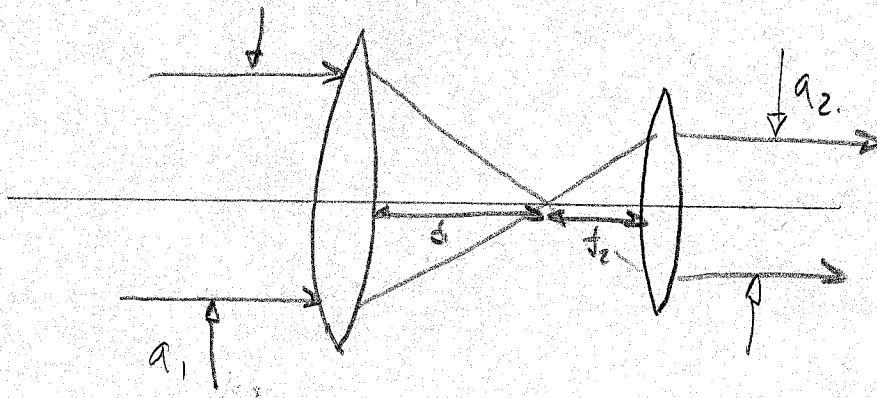


Problem 3.2

By inspection:

L_1 will bring a collimated beam to focus @ f_1 . Similarly, if we place L_2 , f_2 from this focus, light will emerge collimated.



$$\text{Separation} = d = f_1 + f_2$$

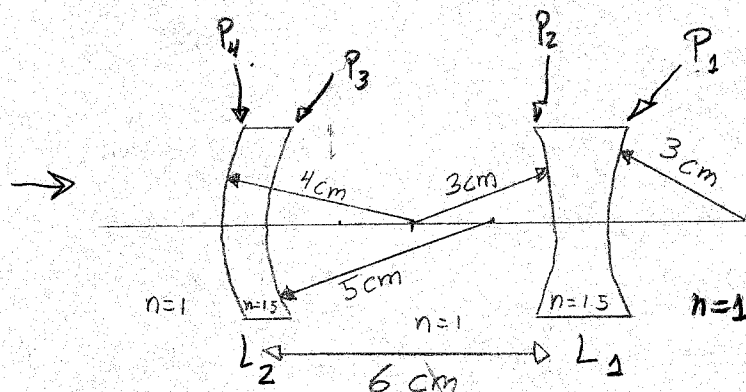
$$\text{Width of outgoing Ray} = \frac{f_2}{f_1} a_1$$

Problem Set 2

2.71-2.710 ①

- SOLUTION -

Problem 3



Power of surface = $\frac{n_{\text{to the right}} - n_{\text{to the left}}}{\text{Radius or curvature}}$

$$P_1 = \frac{1 - 1.5}{3} = -\frac{1}{6}$$

$$P_2 = \frac{1.5 - 1}{-3} = -\frac{1}{6}$$

$$P_3 = \frac{1 - 1.5}{5} = -\frac{1}{10}$$

$$P_4 = \frac{1.5 - 1}{4} = \frac{1}{8}$$

System Matrix

$$\begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} \text{Matrix for } L_1 \\ \text{Propagation at 6cm} \end{pmatrix} \begin{pmatrix} \text{Matrix for } L_2 \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$

$$\text{Matrix for a thick lens} = \begin{pmatrix} 1 - \frac{P_1 D}{n} & -[P_2 + P_1 - \frac{P_1 P_2 D}{n}] \\ \frac{D}{n} & 1 - \frac{P_2 D}{n} \end{pmatrix}$$

See Annex 1 for the derivation of this formula.



$$\begin{pmatrix} \text{Matrix for } L_1 \end{pmatrix} = \begin{pmatrix} 1 - \frac{P_1 \cdot 1\text{cm}}{1.5} & -[P_2 + P_1 - \frac{P_1 P_2 \cdot 1\text{cm}}{1.5}] \\ \frac{1\text{cm}}{1.5} & 1 - \frac{P_2 \cdot 1\text{cm}}{1.5} \end{pmatrix} = \begin{pmatrix} 1.11 & 0.352 \\ 0.666 & 1.11 \end{pmatrix}$$

$$\begin{pmatrix} \text{Propagation of 6cm} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 6 & 1 \end{pmatrix}$$

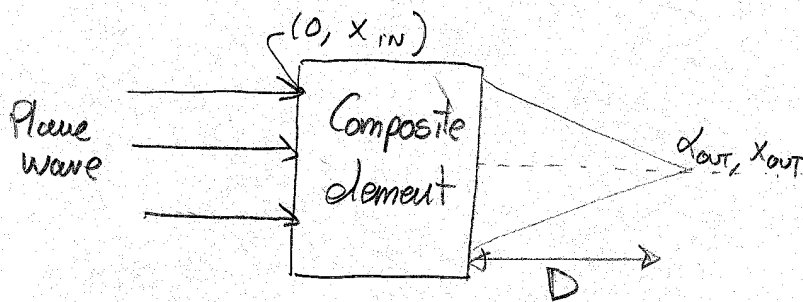
$$\begin{pmatrix} \text{Matrix for } L_2 \end{pmatrix} = \begin{pmatrix} 1 - \frac{P_3 \cdot 1\text{cm}}{1.5} & -[P_4 + P_3 - \frac{P_3 P_4 \cdot 1\text{cm}}{1.5}] \\ \frac{1\text{cm}}{1.5} & 1 - \frac{P_4 \cdot 1\text{cm}}{1.5} \end{pmatrix} = \begin{pmatrix} 1.067 & -0.03 \\ 0.67 & 0.917 \end{pmatrix}$$

