

INTRODUCTION TO EECS II  
**DIGITAL  
 COMMUNICATION  
 SYSTEMS**

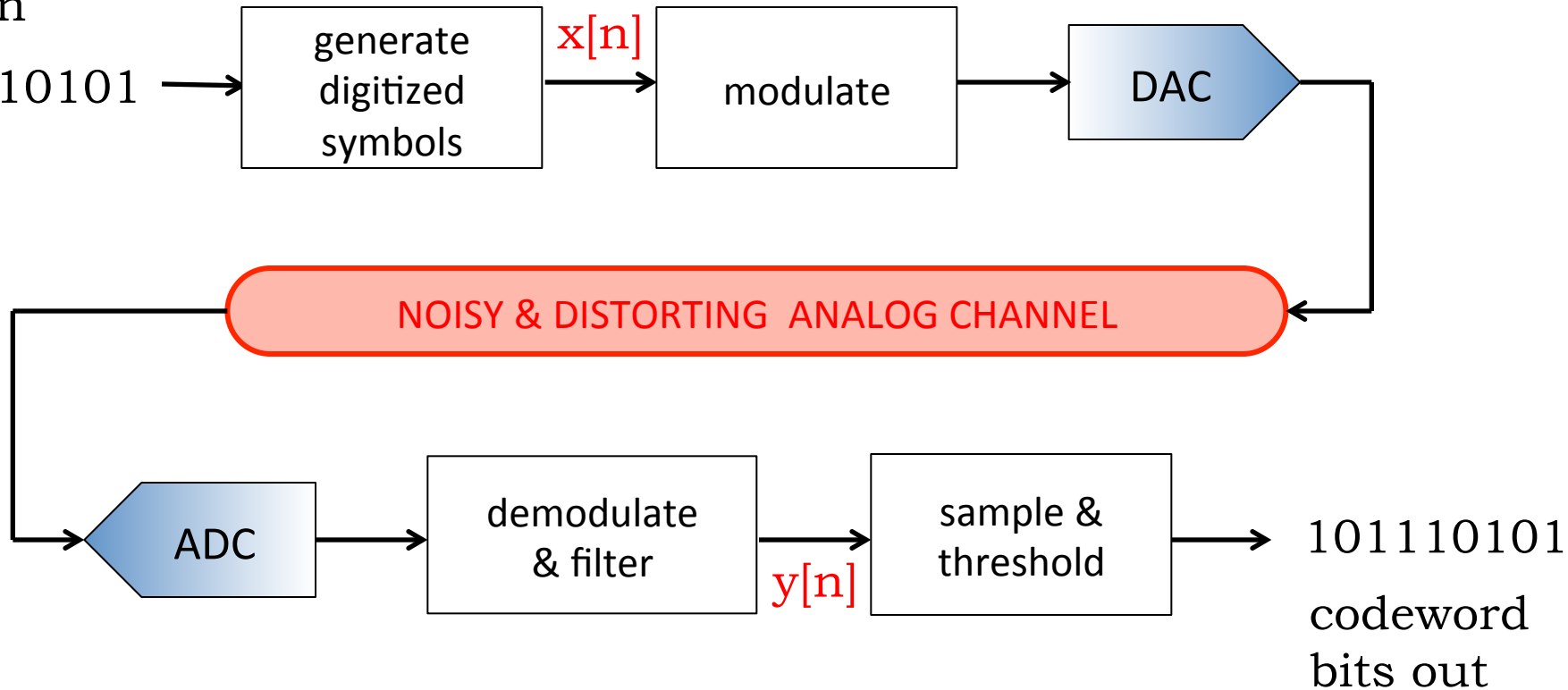
# 6.02 Fall 2011 Lecture #11

- LTI channel models
- Superposed step responses; eye diagrams
- Convolution
  - definition, properties
  - causality, stability

