

# Thermodynamic Analysis of Resources Used in Manufacturing Processes

**Timothy G. Gutowski\***, **Matthew S. Branham**, **Jeffrey B. Dahmus**, **Alissa J. Jones**,

**Alexandre Thiriez**, and

Department of Mechanical Engineering.  
Massachusetts Institute of Technology  
Cambridge, MA

**Dusan P. Sekulic**

Department of Mechanical Engineering  
University of Kentucky  
Lexington, KY

\*Corresponding Author  
Room 35-234  
77 Massachusetts Ave  
Cambridge, MA 02139  
617 253 2034, office  
617 253 1556, fax  
Gutowski@mit.edu

## ABSTRACT

In this paper we use a thermodynamic framework to characterize the material and energy resources used in manufacturing processes. The analysis and data span a wide range of processes from “conventional” processes such as machining, casting and injection molding, to the so-called “advanced machining” processes such as electrical discharge machining (EDM) and abrasive waterjet machining, up to the vapor phase processes used in semiconductor and nano-materials fabrication. In all, 20 processes are analyzed. The results show that the intensity of materials and energy used per unit of mass of material processed (measured either as specific energy or exergy) have increased by at least 6 orders of magnitude over the last several decades. The increase of material/energy intensity use has been primarily a consequence of the introduction of new manufacturing processes, rather than caused by changes in traditional technologies. This phenomenon has been driven by the desire for precise small scale devices and product features, and enabled by stable and declining material and energy prices over this period. We illustrate the relevance of Thermodynamics (including exergy analysis) for all processes in spite of the fact that long lasting focus in manufacturing has been on product quality – not necessarily energy/material conversion efficiency. We promote the use of Thermodynamics tools for analysis of manufacturing processes within the context of rapidly increasing relevance of sustainable human enterprises. We confirm that exergy analysis can be used to identify where resources are lost in these processes, which is the first step in proposing and/or redesigning new more efficient processes.

## INTRODUCTION

The main purpose of manufacturing processes is to transform materials into useful products. In the course of these operations, energy resources are consumed and the usefulness of material resources is altered. Each of these effects can have significant consequences for the environment and for sustainability, particularly when the processes are practiced on a very large scale. Thermodynamics is well suited to analyze the magnitude of these effects as well as the efficiency of the transformations. The framework developed here is based upon exergy analysis (1-5). The data for this study draws upon previous work in the area of manufacturing process characterization, but also includes numerous measurements and estimates we have

conducted. In all, we analyze 20 different manufacturing processes often in many different instances for each process. The key process studies from the literature are: for micro-electronics, Murphy (6), Williams (7), Krishnan (8), Zhang and Dornfeld (9),

and Boyd (10); for nano-materials processing, Isaacs (11) and Khanna (12); for other manufacturing processes, Morow and Skerlos (13), Boustead (14, 15), Munoz and Sheng (16), and Mattis and Sheng (17). Our own works including Dahmus (18), Dalquist (19), Thiriez (20, 21), Baniszewski (22), Kurd (23), Cho (24), Kordonowy (25), Jones (26), Branham (27, 28), and Gutowski (29). In addition, several texts and overviews also provide useful process data (30-35), and researchers are addressing thermodynamic reference states and alternative metrics which could be used with the models presented here (36-38).

## THERMODYNAMIC FRAMEWORK

Manufacturing can be modeled as a sequence of open thermodynamic processes as proposed by Gyftopoulos and Beretta for materials processing (1). Each stage in the process can have work and heat interactions, as well as materials flows. The useful output, primarily in form of material flows of products and by-products from a given stage can then be passed on to the next. Each step inevitably involves losses due to an inherent departure from reversible processes, hence generates entropy and a stream of waste materials and exergy losses (often misinterpreted as energy losses).

Figure 1 depicts a generalized model of a manufacturing system. The manufacturing subsystem ( $\Omega_{MF}$ ) receives work  $W$  and heat  $Q$  from an energy conversion subsystem ( $\Omega_{ECMF}$ ). The upstream input materials come from the materials processing subsystem ( $\Omega_{MA}$ ), which also has an energy conversion subsystem ( $\Omega_{ECMA}$ ). This network representation can be infinitely expanded to encompass ever more complex and detailed inputs and outputs.

**ALL FIGURES AND TABLES ARE AT THE BACK OF THIS PAPER**  
**INSERT FIGURE 1 HERE**

At each stage, the sub- systems interact with the environment (at some reference pressure  $p_0$ , temperature  $T_0$  and chemical composition, which is given by mole fractions  $x_i$ ,  $i \in (1, n)$ , of  $n$  chemical compounds, characterized by chemical potentials  $\mu_i$ ) The performance of these systems can then be described in thermodynamic terms by formulating mass, energy, and entropy balances. Beginning with the manufacturing system  $\Omega_{MF}$  featuring the system's mass  $M_{MF}$ , energy  $E_{MF}$ , and entropy  $S_{MF}$ , we have three basic rate equations:

**Mass Balance:**

$$\frac{dM_{MF}}{dt} = \left( \sum_{i=1} \dot{N}_{i,in} \tilde{M}_i \right)_{MF} - \left( \sum_{i=1} \dot{N}_{i,out} \tilde{M}_i \right)_{MF} \quad (1)$$

where  $\dot{N}_i$  is the number of moles of the  $i^{\text{th}}$  component entering or leaving the system and  $\tilde{M}_i$  is the molar mass of that component.

