and  $y = \text{ERR}$ . Since all cases are very similar and the proof is tedious, we consider only the case  $y = \text{AER}$  and prove  $\alpha(x) \sqsubseteq_E \text{AER} \iff x \subseteq \gamma_E(\text{AER})$ .

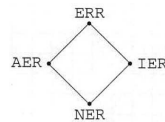
- If  $\alpha(x) \sqsubseteq_E \text{AER}$  then either  $\alpha(x) = \text{NER}$  or  $\alpha(x) = \text{AER}$ 
  - If  $\alpha(x) = \text{NER}$  then  $x \subseteq \mathbb{I} = \gamma_E(\text{NER})$
  - Else  $\alpha(x) = \text{AER}$  and then  $x \subseteq \mathbb{I} \cup \{\Omega_a\} = \gamma_E(\text{AER})$
- Reciprocally, if  $x \subseteq \gamma_E(\text{AER})$  then  $x \subseteq \mathbb{I} \cup \{\Omega_a\}$ .
  - If  $x \subseteq \mathbb{I}$  then  $\alpha(x) = \text{NER} \sqsubseteq_E \text{AER}$
  - Otherwise  $\alpha(x) = \text{AER} \sqsubseteq_E \text{AER}$

□



## The error abstraction

We have defined



$$\begin{aligned} \gamma_E(\text{NER}) &\stackrel{\text{def}}{=} \mathbb{I} && \text{SO} \\ \gamma_E(\text{AER}) &\stackrel{\text{def}}{=} \mathbb{I} \cup \{\Omega_a\} \\ \gamma_E(\text{IER}) &\stackrel{\text{def}}{=} \mathbb{I} \cup \{\Omega_i\} \\ \gamma_E(\text{ERR}) &\stackrel{\text{def}}{=} \mathbb{I} \cup \{\Omega_i, \Omega_a\} = \mathbb{I}_\Omega \end{aligned}$$

we let

$$\alpha_E(X) \stackrel{\text{def}}{=} \left( \begin{array}{l} X \subseteq \mathbb{I} ? \text{NER} \\ \vee X \subseteq \mathbb{I} \cup \{\Omega_a\} ? \text{AER} \\ \vee X \subseteq \mathbb{I} \cup \{\Omega_i\} ? \text{IER} \\ \vee \text{ERR} \end{array} \right)$$

and we have

$$\langle \wp(\mathbb{I}_\Omega), \subseteq \rangle \xleftrightarrow[\alpha_E]{\gamma_E} \langle E, \sqsubseteq_E \rangle$$



## The error analysis abstract domain

```

1 (* avalues.ml *)
2 open Values
3 (* abstraction of sets of machine integers by errors *)
4 (* complete lattice *)
5 type t = NER | AER | IER | ERR
6 (* gamma(NER) = [min_int,max_int] *)
7 (* gamma(AER) = [min_int,max_int] U {_0_(a)} *)
8 (* gamma(IER) = [min_int,max_int] U {_0_(i)} *)
9 (* gamma(ERR) = [min_int,max_int] U {_0_(a), _0_(i)} *)
10 (* infimum *)
11 let bot () = NER
12 (* bottom is emptyset? *)
13 let isbotempty () = false
14 (* uninitialized *)
    
```



```

15 let initerr () = IER
16 (* supremum *)
17 let top () = ERR
18 (* least upper bound *)
19 let nat_of_lat u =
20     match u with
21     | NER -> 0
22     | AER -> 1
23     | IER -> 2
24     | ERR -> 3
25 let select t u v = t.(nat_of_lat u).(nat_of_lat v)
26 let join_table =
27     (*      NER  AER  IER  ERR  *)
28     (*NER*) [| [| NER ; AER ; IER ; ERR ; |];
29     (*AER*) [| AER ; AER ; ERR ; ERR ; |];
30     (*IER*) [| IER ; ERR ; IER ; ERR ; |];
31     (*ERR*) [| ERR ; ERR ; ERR ; ERR ; |||]
32 let join u v = select join_table u v

```



```

51 (* included in errors? *)
52 let in_errors v = (leq v ERR)
53 (* printing *)
54 let print u = match u with
55 | NER -> print_string "{}"
56 | AER -> print_string "{_0_a}"
57 | IER -> print_string "{_0_i}"
58 | ERR -> print_string "{_0_a,_0_i}"
59 (* forward abstract semantics of arithmetic expressions *)
60 (* f_NAT s = \alpha({(machine_int_of_string s)}) *)
61 let f_NAT s =
62     match (machine_int_of_string s) with
63     | (ERROR_NAT INITIALIZATION) -> IER
64     | (ERROR_NAT ARITHMETIC) -> AER
65     | (NAT i) -> NER
66 (* f_RANDOM () = alpha([min_int, max_int]) *)
67 let f_RANDOM () = NER
68 (* f_UMINUS a = alpha({ (machine_unary_minus x) | x \in gamma(a)} }) *)

```



```

33 (* greatest lower bound *)
34 let meet_table =
35     (*      NER  AER  IER  ERR  *)
36     (*NER*) [| [| NER ; NER ; NER ; NER ; |];
37     (*AER*) [| NER ; AER ; NER ; AER ; |];
38     (*IER*) [| NER ; NER ; IER ; IER ; |];
39     (*ERR*) [| NER ; AER ; IER ; ERR ; |||]
40 let meet u v = select join_table u v
41 (* approximation ordering *)
42 let leq_table =
43     (*      NER  AER  IER  ERR  *)
44     (*NER*) [| [| true ; true ; true ; true ; |];
45     (*AER*) [| false ; true ; false ; true ; |];
46     (*IER*) [| false ; false ; true ; true ; |];
47     (*ERR*) [| false ; false ; false ; true ; |||]
48 let leq u v = select leq_table u v
49 (* equality *)
50 let eq u v = (u = v)

```



```

69 let f_UMINUS a =
70     match a with
71     | NER -> AER (* a can be min_int *)
72     | AER -> AER
73     | IER -> IER
74     | ERR -> ERR
75 (* f_UPLUS a = alpha(gamma(a)) *)
76 let f_UPLUS a = a
77 (* f_BINARITH a b = alpha({ (machine_binary_binarith i j) | }) | *)
78     (*      i in gamma(a) /\ j \in gamma(b) *)
79 let f_BINARITH a b =
80     match a with
81     | NER -> (match b with
82         | NER -> AER
83         | AER -> AER
84         | IER -> IER
85         | ERR -> ERR)
86     | AER -> AER

```



```

87   | IER -> IER
88   | ERR -> ERR
89 let f_PLUS = f_BINARITH
90 let f_MINUS = f_BINARITH
91 let f_TIMES = f_BINARITH
92 let f_DIV = f_BINARITH
93 let f_MOD = f_BINARITH
94 (* forward abstract semantics of boolean expressions *)
95 (* Are there integer values in gamma(u) equal to values in gamma(v)? *)
96 let f_EQ u v = true
97 (* Are there integer values in gamma(u) less than or equal to (<=) *)
98 (* integer values in gamma(v)? *)
99 let f_LT u v = true
100 (* widening *)
101 let widen v w = w
102 (* narrowing *)
103 let narrow v w = w
104 (* backward abstract semantics of arithmetic expressions *)

```



```

123 let b_MOD q1 q2 p = NER, NER
124 (* backward abstract interpretation of boolean expressions *)
125 (* a_EQ p1 p2 = let p = p1 cap p2 cap [min_int, max_int] in <p, p> *)
126 let a_EQ p1 p2 = NER, NER
127 (* a_LT p1 p2 = alpha({<i1, i2> |
128 (* i1 in gamma(p1) cap [min_int, max_int] /\
129 (* i2 in gamma(p1) cap [min_int, max_int] /\ i1 <= i2}) *)
130 let a_LT p1 p2 = NER, NER

```



```

105 (* b_NAT s v = (machine_int_of_string s) in gamma(v) cap I? *)
106 let b_NAT s p =
107   match (machine_int_of_string s) with
108   | (ERROR_NAT INITIALIZATION) -> false
109   | (ERROR_NAT ARITHMETIC) -> false
110   | (NAT i) -> true
111 (* b_RANDOM p = gamma(p) cap I <> emptyset *)
112 let b_RANDOM p = true
113 (* b_UOP q p = alpha({i in gamma(q) | UOP(i) \in gamma(p) cap
114 (* [min_int, max_int]}) *)
115 let b_UMINUS q p = NER
116 let b_UPLUS q p = NER
117 (* b_BOP q1 q2 p = alpha2({<i1,i2> in gamma2(<q1,q2>) |
118 (* BOP(i1, i2) \in gamma(p) cap [min_int, max_int]}) *)
119 let b_PLUS q1 q2 p = NER, NER
120 let b_MINUS q1 q2 p = NER, NER
121 let b_TIMES q1 q2 p = NER, NER
122 let b_DIV q1 q2 p = NER, NER

```



**Example of abstract domain:  
Parity analysis**





## The parity analysis abstract domain

```
1 (* avalues.ml *)
2 open Values
3 (* abstraction of sets of machine integers by parity *)
4 (* complete lattice *)
5 type t = BOT | ODD | EVEN | TOP
6 (*           TOP *)
7 (*           /\ *)
8 (*           / \ *)
9 (*           ODD EVEN *)
10 (*           \ / *)
11 (*           \/ *)
12 (*           BOT *)
13 (* \gamma(BOT) = {_0_(a)} *)
14 (* \gamma(ODD) = { 2n+1\in[min_int,max_int] | n\in Z } U {_0_(a)} *)
```



```
33 else if (w = TOP) then v
34 else if (v = w) then w
35 else BOT
36 (* approximation ordering *)
37 let leq v w =
38   if (v = BOT) then true
39   else if (w = TOP) then true
40   else v = w
41 (* equality *)
42 let eq u v = (u = v)
43 (* included in errors? *)
44 let in_errors u = (u = BOT)
45 (* printing *)
46 let print u =
47   match u with
48   | BOT -> print_string "_|_"
49   | ODD -> print_string "o"
50   | EVEN -> print_string "e"
```



```
15 (* \gamma(EVEN) = { 2n\in[min_int,max_int] | n\in Z } U {_0_(a)} *)
16 (* \gamma(TOP) = [min_int,max_int] U {_0_(a),_0_(i)} *)
17 let bot () = BOT
18 (* bottom is emptyset? *)
19 let isbotempty () = false (* \gamma(BOT) = {_0_(a)} <> \emptyset *)
20 (* uninitialization *)
21 let initerr () = TOP
22 (* supremum *)
23 let top () = TOP
24 (* least upper bound *)
25 let join v w =
26   if (v = BOT) then w
27   else if (w = BOT) then v
28   else if (v = w) then w
29   else TOP
30 (* greatest lower bound *)
31 let meet v w =
32   if (v = TOP) then w
```



```
51 | TOP -> print_string "T"
52 (* forward abstract semantics of arithmetic expressions *)
53 (* f_NAT s = \alpha({(machine_int_of_string s)}) *)
54 let rec pry_of_intstring i s =
55   let l = (String.length s) in
56   if l = 0 then
57     (if (i mod 2) = 0 then EVEN else ODD)
58   else
59     let v = (10 * i) + (int_of_string (String.sub s 0 1)) in
60     if v < i then (* overflow *)
61       BOT (* = \alpha({_0_(a)}) *)
62     else
63       pry_of_intstring v (String.sub s 1 (l-1))
64 let parity_of_intstring i = pry_of_intstring 0 i
65 let f_NAT i = parity_of_intstring i
66 (* f_RANDOM () = alpha([min_int, max_int]) *)
67 let f_RANDOM () = TOP
68 (* f_UMINUS a = alpha({ (machine_unary_minus x) | x \in gamma(a)} }) *)
```



```

69 let f_UMINUS u = u
70 (* f_UPLUS a = alpha(gamma(a)) *)
71 let f_UPLUS a = a
72 (* f_BINARITH a b = alpha({ (machine_binary_binarith i j) | }) | *)
73 (*
74     i in gamma(a) /\ j \in gamma(b) } *)
74 let nat_of_lat u =
75   match u with
76   | BOT -> 0
77   | ODD -> 1
78   | EVEN -> 2
79   | TOP -> 3
80 let select t u v = t.(nat_of_lat u).(nat_of_lat v)
81 let f_PLUS_table =
82 (*   +   BOT   ODD   EVEN   TOP *)
83 (*BOT*) [| | BOT ; BOT ; BOT ; BOT |];
84 (*ODD*) [| | BOT ; EVEN ; ODD ; TOP |];
85 (*EVEN*) [| | BOT ; ODD ; EVEN ; TOP |];
86 (*TOP*) [| | BOT ; TOP ; TOP ; TOP |] |]

```



```

105 (* Are there integer values in gamma(u) equal to values in gamma(v)? *)
106 let f_EQ u v = (u = TOP) || (v = TOP) || ((u = v) & (u != BOT))
107 (* Are there integer values in gamma(u) less than or equal to (<=) *)
108 (* integer values in gamma(v)? *)
109 let f_LT u v = ((u != BOT) & (v != BOT))
110 (* widening *)
111 let widen v w = w
112 (* narrowing *)
113 let narrow v w = w
114 (* backward abstract semantics of arithmetic expressions *)
115 (* b_NAT s v = (machine_int_of_string s) in gamma(v) cap I? *)
116 exception Error_b_NAT of string
117 let b_NAT n p =
118   match (String.get n (String.length n - 1)) with
119   | '0' -> leq EVEN p
120   | '1' -> leq ODD p
121   | '2' -> leq EVEN p
122   | '3' -> leq ODD p

```



```

87 let f_PLUS u v = select f_PLUS_table u v
88 let f_MINUS = f_PLUS
89 let f_TIMES_table =
90 (*   *   BOT   ODD   EVEN   TOP *)
91 (*BOT*) [| | BOT ; BOT ; BOT ; BOT |];
92 (*ODD*) [| | BOT ; ODD ; EVEN ; TOP |];
93 (*EVEN*) [| | BOT ; EVEN ; EVEN ; TOP |];
94 (*TOP*) [| | BOT ; TOP ; TOP ; TOP |] |]
95 let f_TIMES u v = select f_TIMES_table u v
96 let f_DIV_table =
97 (*   /   BOT   ODD   EVEN   TOP *)
98 (*BOT*) [| | BOT ; BOT ; BOT ; BOT |];
99 (*ODD*) [| | BOT ; TOP ; TOP ; TOP |];
100 (*EVEN*) [| | BOT ; TOP ; TOP ; TOP |];
101 (*TOP*) [| | BOT ; TOP ; TOP ; TOP |] |]
102 let f_DIV u v = select f_DIV_table u v
103 let f_MOD = f_DIV
104 (* forward abstract semantics of boolean expressions *)

```



```

123   | '4' -> leq EVEN p
124   | '5' -> leq ODD p
125   | '6' -> leq EVEN p
126   | '7' -> leq ODD p
127   | '8' -> leq EVEN p
128   | '9' -> leq ODD p
129   | _ -> raise (Error_b_NAT "not a digit")
130 (* b_RANDOM p = gamma(p) cap I <> emptyset *)
131 let b_RANDOM p =
132   match p with
133   | BOT -> false
134   | _ -> true
135 (* backward abstract semantics of arithmetic expressions *)
136 (* b_NAT s v = (machine_int_of_string s) in gamma(v) cap *)
137 (*
138     [min_int, max_int]? *)
138 let b_UMINUS q p = meet q p
139 let b_UPLUS q p = meet q p
140 (* b_BOP q1 q2 p = alpha2({<i1,i2> in gamma2(<q1,q2>) | *)

```



```

141 (*                               BOP(i1, i2) \in gamma(p) cap          *)
142 (*                               [min_int, max_int]}) *)
143 exception Error_b_PLUS of string
144 let nat_of_lat' u =
145   match u with
146   | ODD  -> 0
147   | EVEN -> 1
148   | TOP  -> 2
149   | _    -> raise (Error_b_PLUS "impossible selection")
150 let select' t u v = t.(nat_of_lat' u).(nat_of_lat' v)
151 let b_PLUS_ODD_table =
152   (*           ODD           EVEN           TOP           *)
153   (*ODD*) [| [| (BOT,BOT) ; (ODD,EVEN) ; (ODD,EVEN) |];
154   (*EVEN*) [| (EVEN,ODD) ; (BOT,BOT) ; (EVEN,ODD) |];
155   (*TOP*)  [| (EVEN,ODD) ; (ODD,EVEN) ; (TOP,TOP) |] |]
156 let b_PLUS_EVEN_table =
157   (*           ODD           EVEN           TOP           *)
158   (*ODD*) [| [| (ODD,ODD) ; (BOT,BOT) ; (ODD,ODD) |];

```



```

177 (*ODD*) [| [| (BOT,BOT) ; (ODD,EVEN) ; (ODD,EVEN) |];
178 (*EVEN*) [| (EVEN,ODD) ; (EVEN,EVEN) ; (EVEN,TOP) |];
179 (*TOP*)  [| (EVEN,ODD) ; (TOP,EVEN) ; (TOP,TOP) |] |]
180 exception Error_b_TIMES of string
181 let b_TIMES q1 q2 p =
182   if (q1=BOT)|| (q2=BOT)|| (p=BOT) then
183     (BOT,BOT)
184   else if (p=TOP) then
185     (q1,q2)
186   else if p = ODD then select' b_TIMES_ODD_table q1 q2
187   else if p = EVEN then select' b_TIMES_EVEN_table q1 q2
188   else raise (Error_b_TIMES "impossible case")
189 let b_DIV q1 q2 p =
190   if (q1=BOT)|| (q2=BOT)|| (p=BOT) then
191     (BOT,BOT)
192   else
193     (q1,q2)
194 let b_MOD = b_DIV

