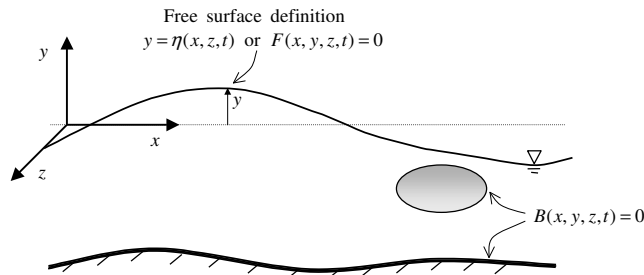


**2.20 - Marine Hydrodynamics  
Lecture 22**

**Chapter 6 - Water Waves**

**6.1 Exact (Nonlinear) Governing Equations for  
Surface Gravity Waves, Assuming Potential Flow**



Unknown variables

Velocity field:  $\vec{v}(x, y, z, t) = \nabla\phi(x, y, z, t)$

Position of free surface:  $y = \eta(x, z, t)$  or  $F(x, y, z, t) = 0$

Pressure field:  $p(x, y, z, t)$

Governing equations

Continuity:  $\nabla^2\phi = 0 \quad y < \eta \text{ or } F < 0$

Bernoulli for P-Flow:  $\frac{\partial\phi}{\partial t} + \frac{1}{2}|\nabla\phi|^2 + \frac{p-p_a}{\rho} + gy = 0; \quad y < \eta \text{ or } F < 0$

Far way, no disturbance:  $\partial\phi/\partial t, \nabla\phi \rightarrow 0$  and  $p = \underbrace{p_a}_{\text{atmospheric}} - \underbrace{\rho gy}_{\text{hydrostatic}}$

Boundary Conditions

1. On an impervious boundary  $B(x, y, z, t) = 0$ , we have KBC:

$$\vec{v} \cdot \hat{n} = \nabla\phi \cdot \hat{n} = \frac{\partial\phi}{\partial n} = \vec{U}(\vec{x}, t) \cdot \hat{n}(\vec{x}, t) = U_n \text{ on } B = 0$$

Alternatively: a particle P on  $B$  remains on  $B$ , i.e.,  $B$  is a material surface. For example if P is on  $B$  at  $t = t_0$ , P stays on  $B$  for all  $t$ .

$$B(\vec{x}_P, t_0) = 0, \text{ then } B(\vec{x}_P(t), t) = 0 \text{ for all } t,$$

so that, following P  $B$  is always 0.

$$\therefore \frac{DB}{Dt} = \frac{\partial B}{\partial t} + (\nabla\phi \cdot \nabla) B = 0 \text{ on } B = 0$$

For example, for a flat bottom at  $y = -h \Rightarrow B = y + h = 0 \Rightarrow$

$$\frac{DB}{Dt} = \left( \frac{\partial\phi}{\partial y} \right) \left( \underbrace{\frac{\partial}{\partial y}(y + h)}_{=1} \right) = 0 \Rightarrow \frac{\partial\phi}{\partial y} = 0 \text{ on } B = y + h = 0$$

2. On the free surface,  $y = \eta$  or  $F = y - \eta(x, z, t) = 0$  we have KBC and DBC.

KBC: free surface is a material surface, no normal velocity relative to the free surface. A particle on the free surface remains on the free surface for all times.

$$\frac{DF}{Dt} = 0 = \frac{D}{Dt}(y - \eta) = \underbrace{\frac{\partial\phi}{\partial y}}_{\substack{\text{vertical} \\ \text{velocity}}} - \frac{\partial\eta}{\partial t} - \frac{\partial\phi}{\partial x} \underbrace{\frac{\partial\eta}{\partial x}}_{\substack{\text{slope} \\ \text{of f.s.}}} - \frac{\partial\phi}{\partial z} \underbrace{\frac{\partial\eta}{\partial z}}_{\substack{\text{slope} \\ \text{of f.s.}}} \text{ on } y = \underbrace{\eta}_{\substack{\text{still} \\ \text{unknown}}}$$