# Modeling Channel Behavior

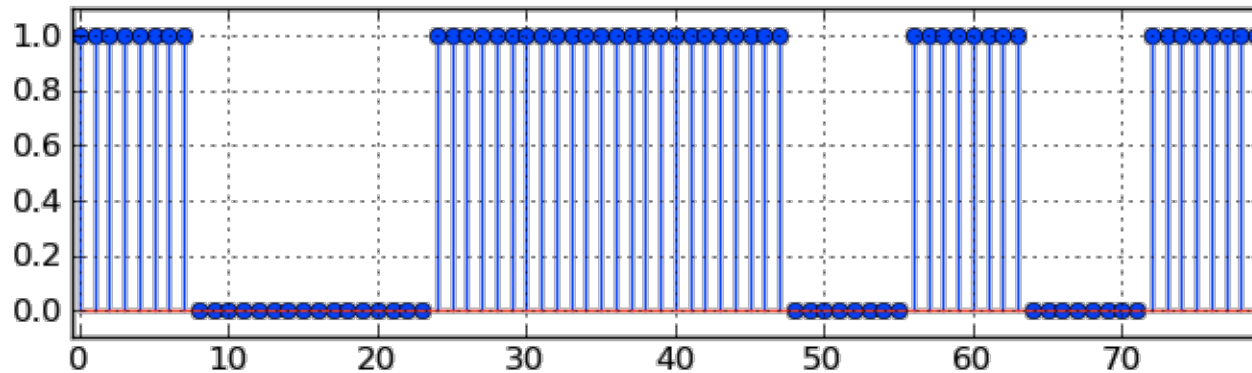
codeword  
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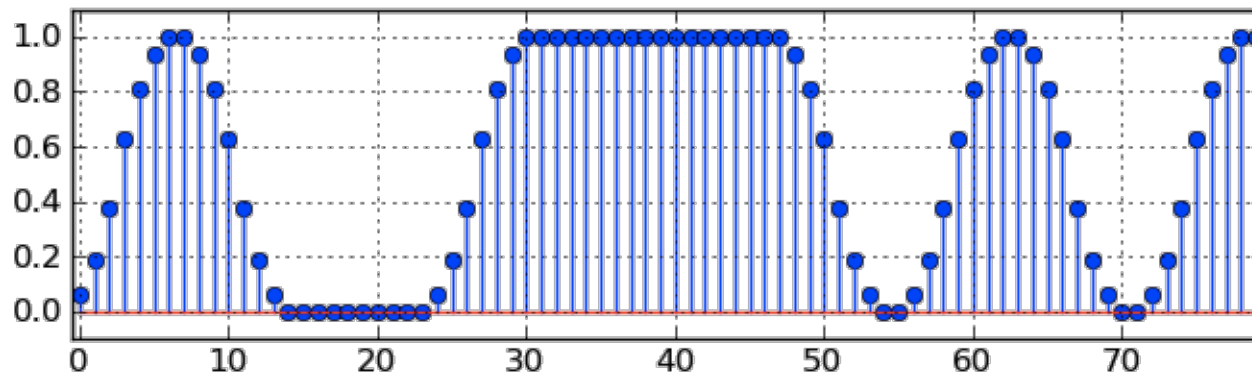


# Transmission over a Channel

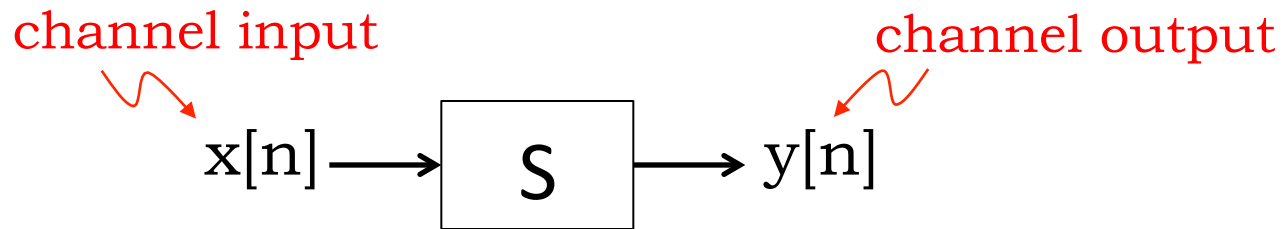
Signal  $x[n]$  from digitized symbols at transmitter



Distorted noise-free signal  $y[n]$  at receiver



# The Baseband\* Channel



Starting point:

***Try a linear, time-invariant (LTI) model!***

Keith's demo from last time suggests this may not be unreasonable for the acoustic channel in this room.