```



```

159 (*EVEN*) [| (BOT,BOT) ; (EVEN,EVEN) ; (EVEN,EVEN) |];
160 (*TOP*)  [| (ODD,ODD) ; (EVEN,EVEN) ; (TOP,TOP) |] |]
161 let b_PLUS q1 q2 p =
162   if (q1=BOT)|| (q2=BOT)|| (p=BOT) then
163     (BOT,BOT)
164   else if (p=TOP) then
165     (q1,q2)
166   else if p = ODD then select' b_PLUS_ODD_table q1 q2
167   else if p = EVEN then select' b_PLUS_EVEN_table q1 q2
168   else raise (Error_b_PLUS "impossible case")
169 let b_MINUS = b_PLUS
170 let b_TIMES_ODD_table =
171   (*           ODD           EVEN           TOP           *)
172   (*ODD*) [| [| (ODD,ODD) ; (BOT,BOT) ; (ODD,ODD) |];
173   (*EVEN*) [| (BOT,BOT) ; (BOT,BOT) ; (BOT,BOT) |];
174   (*TOP*)  [| (ODD,ODD) ; (BOT,BOT) ; (ODD,ODD) |] |]
175 let b_TIMES_EVEN_table =
176   (*           ODD           EVEN           TOP           *)

```



```

195 (* backward abstract interpretation of boolean expressions          *)
196 (* a_EQ p1 p2 = let p = p1 cap p2 cap [min_int, max_int] in <p, p> *)
197 let a_EQ p1 p2 =
198   let p = (meet p1 (meet p2 (f_RANDOM ()))) in
199     (p,p)
200 (* a_LT p1 p2 = alpha({<i1, i2> | i1 in gamma(p1) cap [min_int,          *)
201 (* max_int] /\ i2 in gamma(p1) cap [min_int, max_int] /\ i1 <= i2}) *)
202 let a_LT_table =
203   (*           <           BOT           ODD           EVEN           TOP           *)
204   (*BOT*) [| [| (BOT,BOT); (BOT,BOT) ; (BOT,BOT) ; (BOT,BOT) |];
205   (*ODD*)  [| (BOT,BOT); (ODD,ODD) ; (ODD,EVEN) ; (ODD,TOP) |];
206   (*EVEN*) [| (BOT,BOT); (EVEN,ODD); (EVEN,EVEN); (EVEN,TOP) |];
207   (*TOP*)  [| (BOT,BOT); (TOP,ODD) ; (TOP,EVEN) ; (TOP,TOP) |] |]
208 let a_LT u v = select a_LT_table u v

```



## Parity analysis example

```
** Input file:
% example02.sil %
x := -1073741823 -1;
y := x - 1;;
{ x:T; y:T }
0:
  x := (-1073741823 - 1);
1:
  y := (x - 1)
2:
{ x:e; y:o }
```



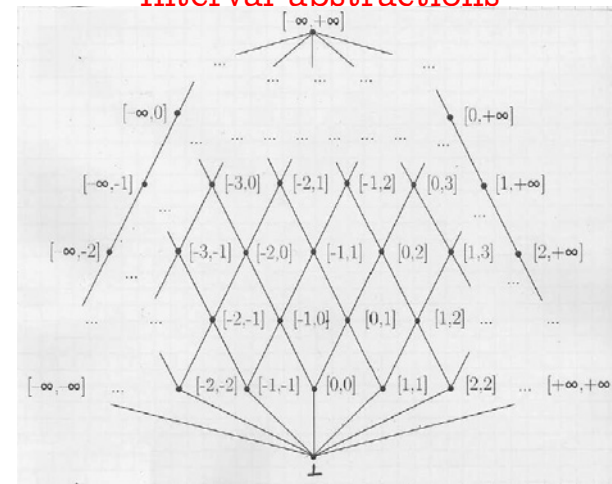
## Design of the abstract properties



## Example of abstract domain: Interval analysis



## Interval abstractions



- In the traditional lattice for interval analysis [1], a supremum  $+\infty$  and an infimum  $-\infty$  are added to reason on the complete lattice  $\langle \mathbb{Z} \cup \{-\infty, +\infty\}, \leq \rangle$  [1]
- This is appropriate for mathematical, machine-independent reasoning only
- In practice we have  $+\infty = \text{max\_int}$  and  $-\infty = \text{min\_int}$  to take the finite machine representation of integers into account:  $\langle \{z \in \mathbb{Z} \mid -\infty \leq z \leq +\infty\}, \leq \rangle$  that is  $\langle \mathbb{I}, \leq \rangle$
- The abstract properties are  $I \stackrel{\text{def}}{=} \{\perp\} \cup \{[a, b] \mid a, b \in \mathbb{I} \wedge -\infty \leq a \leq b \leq +\infty\}$



## Error and interval abstraction

- Combine interval and error information
- The lattice of program properties is  $I \times E$
- The concretization is
 
$$\gamma(\langle i, e \rangle) \stackrel{\text{def}}{=} (\gamma_i(i) \cup \{\Omega_i, \Omega_a\}) \cap \gamma_E(e)$$
- Intervals bring no information of errors
- Errors bring no range information
- The combination provide both range and error information
- This is an example of reduced product



- The meaning of abstract properties is

$$\begin{aligned} \gamma_i(\perp) &\stackrel{\text{def}}{=} \emptyset \\ \gamma_i([a, b]) &\stackrel{\text{def}}{=} \{z \in \mathbb{I} \mid a \leq z \leq b\} \end{aligned}$$

- This also works for floating points (care must be taken to over-estimate the bounds in case of roundings [2, 3])

### Reference

- [1] P. Cousot and R. Cousot. Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints. In *Conf. Rec. Fourth Annual ACM SIGPLAN-SIGACT Symp. on Principles of Programming Languages*, pages 238–252, Los Angeles, CA, 1977. ACM Press.
- [2] Antoine Miné. "Relational abstract domains for the detection of floating-point run-time errors". In *ESOP 2004 — European Symposium on Programming*, D. Schmidt (editor), Mar. 27 — Apr. 4, 2004, Barcelona, Lecture Notes in Computer Science 2986, pp. 3–17, © Springer.
- [3] Antoine Miné. "Weakly relational numerical abstract domains". PhD thesis, École polytechnique, 6 December 2004.



## The partial order of intervals

- For intervals we let  $+\infty = \text{max\_int}$  and  $-\infty = \text{min\_int}$  so that  $\forall i \in \mathbb{I} : -\infty \leq i \leq +\infty$
- The Hasse diagram defines the interval abstract properties is  $I \stackrel{\text{def}}{=} \{\perp\} \cup \{[a, b] \mid a, b \in \mathbb{I} \wedge -\infty \leq a \leq b \leq +\infty\}$
- The Hasse diagram defines the interval partial order  $\sqsubseteq_I$  as

$$\begin{aligned} \forall x \in I : \perp \sqsubseteq_I x \\ \forall [a, b], [c, d] \in I : ([a, b] \sqsubseteq_I [c, d]) \iff (a \leq c \leq d \leq b) \end{aligned}$$



**THEOREM.**  $\langle I, \sqsubseteq_I \rangle$  is a partial order. ■

**PROOF.** – By def. of  $\sqsubseteq_I$  and reflexivity of  $\leq$ ,  $\sqsubseteq_I$  is reflexive

- If  $i \sqsubseteq_I j$  and  $j \sqsubseteq_I k$  then
  - If  $i = \perp$  then  $i = \perp \sqsubseteq_I k$
  - Else  $i = [a, b]$  so  $j = [c, d]$  so  $k = [e, f]$ . By def. of  $\sqsubseteq_I$ ,  $i \sqsubseteq_I j$  implies  $c \leq a \leq b \leq d$  and  $j \sqsubseteq_I k$  implies  $e \leq c \leq d \leq f$  so that by transitivity of  $\leq$ , we get  $e \leq a \leq b \leq f$  proving  $i \sqsubseteq_I k$

In both cases  $i \sqsubseteq_I k$  proving transitivity

- If  $i \sqsubseteq_I j$  and  $j \sqsubseteq_I i$  then
  - if  $i = \perp$  then  $j \sqsubseteq_I \perp$  implies  $j = \perp$  by def. of  $\sqsubseteq_I$  so  $i = j$
  - if  $i = [a, b]$  then  $j = [c, d]$  since  $i \sqsubseteq_I j$  by def. of  $\sqsubseteq_I$ . This implies  $c \leq a \leq b \leq d$ .  $j \sqsubseteq_I i$  implies  $a \leq b \leq d \leq b$  so by antisymmetry of  $\leq$ , we get  $a = c \sqsubseteq_I b = d$  so  $i = j$ .

In both cases  $i = j$  proving antisymmetry.

- We conclude that  $\sqsubseteq_I$  is a partial order on  $I$

□



**PROOF.** – Let  $S$  be any subset of  $I$  and  $\ell = \bigsqcup_I S$ . Then  $\ell \in I$ .

- To prove that it is an upper bound, either  $S$  is empty so  $\ell = \perp$  is the infimum whence the lub of the empty set or, there exists  $s \in S$ . If  $s = \perp$  then  $\ell$  is an upper bound. Otherwise  $s = [a, b]$ . Let  $S' = \{s \in S \mid s \neq \perp\}$ . It is of the form  $S' = \{[a_j, b_j] \mid j \in \Delta\}$  and  $s \in S'$ . By def. of  $\bigsqcup_I$ , we have  $\bigsqcup_I S = \bigsqcup_I S' = [\min_{j \in \Delta} a_j, \max_{j \in \Delta} b_j]$  and so  $\min_{j \in \Delta} a_j \leq a \leq b \leq \max_{j \in \Delta} b_j$ , proving that  $s \sqsubseteq_I \ell$  by def.  $\sqsubseteq_I$

- Let  $u$  be any other upper bound of  $S$ . Let  $S' = \{s \in S \mid s \neq \perp\}$  so that  $\bigsqcup_I S = \bigsqcup_I S'$  and  $\perp \notin S'$ .

- If  $S'$  is empty, then  $\bigsqcup_I S = \bigsqcup_I S' = \perp \sqsubseteq_I u$ , by def.  $\sqsubseteq_I$

- Otherwise,  $S' = \{[a_j, b_j] \mid j \in \Delta\}$ . By def.  $\bigsqcup_I$ , we have  $\bigsqcup_I S = \bigsqcup_I S' = [\min_{j \in \Delta} a_j, \max_{j \in \Delta} b_j]$ . Since  $u$  is an upper bound of  $S$  whence  $S'$ , we have  $\forall j \in \Delta : [a_j, b_j] \sqsubseteq_I u = [a_u, b_u]$ , whence  $a_u \leq a_j \leq b_j \leq b_u$ , by def.  $\sqsubseteq_I$ . It follows, that  $a_u \leq \min_{j \in \Delta} a_j \leq \max_{j \in \Delta} b_j \leq b_u$  proving that  $\bigsqcup_I S = \bigsqcup_I S' \sqsubseteq_I u$ , by def.  $\sqsubseteq_I$

In both cases, we conclude that  $\bigsqcup_I S$  is the lub of  $\bigsqcup_I S$



## The complete lattice of intervals

- $\langle I, \sqsubseteq_I, \perp, [-\infty, \infty], \sqcup_I, \sqcap_I \rangle$  is a complete lattice, where:

- $\bigsqcup_I i_j \stackrel{\text{def}}{=} \bigsqcup_I \{i_j \mid j \in \Delta \wedge i_j \neq \perp\}$
- $\bigsqcup_I \emptyset = \perp$
- $\bigsqcup_I [a_j, b_j] \stackrel{\text{def}}{=} [\min_{j \in \Delta} a_j, \max_{j \in \Delta} b_j]$  where min and max are extended on  $\mathbb{I}$  to  $-\infty$  and  $+\infty$  in the natural way

**THEOREM.**  $\sqcup_I$  is the lub. ■

By existence of lubs, it follows that the poset  $\langle I, \sqsubseteq_I \rangle$  is a complete lattice  $\langle I, \sqsubseteq_I, \perp, [-\infty, \infty], \sqcup_I, \sqcap_I \rangle$ . We have:

- $[-\infty, +\infty]$  is the top
- The glb  $\sqcap_I$  is defined as follows:
  - if  $\perp \in S$  then  $\sqcap_I S = \perp$
  - If  $\perp \notin S$  then  $S = \{[a_j, b_j] \mid j \in \Delta\}$  and then
    - $\sqcap_I S = \perp$  if  $\min_{j \in \Delta} a_j < \max_{j \in \Delta} b_j$
    - $\sqcap_I S = [\max_{j \in \Delta} a_j, \min_{j \in \Delta} b_j]$  if  $\max_{j \in \Delta} a_j \leq \min_{j \in \Delta} b_j$

**PROOF.** – By def.  $\sqsubseteq_I$ , we have  $\perp \sqsubseteq_I [-\infty, +\infty]$  and for all  $a, b \in \mathbb{I} : -\infty \leq a \leq b \leq +\infty$  and so  $[a, b] \sqsubseteq_I [-\infty, +\infty]$ , proving  $[-\infty, +\infty]$  to be the supremum



- If  $\bigsqcap_I S = \perp$ , the obviously  $\bigsqcap_I S$  is a lower bound of  $S$
- Otherwise,  $S = \{[a_j, b_j] \mid j \in \Delta\}$  and  $\max_{j \in \Delta} a_j \leq \min_{j \in \Delta} b_j$ . The for all elements of  $S$ , i.e.  $i \in \Delta$ , we have  $a_i \leq \max_{j \in \Delta} a_j \leq \min_{j \in \Delta} b_j \leq b_i$  whence  $\bigsqcap_I S = [\max_{j \in \Delta} a_j, \min_{j \in \Delta} b_j] \sqsubseteq_I [a_i, b_i]$ , proving  $\bigsqcap_I S$  to be a lower bound of  $S$
- Let  $\ell$  be another lower bound of  $S$ . If  $\ell = \perp$  then immediately  $\ell \sqsubseteq_I \bigsqcap_I S$ . Otherwise  $\ell = [a_\ell, b_\ell]$  and  $\forall i \in \Delta : [a_\ell, b_\ell] \sqsubseteq_I [a_i, b_i]$  so  $a_i \leq a_\ell \leq b_\ell \leq b_i$  proving  $\max_{j \in \Delta} a_j \leq a_\ell \leq b_\ell \leq \min_{j \in \Delta} b_j$  whence  $[a_\ell, b_\ell] \sqsubseteq_I [\max_{j \in \Delta} a_j, \min_{j \in \Delta} b_j] = \bigsqcap_I S$ , proving  $\bigsqcap_I S$  to be the glb of  $S$ .

□



PROOF. We prove that  $\alpha_i(x) \sqsubseteq_I y \iff x \subseteq \gamma_i(y)$ .

- If  $x$  is  $\emptyset$  then  $\alpha_i(\emptyset) \stackrel{\text{def}}{=} \perp \sqsubseteq_I y$  and  $x = \emptyset \subseteq \gamma_i(y)$  is true for all  $y \in I$ .
- If  $y$  is  $\perp$  then  $\alpha_i(x) \sqsubseteq_I y$  implies  $\alpha_i(x) = \perp$  whence  $x = \emptyset$  by def.  $\alpha_i$  and so  $x = \emptyset \subseteq \emptyset = \gamma_i(\perp)$ .  
Reciprocally, if  $x \subseteq \gamma_i(y)$  then  $x \subseteq \emptyset$  so  $x = \emptyset$  proving  $\alpha_i(x) = \perp \sqsubseteq_I y$  by def.  $\sqsubseteq_I$
- If  $x$  is not  $\emptyset$  and  $y$  is not  $\perp$  then  $y = [a, b]$  with  $-\infty \leq a \leq b \leq +\infty$  by def.  $I$ . If  $\alpha_i(x) \sqsubseteq_I y$  then  $[\min_I x, \max_I x] \sqsubseteq_I [a, b]$  so  $a \leq \min_I x \leq \max_I x \leq b$  by def.  $\sqsubseteq_I$ , proving  $x \subseteq \{z \in \mathbb{I} \mid a \leq z \leq b\} = \gamma_i([a, b]) = \gamma_i(y)$ .  
Reciprocally,  $x \subseteq \gamma_i(y)$  implies  $x \subseteq \{z \in \mathbb{I} \mid a \leq z \leq b\}$  which implies  $a \leq \min_I x \leq \max_I x \leq b$  that is  $[\min_I x, \max_I x] \sqsubseteq_I [a, b]$  i.e.  $\alpha_i(x) \sqsubseteq_I y$ .