$$\begin{pmatrix} \alpha_{OUT} \\ x_{OUT} \end{pmatrix} = \begin{pmatrix} 1.11 & 0.352 \\ 0.666 & 1.11 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 6 & 1 \end{pmatrix} \begin{pmatrix} 1.067 & -0.03 \\ 0.67 & 0.917 \end{pmatrix} \begin{pmatrix} \alpha_{IN} \\ x_{IN} \end{pmatrix}$$

$$= \underbrace{\begin{pmatrix} 3.67 & 0.215 \\ 8.56 & 0.77 \end{pmatrix}}_M \begin{pmatrix} \alpha_{IN} \\ x_{IN} \end{pmatrix}$$

$$\text{Power} = -M_{12} = \underline{\underline{-0.215}}$$

(b) Plane wave incident from the left:



$$\begin{pmatrix} \alpha_{OUT} \\ x_{OUT} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ D & 1 \end{pmatrix} \begin{pmatrix} M \end{pmatrix} \begin{pmatrix} 0 \\ x_{IN} \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ D & 1 \end{pmatrix} \begin{pmatrix} 3.67 & 0.215 \\ 8.56 & 0.77 \end{pmatrix} \begin{pmatrix} 0 \\ x_{IN} \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ D & 1 \end{pmatrix} \begin{pmatrix} 0.215 x_{IN} \\ 0.77 x_{IN} \end{pmatrix} = \begin{pmatrix} 0.215 x_{IN} \\ (0.215 \cdot D + 0.77) x_{IN} \end{pmatrix}$$

focal length $\equiv f = \frac{1}{-0.215} = -4.65 \text{ cm}$ (This is the Effective Focal Length (EFL)
This distance is referenced to the principal planes of the system)

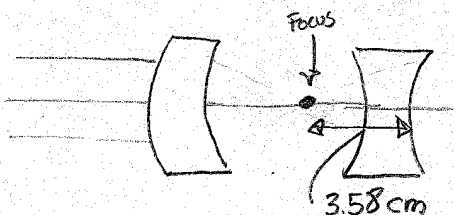
Focus Condition: $x_{OUT} = 0$

$$x_{OUT} = (0.215 D + 0.77) x_{IN} = 0$$

$$\Rightarrow 0.215 D + 0.77 = 0 \Rightarrow$$

$$\boxed{D = -3.58 \text{ cm}}$$
 : This is the Back Focal Length (BFL)

This distance is referenced to the last surface of the optical system, as depicted in the diagram



c) Object @ infinity.

The image will be virtual.

Derivation of Matrix for a thick Lens

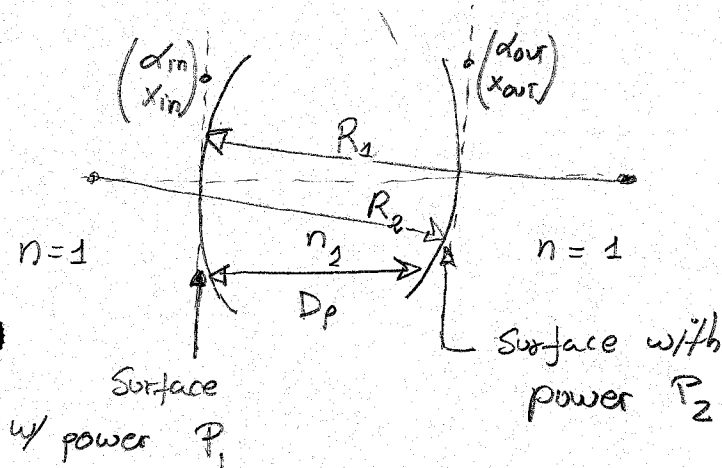
Recall from notes:

□ Refraction by spherical surface:
$$\begin{pmatrix} n'd_1' \\ x_1' \end{pmatrix} = \begin{pmatrix} 1 & -\text{Power} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} n'd_1 \\ x_1 \end{pmatrix}$$

□ Translation through unif. medium:
$$\begin{pmatrix} n'd_1 \\ x_1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ \frac{D_0}{n} & 1 \end{pmatrix} \begin{pmatrix} n'd_0 \\ x_0 \end{pmatrix}$$

↪ Distance to propagate.