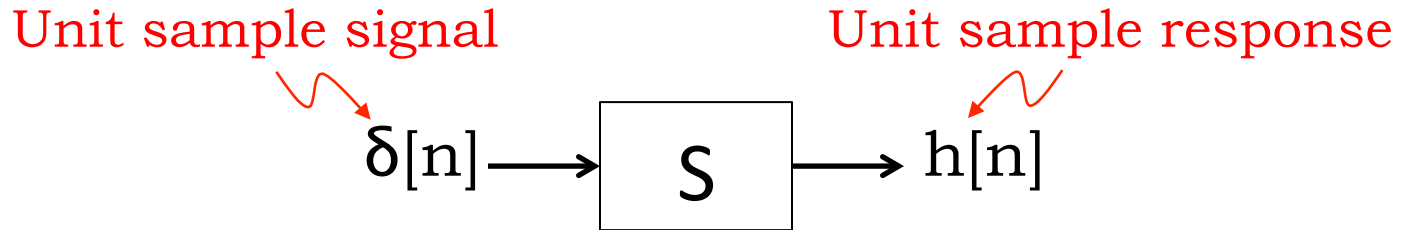
\*From before the modulator to after the demodulator, i.e., hiding the modulation/demodulation

# Why So Eager for LTI?

- Lots of **structure**, mathematically **tractable**, **rich** basis for analysis and design
- **Good model for small perturbations from a constant equilibrium point** (for the same reason that the linear term of a Taylor series is a good local description)
- Even when the overall system may be significantly nonlinear and/or time varying, on short enough time scales the **subsystems or modules may often be well approximated as LTI**, so LTI design methods form a good starting point

Important to check LTI-based designs against more realistic simulations and analysis before deploying!

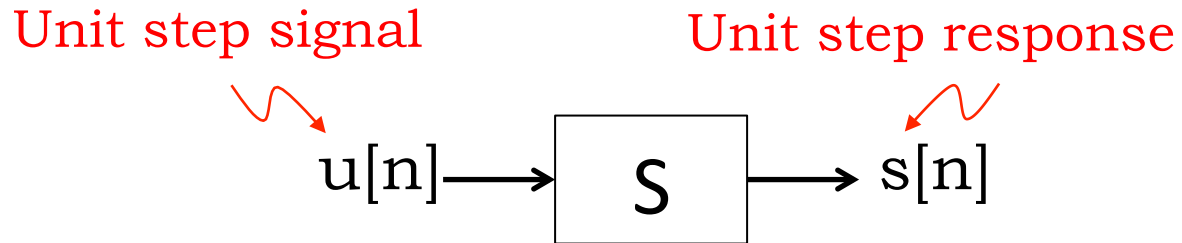
# Unit Sample Response



The *unit sample response* of a system  $S$  is the response of the system to the unit sample input. We will typically denote the unit sample response as  $h[n]$ .

# Unit Step Response

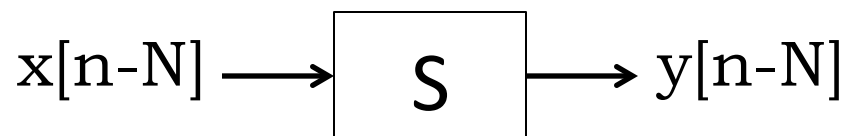
Similarly, the *unit step response*  $s[n]$ :



# Time Invariant Systems

Let  $y[.]$  be the response of  $S$  to input  $x[.]$

If for **all** possible sequences  $x[n]$  and integers  $N$



then system  $S$  is said to be *time invariant* (TI). A time shift in the input sequence to  $S$  results in an identical time shift of the output sequence.

In particular, for a TI system, a shifted unit sample function  $\delta[n - N]$  at the input generates an identically shifted unit sample response  $h[n - N]$  at the output.

Similarly,  $u[n - N]$  generates  $s[n - N]$ .