□



## Interval abstraction

- We have defined  $\gamma_i \in I \mapsto \wp(\mathbb{I})$  as

$$\begin{aligned} \gamma_i(\perp) &\stackrel{\text{def}}{=} \emptyset \\ \gamma_i([a, b]) &\stackrel{\text{def}}{=} \{z \in \mathbb{I} \mid a \leq z \leq b\} \end{aligned}$$

- Given  $X \subseteq \mathbb{I}$ , we define

$$\begin{aligned} \alpha_i(\emptyset) &\stackrel{\text{def}}{=} \perp \\ \alpha_i(X) &\stackrel{\text{def}}{=} [\min_{x \in X} x, \max_{x \in X} x], \quad X \neq \emptyset \end{aligned}$$

- We have the Galois connection:

$$\langle \wp(\mathbb{I}), \subseteq \rangle \xleftarrow{\gamma_i} \langle I, \sqsubseteq_I \rangle$$



## The interval abstraction revisited (to ignore errors)

- Define  $\alpha_e \stackrel{\text{def}}{=} \wp(\mathbb{I}_\Omega) \mapsto \wp(\mathbb{I})$  by  $\alpha_e(x) = x \cap \mathbb{I}$ . We have shown that  $\langle \wp(\mathbb{I}_\Omega), \subseteq \rangle \xrightleftharpoons[\alpha_e]{\gamma_e} \langle \wp(\mathbb{I}_\Omega), \subseteq \rangle$  where  $\gamma_e(y) = y \cup \{\Omega_a, \Omega_b\}$
- We have shown that  $\langle \wp(\mathbb{I}), \subseteq \rangle \xleftarrow{\alpha_i} \langle I, \sqsubseteq_I \rangle$
- By composition  $\alpha_I = \alpha_i \circ \alpha_e$  and  $\gamma_I = \gamma_e \circ \gamma_i$ , we get:  
$$\langle \wp(\mathbb{I}_\Omega), \subseteq \rangle \xrightleftharpoons[\alpha_I]{\gamma_I} \langle I, \sqsubseteq_I \rangle$$



– By definition, we have immediately:

$$\gamma_I(\perp) = \{\Omega_a, \Omega_i\}$$

$$\gamma_I([a, b]) = \{x \in I \mid a \leq x \leq b\} \cup \{\Omega_a, \Omega_i\}$$

$$\alpha_I(X) = (X \subseteq \{\Omega_a, \Omega_i\} ? \perp \\ \text{; } [\min_I x \setminus \{\Omega_a, \Omega_i\}, \max_I x \setminus \{\Omega_a, \Omega_i\}])$$



- $\gamma(\langle x, y \rangle) \stackrel{\text{def}}{=} \gamma_1(x) \sqcap \gamma_2(y)$
- $\langle x, y \rangle \equiv \langle x', y' \rangle \iff \gamma(\langle x, y \rangle) = \gamma(\langle x', y' \rangle)$
- $M \stackrel{\text{def}}{=} (M_1 \times M_2) / \equiv$  quotient
- $\alpha(x) \stackrel{\text{def}}{=} [\langle \alpha_1(x), \alpha_2(x) \rangle]_{\equiv}$  equivalence class
- $[\langle x, y \rangle]_{\equiv} \leq [\langle x', y' \rangle]_{\equiv} \iff \exists \langle x_1, y_1 \rangle \in [\langle x, y \rangle]_{\equiv} : \exists \langle x_2, y_2 \rangle \in [\langle x', y' \rangle]_{\equiv} : x_1 \leq_1 x_2 \wedge y_1 \leq_2 y_2$
- $\gamma([\langle x, y \rangle]_{\equiv}) = \gamma(\langle x, y \rangle) = \gamma_1(x) \sqcap \gamma_2(y)$



## The reduced product of abstractions

If

–  $\langle L, \sqsubseteq, \sqcup \rangle$  is a meet semilattice,

$$- \langle L, M \rangle \xleftrightarrow[\alpha_1]{\gamma_1} \langle M_1, \leq_1 \rangle$$

$$- \langle L, M \rangle \xleftrightarrow[\alpha_2]{\gamma_2} \langle M_2, \leq_2 \rangle$$

then their **reduced product** [4] is

$$\langle L, M \rangle \xleftrightarrow[\alpha]{\gamma} \langle M, \leq \rangle$$

where



PROOF. – the definition of  $\gamma([\langle x, y \rangle]_{\equiv})$  is obviously independent of the choice of the representant  $\langle x, y \rangle$  of the equivalence class  $[\langle x, y \rangle]_{\equiv} \stackrel{\text{def}}{=} \{\langle x_1, x_2 \rangle \mid \gamma(\langle x, y \rangle) = \gamma(\langle x_1, x_2 \rangle)\}$ . This remark is also valid for the definition of  $\leq$ .

$$\begin{aligned} & \alpha(X) \leq [\langle x, y \rangle]_{\equiv} \\ \implies & [\langle \alpha_1(X), \alpha_2(X) \rangle]_{\equiv} \leq [\langle x, y \rangle]_{\equiv} \quad \text{\{def. } \alpha \text{\}} \\ \implies & \exists \langle x_1, y_1 \rangle \in [\langle \alpha_1(X), \alpha_2(X) \rangle]_{\equiv} : \exists \langle x_2, y_2 \rangle \in [\langle x, y \rangle]_{\equiv} : x_1 \leq_1 x_2 \wedge y_1 \leq_2 y_2 \\ & \quad \text{\{def. } \leq \text{\}} \\ \implies & \exists \langle x_1, y_1 \rangle, \langle x_2, y_2 \rangle : \gamma(\langle x_1, y_1 \rangle) = \gamma(\langle \alpha_1(X), \alpha_2(X) \rangle) \wedge \gamma(\langle x_2, y_2 \rangle) = \\ & \quad \gamma(\langle x, y \rangle) \wedge x_1 \leq_1 x_2 \wedge y_1 \leq_2 y_2 \quad \text{\{def. } [\langle x, y \rangle]_{\equiv} \text{\}} \\ \implies & \exists \langle x_1, y_1 \rangle, \langle x_2, y_2 \rangle : \gamma_1(x_1) \sqcap \gamma_2(y_1) = \gamma_1 \circ \alpha_1(X) \sqcap \gamma_2 \circ \alpha_2(X) \wedge \gamma_1(x_2) = \\ & \quad \gamma_1(x) \wedge \gamma_2(y_2) = \gamma_2(y) \wedge x_1 \leq_1 x_2 \wedge y_1 \leq_2 y_2 \quad \text{\{def. } \gamma \text{\}} \\ \implies & \quad \text{\{by monotony of } \gamma \text{ so that } \gamma_1(x_1) \sqsubseteq \gamma_1(x_2) = \gamma_1(x) \text{ and } \gamma_2(y_1) \sqsubseteq} \\ & \quad \gamma_2(y_2) = \gamma_2(y) \text{ so that } \gamma_1(x_1) \sqcap \gamma_2(y_1) \sqsubseteq \gamma_1(x) \sqcap \gamma_2(y) \text{\}} \\ & \quad \gamma_1 \circ \alpha_1(X) \sqcap \gamma_2 \circ \alpha_2(X) \sqsubseteq \gamma_1(x) \sqcap \gamma_2(y) \end{aligned}$$





$\implies \{ \gamma_1 \circ \alpha_1 \text{ and } \gamma_2 \circ \alpha_2 \text{ are extensive so that } X \sqsubseteq \gamma_1 \circ \alpha_1(X) \sqcap \gamma_2 \circ \alpha_2(X) \text{ and transitivity} \}$   
 $X \sqsubseteq \gamma_1(x) \sqcap \gamma_2(y)$   
 $\implies X \sqsubseteq \gamma(\langle x, y \rangle)_{\equiv}$  {def.  $\gamma$  Q.E.D.}

Reciprocally:

$X \sqsubseteq \gamma(\langle x, y \rangle)_{\equiv}$   
 $\implies X \sqsubseteq \gamma_1(x) \sqcap \gamma_2(y)$  {def.  $\gamma$ }  
 $\implies \alpha_1(X) \leq_1 x \wedge \alpha_2(X) \leq_2 y$  {def. Galois connection}  
 $\implies \langle \alpha_1(X), \alpha_2(X) \rangle_{\equiv} \leq \langle x, y \rangle_{\equiv}$  {def.  $\leq$ }  
 $\implies \alpha(X) \leq \langle x, y \rangle_{\equiv}$  {def.  $\alpha$  Q.E.D.}  $\square$

Reference

[4] P. Cousot and R. Cousot. Systematic design of program analysis frameworks. In *Conference Record of the Sixth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 269–282, San Antonio, Texas, 1979. ACM Press, New York, NY, U.S.A.



## The error/interval abstraction

We define the interval and error abstraction as the reduced product of the interval and error abstractions:

$$\langle \wp(\mathbb{I}_\Omega), \sqsubseteq \rangle \xleftrightarrow[\alpha]{\gamma} \langle I \times E, \sqsubseteq \rangle$$

where

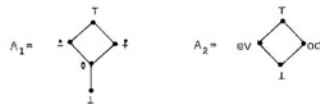
- $\gamma(\langle i, e \rangle) \stackrel{\text{def}}{=} \gamma_I(i) \cup \gamma_E(e)$
- $\alpha(X) \stackrel{\text{def}}{=} \langle \alpha_I(X), \alpha_E(X) \rangle$
- $\langle i_1, e_1 \rangle \sqsubseteq \langle i_2, e_2 \rangle \stackrel{\text{def}}{=} i_1 \sqsubseteq_I i_2 \wedge e_1 \sqsubseteq_E e_2$

PROOF.

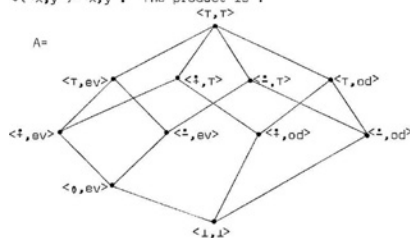
$$\langle i, e \rangle_{\equiv} \langle i', e' \rangle$$



## Sign and parity



$\gamma_1(\perp) = \lambda x. false, \gamma_1(0) = \lambda x. (x=0), \gamma_1(\neq) = \lambda x. (x \neq 0), \gamma_1(\neq^2) = \lambda x. (x \neq 0)$   
 $\gamma_1(\top) = \lambda x. true, \gamma_2(\perp) = \lambda x. false, \gamma_2(ev) = \lambda x. (x \text{ modulo } 2 = 0), \gamma_2(od) = \lambda x. (x \text{ modulo } 2 = 1), \gamma_2(\top) = \lambda x. true$   
 $\forall x \in A_1, \forall y \in A_2, \sigma(\langle x, \perp \rangle) = \sigma(\langle \perp, y \rangle) = \sigma(\langle 0, od \rangle) = \langle \perp, \perp \rangle, \sigma(\langle 0, ev \rangle) = \sigma(\langle \top, \top \rangle) = \langle 0, ev \rangle$  otherwise  $\sigma(\langle x, y \rangle) = \langle x, y \rangle$ . The product is :



- $\iff \gamma(\langle i, e \rangle) = \gamma(\langle i', e' \rangle)$  {def.  $\equiv$ }
- $\iff \gamma_I(i) \cap \gamma_E(e) = \gamma_I(i') \cap \gamma_E(e')$  {def.  $\gamma$ }
- $\iff \gamma_i(i) = \gamma_i(i') \wedge \gamma_E(e) = \gamma_E(e')$  {def.  $\gamma_i$  and  $\gamma_E$ }
- $\iff i = i' \wedge e = e'$  { $\gamma_i$  and  $\gamma_E$  injective}
- $\iff \langle i, e \rangle = v \langle i', e' \rangle$  {def. pairs}

It follows that  $\equiv$  is equality and so  $\langle i, e \rangle_{\equiv} = \{ \langle i, e \rangle \}$  whence  $(I \times E) /_{\equiv}$  is  $I \times E$  up to the isomorphism  $\{ \langle i, e \rangle \} \mapsto \langle i, e \rangle$ . The definition of  $\alpha$  and of the ordering  $\sqsubseteq$  follows immediately from this remark.  $\square$



## The interval abstraction as the reduced product of the minimum and maximum abstractions

- We have seen that if  $\langle S, \leq, -\infty, +\infty, \max, \min \rangle$  is a complete lattice,  $\langle \wp(S), \subseteq \rangle \xleftrightarrow[\alpha_M]{\gamma_M} \langle S, \leq \rangle$  where  $\alpha_M(X) = \max X$  and  $\gamma_M(s) = \{x \in S \mid x \leq s\}$
- By duality,  $\langle \wp(S), \subseteq \rangle \xleftrightarrow[\alpha_m]{\gamma_m} \langle S, \geq \rangle$  where  $\alpha_m(X) = \min X$  and  $\gamma_m(s) = \{x \in S \mid x \geq s\}$
- Let us consider the reduced product of these two abstractions



## Implementation of the complete lattice of intervals — (1) Abstract properties

```
(* avalues.ml *)
open Values
(* abstraction of sets of machine integers by intervals *)
(* complete lattice *)
(*
   *)
(* ABSTRACT VALUES *)
(*
   *)
type t = int * int
(* gamma (a,b)
   *)
(* = [a,b] U {_0_(a), _0_(i)} when min_int <= a <= b <= max_int *)
(* = {_0_(a), _0_(i)} when a = max_int > min_int = b *)
(* infimum: alpha({})
   *)
let bottom = (max_int, min_int)
```



- The classes  $[\langle a, b \rangle]_{\equiv}$  where  $a \leq b$  is  $\{\langle a, b \rangle\}$  whence can be represented as  $\langle a, b \rangle \in S \times S$
- The classes  $[\langle a, b \rangle]_{\equiv}$  where  $a > b$  are  $\{\emptyset\}$  whence can be represented by some new element  $\perp \notin S \times S$
- The reduced product is now, up to an isomorphism:

$$\langle \wp(S), \subseteq \rangle \xleftrightarrow[\alpha]{\gamma} \langle \{\langle a, b \rangle \mid a \leq b\} \cup \{\perp\}, \subseteq \rangle$$

PROOF. Trivial. □



```
(* infimum: bot () = alpha({}) *)
let bot () = bottom
(* isbottom a = (a = bot ()) *)
let isbottom (x, y) = y < x
(* isbotempty () = gamma(bot ()) = {}?
   *)
let isbotempty () = false (* gamma([max_int, min_int]) =
   *)
(* {_0_(a), _0_(i)} <> emptyset
   *)
(* uninitialized: initerr () = alpha({_0_i}) *)
let initerr () = bottom
(* supremum: top () = alpha({_0_i, _0_a} U [min_int, max_int]) *)
let top () = (min_int, max_int)
(* least upper bound join: p q = alpha(gamma(p) U gamma(q)) *)
let min x y = if (x <= y) then x else y
let max x y = if (x < y) then y else x
let join (v,w) (x,y) = ((min v x), (max w y))
(* greatest lower bound meet p q = alpha(gamma(p) cap gamma(q)) *)
let meet (v,w) (x,y) = ((max v x), (min w y))
```



```

(* approximation ordering: leq p q = gamma(p) subseq gamma(q) *)
let leq (v,w) (x,y) = (isbottom (v, w)) || ((x <= v) && (w <= y))
(* equality: eq p q = gamma(p) = gamma(q) *)
let eq u v = (u = v)
(* errors = alpha({_0_i, _0_a}) *)
let errors = bottom
(* included in errors?: in_errors p = gamma(p) subseq {_0_i, _0_a} *)
let in_errors (x, y) = isbottom (x, y)
(* printing *)
let print_int x =
  if x = min_int then print_string "min_int"
  else if x = - max_int then print_string "-max_int"
  else if x = max_int then print_string "max_int"
  else print_int x
let print (x, y) = if (isbottom (x, y)) then print_string "[]" else
  (print_string "["; print_int x; print_string ","; print_int y;
  print_string "]")

```



## Design of the abstract transformers: forward integer constant

$f_{\text{NAT}} s = \alpha(\{\text{machine\_int\_of\_strings}\})$  where:

```

(* values.ml *)
type error_type = INITIALIZATION | ARITHMETIC
type machine_int = ERROR_NAT of error_type | NAT of int
type machine_bool = ERROR_BOOL of error_type | BOOLEAN of bool
exception Incorrect_Nat of string
let rec int_of_intstring i s =
  let l = (String.length s) in
  if l = 0 then (NAT i)
  else let v = (10 * i) + (int_of_string (String.sub s 0 1)) in
  if v < i then (* overflow *)
    (ERROR_NAT ARITHMETIC)
  else
    int_of_intstring v (String.sub s 1 (l-1))

```



## Design of the abstract transformers (samples)



```

let machine_int_of_string s =
  int_of_intstring 0 s

```

The lexer (lexer.mll) is:

```

rule token = parse
  [' ' '\t' '\n' '\r'] { token lexbuf }
| ( '%' [~'%']* '%' ) { token lexbuf }
| ['0'-'9']+ { (T_NAT (Lexing.lexeme lexbuf)) }
| '(' { T_LPAR }
...

```

The parser (parser.mly) is:

```

...
%token <string> T_NAT
...

```



```

n_Aexp:
  T_RANDOM          { Abstract_Syntax.RANDOM          }
| T_NAT             { (Abstract_Syntax.NAT $1)        }
| T_VAR { (Abstract_Syntax.VAR (Symbol_Table.add_symb_table $1)) }
| n_Aexp T_PLUS    n_Aexp { (Abstract_Syntax.PLUS ($1, $3)) }
| n_Aexp T_MINUS  n_Aexp { (Abstract_Syntax.MINUS ($1, $3)) }
| n_Aexp T_TIMES  n_Aexp { (Abstract_Syntax.TIMES ($1, $3)) }
| n_Aexp T_DIV    n_Aexp { (Abstract_Syntax.DIV ($1, $3)) }
| n_Aexp T_MOD    n_Aexp { (Abstract_Syntax.MOD ($1, $3)) }
| T_PLUS n_Aexp %prec T_UPLUS { (Abstract_Syntax.UPLUS $2) }
| T_MINUS n_Aexp %prec T_UMINUS { (Abstract_Syntax.UMINUS $2) }
| T_LPAR n_Aexp T_RPAR { $2 }
;
...