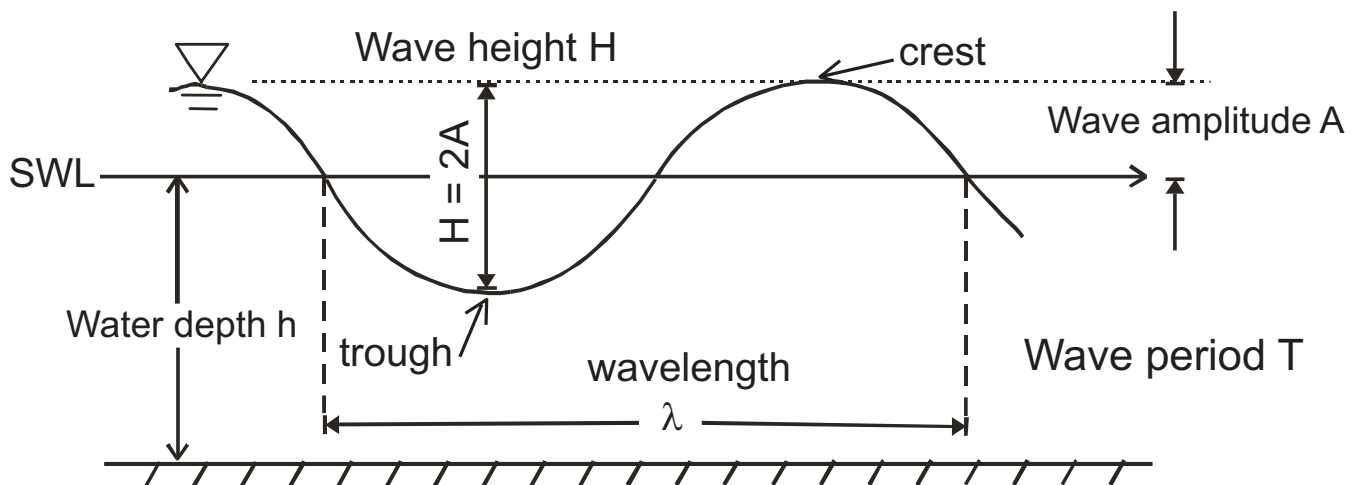
DBC:  $p = p_a$  on  $y = \eta$  or  $F = 0$ . Apply Bernoulli equation at  $y = \eta$ :

$$\frac{\partial\phi}{\partial t} + \underbrace{\frac{1}{2}|\nabla\phi|^2}_{\text{non-linear term}} + g \underbrace{\eta}_{\text{still unknown}} = 0 \text{ on } y = \eta$$

## 6.2 Linearized (Airy) Wave Theory

Assume small wave amplitude compared to wavelength, i.e., small free surface slope

$$\frac{A}{\lambda} \ll 1$$



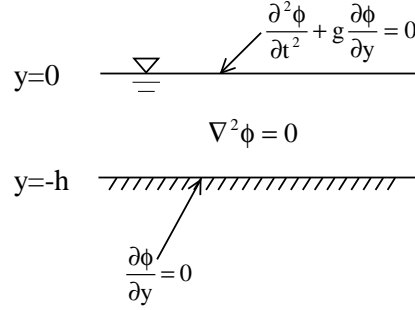
Consequently

$$\frac{\phi}{\lambda^2/T}, \frac{\eta}{\lambda} \ll 1$$

We keep only linear terms in  $\phi$ ,  $\eta$ .

For example:  $(\ )|_{y=\eta} = \underbrace{(\ )|_{y=0}}_{\text{keep}} + \eta \underbrace{\frac{\partial}{\partial y} (\ )|_{y=0}}_{\text{discard}} + \dots$  Taylor series

6.2.1 **BVP** In this paragraph we state the Boundary Value Problem for linear (Airy) waves.