**Energy Balance:**

$$\frac{dE_{MF}}{dt} = \sum_i \dot{Q}_{ECMF,i}^{MF \leftarrow} - \dot{Q}_0^{MF \rightarrow} + \dot{W}_{ECMF}^{MF \leftarrow} + \dot{H}_{MF}^{mat} - \dot{H}_{MF}^{prod} - \dot{H}_{MF}^{res} \quad (2)$$

Where  $\dot{Q}_{ECMF,i}^{MF \leftarrow}$  and  $\dot{W}_{ECMF}^{MF \leftarrow}$  represent energy interactions between the manufacturing subsystem ( $\Omega_{MF}$ ) and its energy supplying subsystem ( $\Omega_{ECMF}$ ). The  $\dot{H}$  terms signify the lumped sums of the enthalpy rates of all materials, products, and residue bulk flows into/out of the manufacturing system. Note that a heat interaction between  $\Omega_{MF}$  and the environment, denoted by the subscript "o" is assumed to be out of the system (a loss into the surroundings) at the local temperature  $T_o$ .

**Entropy Balance:**

$$\frac{dS_{MF}}{dt} = \sum_k \frac{\dot{Q}_{ECMF}^{MF\leftarrow}}{T_k} - \frac{\dot{Q}_0^{MF\rightarrow}}{T_0} + \dot{S}_{MF}^{mat} - \dot{S}_{MF}^{prod} - \dot{S}_{MF}^{res} + \dot{S}_{irr, MF} \quad (3)$$

where  $\dot{Q}^{MF}/T$  represent the entropy flows accompanying the heat transfer rates exchanged between the subsystem  $\Omega_{MF}$  and energy supplying subsystem ( $\Omega_{ECMF}$ ) and environment, respectively while  $\dot{S}_i$  indicate the lumped sums of the entropy rates of all material flows. The term  $S_{irr, MF}$  represents the entropy production caused by irreversibilities generated within the manufacturing subsystem.

Assuming steady state, and eliminating  $\dot{Q}_0$  between equations (2) and (3) yields an expression for the work rate requirement for the manufacturing process:

$$\dot{W}_{ECMF}^{MF\leftarrow} = ((\dot{H}_{MF}^{prod} + \dot{H}_{MF}^{res}) - \dot{H}_{MF}^{mat}) - T_0((\dot{S}_{MF}^{prod} + \dot{S}_{MF}^{res}) - \dot{S}_{MF}^{mat}) - \sum_{k>0} \left(1 - \frac{T_0}{T_k}\right) \dot{Q}_{ECMF}^{MF\leftarrow} + T_0 \dot{S}_{irr, MF} \quad (4)$$

The quantity H-TS appears often in thermodynamic analysis and is referred to as the Gibbs free energy. In this case, a slightly different quantity appears,  $H-T_0S$ . The difference between this and the same quantity evaluated at the reference state (denoted by the subscript ‘‘o’’) is called exergy,  $B = (H-T_0S) - (H-T_0S)_o$ . Exergy represents the maximum amount of work that could be extracted from a system as it is reversibly brought to equilibrium with a well-defined environmental reference state. In general, the bulk-flow terms in (4) may include contributions that account for both the physical and chemical exergies, hence  $B = B^{ph} + B^{ch}$ , as well as kinetic and potential exergy (not considered in this discussion), see (2–5).

The physical exergy is that portion of the exergy that can be extracted from a system by bringing a given state to the ‘‘restricted dead state’’ at a reference temperature and pressure ( $T_o, p_o$ ). The chemical exergy contribution represents the additional available energy potential that can be extracted from the system at the restricted dead state by bringing the chemical potentials  $\mu^*_i$  of a component  $i \in (1, n)$  at that state ( $T_o, p_o$ ) to the equilibrium with its surroundings at the *ultimate dead state*, or just the ‘‘dead state’’ ( $T_o, p_o, \mu_{i,o}$ ). In addition to requiring an equilibrium at the reference temperature and pressure, the definition of chemical exergies also requires an equilibrium at reference state with respect to a specified chemical composition. This reference state is typically taken to be (by convention) representative of the compounds in the earth’s upper crust, atmosphere, and oceans. In this article, exergy values are calculated using the Szargut reference environment (5).

Substituting and writing explicit terms for the expressions for physical and chemical exergy allows us to write the work rate as,

$$\dot{W}_{ECMF}^{MF\leftarrow} = ((\dot{B}_{MF}^{prod, ph} + \dot{B}_{MF}^{res, ph}) - \dot{B}_{MF}^{mat, ph}) + \left(\sum_{i=1}^n b_{i,o}^{ch} \dot{N}_i\right)_{MF}^{prod} + \left(\sum_{i=1}^n b_{i,o}^{ch} \dot{N}_i\right)_{MF}^{res} - \left(\sum_{i=1}^n b_i^{ch} \dot{N}_i\right)_{MF}^{mat} - \sum_{k>0} \left(1 - \frac{T_0}{T_k}\right) \dot{Q}_{ECMF}^{MF\leftarrow} + T_0 \dot{S}_{irr, MF} \quad (5)$$

Using the same analysis for the system  $\Omega_{ECMF}$  yields:

$$\dot{W}_{ECMF}^{MF\leftarrow} = (\dot{B}_{ECMF}^{E-fuel, ph} - \dot{B}_{ECMF}^{E-res, ph}) + \left(\sum_{i=1}^n b_{0,i}^{ch} \dot{N}_i\right)_{ECMF}^{E-fuel} - \left(\sum_{i=1}^n b_{0,i}^{ch} \dot{N}_i\right)_{ECMF}^{E-res} - \sum_{k>0} \left(1 - \frac{T_0}{T_k}\right) \dot{Q}_{ECMF}^{MF\leftarrow} - T_0 \dot{S}_{irr, MF} \quad (6)$$