```

This ensures that  $s$  is a finite non-empty string of digits:  
 $s = d_n d_{n-1} \dots d_1 d_0$  where  $n \geq 0$ ,  $d_i \in [0, 9]$ ,  $i = 1, \dots, n$

PROOF. By recurrence on  $n$ :

- if  $n = -1$  that is  $|s| = 0$  whence  $(\text{String.length } s) = 0$ , then by symbolic execution, we get  $(\text{NAT } i)$  as requested

- if  $n = 0$  then, by symbolic execution

```

int_of_intstring i "d0"
= let v = (10 ⊗ i) ⊕ (int_of_string "d0") in
  (v < i ? (ERROR_NAT ARITHMETIC) : int_of_intstring v "")
= (((10 ⊗ i) ⊕ d0) < i ? (ERROR_NAT ARITHMETIC) : (NAT (10 ⊗ i) ⊕ d0))
  {Notice that ⊗ and ⊕ are modulo arithmetic in [-max_int - 1, max_int] where max_int > 9 and so ((10 ⊗ i) ⊕ d0) < i ⇔ ((10 ⊗ i) ⊕ d0) > max_int since i, d0 > 0. Moreover (10 ⊗ i) ⊕ d0 = (10 × i) + d0 when ((10 × i) + d0) ≤ max_int. Finally d0 = "d0" = s so that we get:}
= (((101 × i) + s) > max_int ? (ERROR_NAT ARITHMETIC) : (NAT (101 × i) + s))
  Q.E.D.

```

Recall that we have defined (in decimal notation):

$$\begin{aligned} \underline{s} &\stackrel{\text{def}}{=} d_n \cdot 10^n + d_{n-1} \cdot 10^{n-1} + \dots + d_1 \cdot 10^1 + d_0 \cdot 10^0 \\ &= d_n \cdot 10^n + d_{n-1} \cdot 10^{n-1} + \dots + d_1 \cdot 10 + d_0 \end{aligned}$$

LEMMA. If  $i$  is a non-negative integer and  $s$  a string of digits, " $d_n \dots d_0$ " (which may be empty) then

```

int_of_intstring i s
= (NAT i)                if n = -1 (|s| = 0)
= (NAT 10n+1 × i + s)    if 10n+1 × i + s ≤ max_int
= (ERROR_NAT ARITHMETIC) otherwise

```

■

- if  $n > 0$  then

```

int_of_intstring i "d_n d_{n-1} ... d_0"
= let v = (10 ⊗ i) ⊕ (int_of_string "d_n") in
  (v < i ? (ERROR_NAT ARITHMETIC) : int_of_intstring v "d_{n-1} ... d_0")
= let v = (10 ⊗ i) ⊕ d_n in
  (v < i ? (ERROR_NAT ARITHMETIC) : int_of_intstring v "d_{n-1} ... d_0")
  {Notice that ((10 ⊗ i) ⊕ d_n) < i iff ((10 × i) + d_n) > max_int since i, d_n ≥ 0}
= ((10 × i) + d_n > max_int ? (ERROR_NAT ARITHMETIC) :
  int_of_intstring ((10 × i) + d_n) "d_{n-1} ... d_0")
= {by induction hypothesis}
= ((10 × i) + d_n > max_int ? (ERROR_NAT ARITHMETIC) || 10n × ((10 × i) + d_n) + "d_{n-1} ... d_0" ≤ max_int ? (NAT 10n × ((10 × i) + d_n) + "d_{n-1} ... d_0") : (ERROR_NAT ARITHMETIC))
  {Notice that 10n × ((10 × i) + d_n) + "d_{n-1} ... d_0" = 10n+1 · i + 10n · d_n + d_{n-1} · 10n-1 + ... + d_1 · 10 + d_0 = 10n+1 · i + "d_n d_{n-1} ... d_0"}

```

$$\begin{aligned}
&= ((10 \times i) + d_n > \max\_int \ ? \ (\text{ERROR\_NAT ARITHMETIC}) \ \parallel \ 10^{n+1}.i + \\
&\quad \underline{\text{"}d_n d_{n-1} \dots d_0\text{"}} \leq \max\_int \ ? \ (\text{NAT } 10^{n+1}.i + \underline{\text{"}d_n d_{n-1} \dots d_0\text{"}}) \ \& \\
&\quad (\text{ERROR\_NAT ARITHMETIC})) \\
&\quad \{ \text{Observe that } (10 \times i) + d_n > \max\_int \implies 10^{n+1}.i + \underline{\text{"}d_n d_{n-1} \dots d_0\text{"}} > \\
&\quad \max\_int \} \\
&= (10^{n+1}.i + \underline{\text{"}d_n d_{n-1} \dots d_0\text{"}} \leq \max\_int \ ? \ 10^{n+1}.i + \underline{\text{"}d_n d_{n-1} \dots d_0\text{"}} \ \& \\
&\quad (\text{ERROR\_NAT ARITHMETIC}) \quad \text{Q.E.D.}) \quad \square
\end{aligned}$$

LEMMA. Let  $s = \text{"}d_n \dots d_0\text{"}$  where  $n \geq 0$ . Then

$$\begin{aligned}
&\text{machine\_int\_of\_string } s \\
&= (\text{NAT } \underline{s}) \quad \text{if } \underline{s} \leq \max\_int \\
&= (\text{ERROR\_NAT ARITHMETIC}) \quad \text{otherwise}
\end{aligned}$$

■

PROOF. By symbolic execution:



$$\begin{aligned}
&\text{f\_NAT } s \\
&\stackrel{\text{def}}{=} \alpha_i(\{(\text{NAT } \underline{s})\}) \\
&= \langle \underline{s}, \underline{s} \rangle
\end{aligned}$$

Otherwise  $\underline{s} > \max\_int$  and then

$$\begin{aligned}
&\text{f\_NAT } s \\
&\stackrel{\text{def}}{=} \alpha_i(\{(\text{ERROR\_NAT ARITHMETIC})\}) \\
&= \perp
\end{aligned}$$

□



$$\begin{aligned}
&\text{machine\_int\_of\_string } s \\
&= \text{int\_of\_intstring } 0 \ s \\
&\quad \{ \text{by previous lemma} \} \\
&= (\text{NAT } \underline{s}) \quad \{ \text{if } \underline{s} \leq \max\_int \} \\
&= (\text{ERROR\_NAT ARITHMETIC}) \quad \{ \text{otherwise} \} \\
&\quad \square
\end{aligned}$$

We now have:

THEOREM.

$$\begin{aligned}
&\text{f\_NAT } s = \langle \underline{s}, \underline{s} \rangle \text{ if } \underline{s} \leq \max\_int \\
&= \perp \quad \text{otherwise}
\end{aligned}$$

■

PROOF. If  $\underline{s} \leq \max\_int$  then



The implementation follows (the impossible case (ERROR\_NAT INITIALIZATION) could have been signalled as a design error by the analyzer):

```

(* f_NAT s = alpha({(machine_int_of_string s)}) *)
let f_NAT s =
  match (machine_int_of_string s) with
  | (ERROR_NAT INITIALIZATION) -> bottom
  | (ERROR_NAT ARITHMETIC) -> bottom
  | (NAT i) -> (i,i)

```



## Design of the abstract transformers: backward integer constant

- The backward collecting semantics of arithmetic expressions was defined in lecture (17) as:

$$\text{Baexp}[[A]](R)P \stackrel{\text{def}}{=} \{\rho \in R \mid \exists i \in P \cap \mathbb{I} : \rho \vdash A \Rightarrow i\} \quad (2)$$

and their backward abstract interpretation was defined as:

$$\text{Baexp}^\triangleleft[[A]] \stackrel{\text{def}}{=} \alpha^\triangleleft(\text{Baexp}[[A]]) \quad (3)$$

and we have proved that:



`b_NAT s v`

$$\stackrel{\text{def}}{=} (\text{machine\_int\_of\_string } s) \in \gamma(v) \cap [\text{min\_int}, \text{max\_int}] \\ = \text{let } v = [a, b] \text{ in } (\underline{s} > \text{max\_int} ? \text{ff} : a \leq \underline{s} \leq b)$$

PROOF. Assume that  $v = [a, b]$  where  $b < a$  for bottom. We have:

$$\begin{aligned} & \text{b\_NAT } s [a, b] \\ &= (\text{machine\_int\_of\_string } s) \in \gamma([a, b]) \cap [\text{min\_int}, \text{max\_int}] \\ & \quad \{\text{by lemma on machine\_int\_of\_string}\} \\ &= (\underline{s} \leq \text{max\_int} ? (\text{INT } s) : (\text{ERROR\_NAT ARITHMETIC})) \in \gamma([a, b]) \cap \\ & \quad [\text{min\_int}, \text{max\_int}] \\ &= (\underline{s} \leq \text{max\_int} ? (\text{INT } s) : (\text{ERROR\_NAT ARITHMETIC})) \in ((a \leq b ? ([a, b] \cup \\ & \quad \{\Omega_i, \Omega_a\}) \cap [\text{min\_int}, \text{max\_int}] : \{\Omega_i, \Omega_a\}) \cap [\text{min\_int}, \text{max\_int}])) \end{aligned}$$



$$\text{Baexp}^\triangleleft[[A]](\lambda Y. \perp)p \stackrel{\text{def}}{=} \lambda Y. \perp \quad \text{if } \gamma(\perp) = \emptyset \quad (4)$$

$$\text{Baexp}^\triangleleft[[n]](r)p \stackrel{\text{def}}{=} (n^\triangleleft(p) ? r : \lambda Y. \perp) \quad (5)$$

where:

$$n^\triangleleft(p) \stackrel{\text{def}}{=} (\underline{n} \in \gamma(p) \cap \mathbb{I}) \quad (6)$$

- Therefore, for the implementation, we define<sup>6</sup>

<sup>6</sup> For short, up to a machine representation (NAT  $i$ ) for  $i$ , (ERROR\_NAT\_INITIALIZATION) for  $\Omega_i$  and (ERROR\_NAT\_ARITHMETIC) for  $\Omega_a$ .



$$\begin{aligned} &= (\underline{s} \leq \text{max\_int} ? (\text{INT } s) : (\text{ERROR\_NAT ARITHMETIC})) \in (a \leq b ? [a, b] : \emptyset) \\ &= (\underline{s} > \text{max\_int} ? \text{ff} : a \leq b ? a \leq \underline{s} \leq b : \text{ff}) \\ &= (\underline{s} > \text{max\_int} ? \text{ff} : a \leq \underline{s} \leq b) \end{aligned}$$

□

which directly yields the implementation:

```
(* b_NAT s v = (machine_int_of_string s) in
  ( ..
    gamma(v) cap [min_int, max_int]? *)
let b_NAT s (a, b) =
match (machine_int_of_string s) with
| (ERROR_NAT_INITIALIZATION) -> false
| (ERROR_NAT_ARITHMETIC) -> false
| (NAT i) -> (a <= i) && (i <= b)
```



## Design of the abstract transformers: forward integer addition

- The forward abstract semantics of a binary operator is (from lecture 16):

$$\text{Faexp}^\triangleright[[A_1 \text{ b } A_2]]r \stackrel{\text{def}}{=} \text{b}^\triangleright(\text{Faexp}^\triangleright[[A_1]]r, \text{Faexp}^\triangleright[[A_2]]r)$$

where:

$$\text{b}^\triangleright(p_1, p_2) \sqsupseteq \alpha(\{v_1 \text{ b } v_2 \mid v_1 \in \gamma(p_1) \wedge v_2 \in \gamma(p_2)\}) \quad (7)$$



$$\begin{aligned} \gamma([u, v]) &= \{\Omega_i, \Omega_a\} && \text{if } v < u \\ \gamma([u, v]) &= [u, v] \cup \{\Omega_i, \Omega_a\} && \text{if } v \geq u \end{aligned}$$

and

```
let add_int x y =
  if (x >= 0) & (y >= 0) then
    (if x <= (max_int - y) then (NAT (x+y)) else (ERROR_NAT ARITHMETIC))
  else if (x <= 0) & (y <= 0) then
    (if (min_int - x) <= y then (NAT (x+y)) else (ERROR_NAT ARITHMETIC))
  else (NAT (x+y))
```

```
let machine_binary_plus a b = match a with
| ERROR_NAT e -> (ERROR_NAT e)
| NAT a' -> match b with
| ERROR_NAT e' -> (ERROR_NAT e')
| NAT b' -> (add_int a' b')
```



- Therefore, up to the computer representation

$$\left\{ \begin{array}{l} \perp \rightarrow (\text{max\_int}, \text{min\_int}) \\ \Omega_i \rightarrow (\text{ERROR\_NAT INITIALIZATION}) \\ \Omega_a \rightarrow (\text{ERROR\_NAT ARITHMETIC}) \\ [a, b] \rightarrow (a, b) \end{array} \right.$$

we define

$$\text{f\_PLUS } x \ y \sqsupseteq \alpha(\{\text{machine\_binary\_plus } i \ j \mid i \in \gamma(x) \wedge j \in \gamma(y)\})$$

where



LEMMA.

$$\begin{aligned} \text{add\_int } x \ y &= x + y && \text{if } \text{min\_int} \leq x + y \leq \text{max\_int} \\ &= \Omega_a && \text{otherwise} \end{aligned}$$

■

PROOF. By cases

- if  $x \geq 0 \wedge y \geq 0$  then, by symbolic execution, if  $0 \leq x + y \leq \text{max\_int}$  (or equivalently  $x \leq \text{max\_int} - y$ , which avoids overflows) then  $\text{add\_int } x \ y = x + y$  else  $x + y > \text{max\_int}$  and then  $\text{add\_int } x \ y = \Omega_a$
- if  $x \leq 0 \wedge y \leq 0$  then, by symbolic execution, if  $\text{min\_int} \leq x + y \leq 0$  (or equivalently  $\text{min\_int} - x \leq y$ , which avoids overflows) then  $\text{add\_int } x \ y = x + y$  else  $x + y < \text{min\_int}$  and  $\text{add\_int } x \ y = \Omega_a$
- Otherwise  $x$  and  $y$  are of opposite signs. Assume  $x \in [\text{max\_int} - 1, 0]$  and  $y \in [0, \text{max\_int}]$  (the other case beign symmetric). We have  $x + y \in [-\text{max\_int} - 1, \text{max\_int}] = [\text{min\_int}, \text{max\_int}]$  and  $\text{add\_int } x \ y = x + y$  as required.

□



Notice that the proof implies the absence of overflows when computing `add_int x y` and so the modulo arithmetic of OCaml can be used in place of the mathematical arithmetic operations



$$\begin{aligned}
 &= \alpha(\{\text{machine\_binary\_plus } i \ j \mid i \in \{\Omega_i, \Omega_a\} \wedge j \in \gamma(b)\}) \\
 &= \alpha(\{\Omega_a \mid j \in \gamma(b)\} \cup \{\Omega_i \mid j \in \gamma(b)\}) \\
 &\quad \{\gamma(b) \text{ is not empty}\} \\
 &= \alpha(\{\Omega_a, \Omega_i\}) \\
 &= \perp
 \end{aligned}$$

- The case of  $b = \perp$  is handled in the same way, so that `f_PLUS a ⊥ = ⊥`.
- Otherwise  $a = (u, v) \neq \perp \wedge b = (w, x) \neq \perp$  in which case, we have  $u \leq v$  and  $w \leq x$ . We calculate

$$\begin{aligned}
 &\text{f\_PLUS } (u, v) \ (w, x) \\
 &= \alpha(\{\text{machine\_binary\_plus } i \ j \mid i \in \gamma((u, v)) \wedge j \in \gamma((w, x))\}) \\
 &= \alpha(\{\text{machine\_binary\_plus } i \ j \mid i \in \{i' \mid u \leq i' \leq v\} \cup \{\Omega_i, \Omega_a\} \wedge j \in \{j' \mid w \leq j' \leq x\}\} \cup \{\Omega_i, \Omega_a\})
 \end{aligned}$$



### THEOREM.

$$\begin{aligned}
 \text{f\_PLUS } \perp \ b &= \perp \\
 \text{f\_PLUS } a \ \perp &= \perp \\
 \text{f\_PLUS } (u, v) \ (w, x) &= \perp && \text{if } u + w > \text{max\_int} \\
 &= \perp && \text{if } v + x < \text{min\_int} \\
 &= (\text{min\_int}, \text{max\_int}) && \text{if } u + w < \text{min\_int} \wedge v + x > \text{max\_int} \\
 &= (\text{min\_int}, u + w) && \text{if } u + w < \text{min\_int} \wedge v + x \leq \text{max\_int} \\
 &= (u + w, \text{max\_int}) && \text{if } u + w \geq \text{min\_int} \wedge v + x > \text{max\_int} \\
 &= (u + w, v + x) && \text{if } u + w \geq \text{min\_int} \wedge v + x \leq \text{max\_int}
 \end{aligned}$$

■

PROOF. For the definition of `f_PLUS a b`, we proceed by cases

- if  $a$  is bottom, that is  $a = (u, v)$  with  $v < u$  so that `(isbottom (u, v))` holds, we have

$$\begin{aligned}
 &\text{f\_PLUS } \perp \ b \\
 &= \alpha(\{\text{machine\_binary\_plus } i \ j \mid i \in \gamma(\perp) \wedge j \in \gamma(b)\})
 \end{aligned}$$



