Lecture 2 - Semiconductor Physics (I)

February 8, 2001

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Reading assignment:

Howe and Sodini, Ch. 2, $\S\S2.1-2.3$

Key questions

- How do semiconductors conduct electricity?
- What is a "hole"?
- How many electrons and holes are there in a semiconductor in thermal equilibrium at a certain temperature?
- How can one engineer the conductivity of semiconductors?

1. Silicon bond model: electrons and holes

Si is in Column IV of periodic table:

	IIIA	IVA	VA	VIA
	5	6	7	8
	В	С	Ν	0
	13	14	15	16
IIB	Al	Si	Р	S
30	31	32	33	34
Zn	Ga	Ge	As	Se
48	49	50	51	52
Cd	In	Sn	Sb	Te

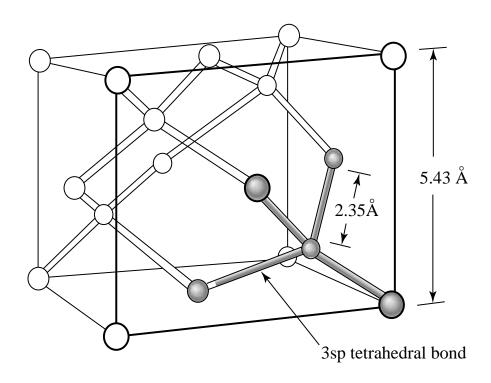
Electronic structure of Si atom:

- 10 core electrons (tightly bound)
- 4 valence electrons (loosely bound, responsible for most chemical properties)

Other semiconductors:

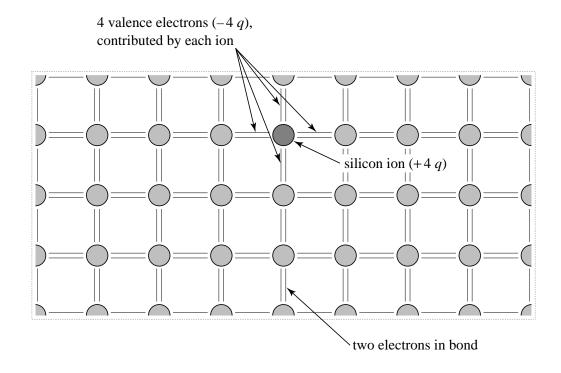
- Ge, C (diamond form),
- GaAs, InP, InGaAs, InGaAsP, ZnSe, CdTe (on average, 4 valence electrons per atom)

Silicon crystal structure:



- Diamond lattice: atoms tetrahedrally bonded by sharing valence electrons (covalent bonding)
- Each atom shares 8 electrons (low energy situation)
- Si atomic density: $5 \times 10^{22} \ cm^{-3}$

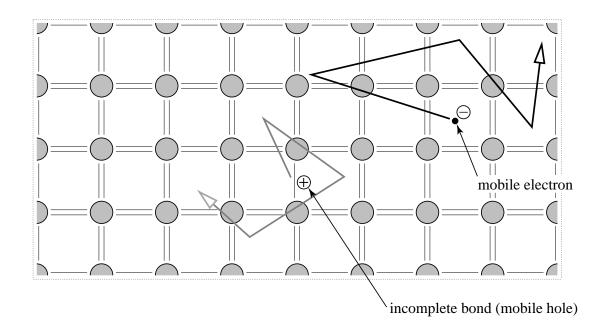
Simple "flattened" model of Si crystal:



At 0K:

- \bullet all bonds satisfied \to all valence electrons engaged in bonding
- no "free" electrons

At finite temperature:



- finite thermal energy
- some bonds are broken
- "free" electrons (mobile negative charge, $-1.6 \times 10^{-19} C$)
- "free" holes (mobile positive charge, $1.6 \times 10^{-19} C$)

"Free" electrons and holes are called *carriers*: mobile charged particles.

Beware: picture is misleading!

Electrons and holes in semiconductors are "fuzzier": they span many atomic sites.

A few definitions:

- in 6.012, "electron" means <u>free</u> electron
- not concerned with bonding electrons or core electrons
- define:

$$n \equiv \text{(free) electron concentration } [cm^{-3}]$$

$$p \equiv \text{hole concentration } [cm^{-3}]$$

2. Generation and Recombination

Generation = break up of covalent bond to form electron and hole

- requires energy from thermal or optical sources (or other external sources)
- generation rate: $G = G_{th} + G_{opt} + \dots [cm^{-3} \cdot s^{-1}]$
- in general, atomic density $\gg n, p \Rightarrow$

$$G \neq f(n,p)$$

(supply of breakable bonds virtually inexhaustible)

RECOMBINATION = formation of bond by bringing together electron and hole

- releases energy in thermal or optical form
- recombination rate: $R [cm^{-3} \cdot s^{-1}]$
- a recombination event requires 1 electron + 1 hole \Rightarrow

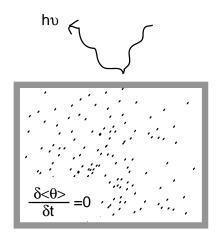
$$R \propto n \cdot p$$

Generation and recombination most likely at surfaces where periodic crystalline structure is broken.