Here we have purposefully separated out the physical exergies, written as extensive quantities  $B$ , and the chemical exergies, where  $b_{i,o}^{ch}$  represent the molar chemical exergies in the ‘‘restricted dead state’’ (2). We do this to emphasize the generality of this framework and the significant differences between two very important applications. In resource accounting, as done in Life Cycle Analysis, the physical exergy terms are often ignored. Hence the first bracketed term on the right hand side of equation (5) becomes zero because the material flows enter and exit the manufacturing process at the restricted dead state. However many manufacturing processes involve material flows with non-zero physical exergies at system boundaries. To

analyze these processes, and in particular to estimate the minimum work rate, and exergy lost, these terms must be retained. This is typical for an engineering analysis of a thermodynamic system. Note that very similar equations can also be derived for the systems  $\Omega_{MA}$  and  $\Omega_{ECMA}$ . Before proceeding, it is worth pointing out several important insights from these results. First, in both equations (5) and (6) we see that the magnitude of the work input is included fully while the heat inputs are modified (reduced) by a Carnot factor  $(1-T_o/T_k)$ . Hence, in exergy analysis, work and heat are not equivalent, as they are in First Law analysis. Secondly, equation (5) provides the framework for estimating the minimum work input for any process, i.e., when irreversibilities are zero,  $T_o S_{irr} = 0$ . The analytical statement formulated by Eq. (6) features all the energy interactions (including the energy carried by material streams) in terms of exergies – i.e., the available energy equivalents of all energy interactions. Such a balance may be written in general, for an arbitrary open system  $\Omega$  (including the one presented in Fig. 1) as follows, see Fig. 2,

**INSERT HERE FIGURE 2**

$$\dot{B}_{in} + \dot{B}_{W,in} + \dot{B}_{Q,in} = \dot{B}_{out} + \dot{B}_{W,out} + \dot{B}_{Q,out} + \dot{B}_{loss} \quad (7)$$

In Eq. (7), the exergy components (i.e., exergy modes) of the balance are as follows: (i)  $\dot{B}_{in/out} = \dot{B}_{in/out}^{ph} + \dot{B}_{in/out}^{ch}$ , (ii)  $\dot{B}_{W,in/out} = \dot{W}_{in/out}$ , (iii)  $\dot{B}_{Q,in/out} = (1 - T_o / T) \dot{Q}_{in/out}$ , and (iv)  $\dot{B}_{loss} = T_o \dot{S}_{irr}$ . Work required beyond the minimum work, by definition, is lost. This represents exergy destroyed ( $\dot{B}_{loss}$ ). Note that equivalent work inputs from heat can be estimated from the difference between the chemical exergies of the fuels and the residues to produce the work as given by equation (6).

### **ELECTRICAL ENERGY (EXERGY) USED IN MANUFACTURING PROCESSES**

Manufacturing processes are made up of a series of processing steps, which for high production situations are usually automated. For some manufacturing processes many steps can be integrated into a single piece of equipment. A modern milling machine, for example, can include a wide variety of functions including work handling, lubrication, chip removal, tool changing, and tool break detection, all in addition to the basic function of the machine tool, which is to cut metal by plastic deformation. The result is that these additional functions can often dominate energy requirements at the machine. This is shown in Figure 3 for an automotive machining line (29, 30). In this case, the maximum energy requirement for the actual machining in terms of electricity is only 14.8% of the total. Note that this energy represents an entity that is recognized in Thermodynamics as a work interaction. At lower production rates the machining contribution is even smaller. Other processes exhibit similar behaviour. See for example data for microelectronics fabrication processes as provided by Murphy (6). Thiriez shows the same effect for injection molding (20, 21). In general, there is a significant energy requirement to start-up and maintain the equipment in a “ready” position. Once in the “ready” position, there is then an additional requirement which is proportional to the quantity of material being processed. This situation is modelled in Equation 8.

$$\dot{W} = \dot{W}_o + k\dot{m} \quad (8)$$

where  $\dot{W}$  = total power used by the process equipment, in Watts  
 $\dot{W}_o$  = “idle” power for the equipment in the ready position, in Watts  
 $\dot{m}$  = the rate of material processing in mass/time, and  
 $k$  = a constant, with units of Joules/mass

Note that the total power used by the process may alternately be presented as the exergy rate that corresponds to the electrical work. Hence, this equation is directly related to equation (5) for the work rate  $\dot{W}$ .

Note that with a model for the reversible work, one could directly calculate the lost exergy  $T_o \dot{S}_{irr}$  by comparing equations (5) and (8).

**INSERT HERE FIGURE 3**

The specific electrical work rate per unit of material processed,  $w_{elect}$ , in units of Joules/mass, is then

$$w_{elect} = \frac{\dot{W}_o}{\dot{m}} + k \quad (9)$$

This corresponds to the specific or intensive work rate input (exergy rate) used by a manufacturing process. In general, the term  $\dot{W}_o$  comes from the equipment features required to support the process, while  $k$  comes from the physics of the process. For example, for a cutting tool  $\dot{W}_o$  comes from the coolant pump, hydraulic pump, computer console and other idling equipment, while  $k$  is the specific cutting work which is closely related to the work piece hardness, the specifics of the cutting mechanics, and the spindle motor efficiency. For a thermal process,  $\dot{W}_o$  comes from the power required to maintain the furnace at the proper temperature, while  $k$  is related to the incremental input required to raise the temperature of a unit of product, this is proportional to the material heat capacity, temperature increment and the enthalpies of any phase changes that might take place.

We have observed that the electrical power requirements of many manufacturing processes are actually quite constrained, often in the range 5 – 50 kW. This happens for several reasons related to electrical and design standards, process portability, and efficiency. On the other hand, when looking over many different manufacturing processes, the process rates can vary by 10 orders of magnitude. This suggests that it might be possible to collapse the specific electrical work requirements for these processes versus process rate on a single log–log plot. We have done this, and in fact the data do collapse, as shown in Figure 4 for 20 different manufacturing processes. (Note that the data for this figure are given in the supporting information.) What we see is that the data are essentially contained between four lines. The lower diagonal at 5kW and the upper at 50kW bound most of the data for the advanced machining processes and for the micro and nano processes. The horizontal lines are meant to indicate useful references for the physical constant  $k$ . The lower one at 1 MJ/kg is approximately equal to the minimum work required to melt either aluminium or iron. The work to plastically deform these metals, as in milling and machining, would lie just below this line. The upper horizontal line approximates the work required to vaporize these metals. Somewhat surprisingly, nearly all of the data we have collected on a rather broad array of manufacturing processes, some of them with power requirements far exceeding 50 kW, are contained within these four lines. In the “diagonal region”, the behavior is described by the first term on the right hand side of equation 9. At about 10 kg/hr there is a transition to a more constant work requirement, essentially between 1 – 10 MJ/kg. This group includes processes with very large power requirements. For example, the electric induction melters use between 0.5 to 5 MW and the cupola uses approximately 28 MW power. Note that the cupola is powered by coke combustion and not electricity, hence the power was calculated based upon the exergy difference between the fuel inputs and residue outputs at  $T_o$ ,  $p_o$  according to equations (5) and (6). This difference includes any exergy losses during the process.