# Linear Systems

Let  $y_1[.]$  be the response of  $S$  to an arbitrary input  $x_1[.]$ , and  $y_2[.]$  be the response to an arbitrary input  $x_2[.]$

If the response to linear combinations of these two inputs equals the **same** linear combination of the respective individual responses, then system  $S$  is said to be *linear* (L):

$$ax_1[n] + bx_2[n] \longrightarrow \boxed{S} \longrightarrow ay_1[n] + by_2[n]$$

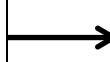
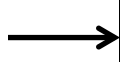
More generally, if the input is the weighted sum of several signals, the response of a linear system is the corresponding **superposition** of the respective responses to those signals (i.e., the weighted sum of these responses, **using the same weights as in the input**).

# Relating $h[n]$ and $s[n]$ of an LTI System

Unit sample signal



$\delta[n]$



$h[n]$

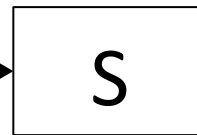
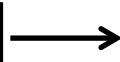
Unit sample response



Unit step signal



$u[n]$

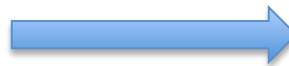


$s[n]$

Unit step response

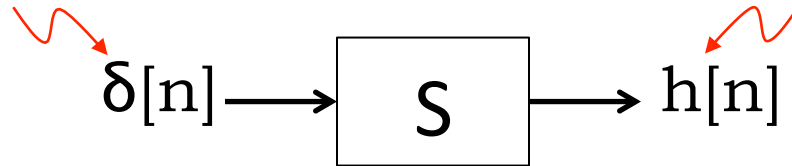


$$\delta[n] = u[n] - u[n - 1]$$



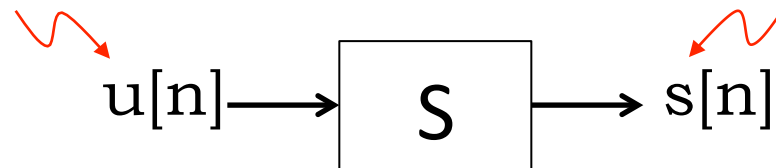
# Relating $h[n]$ and $s[n]$ of an LTI System