{by symbolic execution of `machine_binary_plus` in cases  $i \in \{\Omega_i, \Omega_a\}$ ,  $j \in \{\Omega_i, \Omega_a\}$ ,  $i + j \in [\text{min\_int}, \text{max\_int}] \wedge i + j \notin [\text{min\_int}, \text{max\_int}]$ }

$$\begin{aligned}
 &= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{min\_int} \leq i + j \leq \text{max\_int} \wedge u \leq i \leq v \wedge w \leq j \leq x\}) \\
 &= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{max}(\text{min\_int}, u + w) \leq i + j \leq \text{min}(\text{max\_int}, v + x)\})
 \end{aligned}$$

We proceed by cases:

- If  $u + w > \text{max\_int}$ , then  $\text{max}(\text{min\_int}, u + w) = u + w$  and  $\text{min}(\text{max\_int}, v + x) = \text{max\_int}$  if  $v + x \geq u + w$  so in this case

$$\begin{aligned}
 &= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{max\_int} < i + j \leq \text{max\_int}\}) \\
 &= \alpha(\{\Omega_i, \Omega_a\}) \\
 &= \perp
 \end{aligned}$$

- If  $v + x < \text{min\_int}$ , then  $u + w \leq v + x < \text{min\_int} < \text{max\_int}$  so  $\text{max}(\text{min\_int}, u + w) = \text{min\_int}$  and  $\text{min}(\text{max\_int}, v + x) = v + x$ , so that in this case





$$= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{min\_int} < i + j \leq v + x < \text{min\_int}\})$$

$$= \alpha(\{\Omega_i, \Omega_a\})$$

$$= \perp$$

- Otherwise, we have  $u + w \leq v + x$ ,  $u + w \leq \text{max\_int}$  and  $\text{min\_int} \leq v + x$ . There remain four cases:

- if  $u + w < \text{min\_int}$  then  $\max(\text{min\_int}, u + w) = \text{min\_int}$  with two sub-cases:

- if  $v + x > \text{max\_int}$  then  $\min(\text{max\_int}, v + x) = \text{max\_int}$  so that in that case:
 
$$= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{min\_int} \leq i + j \leq \text{max\_int}\})$$

$$= (\text{min\_int}, \text{max\_int})$$
- otherwise  $v + x \leq \text{max\_int}$  and then  $\min(\text{max\_int}, v + x) = v + x$  so that in that case:
 
$$= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{min\_int} \leq i + j \leq u + w \leq \text{max\_int}\})$$



Observe that all sums are in the  $[\text{min\_int}, \text{max\_int}]$  interval whence produce no overflow and can be computed with OCaml modulo arithmetic.



$$= (\text{min\_int}, u + w)$$

- otherwise  $u + w \geq \text{min\_int}$  and so  $\max(\text{min\_int}, u + w) = u + w$ , with two subcases, as above:

- if  $v + x > \text{max\_int}$  then  $\min(\text{max\_int}, v + x) = \text{max\_int}$  so that in that case:
 
$$= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{min\_int} \leq u + w \leq i + j \leq \text{max\_int}\})$$

$$= (u + w, \text{max\_int})$$
- otherwise  $v + x \leq \text{max\_int}$  then  $\min(\text{max\_int}, v + x) = v + x$  so that in that case:
 
$$= \alpha(\{\Omega_i, \Omega_a\} \cup \{i + j \mid \text{min\_int} \leq u + w \leq i + j \leq v + x \leq \text{max\_int}\})$$

$$= (u + w, v + x)$$

□



The only potential problem are the test  $x + y < \text{min\_int} \wedge x + y > \text{max\_int}$  which can be easily proved to be equivalent to the following functions which produce no overflow whence can be implemented with modulo arithmetic:

```
let is_sum_lt_min_int x y =
  (* x + y < min_int *)
  if (x < 0) & (y < 0) then
    (x < min_int - y)
  else false
```

```
let is_sum_gt_max_int x y =
  (* x + y > max_int *)
  if (x > 0) && (y > 0) then
    (x > max_int - y)
  else false
```



From the calculational derivation of the definition of  $f\_PLUS$  as shown above, we immediately obtain the following implementation, by just considering all possible cases:

```
(* f_BINARITH a b = alpha({ (machine_binary_binarith i j) |
(*
    i in gamma(a) /\ j \in gamma(b)} *)
let f_PLUS (a, b) (c, d) =
  if (isbottom (a, b)) || (isbottom (c, d)) then bottom
  else if (is_sum_gt_max_int a c) then bottom
  else if (is_sum_lt_min_int b d) then bottom
  else let lb = if (is_sum_lt_min_int a c) then min_int else a + c
        and ub = if (is_sum_gt_max_int b d) then max_int else b + d
        in (lb, ub)
```



$$b^{\triangleleft}(q_1, q_2, p) \sqsupseteq^2 \quad (9)$$

$$\alpha^2(\{\langle i_1, i_2 \rangle \in \gamma^2(\langle q_1, q_2 \rangle) \mid i_1 \sqcup i_2 \in \gamma(p) \cap \mathbb{I}\})$$

– We consider the case of the binary addition  $+$ , up to the encoding

$$\left\{ \begin{array}{l} \perp \rightarrow (\max\_int, \min\_int) \\ \Omega_i \rightarrow (\text{ERROR\_NAT INITIALIZATION}) \\ \Omega_a \rightarrow (\text{ERROR\_NAT ARITHMETIC}) \\ [a, b] \rightarrow (a, b) \end{array} \right.$$

– Recall that we have



## Design of the abstract transformers: backward integer addition

– The generic backward/bottom-up non-relational abstract semantics of arithmetic expressions was shown to be of the form

$$\text{Baexp}^{\triangleleft}[[A_1 \ b \ A_2]](r)p \stackrel{\text{def}}{=} \quad (8)$$

$$\text{let } \langle p_1, p_2 \rangle = b^{\triangleleft}(\text{Faexp}^{\triangleright}[[A_1]]r, \text{Faexp}^{\triangleright}[[A_2]]r, p) \text{ in}$$

$$\text{Baexp}^{\triangleleft}[[A_1]](r)p_1 \dot{\cap} \text{Baexp}^{\triangleleft}[[A_2]](r)p_2$$

where

```
(* gamma (a,b) *)
(* = [a,b] U {_0_(a), _0_(i)} when min_int <= a <= b <= max_int *)
(* = {_0_(a), _0_(i)} when a = max_int > min_int = b *)
(* infimum: alpha({}) *)
let bottom = (max_int, min_int)
(* infimum: bot () = alpha({}) *)
let bot () = bottom
(* isbottom a = (a = bot) *)
let isbottom (x, y) = y < x
(* isbotempty () = gamma(bot ()) = {}? *)
let isbotempty () = false (* gamma([max_int, min_int]) = *)
(* {_0_(a), _0_(i)} <> emptyset *)
(* errors = alpha({_0_i, _0_a}) *)
let errors = bottom
(* included in errors?: in_errors p = gamma(p) subseteq {_0_i, _0_a} *)
let in_errors (x, y) = isbottom (x, y)
```



– We define

$$b\_PLUS\ q_1\ q_2\ p \stackrel{\text{def}}{=} \alpha^2(\{\langle i_1, i_2 \rangle \mid i_1 \in \gamma(q_1) \wedge i_2 \in \gamma(q_2) \\ \wedge (\text{machine\_binary\_plus}\ i_1\ i_2) \in \gamma(p) \cap \mathbb{I}\})$$

We have  $q_1 = (a, b)$ ,  $q_2 = (c, d)$  and  $p = (e, f)$  with  $(x, y) = \perp$  (bottom) whenever  $y < x$ .

**THEOREM.**

$$b\_PLUS\ q_1\ q_2\ p = \perp \quad \text{if } q_1, q_2 \text{ or } p = \perp$$

$$b\_PLUS\ (a, b)\ (c, d)\ (e, f) =$$

let  $\ell_1 = \max(a, (e - d < \text{min\_int} ? \text{min\_int} : e - d))$  in  
and  $u_1 = \min(b, (f - c > \text{max\_int} ? \text{max\_int} : f - c))$  in  
and  $\ell_2 = \max(c, (e - b < \text{min\_int} ? \text{min\_int} : e - b))$  in  
and  $u_2 = \min(d, (f - a > \text{max\_int} ? \text{max\_int} : f - a))$  in  
and  $q'_1 = (\ell_1 \leq u_1 ? [\ell_1, u_1] : \perp)$  in  
and  $q'_2 = (\ell_2 \leq u_2 ? [\ell_2, u_2] : \perp)$  in  $\langle q'_1, q'_2 \rangle$



$$b\_PLUS\ (a, b)\ (c, d)\ (e, f)$$

$$= \alpha^2(\{\langle i_1, i_2 \rangle \mid i_1 \in \gamma((a, b)) \wedge i_2 \in \gamma((c, d)) \wedge (\text{machine\_binary\_plus}\ i_1\ i_2) \in \\ \gamma((e, f)) \cap \mathbb{I}\})$$

$$= \alpha^2(\{\langle i_1, i_2 \rangle \mid i_1 \in \{i'_1 \in \mathbb{I} \mid a \leq i'_1 \leq b\} \cup \{\Omega_1, \Omega_a\} \wedge i_2 \in \{i'_2 \in \mathbb{I} \mid c \leq i'_2 \leq \\ d\} \cup \{\Omega_1, \Omega_a\} \wedge (\text{machine\_binary\_plus}\ i_1\ i_2) \in \{r \in \mathbb{I} \mid e \leq r \leq f\}\})$$

{by def. machine\_binary\_plus, we cannot have  $i_1 \in \{\Omega_1, \Omega_a\}$   
or  $i_2 \in \{\Omega_1, \Omega_a\}$  and  $i_1 + i_2$  cannot overflow since otherwise  
 $(\text{machine\_binary\_plus}\ i_1\ i_2) = \Omega_a \notin \mathbb{I}$ }

$$= \alpha^2(\{\langle i_1, i_2 \rangle \mid a \leq i_1 \leq b \wedge c \leq i_2 \leq d \wedge \text{min\_int} \leq i_1 + i_2 \leq \text{max\_int} \wedge e \leq \\ i_1 + i_2 \leq f\})$$

$$= \alpha^2(\{\langle i_1, i_2 \rangle \mid a \leq i_1 \leq b \wedge c \leq i_2 \leq d \wedge \max(\text{min\_int}, e) \leq i_1 + i_2 \leq \\ \min(\text{max\_int}, f)\})$$

Because of commutativity in absence of overflow, the cases of  $i_1$  and  $i_2$  are symmetric, and so we consider only  $i_1$ . We have:

$$\max(\text{min\_int}, e) - i_2 \leq i_1 \leq \min(\text{max\_int}, f) - i_2$$



**PROOF.** We first consider the cases of bottom arguments

– If  $q_1 = \perp$ , then  $i_1 \in \gamma(q_1) = \{\Omega_1, \Omega_a\}$  so, by definition of  $(\text{machine\_binary\_plus}$  (in values.ml):

```
let machine_binary_plus a b = match a with
| ERROR_NAT e -> (ERROR_NAT e)
| NAT a' -> match b with
| ERROR_NAT e' -> (ERROR_NAT e')
| NAT b' -> (add_int a' b')
```

we have  $(\text{machine\_binary\_plus}\ i_1\ i_2) = i_1 \notin \mathbb{I}$  so  $i_1 \notin \gamma(p) \cap \mathbb{I}$ . In that case the result is therefore  $\alpha^2(\emptyset) = \langle \perp, \perp \rangle$ .

– If  $q_2 = \perp$ , then the same reasoning yields  $\langle \perp, \perp \rangle$ .

– If  $p = \perp$  then  $\gamma(p) \cap \mathbb{I} = \{\Omega_1, \Omega_a\} \cap \mathbb{I} = \emptyset$  and so, once again, the result is  $\alpha^2(\emptyset) = \langle \perp, \perp \rangle$ .

– In the remaining cases, none of  $q_1 = (a, b)$ ,  $q_2 = (c, d)$  and  $p = (e, f)$  is  $\perp$  so that we can assume  $a \leq b$ ,  $c \leq d$  and  $e \leq f$ . In that case we have:



and

$$-d \leq -i_2 \leq -c$$

so  $\max(\text{min\_int}, e) - d \leq i_1 \leq \min(\text{max\_int}, f) - c$

and

$$a \leq i_1 \leq b$$

so  $\max(a, \max(\text{min\_int}, e) - d) \leq i_1 \leq \min(b, \min(\text{max\_int}, f) - c)$

i.e.  $\max(a, \text{min\_int} - d, e - d) \leq i_1 \leq \min(b, \text{max\_int} - c, f - c)$

but

$$\text{min\_int} - \leq a \leq i_1 \leq b \leq \text{max\_int}$$

so

$$\max(a, \text{min\_int}, \text{min\_int} - d, e - d) \leq i_1 \leq \min(b, \text{max\_int}, \text{max\_int} - c, f - c)$$

If  $d \geq 0$  then  $\text{min\_int} \geq \text{min\_int} - d$  while if  $d < 0$  then  $\text{min\_int} \leq e \leq d < 0$  so  $\text{min\_int} - d \leq e - d$  whence  $\max(a, \text{min\_int}, \text{min\_int} - d, e - d) = \max(a, \text{min\_int}, e - d)$ . Then same way we have  $\min(b, \text{max\_int}, \text{max\_int} - c, f - c) = \min(b, \text{max\_int}, f - c)$  and so

$$\max(a, \text{min\_int}, e - d) \leq i_1 \leq \min(b, \text{max\_int}, f - c)$$

which can also be written in the form:

$$\max(a, (e - d < \text{min\_int} ? \text{min\_int} : e - d)) \leq i_1 \leq \min(b, (f - c > \\ \text{max\_int} ? \text{max\_int} : f - c)).$$

The case of  $i_2$  is symmetrical □



The test can be implemented using the following function which can easily be shown to be respectively equivalent to  $(e - d < \text{min\_int})$  and  $f - c > \text{max\_int}$  while avoiding overflows:

```
let is_difference_lt_min_int x y =
  (* x - y < min_int *)
  if (x < 0) && (y > 0) then
    (x < min_int + y)
  else false

let is_difference_gt_max_int x y =
  (* x - y > max_int *)
  if (x > 0) && (y < 0) then
    (x > max_int + y)
  else false
```



## Design of the abstract transformers: forward integer comparison

- For the generic forward/top-down nonrelational abstract semantics of boolean expressions, we have defined (in lecture 16):

$$\text{Abexp}[A_1 \text{ c } A_2]r = \check{c}(\text{Faexp}^\flat[[A_1]]r, \text{Faexp}^\flat[[A_2]]r)$$

where

$$\check{c}(p_1, p_2)r \triangleq (\exists v_1 \in \gamma(p_1) : \exists v_2 \in \gamma(p_2) \cap \mathbb{I} : v_1 \sqsubseteq v_2 = \text{tt} ? r : \perp)$$

- Therefore, we define  $f\_LT \ p \ q$  such that

$$(\exists i \in \gamma(p) \cap \mathbb{I} : \exists j \in \gamma(q) \cap \mathbb{I} : \text{machine\_lt } i \ j) \implies (f\_LT \ p \ q)$$



The symbolic execution of  $b\_PLUS \ q_1 \ q_2 \ p$  yields the expected result as defined above:

```
(* b_BOP q1 q2 p = alpha2({<i1,i2> in gamma2(<q1,q2>) |
  (* BOP(i1, i2) \in gamma(p) cap [min_int, max_int]}) *)
let b_PLUS (a, b) (c, d) (e, f) =
  if (in_errors (a, b) || (in_errors (c, d))) then errors, errors
  else if (in_errors (e, f)) then bottom, bottom
  else let lq1 = max a (if (is_difference_lt_min_int e d)
    then min_int else (e - d))
    and uq1 = min b (if (is_difference_gt_max_int f c)
    then max_int else (f - c))
    and lq2 = max c (if (is_difference_lt_min_int e b)
    then min_int else (e - b))
    and uq2 = min d (if (is_difference_gt_max_int f a)
    then max_int else (f - a))
```



where (from values.ml)

```
let machine_lt a b = match a with
| ERROR_NAT e -> (ERROR_BOOL e)
| NAT a' -> match b with
| ERROR_NAT e' -> (ERROR_BOOL e')
| NAT b' -> (BOOLEAN (a' < b'))
```

**THEOREM.**

$$\begin{aligned} f\_LT \ \perp \ q &= \perp \\ f\_LT \ p \ \perp &= \perp \\ f\_LT \ (x, y) \ (x', y') &= (x < y') \end{aligned}$$

■



PROOF. – Observe that if  $p = \perp$  or  $q = \perp$  then  $\gamma(p) \cap \mathbb{I} = \emptyset$  or  $\gamma(q) \cap \mathbb{I} = \emptyset$  so that we have  $f\_LT \perp q = \perp$  and  $f\_LT p \perp = \perp$