Finite depth $h = \text{const}$	Infinite depth
GE: $\nabla^2\phi = 0, -h < y < 0$	$\nabla^2\phi = 0, y < 0$
BKBC: $\frac{\partial\phi}{\partial y} = 0, y = -h$	$\nabla\phi \rightarrow 0, y \rightarrow -\infty$
FSKBC: $\frac{\partial\phi}{\partial y} = \frac{\partial\eta}{\partial t}, y = 0$	} $\rightarrow \frac{\partial^2\phi}{\partial t^2} + g\frac{\partial\phi}{\partial y} = 0$
FSDBK: $\frac{\partial\phi}{\partial t} + g\eta = 0, y = 0$	

Introducing the notation  $\{ \}$  for infinite depth we can rewrite the BVP:

**Constant finite depth  $h$**  **{Infinite depth}**

$$\nabla^2\phi = 0, -h < y < 0 \quad \left\{ \nabla^2\phi = 0, y < 0 \right\} \quad (1)$$

$$\frac{\partial\phi}{\partial y} = 0, y = -h \quad \left\{ \nabla\phi \rightarrow 0, y \rightarrow -\infty \right\} \quad (2)$$

$$\frac{\partial^2\phi}{\partial t^2} + g\frac{\partial\phi}{\partial y} = 0, y = 0 \quad \left\{ \frac{\partial^2\phi}{\partial t^2} + g\frac{\partial\phi}{\partial y} = 0, y = 0 \right\} \quad (3)$$

**Given  $\phi$  calculate:**

$$\eta(x, t) = -\frac{1}{g} \frac{\partial\phi}{\partial t} \Big|_{y=0} \quad \left\{ \eta(x, t) = -\frac{1}{g} \frac{\partial\phi}{\partial t} \Big|_{y=0} \right\} \quad (4)$$

$$p - p_a = \underbrace{-\rho \frac{\partial\phi}{\partial t}}_{\text{dynamic}} - \underbrace{\rho gy}_{\text{hydrostatic}} \quad \left\{ p - p_a = \underbrace{-\rho \frac{\partial\phi}{\partial t}}_{\text{dynamic}} - \underbrace{\rho gy}_{\text{hydrostatic}} \right\} \quad (5)$$

6.2.2 **Solution** Solution of 2D periodic plane progressive waves, applying separation of variables.

We seek solutions to Equation (1) of the form  $e^{i\omega t}$  with respect to time. Using the KBC (2), after some algebra we find  $\phi$ . Upon substitution in Equation (4) we can also obtain  $\eta$ .

$$\phi = \frac{gA}{\omega} \sin(kx - \omega t) \frac{\cosh k(y+h)}{\cosh kh} \quad \left\{ \phi = \frac{gA}{\omega} \sin(kx - \omega t) e^{ky} \right\}$$

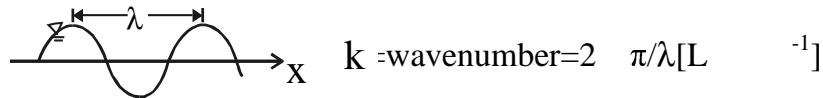
$$\eta \underset{\text{using (4)}}{=} A \cos(kx - \omega t) \quad \left\{ \eta \underset{\text{using(4)}}{=} A \cos(kx - \omega t) \right\}$$

where  $A$  is the wave amplitude  $A = H/2$ .

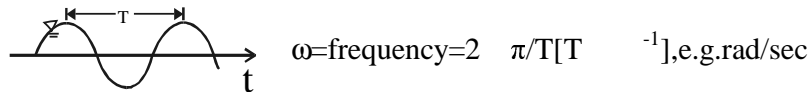
**Exercise** Verify that the obtained values for  $\phi$  and  $\eta$  satisfy Equations (1), (2), and (4).

### 6.2.3 Review on plane progressive waves

- (a) At  $t = 0$  (say),  $\eta = A \cos kx \rightarrow$  periodic in  $x$  with **wavelength**:  $\lambda = 2\pi/k$   
Units of  $\lambda$ :  $[L]$



- (b) At  $x = 0$  (say),  $\eta = A \cos \omega t \rightarrow$  periodic in  $t$  with **period**:  $T = 2\pi/\omega$   
Units of  $T$ :  $[T]$



- (c)  $\eta = A \cos \left[ k \left( x - \frac{\omega}{k} t \right) \right]$  Units of  $\frac{\omega}{k}$ :  $\left[ \frac{L}{T} \right]$

Following a point with velocity  $\frac{\omega}{k}$ , i.e.,  $x_p = \left( \frac{\omega}{k} \right) t + \text{const}$ , the phase of  $\eta$  does not change, i.e.,  $\frac{\omega}{k} = \frac{\lambda}{T} \equiv V_p \equiv$  phase velocity.