3. Thermal equilibrium

Thermal equilibrium =

steady state + absence of external energy sources



- Generation rate in thermal equilibrium: $G_o = f(T)$
- Recombination rate in thermal equilibrium: $R_o \propto n_o \cdot p_o$ In thermal equilibrium:

$$G_o = R_o \implies n_o p_o = f(T) \equiv n_i^2(T)$$

Important consequence:

In thermal equilibrium and for a given semiconductor, np product is a constant that depends only on temperature!

Electron-hole formation can be seen as chemical reaction:

$$bond \rightleftharpoons e^- + h^+$$

similar to water decomposition reaction:

$$H_2O \rightleftharpoons H^+ + OH^-$$

Law-of-mass action relates concentration of reactants and reaction products. For water:

$$K = \frac{[H^+][OH^-]}{[H_2O]}$$

Since:

$$[H_2O] \gg [H^+], [OH^-]$$

Then:

$$[H_2O] \simeq constant$$

Hence:

$$[H^+][OH^-] \simeq constant$$

4. Intrinsic semiconductor

QUESTION: In a perfectly pure semiconductor in thermal equilibrium at finite temperature, how many electrons and holes are there?

Since when a bond breaks, an electron *and* a hole are produced:

$$n_o = p_o$$

Also:

$$n_o p_o = n_i^2$$

Then:

$$n_o = p_o = n_i$$

 $n_i \equiv intrinsic$ carrier concentration $[cm^{-3}]$

In Si at 300 K ("room temperature"): $n_i \simeq 1 \times 10^{10} \ cm^{-3}$

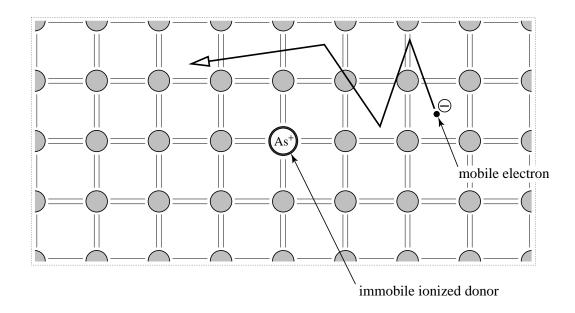
 n_i very strong function of temperature: $T \uparrow \rightarrow n_i \uparrow$

Note: an intrinsic semiconductor need not be perfectly pure [see next]

- **5. Doping**: introduction of foreign atoms to engineer semiconductor electrical properties
- A. Donors: introduce electrons to the semiconductor (but not holes)
 - For Si, group-V atoms with 5 valence electrons (As, P, Sb)

	IIIA	IVA	VA	VIA
	5	6	7	8
	В	С	N	O
	13	14	15	16
IIB	Al	Si	Р	S
30	31	32	33	34
Zn	Ga	Ge	As	Se
48	49	50	51	52
Cd	In	Sn	Sb	Te

- 4 electrons of donor atom participate in bonding
- 5th electron easy to release ⇒ at room temperature, each donor releases 1 electron that is available to conduction
- donor site become positively charged (fixed charge)



Define:

$$N_d \equiv \text{donor concentration } [cm^{-3}]$$

• If $N_d \ll n_i$, doping irrelevant $(intrinsic \text{ semiconductor}) \rightarrow n_o = p_o = n_i$

• If $N_d \gg n_i$, doping controls carrier concentrations (extrinsic semiconductor) \rightarrow

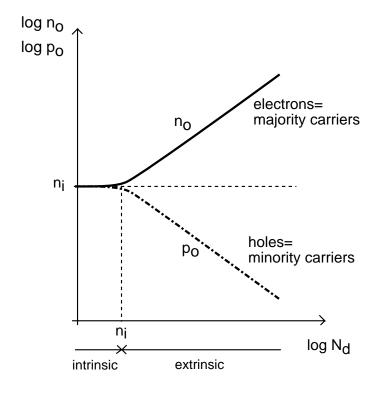
$$n_o = N_d \qquad p_o = \frac{n_i^2}{N_d}$$

Note: $n_o \gg p_o$: n-type semiconductor

Example:

$$N_d = 10^{17} \ cm^{-3} \rightarrow n_o = 10^{17} \ cm^{-3}, \ p_o = 10^3 \ cm^{-3}.$$