The processes at the bottom, between the horizontal lines, are the older, more conventional manufacturing processes such as machining, injection molding and metal melting for casting. At the very top of the diagram we see newer, more recently developed processes with very high values of electric work per unit of material processed. The thermal oxidative processes (shown for two different furnace configurations) can

produce very thin layers of oxidized silicon for semiconductor devices. This process, which is carried out at elevated temperatures, is based upon oxygen diffusing through an already oxidized layer and therefore is extremely slow (6). The other process at the top (EDM drilling) can produce very fine curved cooling channels in turbine blades by a spark discharge process (35). Fortunately, these processes do not process large quantities of material and therefore represent only a very small fraction of electricity used in the manufacturing sector.

In the central region of the figure are many of the manufacturing processes used in semiconductor manufacturing. These include sputtering, dry etching, and several variations on the chemical vapour deposition process (CVD). While these are not the highest on the plot, some versions of these processes do process considerable amounts of materials. For example, the CVD process is an important step in the production of electronic grade silicon (EGS) at about 1GJ/kg. Worldwide production of EGS now exceeds 20,000 metric tons, resulting in the need for at least 20PJ of electricity (31). Notice also that recent results for carbon nano-fibers are also in the same region (12). These fibers are being proposed for large scale use in nano-fiber composites. Furthermore, carbon nano-tubes, and single walled nano-tubes (SWNT) generally lie well above the nano-fibers – at least one order of magnitude (28), and possibility as much as two orders of magnitude or more (11). Hence it should not be thought that these very exergy intensive processes only operate on small quantities of materials and therefore their total electricity usage is small. In fact, in several cases it is the opposite that is true.

#### INSERT HERE FIGURE 4

When considering the data in Figure 4, keep in mind that an individual process can move up and down the diagonal by a change in operating process rate. This happens, for example, when a milling machine is used for finish machining versus rough machining, or when a CVD process operates on a different number of wafers at a time.

Note also that the data in Figure 4 may require further modification in order to agree with typical estimates of energy consumption by manufacturing processes given in the Life Cycle literature. For example, the data for injection molding, given by Thiriez, averages about 3 MJ/kg. At a grid efficiency of 30% this yields a specific energy value of 10 MJ/kg. However, most injection molding operations include a variety of additional sub-processes such as extrusion, compounding, and drying, all of which add substantially to the energy totals. If these additional pieces of equipment are also included, they result in a value for injection molding of about 20 MJ/kg which agrees with the Life Cycle literature (14, 15, 20). Additionally, the data in Figure 4 do not include facility level air handling and environmental conditioning, which for semiconductors can be substantial (28).

### DEGREE OF PERFECTION FOR MANUFACTURING PROCESSES

The exergy analysis of manufacturing processes, depending on the interactions involved, may or may not involve all or only some of the exergy modes (see Eq. 7). Note that equations (5) through (7) show an exergy mode equivalence (as far as the additivity of this quantity is concerned) that allows us to aggregate work, heat and material exergy. One should keep in mind that the exergies of different types may not have the same non-thermodynamics value (e.g. monetary value) – but still may be aggregated. Material exergies can be viewed in two ways: 1) as a measure of the maximum work potential of the material with respect to a reference environment, and/or 2) as a measure of the minimum work required to extract the material from the reference environment. This accounting scheme applies equally to fuels as well as non-fuel materials. In fact many non-fuel materials such as metals, plastics and highly reactive gases can have very high chemical exergies. When this dimension is added to the analysis, processes that refine chemical compounds and create pure components are given a credit for creating something of value, while those that destroy chemical exergy by mixing and reacting are given a deficit. Here we will apply this analysis to two examples using the so called “degree of perfection”, Szargut (5).

$$\eta_p = \frac{B_{\text{useful\_products}}}{B_{\text{inputs}}} \quad (10)$$

The numerator represents the material exergy of the useful output product produced by the manufacturing process. It should be mentioned that a figure of merit indicating a degree of perfection may be structured in a number of ways. Not a single representation is appropriate for all situations. In general, the most appropriate ones are characterized with the following requirements: 1) numerator and denominator are both in terms of the same physical entity (exergy) leading to a dimensionless quantity, 2) the range of values spans the range between 0 and 1, and 3) the result should signify the objective of the analysis. In the case of Eq. (10), the denominator represents the exergy of the input materials (including work exergy in form of electricity into the process). We will illustrate the magnitude of his figure of merit for two manufacturing processes at opposite ends of the material throughput spectrum. At the high production rate end, we analyze a batch electric induction melting furnace as used in the iron foundry industry (27). And at the low production rate we look at plasma-enhanced chemical vapor deposition of silicon dioxide as used in the semiconductor industry (28). The materials and electricity exergy data and the results are given in Tables 1 and 2. The difference in efficiencies (almost six orders of magnitude) may not seem as a big surprise given the previous results from Figure 4. But what is different is the use of very high exergy auxiliary materials in manufacturing processes which are not incorporated into the product. For example, in Table 2, one sees that the exergy of the input cleaning gases alone is more than four orders of magnitude greater than the product output. Furthermore, these gases have to be treated to reduce their reactivity and possible attendant pollution. If this is done using point of use combustion with methane, the exergy of the methane alone can exceed the electricity input (10, 29). When still other manufacturing processes are analyzed, one finds that while the degree of perfection is generally in the range of 0.05 to 0.8 for conventional processes, the range for semiconductor processes is generally in the range of  $10^{-4}$  to  $10^{-6}$ . Note that this analysis uses only the direct inputs and outputs to the manufacturing system given as  $\Omega_{MF}$  in Figure 1. Hence, the exergy cost of extraction and purifying the inputs, which would be captured in the system  $\Omega_{MA}$  in Figure 1, is not included in this analysis.