Let's apply the above equations to a thick lens:



$$\begin{pmatrix} n'd_{out} \\ x_{out} \end{pmatrix} = \begin{pmatrix} \text{refraction by} \\ \text{power } P_2 \end{pmatrix} \begin{pmatrix} \text{propagation} \\ \text{by distance } D_p \end{pmatrix} \begin{pmatrix} \text{refraction by} \\ \text{power } P_1 \end{pmatrix} \begin{pmatrix} n'd_{in} \\ x_{in} \end{pmatrix}$$

$$= \begin{pmatrix} 1 - P_2 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{D_p}{n} & 1 \end{pmatrix} \begin{pmatrix} 1 - P_1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} d_{in} \\ x_{in} \end{pmatrix}$$

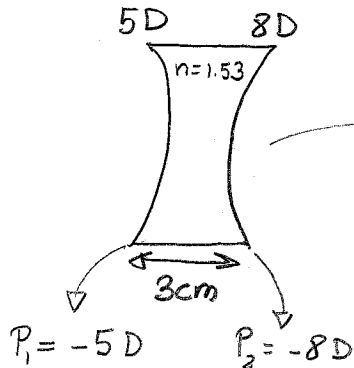
$$= \begin{pmatrix} 1 - P_2 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 - P_1 & 0 \\ \frac{D_p}{n} & -\frac{D_p}{n}P_1 + 1 \end{pmatrix} \begin{pmatrix} d_{in} \\ x_{in} \end{pmatrix}$$

$$\begin{pmatrix} d_{out} \\ x_{out} \end{pmatrix} = \begin{pmatrix} 1 - \frac{P_2 D_p}{n} & -[P_1 + P_2 - \frac{P_1 P_2 D_p}{n}] \\ \frac{D_p}{n} & 1 - \frac{P_1 D_p}{n} \end{pmatrix} \begin{pmatrix} d_{in} \\ x_{in} \end{pmatrix}$$

Problem 3

4

(a) Focal and Principal Planes



$$\text{Matrix} = \begin{pmatrix} 1 - \frac{P_2 \cdot 3\text{cm}}{n} & -\left[P_1 + P_2 - \frac{P_1 P_2 \cdot 3\text{cm}}{n}\right] \\ \frac{3\text{cm}}{n} & 1 - \frac{P_1 \cdot 3\text{cm}}{n} \end{pmatrix}$$

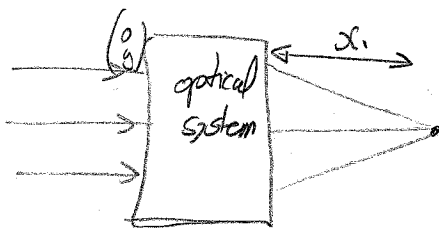
$$= \begin{pmatrix} 1 + \frac{8(0.03)}{1.53} & -\left[-5-8 - \frac{5 \cdot 8(0.03)}{1.53}\right] \\ \frac{0.03}{1.53} & 1 + \frac{5(0.03)}{1.53} \end{pmatrix}$$

$$= \begin{pmatrix} 1.16 & 13.78 \\ 0.0196 & 1.098 \end{pmatrix}$$

Ppal Planes:

First let's calculate the BFL and the FFL:

BFL:

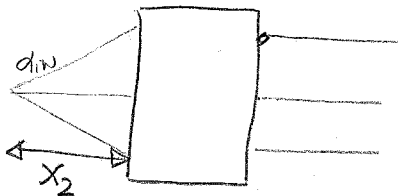


$$\begin{pmatrix} 1 & 0 \\ x_1 & 1 \end{pmatrix} \begin{pmatrix} 1.16 & 13.78 \\ 0.0196 & 1.098 \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} = \begin{pmatrix} x_{out} \\ y \end{pmatrix}$$

$$= \begin{pmatrix} 1.16 & 13.78 \\ 1.16x + 0.0196 & 13.78x + 1.098 \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} = \begin{pmatrix} x_{out} \\ y \end{pmatrix}$$

Focus Condition: $x_{out} = 0$, $\rightarrow 13.78x_1 + 1.098 = 0 \rightarrow x_1 = -7.97 \text{ cm}$ BFL

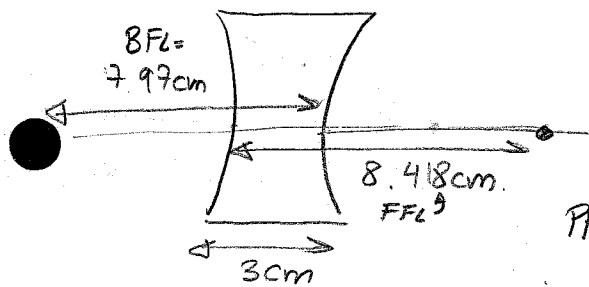
FFL:



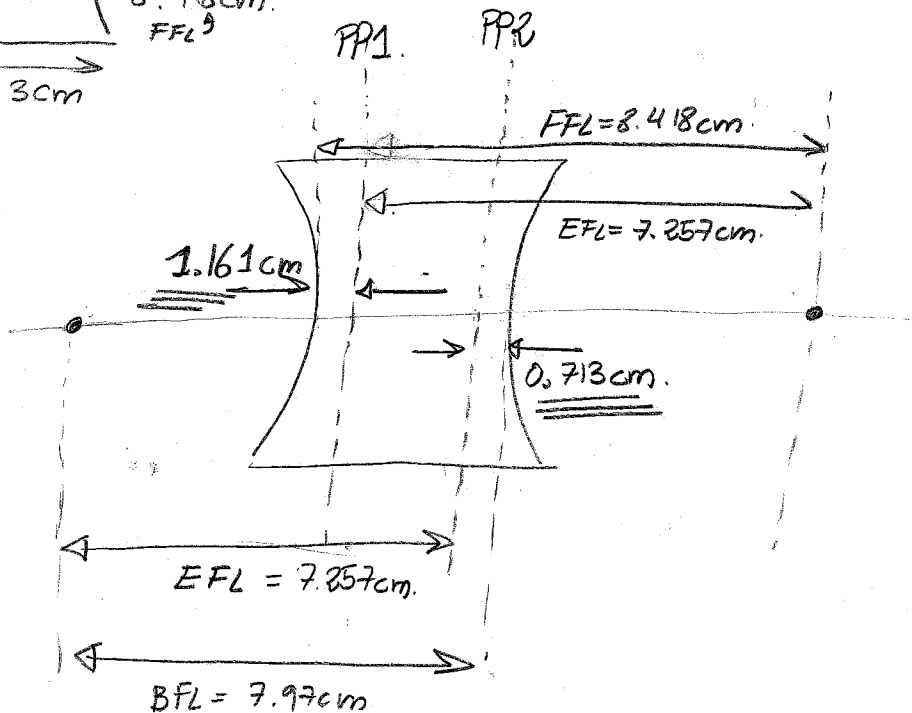
$$\begin{pmatrix} 1.16 & 13.78 \\ 0.0196 & 1.098 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ x_2 & 1 \end{pmatrix} \begin{pmatrix} d_{in} \\ x_{in} \end{pmatrix} = \begin{pmatrix} d_{out} \\ x_{out} \end{pmatrix}$$

$$\begin{pmatrix} 1.16 + 13.78x_2 & 13.78 \\ 1.098x_2 + 0.0196 & 1.098 \end{pmatrix} \begin{pmatrix} d_{in} \\ 0 \end{pmatrix} = \begin{pmatrix} d_{out} \\ x_{out} \end{pmatrix}$$

$\rightarrow x_{out} = 0 \rightarrow 1.16 + 13.78x_2 = 0 \rightarrow x_2 = -8.418 \text{ cm}$



$$\text{Effective Focal Length (EFL)} = \frac{1}{\text{Power}} = \underline{\underline{-7.257 \text{ cm}}}$$



(b) Object placed 30 cm to the left of 1st lens vertex.
Where will the image be formed?

Diagram of a single lens with an object at 30cm to the left. The image distance is s' .

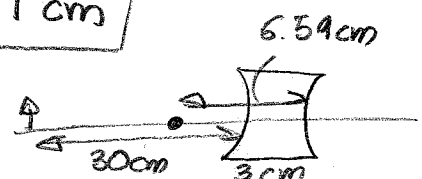
$$\begin{pmatrix} \alpha_{out} \\ x_{out} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ s' & 1 \end{pmatrix} \begin{pmatrix} 1.16 & 13.78 \\ 0.0196 & 1.098 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0.3 & 1 \end{pmatrix} \begin{pmatrix} \alpha_{in} \\ x_{in} \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ s' & 1 \end{pmatrix} \begin{pmatrix} 5.294 & 13.78 \\ 0.349 & 1.098 \end{pmatrix} \begin{pmatrix} \alpha_{in} \\ x_{in} \end{pmatrix}$$

Imaging Condition: $\frac{\partial x_{out}}{\partial \alpha_{in}} = 0$

$$\begin{pmatrix} \alpha_{out} \\ x_{out} \end{pmatrix} = \begin{pmatrix} 5.294 & 13.78' \\ 5.294s' + 0.349 & 13.78s' + 1.098 \end{pmatrix} \begin{pmatrix} \alpha_{in} \\ x_{in} \end{pmatrix}$$

$$5.294s' + 0.349 = 0 \rightarrow \boxed{s' = -6.59 \text{ cm}}$$



(c) Thin Lens Approximation

(3)

$$\text{Matrix for thin lens} = \begin{pmatrix} 1 & -(P_1 + P_2) \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -(-13) \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 13 \\ 0 & 1 \end{pmatrix}$$

Image Formation:

$$\begin{pmatrix} d_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ s' & 1 \end{pmatrix} \begin{pmatrix} 1 & 13 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0.3 & 1 \end{pmatrix} \begin{pmatrix} d_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$