Unit sample signal



Unit sample response

Unit step signal



Unit step response

$$\delta[n] = u[n] - u[n-1]$$



$$h[n] = s[n] - s[n-1]$$

from which it follows that

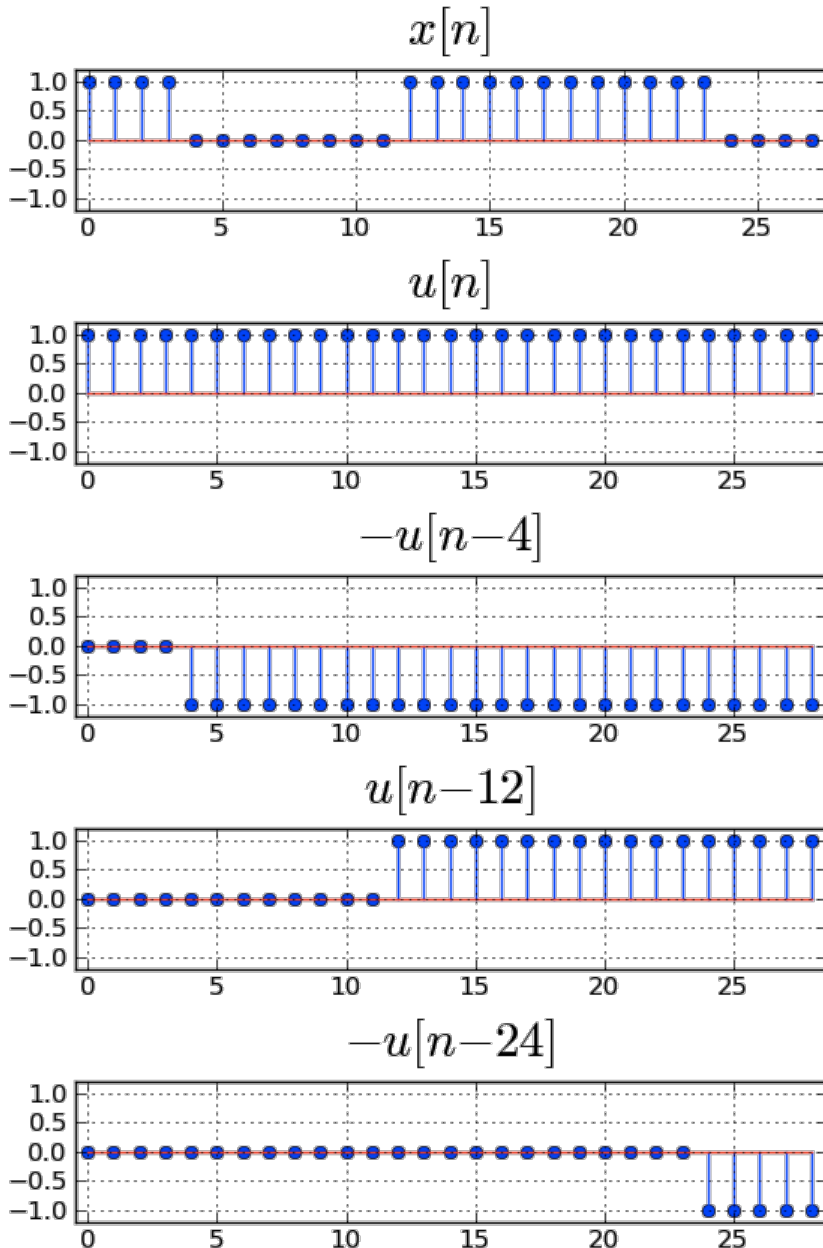
$$s[n] = \sum_{k=-\infty}^n h[k]$$

(assuming  $s[-\infty] = 0$ , i.e., a **causal** LTI system)

# Unit Step Decomposition

“Rectangular-wave” digital signaling waveforms, of the sort we have been considering, are easily decomposed into **time-shifted, scaled unit steps** --- each transition corresponds to another shifted, scaled unit step.