**INSERT TABLE 1 AND 2 HERE**

## DISCUSSION

In this paper we summarize trends on how energy and material resources are used in manufacturing processes. From the data in Figure 4 it is apparent that electricity use per unit of material processed has increased enormously over the last several decades. That is, the data in Figure 4 can be viewed in a chronological sense going from lower right to upper left. For example, note that processes such as machining and casting date back to the beginning of last century and before, while the semi-conductor processes were developed mostly after the invention of the transistor (1947), and the nano-materials variations have come even more recently. The more modern processes can work to finer dimensions and smaller scales, but also work at lower rates, resulting in very large specific electrical work requirements. Furthermore, these processes make more use of high exergy value materials in very inefficient ways. These trends, of course, do not give the whole story for any given application. New manufacturing processes can improve, and furthermore can provide benefits to society and even to the environment by providing longer life and /or lower energy required in the use phase of products. Furthermore, they may provide any number of performance benefits, and/or valuable services that cannot be expressed only in energy/exergy terms. Nevertheless, the seemingly extravagant use of materials and energy resources by many newer manufacturing processes is alarming and needs to be addressed alongside claims of improved sustainability from products manufactured by these means.

At the same time this work provides a thermodynamic framework for the detailed investigation and improvement of these processes. For example, each of these processes discussed here can be analyzed component by component and compared to ideal reversible devices to identify inefficiencies and losses in the cur-

rent systems. It should be pointed out that there is also a need for completely rethinking each of these processes and exploring alternative, and probably non-vapour phase, processes.

## ACKNOWLEDGMENTS

We would also like to thank Dr. Delcie Durham and the National Science Foundation for their support through grant DMI – 0323426.

## SUPPORTING INFORMATION

Additional detailed information and references for the processes analyzed and presented in Figure 4 can be found in the Supporting Information. This information is available free of charge via the internet at <http://pubs.acs.org>.

## REFERENCES

- [1] Gyftopoulos, E.P.; Beretta, G.P. *Thermodynamics: Foundations and Applications*; Dover Publications, Inc., New York, New York, U.S.A., 2005.
- [2] Bejan, A. *Advanced Engineering Thermodynamics*; Third Edition, John Wiley and Sons, 2006.
- [3] de Swaan Aarons, J.; van der Kooi, H.; Shankaranarayanan, K. Efficiency and Sustainability in the Energy and Chemical Industries; Marcel Dekker Inc., New York, NY, U.S.A., 2004.
- [4] Sato, N. *Chemical Energy and Exergy – An Introduction to Chemical Thermodynamics for Engineers*; Elsevier, 2004.
- [5] Szargut, J.; Morris, D.R.; Steward, F.R. *Exergy Analysis of Thermal Chemical and Metallurgical Processes*, Hemisphere Publishing Corporation and Springer-Verlag, New York, NY, USA., 1988.
- [6] Murphy, C.F.; Kenig, G.A.; Allen, D.; Laurent, J.-P.; Dyer, D.E.; Development of parametric material, energy, and emission inventories for wafer fabrication in the semiconductor industry, *Environmental Science & Technology*, **2003**, 37 (23): 5373-5382.
- [7] Williams, E.D.; Ayres, R.U.; Heller, M.; The 1.7 kilogram microchip: energy and material use in the production of semiconductor devices. *Environmental Science and Technology*, **2002**, 36: 5504-5510.
- [8] Krishnan, N.; Raoux, S.; Dornfield, D.A. Quantifying the environmental footprint of semiconductor equipment using the environmental value systems analysis (EnV-S). *IEEE Transaction on Semiconductor Manufacturing*, **2004**, 17 (4), 554-561 (Postprint).
- [9] Zhang, T.W.; Boyd, S.; Vijayaraghavan, A.; Dornfeld, D. Energy use in nanoscale manufacturing. In IEEE International Symposium on Electronics and the Environment, 8-11 May, San Francisco, California, U.S.A., 2006.
- [10] Boyd, S.; Dornfeld, D.; Krishnan, N. Lifecycle inventory of a CMOS chip. In IEEE International Symposium on Electronics and the Environment, 8-11 May, San Francisco, California, U.S.A., 2006.
- [11] Issacs, J.A.; Tanwani, A.; Healy, M.L. Environmental assessment of SWNT production. In IEEE International Symposium on Electronics and the Environment, 8-11 May, San Francisco, California, U.S.A. 2006.
- [12] Khanna, V.; Bakshi, B.; Lee James L. Carbon nanofiber production; life cycle energy consumption and environmental impacts. *Journal of Industrial Ecology*, **2008**, Vol. 12,( 3), 394-410.
- [13] Morrow, W.R.; Qi, H.; Kim, I.; Mazumder, J.; Skerlos, S.J. Laser-based and conventional tool and die manufacturing: Comparison of environmental aspects. Proceedings of Global Conference on Sustainable Product Development and Life Cycle Engineering, 29 September - 1 October, 2004, Berlin, Germany.
- [14] Boustead, I. Eco-profiles of the European plastics industry: PVC conversion processes, APME, Brussels, Belgium 2002. Visited: 25 Feb. 2005  
<[http://www.apme.org/dashboard/business\\_layer/template.asp?url=http://www.apme.org/media/public\\_documents/20021009\\_123742/EcoProfile\\_PVC\\_conversion\\_Oct2002.pdf](http://www.apme.org/dashboard/business_layer/template.asp?url=http://www.apme.org/media/public_documents/20021009_123742/EcoProfile_PVC_conversion_Oct2002.pdf)>