In general: $N_d \sim 10^{15} - 10^{20} \ cm^{-3}$



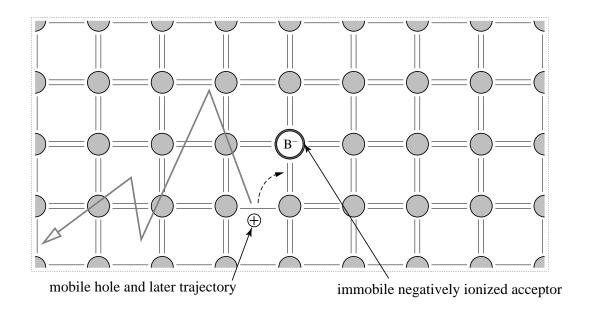
Chemical reaction analogy:

dissolve a bit of KOH into water $\Rightarrow [OH^-] \uparrow, [H^+] \downarrow$

- B. Acceptors: introduce holes to the semiconductor (but not electrons)
 - For Si, group-III atoms with 3 valence electrons (B)

	IIIA	IVA	VA	VIA
	5	6	7	8
	В	С	Z	0
	13	14	15	16
IIB	ΑI	Si	Р	S
30	31	32	33	34
Zn	Ga	Ge	As	Se
48	49	50	51	52
Cd	In	Sn	Sb	Те

- 3 electrons used up to bond to neighboring Si atoms
- 1 bonding site "unsatisfied": easy to "accept" neighboring bonding electron to complete all bonds ⇒ at room temperature, each acceptor releases 1 hole that is available to conduction
- acceptor site become negatively charged (fixed charge)



Define:

$$N_a \equiv \text{acceptor concentration } [cm^{-3}]$$

• If $N_a \ll n_i$, doping irrelevant $(intrinsic \text{ semiconductor}) \rightarrow n_o = p_o = n_i$

• If $N_a \gg n_i$, doping controls carrier concentrations (extrinsic semiconductor) \rightarrow

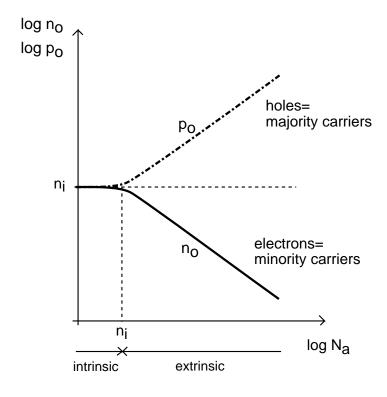
$$p_o = N_a \qquad \qquad n_o = \frac{n_i^2}{N_a}$$

Note: $p_o \gg n_o$: p-type semiconductor

Example:

$$N_a = 10^{16} \ cm^{-3} \rightarrow p_o = 10^{16} \ cm^{-3}, \ n_o = 10^4 \ cm^{-3}.$$

In general: $N_a \sim 10^{15} - 10^{20} \ cm^{-3}$



Chemical reaction analogy:

dissolve a bit of H_2SO_4 into water $\Rightarrow [H^+] \uparrow$, $[OH^-] \downarrow$

6. Charge neutrality

Every single atom in a semiconductor (doped or undoped) is charge neutral

⇒ overall charge neutrality must be satisfied

In general:

$$\rho = q(p_o - n_o + N_d - N_a)$$

Check out for $N_d = 10^{17} \ cm^{-3}$, $N_a = 0$:

Solved earlier:

$$n_o = N_d = 10^{17} cm^{-3}, \ p_o = \frac{n_i^2}{N_d} = 10^3 cm^{-3}$$

Hence:

$$\rho \neq 0 !!$$

What is wrong??

Nothing is wrong!

We just made an approximation when we said $n_o = N_d$.

We should really solve the following system of equations (for $N_a = 0$):

$$p_o - n_o + N_d = 0$$
$$n_o p_o = n_i^2$$

Solution in textbook ($\S 2.3$).

[error in most practical circumstances too small to matter]

Summary

- In a semiconductor, there are two types of "carriers": electrons and holes
- In thermal equilibrium and for a given semiconductor $n_o p_o$ is a constant that only depends on temperature:

$$n_o p_o = n_i^2$$

• For Si at room temperature:

$$n_i \simeq 10^{10} \ cm^{-3}$$

• Intrinsic semiconductor: "pure" semiconductor.

$$n_o = p_o = n_i$$

- Carrier concentrations can be engineered by addition of "dopants" (selected foreign atoms):
 - n-type semiconductor:

$$n_o \simeq N_d, \quad p_o \simeq \frac{n_i^2}{N_d}$$

- p-type semiconductor:

$$p_o \simeq N_a, \quad n_o \simeq \frac{n_i^2}{N_a}$$