- Otherwise we let  $p = (x, y)$  and  $q = (x', y')$  where  $x \leq y$  and  $x' \leq y'$ . We have

$$\begin{aligned}
& (\exists i \in \gamma((x, y)) \cap \mathbb{I} : \exists j \in \gamma((x', y')) \cap \mathbb{I} : \text{machine\_lt } i \ j) \\
= & (\exists i \in (\{i' \mid x \leq i' \leq y\} \cup \{\Omega_i, \Omega_a\}) \cap \mathbb{I} : \exists j \in (\{j' \mid x' \leq j' \leq y'\} \cup \{\Omega_i, \Omega_a\}) \cap \mathbb{I} : \text{machine\_lt } i \ j) \\
= & (\exists i, j \in \mathbb{I} : x \leq i \leq y \wedge x' \leq j \leq y' \wedge \text{machine\_lt } i \ j) \\
= & (\exists i, j \in \mathbb{I} : x \leq i \leq y \wedge x' \leq j \leq y' \wedge i < j) \\
\Rightarrow & (\exists i, j \in \mathbb{I} : x \leq i < j \leq y') \\
\Rightarrow & (x < y')
\end{aligned}$$

so when  $p = (x, y) \neq \perp$  and  $q = (x', y') \neq \perp$ , we define  $f\_LT (x, y) (x', y') = (x < y')$ .  $\square$



## Design of the abstract transformers: forward integer comparison, revisited version

- When considering the improved abstract interpretation of boolean expressions using the backward abstract interpretation of arithmetic subexpressions (course 17), we have defined:

$$\begin{aligned}
\text{Abexp}[A_1 \ c \ A_2]r & \stackrel{\text{def}}{=} \\
& \text{let } \langle p_1, p_2 \rangle = \check{c}(\text{Faexp}^\triangleright[A_1]r, \text{Faexp}^\triangleright[A_2]r) \text{ in} \\
& \text{Baexp}^\triangleleft[A_1](r)p_1 \ \dot{\cap} \ \text{Baexp}^\triangleleft[A_2](r)p_2
\end{aligned}$$

where



This immediately leads to the following implementation:

```

(* Are there integer values in gamma(u) equal to values in gamma(v)? *)
(* f_LT p q = exists i in gamma(p) cap [min_int,max_int]: *)
(* exists j in gamma(q) cap [min_int,max_int]: machine_eq i j *)
let f_EQ (x, y) (x', y') =
  if (isbottom (x, y)) || (isbottom (x', y')) then false
  else (min y y') <= (max x x')

```



$$\check{c}(p_1, p_2) \sqsupseteq^2 \alpha^2(\{\langle i_1, i_2 \rangle \mid i_1 \in \gamma(p_1) \cap \mathbb{I} \wedge i_2 \in \gamma(p_2) \cap \mathbb{I} \wedge i_1 \leq i_2 = \text{tt}\})$$

- Up to the machine representation of abstract values, we define:

$$a\_LT \ p \ q = \alpha^2(\{\langle i_1, i_2 \rangle \mid i_1 \in \gamma(p_1) \cap \mathbb{I} \wedge i_2 \in \gamma(p_2) \cap \mathbb{I} \wedge i_1 < i_2\})$$



THEOREM.

$$\begin{aligned} a\_LT \perp q &= \langle \perp, \perp \rangle \\ a\_LT p \perp &= \langle \perp, \perp \rangle \\ a\_LT (a, b) (c, d) &= \langle \perp, \perp \rangle \quad \text{if } a \geq d \\ &= \langle [a, \min(b, d - 1)], [\max(a + 1, c), d] \rangle \\ &\quad \text{if } a < d \end{aligned}$$

■

PROOF. - If  $p = \perp$  or  $q = \perp$  then  $\gamma(p) \cap \mathbb{I}$  or  $\gamma(q) \cap \mathbb{I}$  is  $\emptyset$  so  $a\_LT \perp q = \langle \perp, \perp \rangle$  and  $a\_LT p \perp = \langle \perp, \perp \rangle$

- Otherwise  $p = [a, b]$  and  $q = (c, d)$  with  $\min\_int \leq a \leq b \leq \max\_int$  and  $\min\_int \leq c \leq d \leq \max\_int$
- We have



$$= ((a, \min(b, d - 1)), (\max(a + 1, c), d))$$

which is of the same form than in the previous bottom case.  $\square$

The two cases can be grouped together in the implementation:

```
(* a_LT p1 p2 = alpha2({<i1, i2> |
(*                               i1 in gamma(p1) cap [min_int, max_int] /\ *)
(*                               i2 in gamma(p1) cap [min_int, max_int] /\ *)
(*                               i1 < i2})
*)
let a_LT (a, b) (c, d) =
if (isbottom (a, b)) || (isbottom (c, d)) || (a >= d) then
  (bottom, bottom) else ((a, min b (d - 1)), (max (a + 1) c, d))
```



$$a\_LT (a, b) (c, d)$$

$$\alpha^2(\{\langle i_1, i_2 \rangle \mid i_1 \in \gamma(a, b) \cap \mathbb{I} \wedge i_2 \in \gamma((c, d)) \cap \mathbb{I} \wedge i_1 < i_2\})$$

$$\alpha^2(\{\langle i_1, i_2 \rangle \mid a \leq i_1 \leq b \wedge c \leq i_2 \leq d \wedge i_1 < i_2\})$$

Now, we consider three cases:

- If  $d \leq a$ , then we get  $\alpha^2(\emptyset) = \langle \perp, \perp \rangle$ . In this case
  - $(a, \min(b, d - 1)) = (a, d - 1)$  since  $d \leq a \leq b$   
 $= \perp$  since  $d - 1 < a$
  - $(\max((a + 1), c), d) = (a + 1, d)$  since  $c \leq d \leq a < a + 1$   
 $= \perp$  since  $d < a + 1$
- Otherwise  $a < d$ , in which case:

$$\alpha^2(\{\langle i_1, i_2 \rangle \mid a \leq i_1 \leq b \wedge c \leq i_2 \leq d \wedge i_1 < i_2\})$$

$$= \alpha^2(\{\langle i_1, i_2 \rangle \mid a \leq i_1 \leq b \wedge i_1 < d \wedge c \leq i_2 \leq d \wedge a < i_2 \wedge i_1 < i_2\})$$

$\{\alpha^2 \text{ is monotone}\}$

$$\sqsubseteq_2 \alpha^2(\{\langle i_1, i_2 \rangle \mid a \leq i_1 \leq \min(b, d - 1) \wedge \max(a + 1, c) \leq i_2 \leq d \wedge i_1 < i_2\})$$



## Implementation of the abstract transformers

```
1 (* avalues.ml *)
2 open Values
3 (* abstraction of sets of machine integers by intervals *)
4 (* complete lattice *)
5 ...
6 (*                               *)
7 (* ABSTRACT TRANSFORMERS *)
8 (*                               *)
9 (* forward abstract semantics of arithmetic expressions *)
10 (* f_NAT s = alpha({(machine_int_of_string s)}) *)
11 let f_NAT s =
12   match (machine_int_of_string s) with
13   | (ERROR_NAT INITIALIZATION) -> bottom
14   | (ERROR_NAT ARITHMETIC) -> bottom
```



```

15   | (NAT i) -> (i,i)
16 (* f_RANDOM () = alpha([min_int, max_int]) *)
17 let f_RANDOM () = (min_int, max_int)
18 (* f_UMINUS a = alpha({ (machine_unary_minus x) | x \in gamma(a)} }) *)
19 let f_UMINUS (x, y) = if (isbottom (x, y)) then bottom
20   else if (x = min_int) then (-y, max_int)
21   else (-y, -x)
22 (* f_UPLUS a = alpha(gamma(a)) *)
23 let f_UPLUS x = x
24 (* f_BINARITH a b = alpha({ (machine_binary_binarith i j) |
25   (*
26     i in gamma(a) /\ j \in gamma(b)} *)
27   (* x + y < min_int *)
28   if (x < 0) & (y < 0) then
29     (x < min_int - y)
30   else false
31 let is_sum_gt_max_int x y =
32   (* x + y > max_int *)

```



```

51   (x > max_int + y)
52   else false
53 let f_MINUS (a, b) (c, d) =
54   if (isbottom (a, b)) || (isbottom (c, d)) then bottom
55   else if (is_difference_gt_max_int a d) then bottom
56   else if (is_difference_lt_min_int b c) then bottom
57   else let lb = if (is_difference_lt_min_int a d) then min_int
58     else a - d
59     and ub = if (is_difference_gt_max_int b c) then max_int
60     else b - c
61     in (lb, ub)
62 let sign x = if (x >= 0) then 1 else -1
63
64 exception Error_abs of string
65 let abs x = if (x >= 0) then x
66   else if (x = min_int) then
67     raise (Error_abs "Incoherence: abs(min_int)")
68   else (- x)

```



```

33   if (x > 0) && (y > 0) then
34     (x > max_int - y)
35   else false
36 let f_PLUS (a, b) (c, d) =
37   if (isbottom (a, b)) || (isbottom (c, d)) then bottom
38   else if (is_sum_gt_max_int a c) then bottom
39   else if (is_sum_lt_min_int b d) then bottom
40   else let lb = if (is_sum_lt_min_int a c) then min_int else a + c
41     and ub = if (is_sum_gt_max_int b d) then max_int else b + d
42     in (lb, ub)
43 let is_difference_lt_min_int x y =
44   (* x - y < min_int *)
45   if (x < 0) && (y > 0) then
46     (x < min_int + y)
47   else false
48 let is_difference_gt_max_int x y =
49   (* x - y > max_int *)
50   if (x > 0) && (y < 0) then

```



```

69
70 let times_int x y =
71   if (x = 0) or (y = 0) then 0
72   else if x = min_int then
73     (if y = 1 then min_int else if y < 0 then max_int else min_int)
74   else if y = min_int then
75     (if x = 1 then min_int else if x < 0 then max_int else min_int)
76   else if (sign x) * (sign y) > 0 then
77     (if (abs x) <= (max_int/(abs y)) then (x*y) else max_int)
78   else if (abs x) = 1 then (x*y)
79   else
80     (if (abs y) <= (min_int/(-(abs x))) then (x*y) else min_int)
81
82 let f_TIMES (x, y) (x', y') =
83   if (isbottom (x, y)) || (isbottom (x', y')) then bottom
84   else let a = times_int x x'
85     and b = times_int x y'
86     and c = times_int y x'

```



```

87     and d = times_int y y' in
88     ((min (min a b) (min c d)), (max (max a b) (max c d)))
89
90 let rec f_DIV (x, y) (x', y') =
91   if (isbottom (x, y)) || (isbottom (x', y')) || ((x' = 0) && (y' = 0))
92   then bottom
93   else if x' = 0 then f_DIV (x, y) (1, y')
94   else if y' = 0 then f_DIV (x, y) (x', 1)
95   else let a = x/x'
96         and b = x/y'
97         and c = y/x'
98         and d = y/y' in
99         ((min (min a b) (min c d)), (max (max a b) (max c d)))
100 let rec f_MOD (x, y) (x', y') =
101   if (isbottom (x, y)) || (isbottom (x', y')) || (y < 0) || (y' < 1)
102   then bottom
103   else if x' < 0 then f_MOD (x, y) (0, y')
104   else if y' <= 0 then f_MOD (x, y) (x', 1)

```



```

123     else (y' > x)
124     ...
125 (* backward abstract semantics of arithmetic expressions *)
126 (* b_NAT s v = (machine_int_of_string s) in
127   ("
128     let b_NAT s (a, b) =
129       match (machine_int_of_string s) with
130       | (ERROR_NAT INITIALIZATION) -> false
131       | (ERROR_NAT ARITHMETIC) -> false
132       | (NAT i) -> (a <= i) && (i <= b)
133   (* b_RANDOM p = gamma(p) cap [min_int, max_int] <> emptyset *)
134   let b_RANDOM p = not (isbottom p)
135   (* b_UOP q p = alpha({i in gamma(q) |
136     (*
137     UOP(i) \in gamma(p) cap [min_int, max_int]}) *)
137   let b_UMINUS q (a, b) = meet q (-b, -a)
138   let b_UPLUS q p = meet q p
139   (* b_BOP q1 q2 p = alpha2({<i1,i2> in gamma2(<q1,q2>) |
140     (*
141     BOP(i1, i2) \in gamma(p) cap [min_int, max_int]}) *)

```



```

105     else let a = x mod x'
106           and b = x mod y'
107           and c = y mod x'
108           and d = y mod y' in
109           ((min (min a b) (min c d)), (max (max a b) (max c d)))
110 (* forward abstract semantics of boolean expressions *)
111 (* Are there integer values in gamma(u) equal to values in gamma(v)? *)
112 (* f_LT p q = exists i in gamma(p) cap [min_int,max_int]: *)
113   (* exists j in gamma(q) cap [min_int,max_int]: machine_eq i j *)
114 let f_EQ (x, y) (x', y') =
115   if (isbottom (x, y)) || (isbottom (x', y')) then false
116   else (min y y') <= (max x x')
117 (* Are there integer values in gamma(u) strictly less than (<) *)
118 (* integer values in gamma(v)? *)
119 (* f_LT p q = exists i in gamma(p) cap [min_int,max_int]: *)
120   (* exists j in gamma(q) cap [min_int,max_int]: machine_lt i j *)
121 let f_LT (x, y) (x', y') =
122   if (isbottom (x, y)) || (isbottom (x', y')) then false

```



```

141 let b_PLUS (a, b) (c, d) (e, f) =
142   if (in_errors (a, b)) || (in_errors (c, d)) then errors, errors
143   else if (in_errors (e, f)) then bottom, bottom
144   else let lq1 = max a (if (is_difference_lt_min_int e d)
145     then min_int else (e - d))
146         and uq1 = min b (if (is_difference_gt_max_int f c)
147     then max_int else (f - c))
148         and lq2 = max c (if (is_difference_lt_min_int e b)
149     then min_int else (e - b))
150         and uq2 = min d (if (is_difference_gt_max_int f a)
151     then max_int else (f - a))
152         in (if (lq1 <= uq1) then (lq1, uq1) else bottom),
153         (if (lq2 <= uq2) then (lq2, uq2) else bottom)
154 let b_MINUS (a, b) (c, d) (e, f) =
155   if (in_errors (a, b)) || (in_errors (c, d)) then errors, errors
156   else if (in_errors (e, f)) then bottom, bottom
157   else b_PLUS (a, b) (-d, -c) (e, f)
158 let b_TIMES (a, b) (c, d) (e, f) =

```





```

159   if (in_errors (a, b)) || (in_errors (c, d)) then errors, errors
160   else if (in_errors (e, f)) then bottom, bottom
161   else (a, b), (c, d)
162 let b_DIV (a, b) (c, d) (e, f) =
163   if (in_errors (a, b)) || (in_errors (c, d)) then errors, errors
164   else if (in_errors (e, f)) then bottom, bottom
165   else (a, b), (c, d)
166 let b_MOD (a, b) (c, d) (e, f) =
167   if (in_errors (a, b)) || (in_errors (c, d)) then errors, errors
168   else if (in_errors (e, f)) then bottom, bottom
169   else (a, b), (c, d)
170 (* backward abstract interpretation of boolean expressions *)
171 (* a_EQ p1 p2 = let p = p1 cap p2 cap [min_int, max_int]I in <p, p> *)
172 let a_EQ p1 p2 = let p = meet p1 p2 in (p, p)
173 (* a_LT p1 p2 = alpha2({<i1, i2> |
174 (*           i1 in gamma(p1) cap [min_int, max_int] /\ *)
175 (*           i2 in gamma(p1) cap [min_int, max_int] /\ *)
176 (*           i1 < i2}) *)

```



## Design of the abstract convergence accelerators



```

177 let a_LT (a, b) (c, d) =
178 if (isbottom (a, b)) || (isbottom (c, d)) || (a >= d) then
179   (bottom, bottom) else ((a, min b (d - 1)), (max (a + 1) c, d))

```



## Widening (with thresholds)

– The widening is defined with thresholds (including `min_int` and `max_int` by default):

```

180 (* widening *)
181 (* let thresholds = [| |] (* only min_int and max_int *) *)
182 (* widening with thresholds *)
183 let cmp i j = if i < j then -1 else if i = j then 0 else 1
184 let thresholds = let data = [| -1; 0; 1; |] in
185   (Array.sort cmp data; data)
186 let widen (x, y) (x', y') =
187   if (isbottom (x, y)) then (x', y')
188   else if (isbottom (x', y')) then (x, y)
189   else let lastindex = (Array.length thresholds) - 1 in
190     let a = if x' >= x then x
191     else let i = ref lastindex in

```



```

192         (while (!i >= 0) & (x' < thresholds.(!i)) do
193             i := !i - 1
194         done;
195         if (!i < 0) then min_int else thresholds.(!i)
196 and b = if y' <= y then y
197         else let j = ref 0 in
198             (while (!j <= lastindex) & (y' > thresholds.(!j)) do
199                 j := !j + 1
200             done;
201             if (!j > lastindex) then max_int else thresholds.(!j))
202 in a, b

```



```

let i = ref lastindex in
  while (!i >= 0) & (x' < thresholds.(!i)) do
    i := !i - 1
  done;

```

A simple Floyd-Naur Hoare invariance argument shows that there are two cases:

- if  $x' < t_0 \leq t_1 \leq \dots \leq t_n$  then  $!i = -1$  in which case  $a = \text{min\_int}$
- otherwise  $t_0 \leq \dots \leq t_{!i} \leq x' < t_{!i+1} \leq \dots \leq t_n$  in which case  $a = t_{!i} \leq x'$
- The condition  $\max(y, y') \leq b$  follows by duality on  $\leq$
- The proof that  $q \sqsubseteq p \nabla q$  can be handled by a very similar argument which is left to the reader
- Finally, we must prove that for all infinite sequences  $x^0, x^1, \dots$ , the sequence defined by



## THEOREM. `widen` is a widening operator. ■

PROOF. - We first prove that  $p \sqsubseteq p \nabla q$

- if  $p = \perp$  then  $p = \perp \sqsubseteq q = p \nabla q$
- if  $q = \perp$  then  $p \sqsubseteq p = p \nabla q$
- Otherwise  $p = (x, y)$ ,  $q = (x', y')$  such that  $x \leq y$  and  $x' \leq y'$ . Then  $p \nabla q = (a, b)$ . We must show that  $a \leq \min(x, x')$ .
  - if  $x' \geq x$  then  $a = \min(x, x') = x$
  - otherwise  $x' < x$  in which case we must prove that  $a \leq x' = \min(x, x')$ .