- [15] Boustead, I. Eco-profiles of the European plastics industry: Conversion processes for polyolefins, APME, Brussels, Belgium, 2003. Visited: 25 Feb.2005  
<[http://www.apme.org/dashboard/business\\_layer/template.asp?url=http://www.apme.org/media/public\\_documents/20040610\\_153828/PolyolefinsConversionReport\\_Nov2003.pdf](http://www.apme.org/dashboard/business_layer/template.asp?url=http://www.apme.org/media/public_documents/20040610_153828/PolyolefinsConversionReport_Nov2003.pdf)>
- [16] Munoz, A.; Sheng, P. An analytical approach for determining the environmental impact of machining processes. *Journal of Materials Processing Technology*, **1995** vol. 53, 736-758.
- [17] Mattis, J.; Sheng, P.; DiScipio, W.; and Leong, K. A framework for analyzing energy efficient injection-molding die design. University of California, Berkeley, Engineering Systems Research Center Technical Report, 1996.
- [18] Dahmus, J.; Gutowski, T. An environmental analysis of machining, In ASME International Mechanical Engineering Congress and RD&D Exposition, Anaheim, California, U.S.A., November 13-19, 2004.
- [19] Dalquist, S.; Gutowski, T. Life cycle analysis of conventional manufacturing techniques: sand casting, In ASME International Mechanical Engineering Congress and RD&D Exposition, Anaheim, California, U.S.A., November 13-19, 2004.
- [20] Thiriez, A. An environmental analysis of injection molding. Massachusetts Institute of Technology, Project for M.S. Thesis, Department of Mechanical Engineering, Cambridge, MA, U.S.A., 2005.
- [21] Thiriez, A.; Gutowski, T. An environmental analysis of injection molding. IEEE International Symposium on Electronics and the Environment, San Francisco, California, U.S.A., May 8-11, 2006.
- [22] Baniszewski, B. An environmental impact analysis of grinding. Massachusetts Institute of Technology, B.S. Thesis, Department of Mechanical Engineering, Cambridge, MA, U.S.A. 2005.
- [23] Kurd, M.. The material and energy flow through the abrasive waterjet machining and recycling processes. Massachusetts Institute of Technology, B.S. Thesis, Department of Mechanical Engineering, Cambridge, MA. U.S.A. 2004.
- [24] Cho, M. Environmental constituents of electrical discharge machining. Massachusetts Institute of Technology, B.S. thesis, Department of Mechanical Engineering, Cambridge, MA., U.S.A., 2004.
- [25] Kordonowy, D.N., A power assessment of machining tools. Massachusetts Institute of Technology, B.S. Thesis, Department of Mechanical Engineering. Cambridge, MA, U.S.A., 2001.
- [26] Jones, A. The industrial ecology of the iron casting industry. Massachusetts Institute of Technology, M.S. Thesis, Department of Mechanical Engineering, Cambridge, MA., U.S.A., 2007.
- [27] Branham, M.; Gutowski, T.; Sekulic, D. A thermodynamic framework for analyzing and improving manufacturing processes., In IEEE International Symposium on Electronics and the Environment, San Francisco U.S.A., May 19-20, 2008
- [28] Branham, M. Semiconductors and sustainability: energy and materials use in the integrated circuit industry. Department of Mechanical Engineering, M.I.T. MS Thesis, 2008.
- [29] Gutowski, T.; Dahmus, J.; Branham, M.; Jones, A. A thermodynamic characterization of manufacturing processes. In IEEE International Symposium on Electronics and the Environment, Orlando, Florida, U.S.A., May 7-10, 2007.
- [30] Gutowski, T.; Murphy, C.; Allen, D.; Bauer, D.; Bras, B.; Piwonka, T.; Sheng, P.; Sutherland, J.; Thurston, D.; Wolff, E. Environmentally benign manufacturing: observations from Japan, Europe and the United States, *Journal of Cleaner Production*, **2005**, 13: 1-17.
- [31] Luque, A.; Lohne, O. *Handbook of photovoltaic science and engineering*, John Wiley, 2003.
- [32] Kalpakjian, S.; Schmit, S.R. *Manufacturing engineering and technology*. Fourth Edition, Prentice Hall, Upper SaddleRiver, New Jersey, U.S.A., 2001.
- [33] Morrow, W.R.; Qi, H.; Kim, I.; Mazumder, J.; Skerlos, S.J. Laser-based and conventional tool and die manufacturing: comparison and environmental aspects. Proceedings of Global Conference on Sustainable Product Development and Life Cycle Engineering, 29 September - 1 October, 2004, Berlin, Germany.

- [34] Wolf, S.; Tauber, R.N. *Silicon processing for the VSLI era*. Volume 1 – Process Technology, Lattice Press, Sunset Beach, CA., U.S.A., 1986.
- [35] McGeough, J.A., *Advance methods of machining*. Chapman and Hall, New York, NY., U.S.A. 1988.
- [36] Valero, Alicia, Antonio Valero, Inmaculada Arauzo, Evolution of the decrease in mineral exergy throughout the 20<sup>th</sup> century. The case of copper in the US, *Energy*, **2008**, 33, 107-115.
- [37] Dewulf, J., M. E. Bösch, B. De Meester, G. Van der Vorst, H. Van Langenhove, S. Hellweg, and M. A. J. Huijbregts, Cumulative Exergy Extraction from the Natural Environment (CEENE): a comprehensive Life Cycle Impact Assessment method for resource accounting, *Environmental Science and Technology*, **2007** (24) pp 8477 – 8483.
- [38] Hau, J., B. Bakshi, Expanding Exergy Analysis to Account for Ecosystem Products and Services, *Environmental Science and Technology*, **2004**, 38: 3768-3777.