e.g., if  $x[n]$  is the transmission of 1001110 using 4 samples/bit:



$$\begin{aligned}
 x[n] &= u[n] \\
 &\quad - u[n-4] \\
 &\quad + u[n-12] \\
 &\quad - u[n-24]
 \end{aligned}$$

**... so the corresponding response is**

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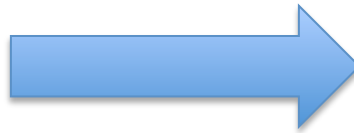
$$x[n]$$

$$= u[n]$$

$$- u[n - 4]$$

$$+ u[n - 12]$$

$$- u[n - 24]$$



$$y[n]$$

$$= s[n]$$

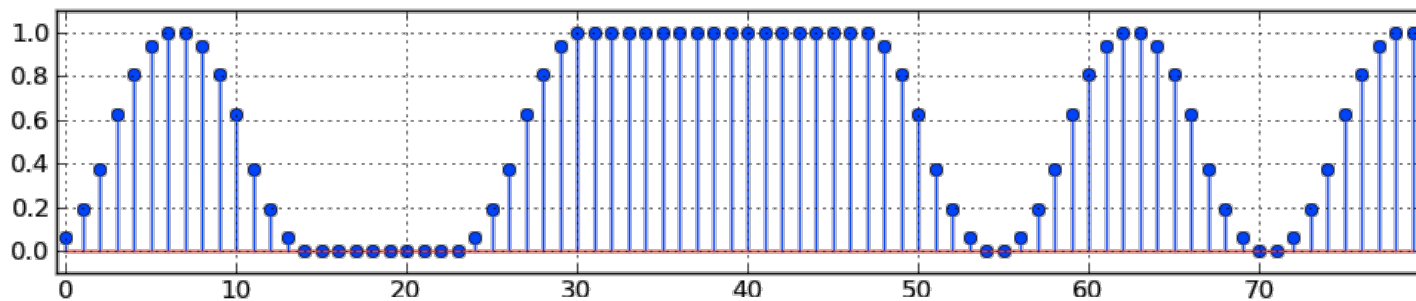
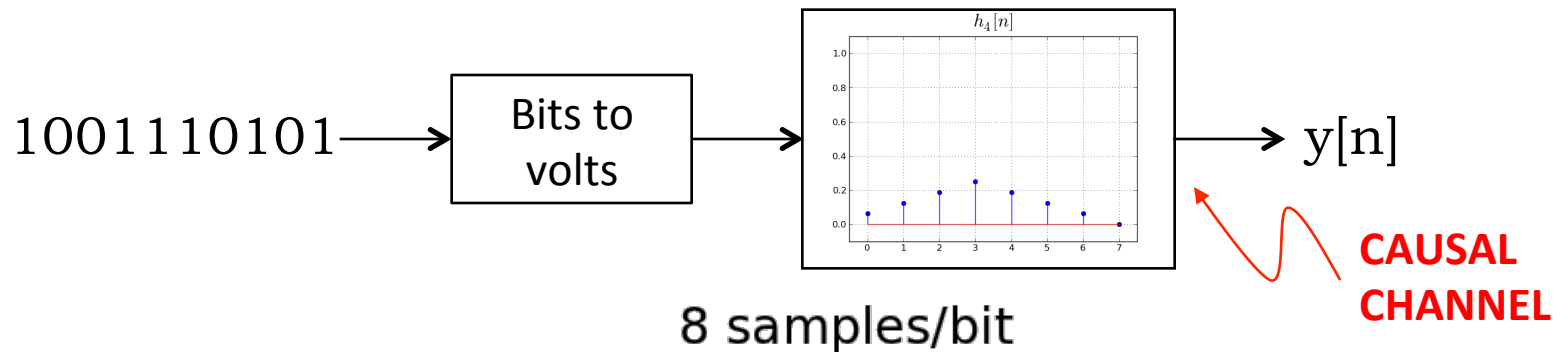
$$- s[n - 4]$$