### 6.2.4 Dispersion Relation

So far, any  $\omega, k$  combination is allowed. However, recall that we still have not made use of the FSBC Equation (3). Upon substitution of  $\phi$  in Equation (3) we find that the following relation between  $h, k$ , and  $\omega$  must hold:

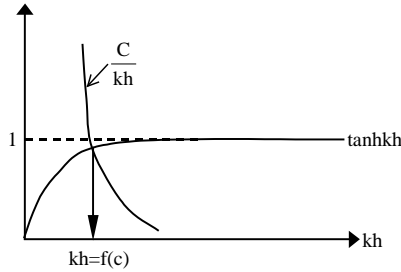
$$\frac{\partial^2 \phi}{\partial t^2} + g \frac{\partial \phi}{\partial y} = 0 \quad \xrightarrow{\substack{\uparrow \\ \phi = \frac{gA}{\omega} \sin(kx - \omega t) f(y)}} \quad -\omega^2 \cosh kh + gk \sinh kh = 0 \Rightarrow \omega^2 = gk \tanh kh$$

- This is the **Dispersion Relation**

$$\omega^2 = gk \tanh kh \quad \{ \omega^2 = gk \} \quad (6)$$

Given  $h$ , the Dispersion Relation (6) provides a **unique** relation between  $\omega$  and  $k$ , i.e.,  $\omega = \omega(k; h)$  or  $k = k(\omega; h)$ .

- Proof



$$C \equiv \frac{\omega^2 h}{g} \underbrace{\equiv}_{\text{from (6)}} (kh) \tanh(kh)$$

$$\frac{C}{kh} = \tanh kh$$

→ obtain unique solution for  $k$

- Comments

- *General* As  $\omega \uparrow$  then  $k \uparrow$ , or equivalently as  $T \uparrow$  then  $\lambda \uparrow$ .

$$\text{- Phase speed } V_p \equiv \frac{\lambda}{T} = \frac{\omega}{k} = \sqrt{\frac{g}{k} \tanh kh} \quad \left\{ V_p = \sqrt{\frac{g}{k}} \right\}$$

Therefore as  $T \uparrow$  or as  $\lambda \uparrow$ , then  $V_p \uparrow$ , i.e., longer waves are ‘faster’ in terms of phase speed.

- *Water depth effect* For waves the same  $k$  (or  $\lambda$ ), at different water depths, as  $h \uparrow$  then  $V_p \uparrow$ , i.e., for fixed  $k$   $V_p$  is fastest in deep water.
- *Frequency dispersion* Observe that  $V_p = V_p(k)$  or  $V_p(\omega)$ . This means that waves of different frequencies, have different phase speeds, i.e., frequency dispersion.

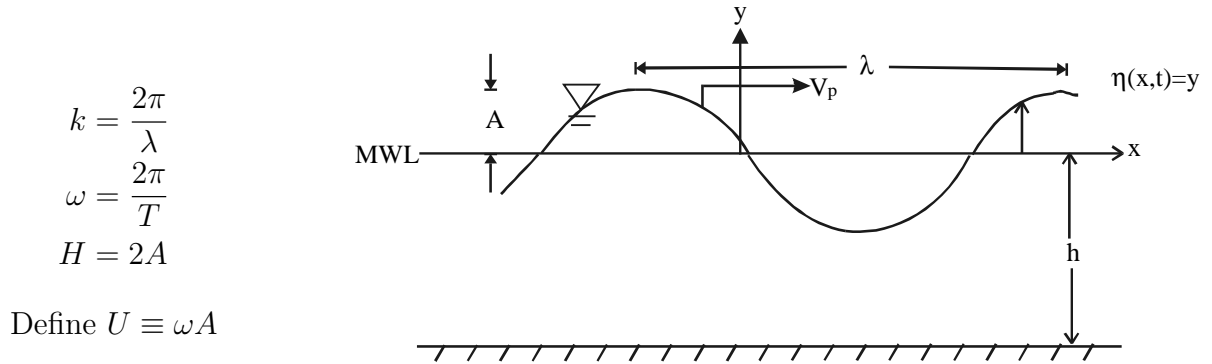
6.2.5 Solutions to the Dispersion Relation :  $\omega^2 = gk \tanh kh$