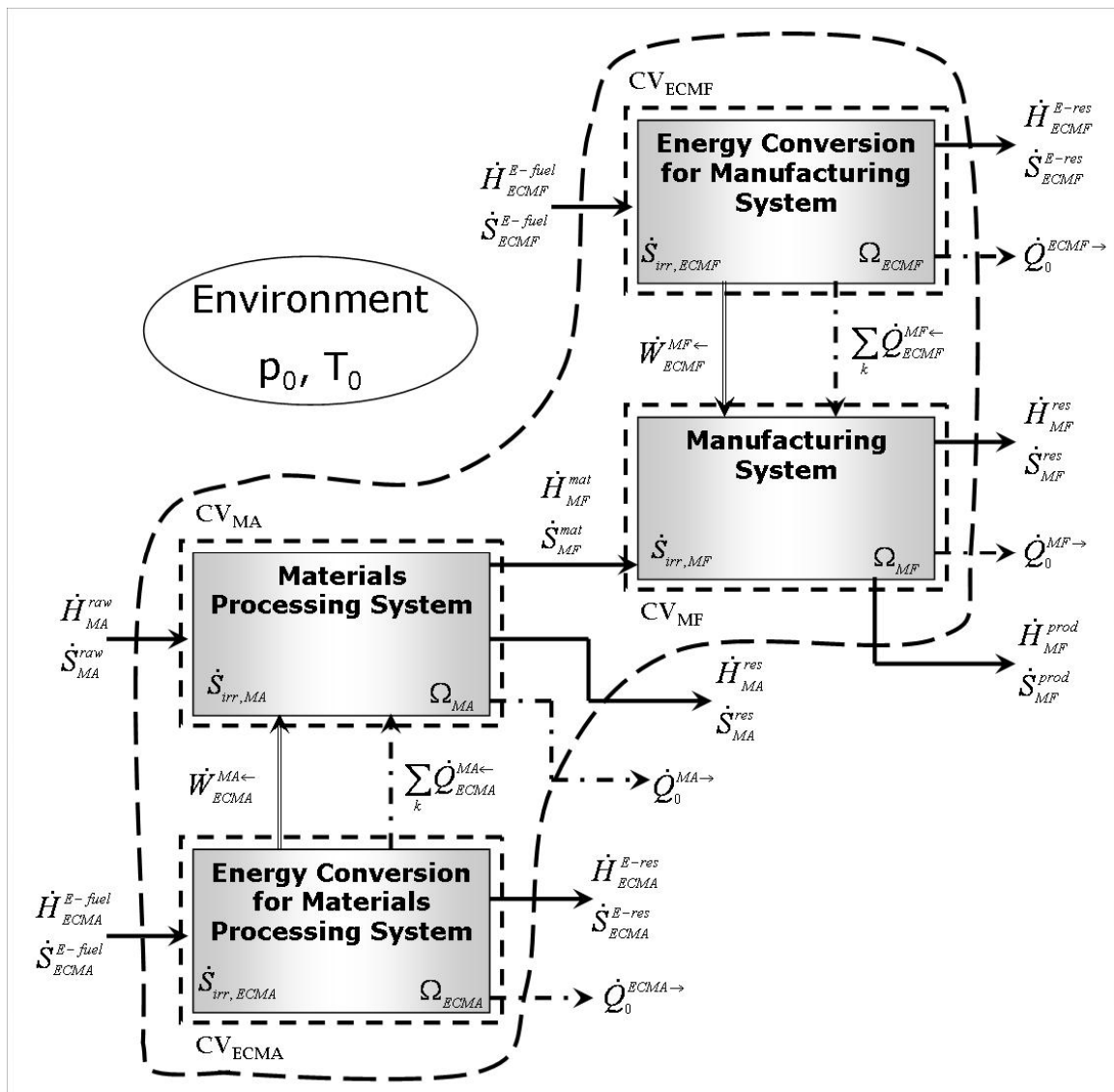


Figure 1: Diagram of a Manufacturing System [27] (adapted from [1])

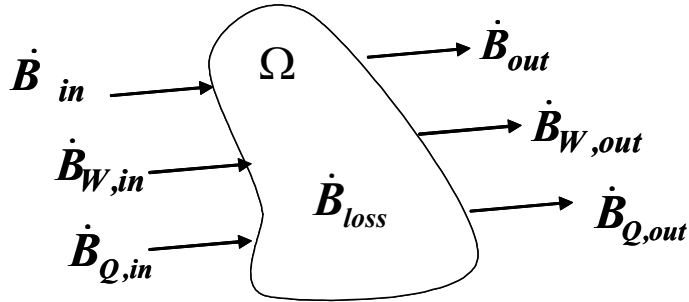


Figure 2 Diagram Showing Components of An Exergy Balance for Any Arbitrary Open Thermodynamic System

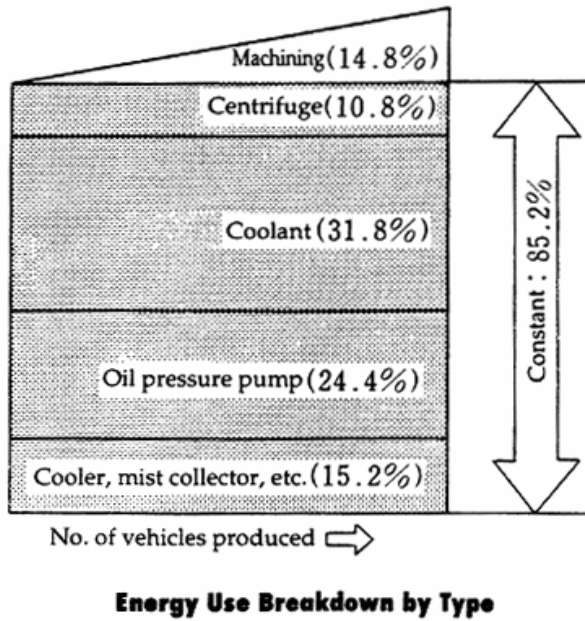


Figure 3: Electrical work rate used as a function of production rate for an automobile production machining line [30].