$$+ s[n - 12]$$

$$- s[n - 24]$$

Note how we have invoked linearity and time invariance!

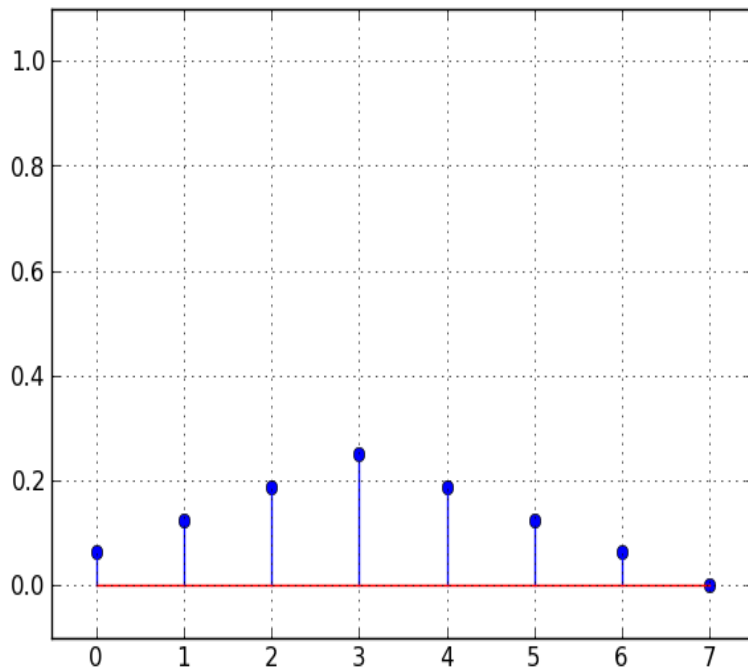
# Transmission Over a Channel



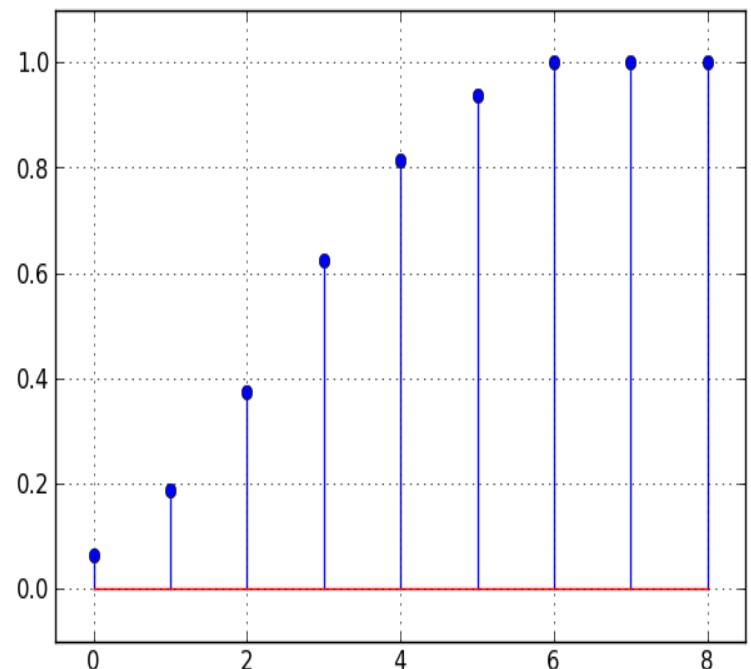
# Response of Channel

Example of unit sample response  $h[n]$  and corresponding unit step response  $s[n]$  for a causal channel model:

$h[n]$

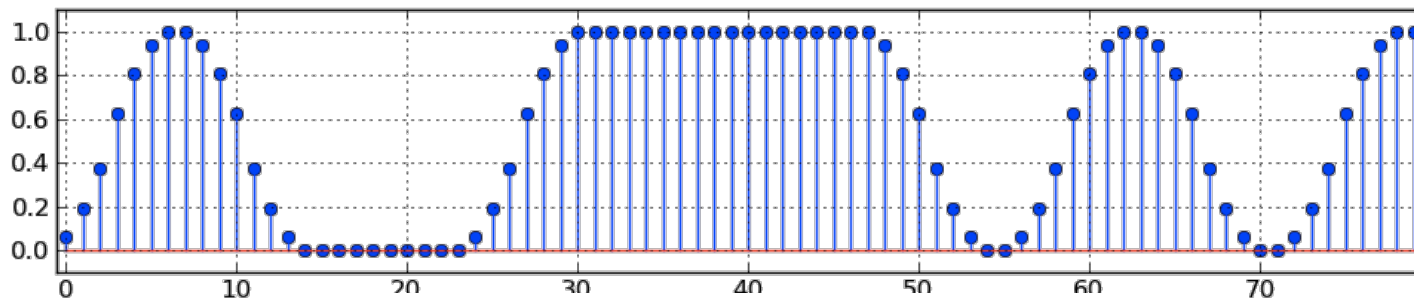
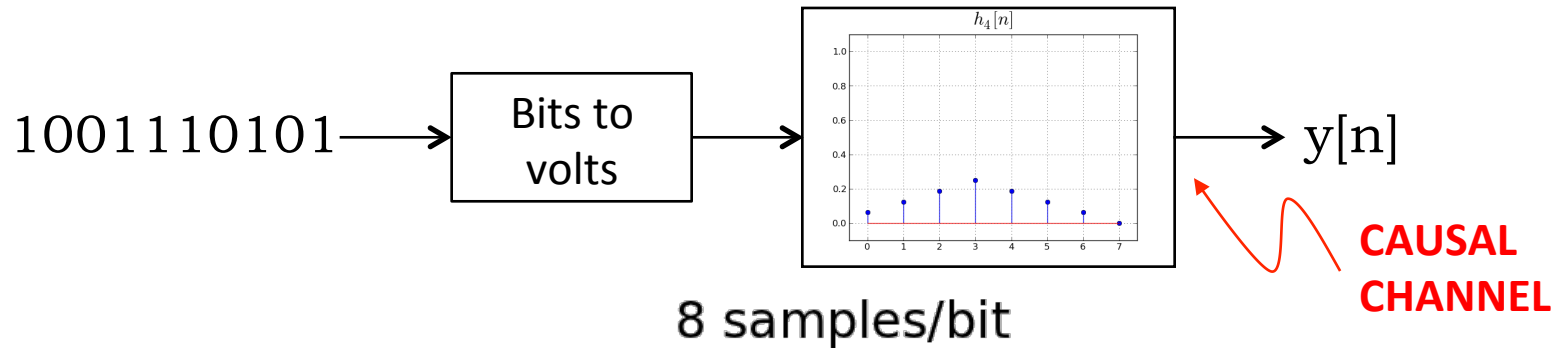