Property of  $\tanh kh$ :

$$\tanh kh = \frac{\sinh kh}{\cosh kh} = \frac{1 - e^{-2kh}}{1 + e^{-2kh}} \cong \begin{cases} kh & \text{for } kh \ll 1. \text{ In practice } \overbrace{h < \lambda/20}^{\text{long waves shallow water}} \\ 1 & \text{for } kh \gtrsim 3. \text{ In practice } \underbrace{h > \frac{\lambda}{2}}_{\text{short waves deep water}} \end{cases}$$

Shallow water waves or long waves	Intermediate depth or wavelength	Deep water waves or short waves
$kh \ll 1$ $\sim h < \lambda/20$	Need to solve $\omega^2 = gk \tanh kh$ given $\omega, h$ for $k$ (given $k, h$ for $\omega$ - easy!)	$kh \gg 1$ $\sim h > \lambda/2$
$\omega^2 \cong gk \cdot kh \rightarrow \omega = \sqrt{gh} k$ $\lambda = \sqrt{gh} T$	(a) Use tables or graphs (e.g.JNN fig.6.3) $\omega^2 = gk \tanh kh = gk_\infty$ $\Rightarrow \frac{k_\infty}{k} = \frac{\lambda}{\lambda_\infty} = \frac{V_p}{V_{p\infty}} = \tanh kh$ (b) Use numerical approximation (hand calculator, about 4 decimals ) i. Calculate $C = \omega^2 h/g$ ii. If $C > 2$ : "deeper" $\Rightarrow$ $kh \approx C(1 + 2e^{-2C} - 12e^{-4C} + \dots)$ If $C < 2$ : "shallower" $\Rightarrow$ $kh \approx \sqrt{C}(1 + 0.169C + 0.031C^2 + \dots)$	$\omega^2 = gk$ $\lambda = \frac{g}{2\pi} T^2$ ( $\lambda$ (in ft.) $\approx 5.12T^2$ (in sec.))
<b>No frequency dispersion</b> $V_p = \sqrt{gh}$	<b>Frequency dispersion</b> $V_p = \sqrt{\frac{g}{k} \tanh kh}$	<b>Frequency dispersion</b> $V_p = \sqrt{\frac{g}{2\pi} \lambda}$

### 6.3 Characteristics of a Linear Plane Progressive Wave



Linear Solution:

$$\eta = A \cos(kx - \omega t); \quad \phi = \frac{Ag}{\omega} \frac{\cosh k(y+h)}{\cosh kh} \sin(kx - \omega t), \text{ where } \omega^2 = gk \tanh kh$$