Figures and Tables for  
Thermodynamic Analysis of Resources Used in Manufacturing Processes

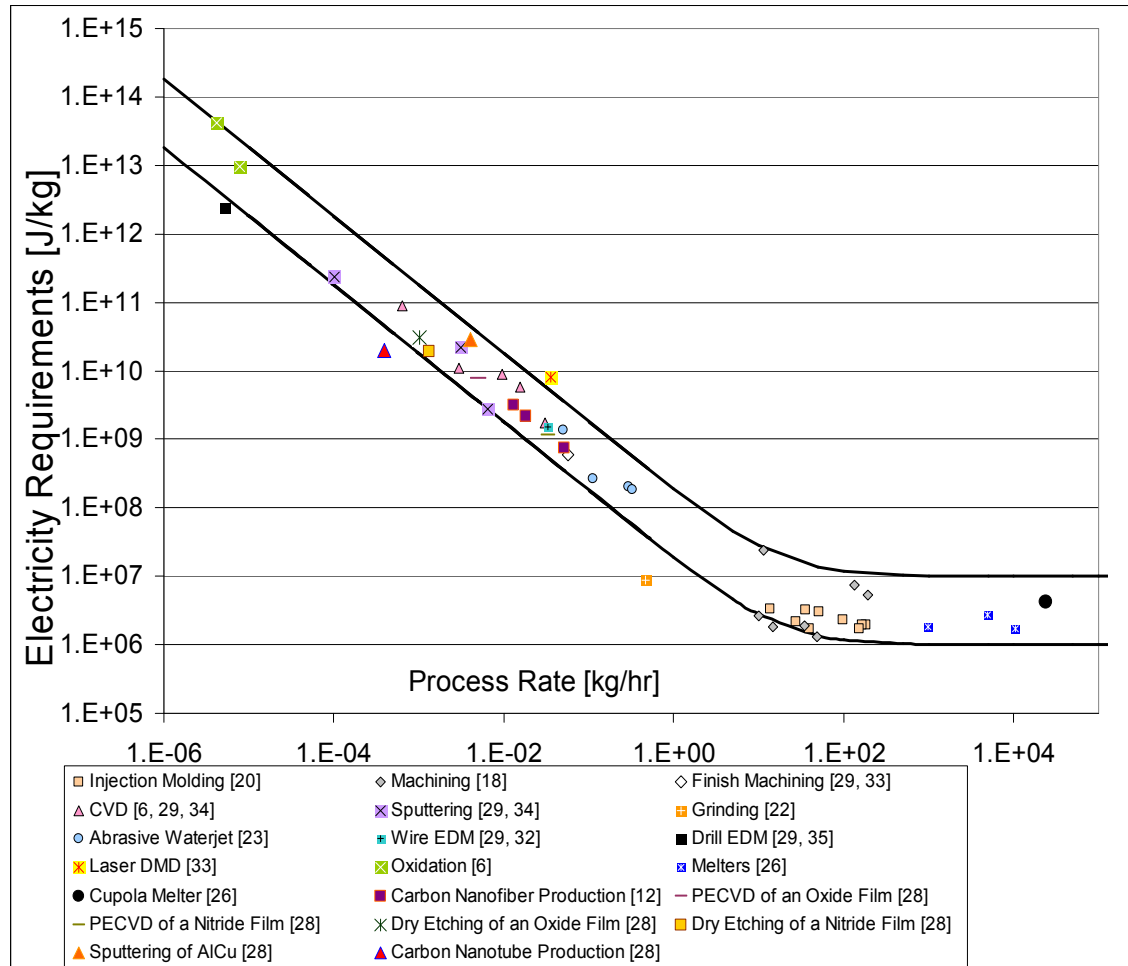


Figure 4: Work in form of electricity used per unit of material processed for various manufacturing processes as a function of the rate of materials processing.

<b>Electric Induction Melting</b>		
<b>Input Materials</b>		
<i>Inputs</i>	<i>Mass (kg)</i>	<i>Exergy (MJ)</i>
Scrap Metallics	0.68	5.08
Cast Iron Remelt	0.30	2.51
Additives	0.05	1.13
<b>Input Energy</b>		
Electricity		1.72
	<i>Total In</i>	10.43
<b>Useful Output</b>		
Gray Iron Melt	1.0	8.25
	<i>Total Out</i>	8.25
<b>Degree of Perfection (<math>\eta_p</math>)</b>		<b>0.79</b>

Table 1 Exergy Analysis of an Electric Induction Melting Furnace [26]

<b>PECVD of Silicon Dioxide</b>				
<b>Input Deposition Gases</b>				
<i>Inputs</i>	<i>Mass (g)</i>	<i>Moles</i>	<i>Specific Chemical Exergy (kJ/mol)</i>	<i>Exergy (kJ)</i>
N <sub>2</sub>	276.3	9.86	0.69	6.80
SiH <sub>4</sub>	8.57	0.267	1383.7	369.4
N <sub>2</sub> O	440.6	10.01	106.9	1,070.2
<b>Input Cleaning Gases</b>				
O <sub>2</sub>	69.09	2.16	3.97	8.57
C <sub>2</sub> F <sub>6</sub>	298.0	2.16	962.4	2,078.1
<b>Input Energy</b>				
Electricity				50,516
		<i>Total In</i>		54,049
<b>Output</b>				
Undoped Silicon Dioxide Layer	1.555	2.59E-02	7.9	0.204
		<i>Total Out</i>		0.204
<b>Degree of Perfection (<math>\eta_p</math>)</b>				<b>3.78E-06</b>

Table 2 Exergy Analysis of a Plasma Enhanced Chemical Vapor Deposition Process for an Undoped Oxide Layer [28]