We consider two cases

- if `thresholds = [| |]` is empty then `Array.length thresholds = 0` so `lastindex = -1` whence `!i = -1 < 0` and  $a = \text{min\_int}$  which satisfies  $a \leq x'$
- Otherwise `thresholds = [|t0; ...; tn|]` with  $n \geq 0$  is not empty. So `lastindex = textttArray.length thresholds - 1 = n`. Then the following loop is executed.

$$\begin{aligned}
 y^0 &= x^0 & (a) \\
 y^{\delta+1} &= y^\delta & \text{if } x^\delta \sqsubseteq y^\delta & (b) \\
 &= y^\delta \nabla x^\delta & \text{otherwise} & (c)
 \end{aligned}$$

is not strictly increasing. The proof is by reductio ad absurdum.

Assume that  $y^0 \sqsubseteq y^1 \sqsubseteq \dots \sqsubseteq y^\delta \sqsubseteq \dots$  then (b) is never used. It follows that  $\forall \delta \geq 0 : y^{\delta+1} = y^\delta \nabla x^\delta$ . The only  $\perp$  element can be  $y^0$ , which can be eliminated by considering  $x^1, x^2, \dots$  and  $y_1, y_2, \dots$  with all  $y^i \neq \perp$  and without changing the final result. Moreover the  $x^i$  cannot be  $\perp$  since in that case  $y^{\delta+1} = y^\delta \nabla \perp = y^\delta$  in contradiction with  $y^\delta \sqsubseteq y^{\delta+1}$ . Therefore we have  $x^\delta = (a^\delta, b^\delta)$ ,  $\delta \geq 1$  with  $\text{min\_int} \leq a^\delta \leq b^\delta \leq \text{max\_int}$  such that the sequence  $y^\delta = (c^\delta, d^\delta)$ ,  $\delta \geq 1$  with  $\text{min\_int} \leq c^\delta \leq d^\delta \leq \text{max\_int}$  is strictly increasing, with

$$(c^{\delta+1}, d^{\delta+1}) = (c^\delta, d^\delta) \nabla (a^\delta, b^\delta), \delta \geq 1$$

- Because

$$(c^\delta, d^\delta) \sqsubseteq (c^{\delta+1}, d^{\delta+1})$$

we have



$$c^{\delta+1} < c^\delta) \vee (d^\delta < d^{\delta+1})$$

by definition of  $\sqsubseteq$

- In case  $c^{\delta+1} < c^\delta$ , we have by definition of  $\nabla$  that

$$\begin{aligned} c^{\delta+1} &= a^\delta && \text{if } a^\delta \geq c^\delta \\ &= t_i, 0 \leq i \leq n && \text{when thresholds} = [t_0; \dots; t_n] \end{aligned}$$

Observe that the first case is indeed impossible since  $c^{\delta+1} < c^\delta$  implies  $\neg(c^{\delta+1} = a^\delta \geq c^\delta)$  so  $c^{\delta+1} = t_i$

- In case  $d^\delta < d^{\delta+1}$ , a similar reasoning shows that  $d^{\delta+1} = t^j$ ,  $j \in [0, n]$
- So we have a decreasing chain of elements of “thresholds” for  $\langle c^\delta, \delta \geq 2 \rangle$  and an increasing chain of elements of “thresholds” for  $\langle d^\delta, \delta \geq 2 \rangle$ , one of them strictly increasing for each  $\delta$ , which is impossible since “thresholds” is finite, which provides the desired contradiction.  $\square$



- otherwise,  $p = (x, y)$ ,  $q = (x', y')$  with  $\min\_int \leq x \leq y \leq \max\_int$  and  $\min\_int \leq x' \leq y' \leq \max\_int$  and  $(x', y') \sqsubseteq (x, y)$ . By cases:

- if  $x = \min\_int$  then

- if  $y = \max\_int$  then, by symbolic execution,  $(x', y') \sqsubseteq (x', y) = (x, y) \Delta (x', y') \sqsubseteq (x, y)$

- else  $(x', y') \sqsubseteq (x', y) = (x, y) \Delta (x', y') \sqsubseteq (x, y)$  since  $x' \leq x$  by  $(x', y') \sqsubseteq (x, y)$

- otherwise  $x \neq \min\_int$ , and then

- if  $y = \max\_int$  then, by symbolic execution,  $(x', y') \sqsubseteq (x', \max\_int) = (x, y) \Delta (x', y') \sqsubseteq (x, y)$  since  $x' \leq y$  by  $(x', y') \sqsubseteq (x, y)$  and  $y = \max\_int$

- otherwise  $y \neq \max\_int$  and then  $(x', y') = (x, y) \Delta (x', y') \sqsubseteq (x, y)$

- We must also show that for all sequences  $p^0, p^1, \dots$  the sequence defined by



## Narrowing

- The narrowing is defined as follows:

```

203 (* narrowing *)
204 let narrow (x, y) (x', y') =
205   if (isbottom (x, y)) || (isbottom (x', y')) then bottom
206   else ((if (x = min_int) then x' else x),
207         (if (y = max_int) then y' else y))

```

**THEOREM.** [narrow is a narrowing operator.](#)  $\blacksquare$

**PROOF.** Let us show that this definition satisfies the hypotheses on narrowings.

- Assuming  $q \sqsubseteq p$ , we must show that  $q \sqsubseteq p \Delta q \sqsubseteq p$ .
  - the case  $p = \perp$  is excluded by  $q \sqsubseteq p$
  - the case  $q = \perp$  yields  $\perp \sqsubseteq \Delta q = \perp \sqsubseteq p$



$$\begin{aligned} q^0 &= p^0 \\ q^{\delta+1} &= q^\delta \Delta p^\delta && \text{if } p^\delta \sqsubseteq q^\delta \\ &= q^\delta && \text{otherwise} \end{aligned}$$

is not strictly increasing.

The proof is by reductio ad absurdum. Assume that  $\langle q^\delta, \delta \geq 0 \rangle$  is strictly decreasing. The case (c) can never be chosen since we would have the contradiction that  $q^{\delta+1} = q^\delta$  for some  $\delta \geq 0$ . So the sequence  $\langle q^\delta, \delta \geq 0 \rangle$  is defined using (a) and (b) only that is (b) only for  $\langle q^\delta, \delta \geq 1 \rangle$ . Let  $q^\delta = (a^\delta, b^\delta)$  and  $p^\delta = (c^\delta, d^\delta)$  for all  $\delta \geq 1$ . We have:

$$\begin{aligned} (c^\delta, d^\delta) &\sqsubseteq (a^\delta, b^\delta) \\ \text{and } (a^{\delta+1}, b^{\delta+1}) &= (a^\delta, b^\delta) \Delta (c^\delta, d^\delta) \\ \text{and } (a^{\delta+1}, b^{\delta+1}) &\sqsubseteq (a^\delta, b^\delta) \end{aligned}$$



After  $\delta \geq 1$ , all elements of  $\langle q^\delta, \delta \geq 1 \rangle$  are not  $\perp$ . We must have  $a^{\delta+1} < a^\delta$  (or  $b^{\delta+1} > b^\delta$  which is handled in the same way). By definition of  $\Delta$ , if  $a^\delta = \text{min\_int}$  then  $a^{\delta+1} = c^\delta \leq a^\delta$  else  $a^{\delta+1} = a^\delta$  which is impossible. So  $a^\delta = \text{min\_int}$  at the next step  $a^{\delta+2} < a^{\delta+1} = c^\delta \leq a^\delta = \text{min\_int}$  which is impossible. This yields the contradiction proving that  $\Delta$  enforces convergence.  $\square$



## Making the non-relational forward analyzer generic

- The global structure of the analyzer is the same whichever is the abstract domain chosen to approximate sets of values;
- Up to the use of widening/narrowing when no convergence acceleration is needed (e.g. finite domains, domains satisfying the ACC with rapid convergence)
- For non-relational analyzers, the structure of the abstract domain approximating sets of environments only depends on the abstract domain for sets of values

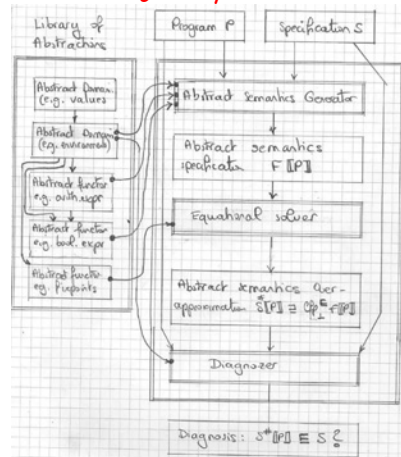


## Generic abstract interpreter: A first implementation

- The algebraic structure can be represented by the modular structure of OCaml programs (thanks to file aliases in this first implementation or better thanks to module functors)
- It is then easy to modify the static analyzer to perform experimentations on the abstract domains:
  - by changing the abstract domain of values
  - by changing the abstract interpretation of arithmetic/boolean expressions or commands
  - without having to change the global structure of the analyzer



## Principle of a generic equational static analyzer/verifier

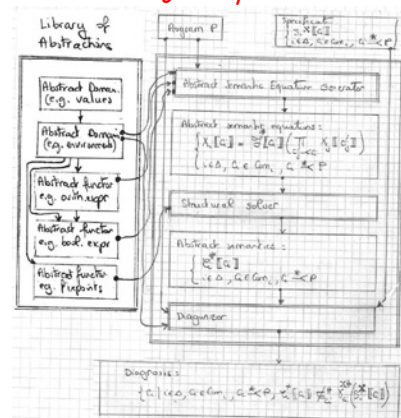


## A first implementation using the modular structure of OCAML and aliases of module files

```
Generic-FW-Abstract-Interpreter % make
Forward non-relational static analysis:
make help          : this help
1) reset:
make reset         : erase all mode choices
2) choose tracing mode:
make trace         : tracing all
make traceaexp    : tracing arithmetic expressions
make tracebexp    : tracing boolean expressions
make tracecom     : tracing commands
make traced       : tracing ternary reductions
make notrace      : no tracing
```



## Principle of a generic structural static analyzer/verifier



```
3) choose abstract interpreter mode:
3a) relational/non-relational analysis:
make r          : relational abstract interpreter (not implemented)
make nr         : non-relational abstract interpreter
3b) boolean expressions:
make fbool      : forward analysis
make fbbool     : forward/backward analysis
make fbrbool    : forward/backward reductive analysis
3c) arithmetic expressions:
make fassign    : forward analysis
make fbassign   : forward/backward analysis
4) choose static analysis and compile analyzer:
make err       : error analysis
make iss       : initialization and simple sign analysis
make int       : interval analysis
```



```
5) analyze:
./a.out          : analyze (the standard input)
./a.out file.sil : analyze (the file "file.sil")
make examples   : analyze all examples
6) clean:
make clean      : remove auxiliary files
```



```
| |- abstract_Syntax.ml
| |- acom.ml -> acom_fba.ml
| |- acom.mli
| |- acom_fa.ml
| |- acom_fba.ml
| |- aenv.ml -> ../Non-Relational/aenv.ml
| |- aenv.mli
| |- avalues.ml -> ../Non-Relational/03-Intervals/avalues.ml
| |- avalues.mli -> ../Non-Relational/avalues.mli
| |- baexp.ml
| |- baexp.mli
| |- concrete_To_Abstract_Syntax.ml
| |- concrete_To_Abstract_Syntax.mli
| |- fixpoint.ml
| |- fixpoint.mli
| |- labels.ml
| |- labels.mli
```



## File structure of the generic forward static analyzer

```
| - Examples
|   |- example00.sil
|   |- ...
|   |- example73.sil
|   '- makefile
| - Generic-FW-Abstract-Interpreter
|   |- Generic-FW-Abstract-Interpreter.tgz
|   |- aaexp.ml
|   |- aaexp.mli
|   |- abexp.ml -> abexp_fbr.ml
|   |- abexp.mli
|   |- abexp_f.ml
|   |- abexp_fb.ml
|   |- abexp_fbr.ml
```



```
| |- lexer.mll
| |- main.ml
| |- makefile
| |- parser.mly
| |- pretty_Print.ml
| |- pretty_Print.mli
| |- program_To_Abstract_Syntax.ml
| |- program_To_Abstract_Syntax.mli
| |- red12.mli
| |- red123.ml
| |- red123.mli
| |- red13.mli
| |- red23.mli
| |- symbol_Table.ml
| |- symbol_Table.mli
| |- trace.ml
| |- trace.mli
```



```

| |- typescript
| |- values.ml
| |- values.mli
| |- variables.ml
| '- variables.mli
|- Non-Relational
| |- 01-Initialization-Simple-Sign
| | |- avalues.ml
| |- 02-Errors
| | |- avalues.ml
| |- 03-Intervals
| | |- avalues.ml
| | |- avalues.mli
| |- 04-Parity
| | |- avalues.ml
| |- aenv.ml
| |- avalues.mli
| '- laenv.ml

```



## Creating a specific instance of the generic analyzer

The creation of a specific instance of the analyzer consists in creating aliases of the specific instantiated files before recompiling.

```

% pwd
.../Generic-FW-Abstract-Interpreter
% make reset
Remove instantiated files
% make notrace
Tracing mode off
% make nr
"Non-relational" static analysis
% make fbrbool
Forward/backward analysis of boolean expressions with reduction

```



All files are shared by all instances, but:

- aenv.ml (and avalues.mli common to all non-relational abstractions)
- avalues.ml implementing each specific non-relational abstract domain (errors, intervals, ...)



```

% make fbassign
Forward/backward analysis of assignments
% make int
ocamlyacc parser.mly
ocamllex lexer.mll
62 states, 3001 transitions, table size 12376 bytes
ocamlc trace.mli trace.ml symbol_Table.mli symbol_Table.ml
variables.mli variables.ml abstract_Syntax.ml
concrete_To_Abstract_Syntax.mli concrete_To_Abstract_Syntax.ml
labels.mli labels.ml parser.mli parser.ml lexer.ml
program_To_Abstract_Syntax.mli program_To_Abstract_Syntax.ml
pretty_Print.mli pretty_Print.ml values.mli values.ml avalues.mli
avalues.ml aenv.mli aenv.ml aexp.mli aexp.ml baexp.mli baexp.ml
fixpoint.mli fixpoint.ml abexp.mli abexp.ml acom.mli acom.ml main.ml
"Interval" static analysis

```



For example for interval analysis, the aliases will be created as follows:

```
% tree
...
|- abexp.ml -> abexp_fbr.ml
...
|- acom.ml -> acom_fba.ml
...
|- aenv.ml -> ../Non-Relational/aenv.ml
...
|- avalues.ml -> ../Non-Relational/03-Intervals/avalues.ml
...
|- avalues.mli -> ../Non-Relational/avalues.mli
...
```



```
16 concrete_To_Abstract_Syntax.ml \
17 labels.mli \
18 labels.ml \
19 parser.mli \
20 parser.ml \
21 lexer.ml \
22 program_To_Abstract_Syntax.mli \
23 program_To_Abstract_Syntax.ml \
24 pretty_Print.mli \
25 pretty_Print.ml \
26 values.mli \
27 values.ml \
28 avalues.mli \
29 avalues.ml \
30 aenv.mli \
31 aenv.ml \
32 aaexp.mli \
33 aaexp.ml \
34 baexp.mli \
35 baexp.ml \
```



## Generating an instance of the generic forward static analyzer

```
1 # makefile
2
3 SHELL = tcsh
4
5 EXAMPLES = ../Examples
6
7 SOURCES_SINGLE_DOMAIN = \
8 trace.mli \
9 trace.ml \
10 symbol_Table.mli \
11 symbol_Table.ml \
12 variables.mli \
13 variables.ml \
14 abstract_Syntax.ml \
15 concrete_To_Abstract_Syntax.mli \
```



```
36 fixpoint.mli \
37 fixpoint.ml \
38 abexp.mli \
39 abexp.ml \
40 acom.mli \
41 acom.ml \
42 main.ml
43
44 SOURCES_BINARY_REDUCED_PRODUCT = \
45 trace.mli \
46 trace.ml \
47 symbol_Table.mli \
48 symbol_Table.ml \
49 variables.mli \
50 variables.ml \
51 abstract_Syntax.mli \
52 concrete_To_Abstract_Syntax.mli \
53 concrete_To_Abstract_Syntax.ml \
54 labels.mli \
55 labels.ml \
```





```
56 parser.mli \  
57 parser.ml \  
58 lexer.ml \  
59 program_To_Abstract_Syntax.mli \  
60 program_To_Abstract_Syntax.ml \  
61 pretty_Print.mli \  
62 pretty_Print.ml \  
63 values.mli \  
64 values.ml \  
65 avalues1.mli \  
66 avalues1.ml \  
67 avalues2.mli \  
68 avalues2.ml \  
69 red12.mli \  
70 red12.ml \  
71 avalues.mli \  
72 avalues.ml \  
73 aenv.mli \  
74 aenv.ml \  
75 aaexp.mli \  