#### 6.3.1 Velocity field

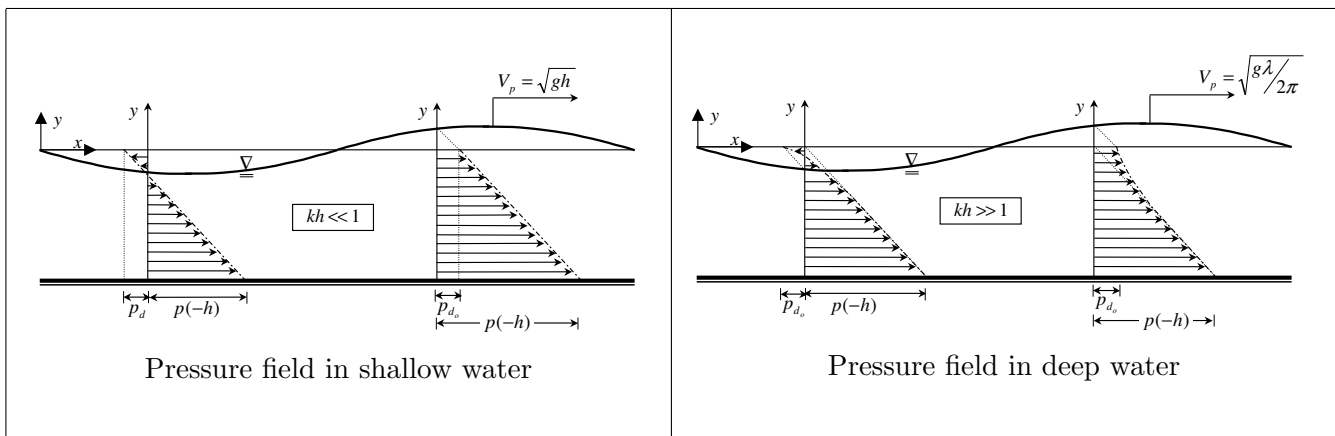
Velocity on free surface $\vec{v}(x, y = 0, t)$	
$u(x, 0, t) \equiv U_o = A\omega \frac{1}{\tanh kh} \cos(kx - \omega t)$	$v(x, 0, t) \equiv V_o = A\omega \sin(kx - \omega t) = \frac{\partial \eta}{\partial t}$
Velocity field $\vec{v}(x, y, t)$	
$u = \frac{\partial \phi}{\partial x} = \frac{Agk}{\omega} \frac{\cosh k(y+h)}{\cosh kh} \cos(kx - \omega t)$ $= \underbrace{A\omega}_U \frac{\cosh k(y+h)}{\sinh kh} \cos(kx - \omega t) \Rightarrow$	$v = \frac{\partial \phi}{\partial y} = \frac{Agk}{\omega} \frac{\sinh k(y+h)}{\cosh kh} \sin(kx - \omega t)$ $= \underbrace{A\omega}_U \frac{\sinh k(y+h)}{\sinh kh} \sin(kx - \omega t) \Rightarrow$
$\frac{u}{U_o} = \frac{\cosh k(y+h)}{\cosh kh} \begin{cases} \sim e^{ky} & \text{deep water} \\ \sim 1 & \text{shallow water} \end{cases}$	$\frac{v}{V_o} = \frac{\sinh k(y+h)}{\sinh kh} \begin{cases} \sim e^{ky} & \text{deep water} \\ \sim 1 + \frac{y}{h} & \text{shallow water} \end{cases}$
<ul style="list-style-type: none"> <li>• <math>u</math> is in phase with <math>\eta</math></li> </ul>	<ul style="list-style-type: none"> <li>• <math>v</math> is out of phase with <math>\eta</math></li> </ul>

Velocity field $\vec{v}(x, y)$		
Shallow water	Intermediate water	Deep water
<p> <math>u=0</math>      <math>u/U_0=1</math>  <math>v=0</math>      <math>v/V_0=1 + y/h</math> </p>	<p> <math>1/\cosh(kh)</math>  <math>1/\cosh(kh)</math> </p> <p>           Shallow water / Long waves: <math>kh \ll 1</math>  <math>u = \frac{A\omega}{kh} \cos(kx - \omega t) = \eta \sqrt{\frac{g}{h}}</math>  <math>v = A\omega \left(1 + \frac{y}{h}\right) \sin(kx - \omega t)</math> </p>	<p> <math>u=0</math>      <math>v=0</math>      <math>v=0</math> </p> <p>           Rule of thumb <math>\frac{u}{u_0} = \frac{v}{v_0} \approx 4\%</math> at <math>y = -\frac{\lambda}{2}</math>  <math>(\cosh kh \sim 1, \sinh kh \sim kh)</math> </p>

### 6.3.2 Pressure field

- Total pressure  $p = p_d - \rho g y$ .
- Dynamic pressure  $p_d = -\rho \frac{\partial \phi}{\partial t}$ .
- Dynamic pressure on free surface  $p_d(x, y = 0, t) \equiv p_{d_o}$