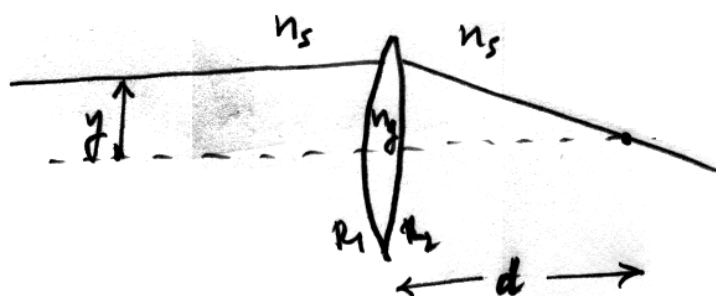
Image Condition: $\frac{\partial x_{\text{out}}}{\partial d_{\text{in}}} = 0 \rightarrow$

$$\begin{pmatrix} d_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ s' & 1 \end{pmatrix} \begin{pmatrix} 4.9 & 13 \\ 0.3 & 1 \end{pmatrix} \begin{pmatrix} d_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$
$$= \begin{pmatrix} 4.9 & 13 \\ 4.9s' + 0.3 & 13s' + 1 \end{pmatrix} \begin{pmatrix} d_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$

$$\downarrow$$
$$4.9s' + 0.3 = 0 \rightarrow \boxed{s'_{\text{thin-lens}} = -6.122 \text{ cm.}}$$

$$\% \text{ Error} = \left(100 - \frac{6.122}{6.59} \times 100 \right) = \underline{\underline{7.1\%}}$$

- ① A thin bi-convex lens of index 1.5 is known to have focal length of 50 cm in air when immersed in a transparent liquid medium, the focal length is measured to be 250 cm. What is the refractive index n of the liquid?
- Soln.



n_g = index of glass
 n_s = index of surrounding medium
 d = focal length

$$\text{power } P = (n_g - n_s) \left(\frac{1}{R_1} - \frac{1}{R_2} \right)$$

→ find the focal length d

$$\begin{pmatrix} 1 & 0 \\ \frac{d}{n_s} & 1 \end{pmatrix} \begin{pmatrix} 1 & -P \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} = \begin{pmatrix} 1 & -P \\ \frac{d}{n_s} & 1 - \frac{Pd}{n_s} \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} = \begin{pmatrix} -Py \\ (1 - \frac{Pd}{n_s})y \end{pmatrix}$$

$$(1 - \frac{Pd}{n_s})y = 0 \quad (\text{for all } y) \Rightarrow \boxed{d = \frac{n_s}{P}}$$

$$\text{air: } \frac{1}{(1.5-1)(\frac{1}{R_1} - \frac{1}{R_2})} = 50$$

$$\text{liquid: } \frac{n}{(1.5-n)(\frac{1}{R_1} - \frac{1}{R_2})} = 250$$

$$\left. \begin{array}{l} \text{air: } \frac{1}{(1.5-1)(\frac{1}{R_1} - \frac{1}{R_2})} = 50 \\ \text{liquid: } \frac{n}{(1.5-n)(\frac{1}{R_1} - \frac{1}{R_2})} = 250 \end{array} \right\} \text{divide} \Rightarrow \frac{\frac{n}{1.5-n}}{\frac{1}{1.5-1}} = \frac{250}{50} \Rightarrow \underline{\underline{n = 1.36}}$$

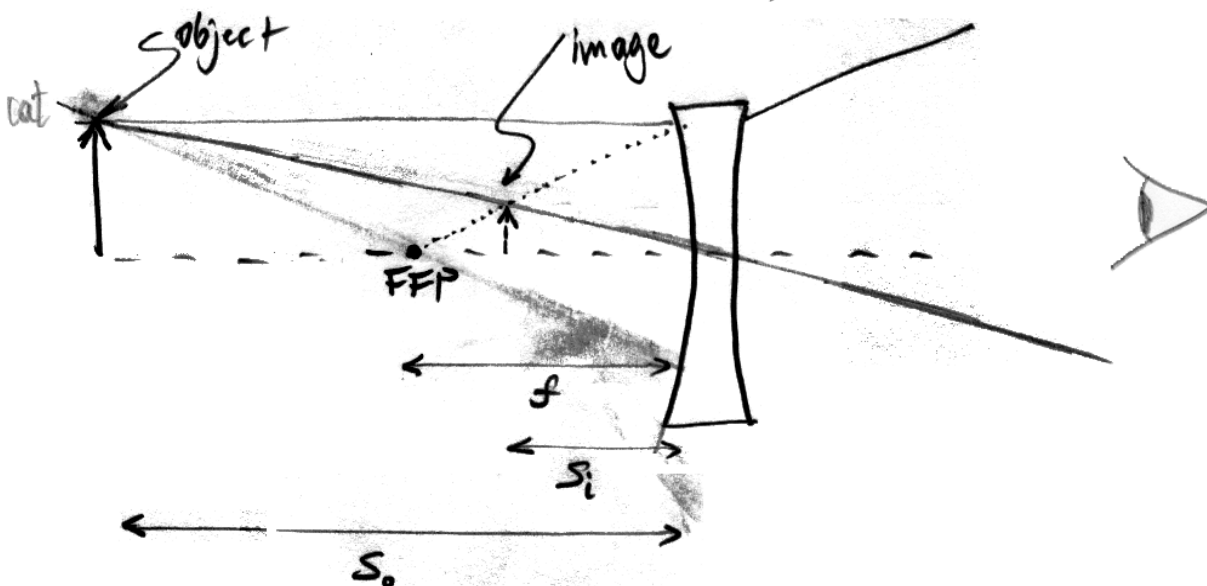
- ② You'd like to look through a lens at your pet kitten and see it standing right-side up but shrunk to $\frac{1}{3}$ its normal height. If the absolute value of the focal length is f , determine what kind of lens is needed (i.e. positive or negative) as well as the object & image distances in terms of f .