```



```
96 concrete_To_Abstract_Syntax.ml \  
97 labels.mli \  
98 labels.ml \  
99 parser.mli \  
100 parser.ml \  
101 lexer.ml \  
102 program_To_Abstract_Syntax.mli \  
103 program_To_Abstract_Syntax.ml \  
104 pretty_Print.mli \  
105 pretty_Print.ml \  
106 values.mli \  
107 values.ml \  
108 avalues1.mli \  
109 avalues1.ml \  
110 avalues2.mli \  
111 avalues2.ml \  
112 avalues3.mli \  
113 avalues3.ml \  
114 red12.mli \  
115 red12.ml \  

```



```
76 aaexp.ml \  
77 baexp.mli \  
78 baexp.ml \  
79 fixpoint.mli \  
80 fixpoint.ml \  
81 abexp.mli \  
82 abexp.ml \  
83 acom.mli \  
84 acom.ml \  
85 main.ml \  
86 \  
87 SOURCES_TERNARY_REDUCED_PRODUCT = \  
88 trace.mli \  
89 trace.ml \  
90 symbol_Table.mli \  
91 symbol_Table.ml \  
92 variables.mli \  
93 variables.ml \  
94 abstract_Syntax.ml \  
95 concrete_To_Abstract_Syntax.mli \  

```



```
116 red23.mli \  
117 red23.ml \  
118 red13.mli \  
119 red13.ml \  
120 red123.mli \  
121 red123.ml \  
122 avalues.mli \  
123 avalues.ml \  
124 aenv.mli \  
125 aenv.ml \  
126 aaexp.mli \  
127 aaexp.ml \  
128 baexp.mli \  
129 baexp.ml \  
130 fixpoint.mli \  
131 fixpoint.ml \  
132 abexp.mli \  
133 abexp.ml \  
134 acom.mli \  
135 acom.ml \  

```



```

136 main.ml
137
138 .PHONY : help
139 help :
140 @echo ""
141 @echo "Forward non-relational static analysis:"
142 @echo "make help      : this help"
143 @echo "(1) reset:"
144 @echo "make reset       : erase all mode choices"
145 @echo "(2) choose tracing mode:"
146 @echo "make trace        : tracing all"
147 @echo "make traceaexp    : tracing arithmetic expressions"
148 @echo "make tracebexp    : tracing boolean expressions"
149 @echo "make tracecom     : tracing commands"
150 @echo "make tracered     : tracing ternary reductions"
151 @echo "make notrace      : no tracing"
152 @echo "(3) choose abstract interpreter mode:"
153 @echo "(3a) relational/non-relational analysis:"
154 @echo "make r            : relational abstract interpreter"
155 @echo "make nr          : non-relational abstract interpreter"

```



```

176 @echo './a.out file.sil : analyze (the file "file.sil")'
177 @echo "make examples    : analyze all examples"
178 @echo "(6) clean:"
179 @echo "make clean        : remove auxiliary files"
180 @echo ""
181
182 .PHONY : trace
183 trace : traceaexp tracebexp tracecom tracered
184
185 .PHONY : traceaexp
186 traceaexp :
187 -@/bin/rm -f trace_aexp || true
188 @echo "" > trace_aexp
189 @echo "Tracing arithmetic expressions"
190
191 .PHONY : tracebexp
192 tracebexp :
193 -@/bin/rm -f trace_bexp || true
194 @echo "" > trace_bexp
195 @echo "Tracing boolean expressions"

```



```

156 @echo "(3b) boolean expressions:"
157 @echo "make fbool       : forward analysis"
158 @echo "make fbbool      : forward/backward analysis"
159 @echo "make fbrbool     : forward/backward reductive analysis"
160 @echo "(3c) arithmetic expressions:"
161 @echo "make fassign     : forward analysis"
162 @echo "make fbassign    : forward/backward analysis"
163 @echo "(4) choose static analysis and compile analyzer:"
164 @echo "make err         : error analysis"
165 @echo "make iss         : initialization and simple sign analysis"
166 @echo "make int         : interval analysis"
167 @echo "make par         : parity analysis"
168 @echo "make err-int     : error x interval analysis"
169 @echo "make iss-int     : initialization and simple sign x interval analysis"
170 @echo "make par-int     : parity x interval analysis"
171 @echo "make par-iss     : parity x initialization and simple sign analysis"
172 @echo "make par-iss-int : parity x initialization and simple sign analysis x"
173 @echo "                  interval"
174 @echo "(5) analyze:"
175 @echo "./a.out         : analyze (the standard input)"

```



```

196
197 .PHONY : tracecom
198 tracecom :
199 -@/bin/rm -f trace_com || true
200 @echo "" > trace_com
201 @echo "Tracing commands"
202
203 .PHONY : tracered
204 tracered :
205 -@/bin/rm -f trace_red || true
206 @echo "" > trace_red
207 @echo "Tracing ternary reductions"
208
209
210 .PHONY : notrace
211 notrace :
212 -@/bin/rm -f trace_* || true
213 @echo "Tracing mode off"
214
215 .PHONY : fbool

```



```

216 fbool :
217     @/bin/rm -f abexp.ml || true
218     @ln -s abexp_f.ml abexp.ml
219     @echo "Forward analysis of boolean expressions"
220
221 .PHONY : fbbool
222 fbbool :
223     @/bin/rm -f abexp.ml || true
224     @ln -s abexp_fb.ml abexp.ml
225     @echo "Forward/backward analysis of boolean expressions"
226
227 .PHONY : fbrbool
228 fbrbool :
229     @/bin/rm -f abexp.ml || true
230     @ln -s abexp_fbr.ml abexp.ml
231     @echo "Forward/backward analysis of boolean expressions with reduction"
232
233 .PHONY : fassign
234 fassign :
235     @/bin/rm -f acom.ml || true

```



```

256
257 .PHONY : err
258 err :
259     ocaml yacc parser.mly
260     ocamllex lexer.mll
261     @/bin/rm -f avalues.ml || true
262     @ln -s ../Non-Relational/02-Errors/avalues.ml avalues.ml
263 #   ocamlc -i ${SOURCES_SINGLE_DOMAIN} # to print types
264     ocamlc ${SOURCES_SINGLE_DOMAIN}
265     @echo '"Error" static analysis'
266
267 .PHONY : iss
268 iss :
269     ocaml yacc parser.mly
270     ocamllex lexer.mll
271     @/bin/rm -f avalues.ml || true
272     @ln -s ../Non-Relational/01-Initialization-Simple-Sign/avalues.ml avalues.ml
273 #   ocamlc -i ${SOURCES_SINGLE_DOMAIN} # to print types
274     ocamlc ${SOURCES_SINGLE_DOMAIN}
275     @echo '"Initialization and simple sign" static analysis'

```



```

236     @ln -s acom_fa.ml acom.ml
237     @echo "Forward analysis of assignments"
238
239 .PHONY : fbassign
240 fbassign :
241     @/bin/rm -f acom.ml || true
242     @ln -s acom_fba.ml acom.ml
243     @echo "Forward/backward analysis of assignments"
244
245 .PHONY : r
246 r : nr
247     @echo '"Relational" static analysis not implemented'
248
249 .PHONY : nr
250 nr :
251     @/bin/rm -f aenv.ml || true
252     @ln -s ../Non-Relational/aenv.ml aenv.ml
253     @/bin/rm -f avalues.mli || true
254     @ln -s ../Non-Relational/avalues.mli avalues.mli
255     @echo '"Non-relational" static analysis'

```



```

276
277 .PHONY : int
278 int :
279     ocaml yacc parser.mly
280     ocamllex lexer.mll
281     @/bin/rm -f avalues.ml || true
282     @ln -s ../Non-Relational/03-Intervals/avalues.ml avalues.ml
283 #   ocamlc -i ${SOURCES_SINGLE_DOMAIN} # to print types
284     ocamlc ${SOURCES_SINGLE_DOMAIN}
285     @echo '"Interval" static analysis'
286
287 .PHONY : par
288 par :
289     ocaml yacc parser.mly
290     ocamllex lexer.mll || true
291     @/bin/rm -f avalues.ml
292     @ln -s ../Non-Relational/04-Parity/avalues.ml avalues.ml
293 #   ocamlc -i ${SOURCES_SINGLE_DOMAIN} # to print types
294     ocamlc ${SOURCES_SINGLE_DOMAIN}
295     @echo '"Parity" static analysis'

```



```

296
297
298 .PHONY : err-int
299 err-int :
300     ocaml yacc parser.mly
301     ocamllex lexer.mll
302     @/bin/rm -f avalues1.mli || true
303     @ln -s avalues.mli avalues1.mli
304     @/bin/rm -f avalues1.ml || true
305     @ln -s ../Non-Relational/02-Errors/avalues.ml avalues1.ml
306     @/bin/rm -f avalues2.mli || true
307     @ln -s avalues.mli avalues2.mli
308     @/bin/rm -f avalues2.ml || true
309     @ln -s ../Non-Relational/03-Intervals/avalues.ml avalues2.ml
310     @/bin/rm -f red12.ml || true
311     @ln -s ../Non-Relational/05-Prod-Red/red-Errors-Intervals12.ml red12.ml
312     @/bin/rm -f avalues.ml || true
313     @ln -s ../Non-Relational/05-Prod-Red/avalues12.ml avalues.ml
314 #   ocamlc -i ${SOURCES_BINARY_REDUCED_PRODUCT} # to print types
315   ocamlc ${SOURCES_BINARY_REDUCED_PRODUCT}

```



```

336     @echo 'Reduced "initialization and simple sign" and "interval" static analysis'
337
338 .PHONY : par-int
339 par-int :
340     ocaml yacc parser.mly
341     ocamllex lexer.mll
342     @/bin/rm -f avalues1.mli || true
343     @ln -s avalues.mli avalues1.mli
344     @/bin/rm -f avalues1.ml || true
345     @ln -s ../Non-Relational/04-Parity/avalues.ml avalues1.ml
346     @/bin/rm -f avalues2.mli || true
347     @ln -s ../Non-Relational/03-Intervals/avalues.mli avalues2.mli
348     @/bin/rm -f avalues2.ml || true
349     @ln -s ../Non-Relational/03-Intervals/avalues.ml avalues2.ml
350     @/bin/rm -f red12.ml || true
351     @ln -s ../Non-Relational/05-Prod-Red/red-Parity-Intervals12.ml red12.ml
352     @/bin/rm -f avalues.ml || true
353     @ln -s ../Non-Relational/05-Prod-Red/avalues12.ml avalues.ml
354 #   ocamlc -i ${SOURCES_BINARY_REDUCED_PRODUCT} # to print types
355   ocamlc ${SOURCES_BINARY_REDUCED_PRODUCT}

```



```

316     @echo 'Reduced "error" and "interval" static analysis'
317
318 .PHONY : iss-int
319 iss-int :
320     ocaml yacc parser.mly
321     ocamllex lexer.mll
322     @/bin/rm -f avalues1.mli || true
323     @ln -s avalues.mli avalues1.mli
324     @/bin/rm -f avalues1.ml || true
325     @ln -s ../Non-Relational/01-Initialization-Simple-Sign/avalues.ml avalues1.ml
326     @/bin/rm -f avalues2.mli || true
327     @ln -s ../Non-Relational/03-Intervals/avalues.mli avalues2.mli
328     @/bin/rm -f avalues2.ml || true
329     @ln -s ../Non-Relational/03-Intervals/avalues.ml avalues2.ml
330     @/bin/rm -f red12.ml || true
331     @ln -s ../Non-Relational/05-Prod-Red/red-ISS-Intervals12.ml red12.ml
332     @/bin/rm -f avalues.ml || true
333     @ln -s ../Non-Relational/05-Prod-Red/avalues12.ml avalues.ml
334 #   ocamlc -i ${SOURCES_BINARY_REDUCED_PRODUCT} # to print types
335   ocamlc ${SOURCES_BINARY_REDUCED_PRODUCT}

```



```

356     @echo 'Reduced "parity" and "interval" static analysis'
357
358 .PHONY : par-iss
359 par-iss :
360     ocaml yacc parser.mly
361     ocamllex lexer.mll
362     @/bin/rm -f avalues1.mli || true
363     @ln -s avalues.mli avalues1.mli
364     @/bin/rm -f avalues1.ml || true
365     @ln -s ../Non-Relational/04-Parity/avalues.ml avalues1.ml
366     @/bin/rm -f avalues2.mli || true
367     @ln -s avalues.mli avalues2.mli
368     @/bin/rm -f avalues2.ml || true
369     @ln -s ../Non-Relational/01-Initialization-Simple-Sign/avalues.ml avalues2.ml
370     @/bin/rm -f red12.ml || true
371     @ln -s ../Non-Relational/05-Prod-Red/red-Parity-ISS12.ml red12.ml
372     @/bin/rm -f avalues.ml || true
373     @ln -s ../Non-Relational/05-Prod-Red/avalues12.ml avalues.ml
374 #   ocamlc -i ${SOURCES_BINARY_REDUCED_PRODUCT} # to print types
375   ocamlc ${SOURCES_BINARY_REDUCED_PRODUCT}

```



```

376 @echo 'Reduced "parity" and "Initialization and simple sign" static analysis'
377
378 .PHONY : par-iss-int
379 par-iss-int :
380 ocaml yacc parser.mly
381 ocamllex lexer.mll
382 @/bin/rm -f avalues1.mli || true
383 @ln -s avalues.mli avalues1.mli
384 @/bin/rm -f avalues1.ml || true
385 @ln -s ../Non-Relational/04-Parity/avalues.ml avalues1.ml
386 @/bin/rm -f avalues2.mli || true
387 @ln -s avalues.mli avalues2.mli
388 @/bin/rm -f avalues2.ml || true
389 @ln -s ../Non-Relational/01-Initialization-Simple-Sign/avalues.ml avalues2.ml
390 @/bin/rm -f avalues3.mli || true
391 @ln -s ../Non-Relational/03-Intervals/avalues.mli avalues3.mli
392 @/bin/rm -f avalues3.ml || true
393 @ln -s ../Non-Relational/03-Intervals/avalues.ml avalues3.ml
394 @/bin/rm -f red12.ml || true
395 @ln -s ../Non-Relational/05-Prod-Red/red-Parity-ISS12.ml red12.ml

```



```

416 reset :
417 -@/bin/rm -f abexp.ml acom.ml aenv.ml avalues.ml avalues.mli avalues1.ml avalues1.m
418 @echo "Remove instanciated files"
419

```



```

396 @/bin/rm -f red23.ml || true
397 @ln -s ../Non-Relational/05-Prod-Red/red-ISS-Intervals23.ml red23.ml
398 @/bin/rm -f red13.ml || true
399 @ln -s ../Non-Relational/05-Prod-Red/red-Parity-Intervals13.ml red13.ml
400 @/bin/rm -f avalues.ml || true
401 @ln -s ../Non-Relational/05-Prod-Red/avalues123.ml avalues.ml
402 # ocamlc -i ${SOURCES_TERNARY_REDUCED_PRODUCT} # to print types
403 ocamlc ${SOURCES_TERNARY_REDUCED_PRODUCT}
404 @echo 'Reduced "parity", "initialization and simple sign" and "interval" static ana
405
406 include ${EXAMPLES}/makefile
407
408 .PHONY : clean
409 clean :
410 -@/bin/rm -f *.cmi *.cmo *~ a.out lexer.mli parser.mli parser.ml || true
411 -@/bin/rm -f examples/*~ ../Non-Relational/*~ trace_* || true
412 -@/bin/rm -f ../Non-Relational/*/*~ || true
413 @echo "Remove auxiliary files"
414
415 .PHONY : reset

```



## Examples of instantiation of the generic forward static analyzer

```

% pwd
.../Generic-FW-Abstract-Interpreter
% make reset
Remove instanciated files
% make notrace
Tracing mode off
% make nr
"Non-relational" static analysis
% make fbrbool
Forward/backward analysis of boolean expressions with reduction
% make fbassign
Forward/backward analysis of assignments

```



```

% make err
"Error" static analysis
% ./a.out ../Examples/example25.sil
{ x:{_0_i}; y:{_0_i}; z:{_0_i} }
0:
  x := 0;
  y := ?;
  if ((x + y) = 0) then
    z := (x + y)
  else {(((x + y) < 0) | (0 < (x + y)))}
    z := 0
  fi
7:
{ x:{}; y:{}; z:{_0_a} }

```



## Bibliography

- [5] P. Cousot. "The Computational Design of a Generic Abstract Interpreter". In M. Broy and R. Steinbrüggen (eds.): *Computational System Design*. NATO ASI Series F. Amsterdam: IOS Press, 1999.
- [6] P. Cousot. "The Marktoberdorf'98 Generic Abstract Interpreter". <http://www.di.ens.fr/~cousot/Marktoberdorf98.shtml>.



```

% make int
"Interval" static analysis
% ./a.out ../Examples/example25.sil
{ x:[]; y:[]; z:[] }
0:
  x := 0;
  y := ?;
  if ((x + y) = 0) then
    z := (x + y)
  else {(((x + y) < 0) | (0 < (x + y)))}
    z := 0
  fi
7:
{ x:[0,0]; y:[min_int,max_int]; z:[0,0] }
% make clean
%

```



## THE END

My MIT web site is <http://www.mit.edu/~cousot/>

The course web site is <http://web.mit.edu/afs/athena.mit.edu/course/16/16.399/www/>.