Pressure field		
Shallow water	Intermediate water	Deep water
$p_d = \rho g \eta$	$p_d = \rho g A \frac{\cosh k(y+h)}{\cosh kh} \cos(kx - \omega t)$ $= \rho g \frac{\cosh k(y+h)}{\cosh kh} \eta$	$p_d = \rho g e^{ky} \eta$
$\frac{p_d}{p_{d_o}}$ same picture as $\frac{u}{U_o}$		
$\frac{p_d(-h)}{p_{d_o}} = 1$ (no decay)	$\frac{p_d(-h)}{p_{d_o}} = \frac{1}{\cosh kh}$	$\frac{p_d(-h)}{p_{d_o}} = e^{-ky}$
$p = \underbrace{\rho g(\eta - y)}_{\text{“hydrostatic” approximation}}$		$p = \rho g (\eta e^{ky} - y)$



### 6.3.3 Particle Orbits ('Lagrangian' concept)

Let  $x_p(t), y_p(t)$  denote the position of particle P at time  $t$ .

Let  $(\bar{x}; \bar{y})$  denote the mean position of particle P.

The position P can be rewritten as  $x_p(t) = \bar{x} + x'(t)$ ,  $y_p(t) = \bar{y} + y'(t)$ , where  $(x'(t), y'(t))$  denotes the departure of P from the mean position.

In the same manner let  $\vec{v} \equiv \vec{v}(\bar{x}, \bar{y}, t)$  denote the velocity at the mean position and  $\vec{v}_p \equiv \vec{v}(x_p, y_p, t)$  denote the velocity at P.

$$\vec{v}_p = \vec{v}(\bar{x} + x', \bar{y} + y', t) \xrightarrow{\text{TSE}}$$

$$\vec{v}_p = \vec{v}(\bar{x}, \bar{y}, t) + \underbrace{\frac{\partial \vec{v}}{\partial x}(\bar{x}, \bar{y}, t) x' + \frac{\partial \vec{v}}{\partial y}(\bar{x}, \bar{y}, t) y' + \dots}_{\text{ignore - linear theory}} \Rightarrow$$

$$\vec{v}_p \cong \vec{v}$$

To estimate the position of P, we need to evaluate  $(x'(t), y'(t))$ :

$$\begin{aligned} x' &= \int dt u(\bar{x}, \bar{y}, t) = \int dt \omega A \frac{\cosh k(\bar{y} + h)}{\sinh kh} \cos(k\bar{x} - \omega t) \Rightarrow \\ &= -A \frac{\cosh k(\bar{y} + h)}{\sinh kh} \sin(k\bar{x} - \omega t) \\ y' &= \int dt v(\bar{x}, \bar{y}, t) = \int dt \omega A \frac{\sinh k(\bar{y} + h)}{\sinh kh} \sin(k\bar{x} - \omega t) \Rightarrow \\ &= A \frac{\sinh k(\bar{y} + h)}{\sinh kh} \cos(k\bar{x} - \omega t) \end{aligned}$$

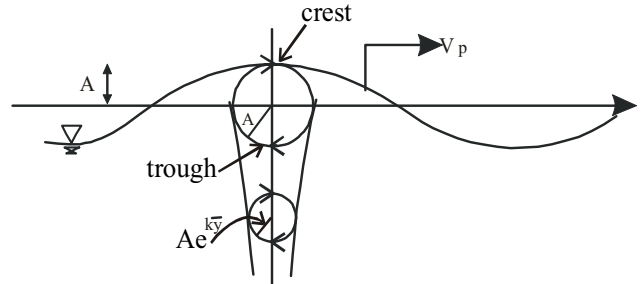
Check: On  $\bar{y} = 0$ ,  $y' = A \cos(k\bar{x} - \omega t) = \eta$ , i.e., the vertical motion of a free surface particle (in linear theory) coincides with the vertical free surface motion.