Soln.

image de-magnified & erect \Rightarrow need negative lens
(see Hecht Table 5.3 p. 165)

$$M_{\text{lateral}} = -\frac{s_i}{s_o} = \frac{1}{3}$$

$$\frac{1}{s_i} + \frac{1}{s_o} = -\frac{1}{f} \Rightarrow \frac{1}{s_i} + \frac{1}{(-3s_i)} = -\frac{1}{f} \Rightarrow s_i = -\frac{2f}{3}$$



1. (45%) We intend to use a spherical ball lens of radius R and refractive index n as magnifier in an imaging system, as shown in Figure A. The refractive index satisfies the relationship $1 < n < 4/3$, and the medium surrounding the ball lens is air (refractive index = 1).
- 1.a) Calculate the effective focal length (EFL) of the ball lens. Use the thick lens model with appropriate parameters.
- 1.b) Locate the back focal length (BFL), the front focal length (FFL) and the principal planes of the ball lens.
- 1.c) An object located at distance d to the left of the back surface of the ball lens, as shown in Figure A, where

$$d = R \frac{4 - 3n}{4(n - 1)}.$$

Show that the object is one half (EFL) behind the principal plane, and use this fact to find the location of the image plane.

- 1.d) Is the image real or virtual? Is it erect or inverted? What is the magnification?
- 1.e) Locate the aperture stop and calculate the numerical aperture (NA) of the optical system of Figure A.
- 1.f) Sketch how a human observer using the optical system of Figure A as input to her eye would form the final image of the object on her retina.

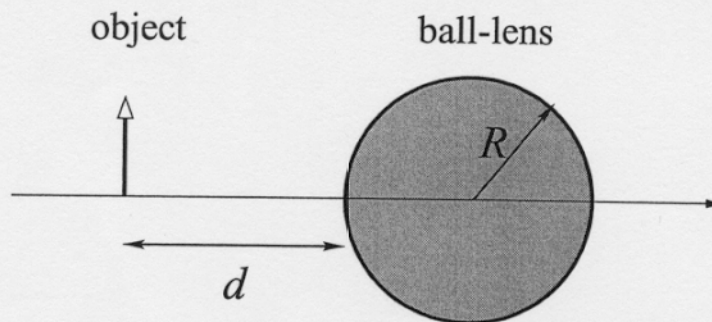
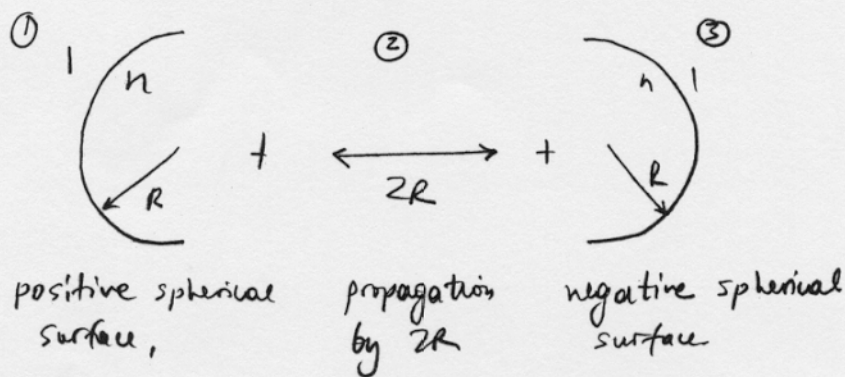
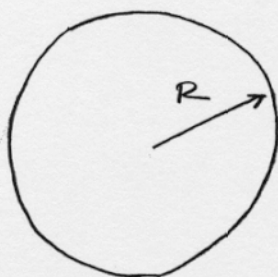


Figure A

Problem 1.

(1.a)

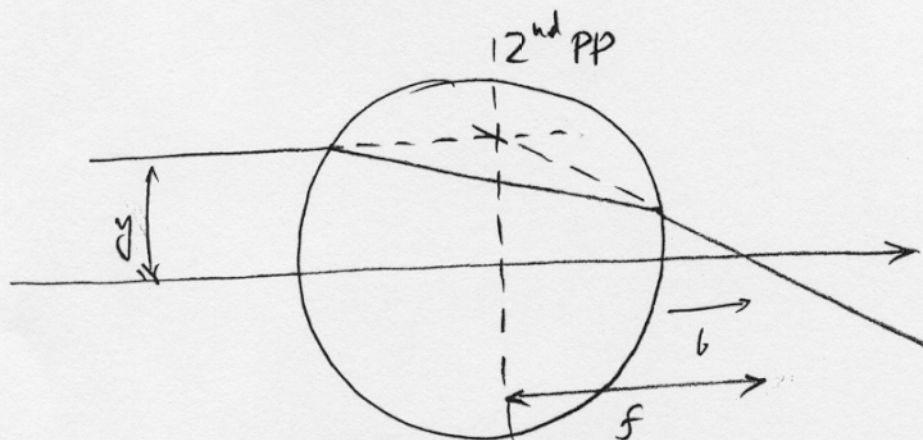


Matrix method:

$$\begin{aligned}
 & \begin{pmatrix} 1 & -\frac{1-n}{-R} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{2R}{n} & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{n-1}{R} \\ 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} 1 + \frac{1-n}{R} \cdot \frac{2R}{n} & \frac{1-n}{R} \\ \frac{2R}{n} & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{n-1}{R} \\ 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} \frac{2}{n} - 1 & \frac{1-n}{R} \\ \frac{2R}{n} & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{n-1}{R} \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \frac{2}{n} - 1 & -\frac{2}{n} \frac{n-1}{R} + \frac{n-1}{R} + \frac{1-n}{R} \\ \frac{2R}{n} & -\frac{2R}{n} \cdot \frac{n-1}{R} + 1 \end{pmatrix} \\
 &= \begin{pmatrix} \frac{2}{n} - 1 & -\frac{2(n-1)}{nR} \\ \frac{2R}{n} & \frac{2}{n} - 1 \end{pmatrix} \rightarrow EFL = \frac{nR}{2(n-1)} \equiv f
 \end{aligned}$$

(note $f > 0$ always)

(1.6)



$$\begin{pmatrix} 1 & 0 \\ b & 1 \end{pmatrix} \begin{pmatrix} \frac{2}{n}-1 & -\frac{2(n-1)}{nR} \\ \frac{2R}{n} & \frac{2}{n}-1 \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} =$$

$$= \begin{pmatrix} \frac{2}{n}-1 & -\frac{2(n-1)}{nR} \\ \left(\frac{2}{n}-1\right)b + \frac{2R}{n} & -\frac{2(n-1)b}{nR} + \left(\frac{2}{n}-1\right)y \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} = \begin{pmatrix} -\frac{2(n-1)y}{nR} \\ \left[-\frac{2(n-1)b}{nR} + \left(\frac{2}{n}-1\right)\right]y \end{pmatrix}$$

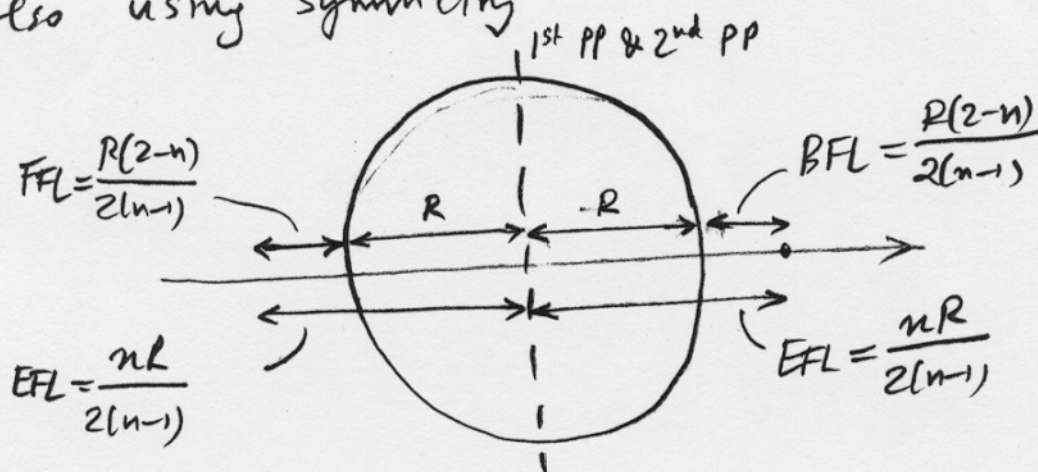
$$\rightarrow = 0 \Rightarrow b = \frac{nR \cdot \frac{2-n}{n}}{2(n-1)} = \frac{R(2-n)}{2(n-1)} = \text{BFL}$$

(note $b < 0$ i.e. 2nd FP inside ball if $n > 2$)

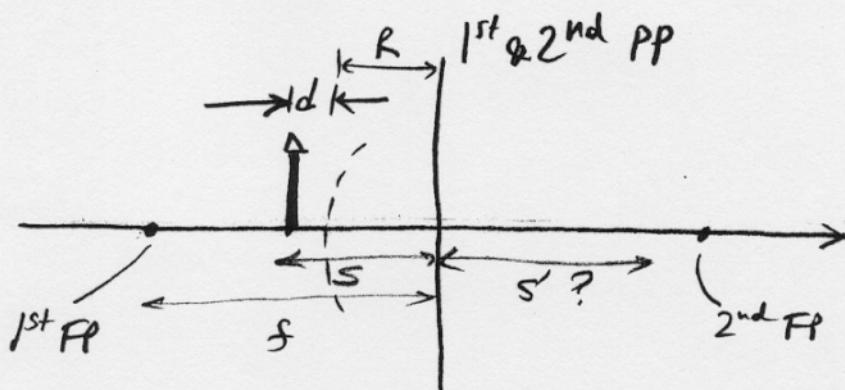
Location of 2nd PP wrt back surface of the ball

$$b - f = \frac{R(2-n)}{2(n-1)} - \frac{nR}{2(n-1)} = \frac{R}{2(n-1)} (2-n-n) = \frac{2R(1-n)}{2(n-1)} = -R$$