It can be shown that the particle motion satisfies

$$\frac{x'^2}{a^2} + \frac{y'^2}{b^2} = 1 \Leftrightarrow \frac{(x_p - \bar{x})^2}{a^2} + \frac{(y_p - \bar{y})^2}{b^2} = 1$$

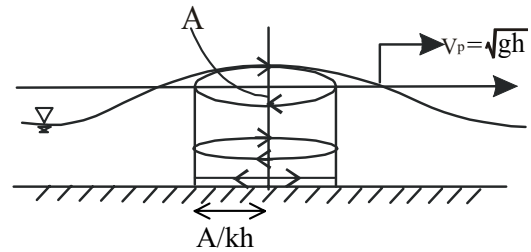
where  $a = A \frac{\cosh k(\bar{y} + h)}{\sinh kh}$  and  $b = A \frac{\sinh k(\bar{y} + h)}{\sinh kh}$ , i.e., the particle orbits form closed ellipses with horizontal and vertical axes  $a$  and  $b$ .

(a) deep water  $kh \gg 1$ :  $a = b = Ae^{ky}$   
 circular orbits with radii  $Ae^{ky}$  decreasing exponentially with depth

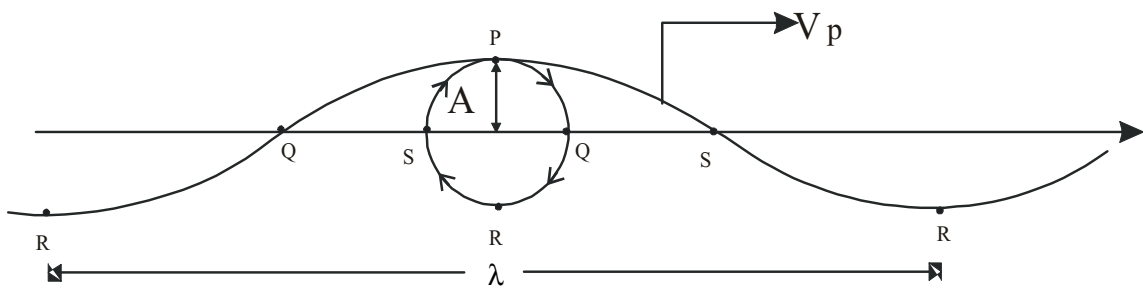
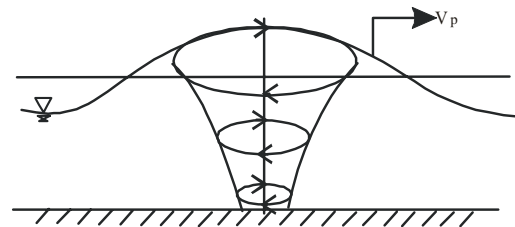


(b) shallow water  $kh \ll 1$ :

$a = \frac{A}{kh} = \text{const.}$ ;  $b = A(1 + \frac{y}{h})$   
 decreases linearly with depth



(c) Intermediate depth



### 6.3.4 Summary of Plane Progressive Wave Characteristics

$f(y)$	Deep water/ short waves $kh > \pi$ (say)	Shallow water/ long waves $kh \ll 1$
$\frac{\cosh k(y+h)}{\cosh kh} = f_1(y) \sim$ e.g. $p_d$	$e^{ky}$	1
$\frac{\cosh k(y+h)}{\sinh kh} = f_2(y) \sim$ e.g. $u, a$	$e^{ky}$	$\frac{1}{kh}$
$\frac{\sinh k(y+h)}{\sinh kh} = f_3(y) \sim$ e.g. $v, b$	$e^{ky}$	$1 + \frac{y}{h}$

$C(x) = \cos(kx - \omega t)$ <p>(in phase with <math>\eta</math>)</p>	$S(x) = \sin(kx - \omega t)$ <p>(out of phase with <math>\eta</math>)</p>
$\frac{\eta}{A} = C(x)$	
$\frac{u}{A\omega} = C(x) f_2(y)$	$\frac{v}{A\omega} = S(x) f_3(y)$
$\frac{p_d}{\rho g A} = C(x) f_1(y)$	
$\frac{y'}{A} = C(x) f_3(y)$	$\frac{x'}{A} = -S(x) f_2(y)$
$\frac{a}{A} = f_2(y)$	$\frac{b}{A} = f_3(y)$