Also using symmetry



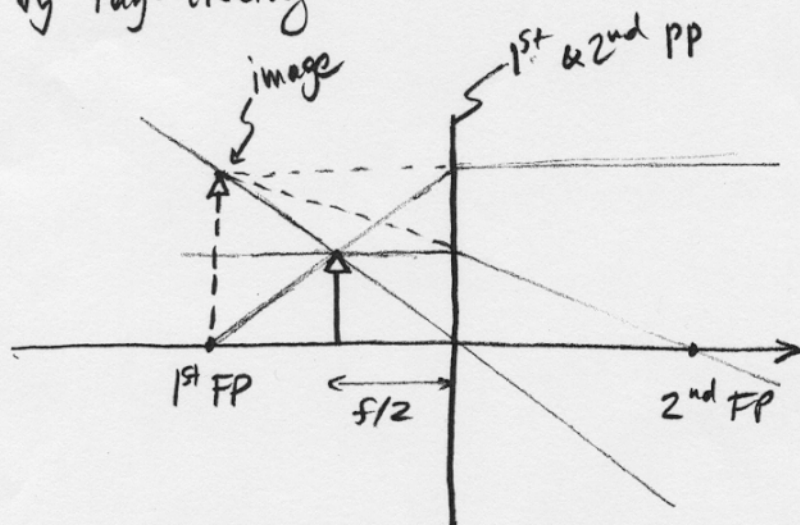
(1.c)



$$S = R \frac{4-3n}{4(n-1)} + R = R \frac{4-3n+4n-4}{4(n-1)} = \frac{Rn}{4(n-1)} = \frac{f}{2}$$

$$\frac{1}{s} + \frac{1}{s'} = \frac{1}{f} \Rightarrow \frac{2}{f} + \frac{1}{s'} = \frac{1}{f} \Rightarrow \frac{1}{s'} = -\frac{1}{f} \Rightarrow s' = -f$$

(6R) by ray-tracing



(1.d) virtual (need to extend rays backwards to intersect them)

erect

magnified (laterally), $m_n = -\frac{s'}{s} = +2$.

7. Image stabilizer / Anti-shake.

(a) System matrix from A to A'

$$M = \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{1}{f} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ d-s & 1 \end{pmatrix}$$

$$\frac{1}{f} = \frac{n' - n}{R_1} + \frac{n - n'}{R_2}$$

$$= \frac{0.5}{50} + \frac{0.5}{0.5}$$

$$= \frac{1}{50}$$

$$\Rightarrow f = 50 \text{ cm.}$$

$$d = 36 \text{ cm.}$$

$$M = \begin{pmatrix} 1 & 0 \\ 36s & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{1}{50} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 36-s & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & -\frac{1}{50} \\ s & 1 - \frac{s}{50} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 36-s & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 - \frac{1}{50}(36-s) & -\frac{1}{50} \\ \boxed{s + (1 - \frac{s}{50})(36-s)} & 1 - \frac{s}{50} \end{pmatrix}$$

Imaging condition: $\begin{matrix} \parallel \\ 0. \end{matrix}$

$$\Rightarrow s + (1 - \frac{s}{50})(36-s) = 0.$$

$$s_1 = 30 \text{ cm}, s_2 = 6 \text{ cm}$$

As $s < d-s$.

$$\Rightarrow \boxed{s = 6} \text{ cm.}$$

(b). When camera is tilted by 1° , A is off optical axis

$$\text{by } (d-s) \cdot \frac{1^\circ}{180^\circ} \cdot \pi$$

$$= 300 \text{ mm} \cdot \frac{\pi}{180}$$

$$\hat{=} 5.236 \text{ mm}.$$

$$M_{LT} = -\frac{s}{d-s} = -\frac{6}{30}$$

$$\text{so } \overline{AA''} = |M_{LT} \cdot 5.236| = 1.0472 \text{ mm}$$

CCD / CMOS chip must move 1.0472 mm.

(c). Assume lens moves laterally by δ , so optical axis moves by δ . then object A is off axis by

$$(5.236 - \delta).$$

Since the image must be at the same position of A', and now A' is off axis by δ .

$$\Rightarrow \delta = |M_{LT} \cdot (5.236 - \delta)|$$

$$\Rightarrow \delta = \frac{1}{5} (5.236 - \delta).$$

$$\boxed{\delta = \frac{1}{6} \times 5.236 = 0.8727 \text{ mm}}$$