$s[n]$

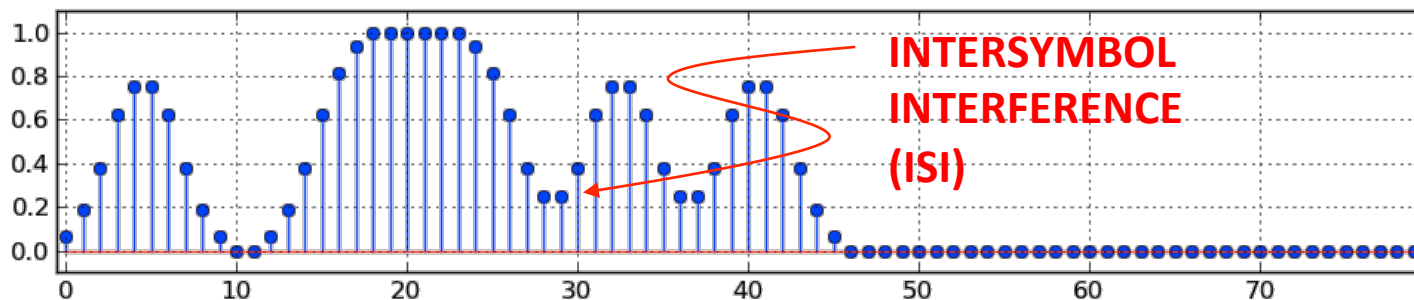




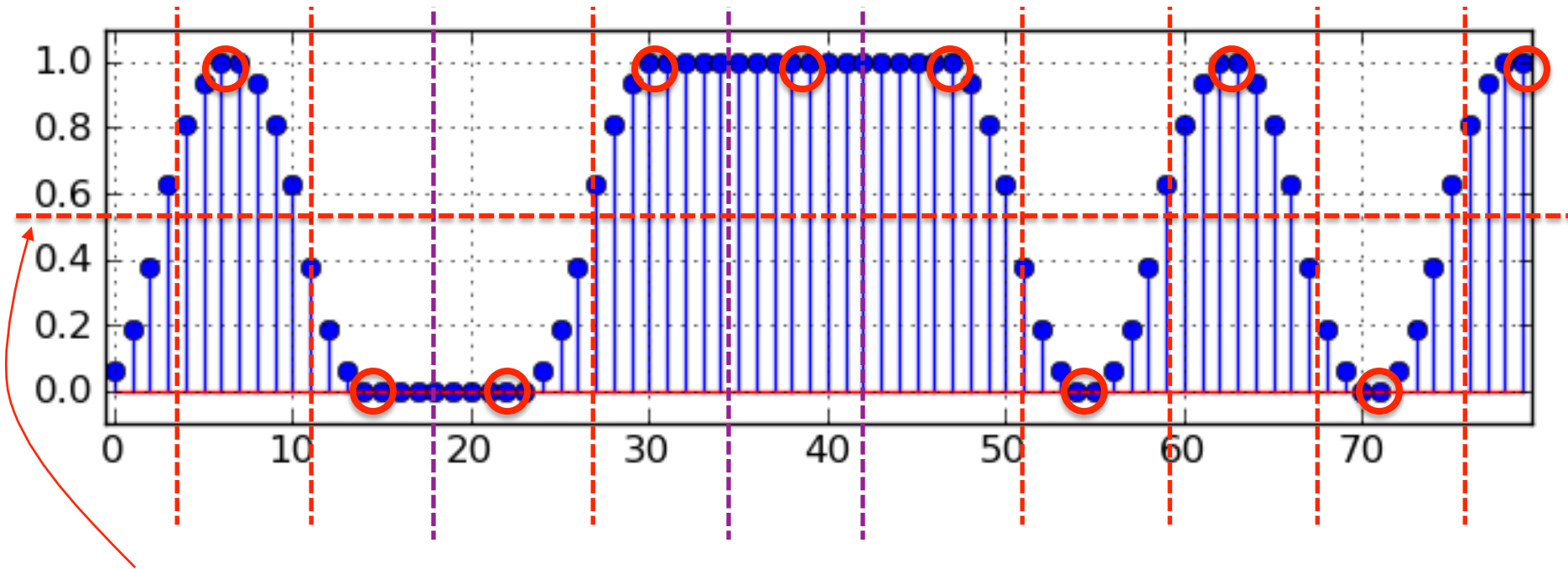
# Transmission Over a Channel



4 samples/bit



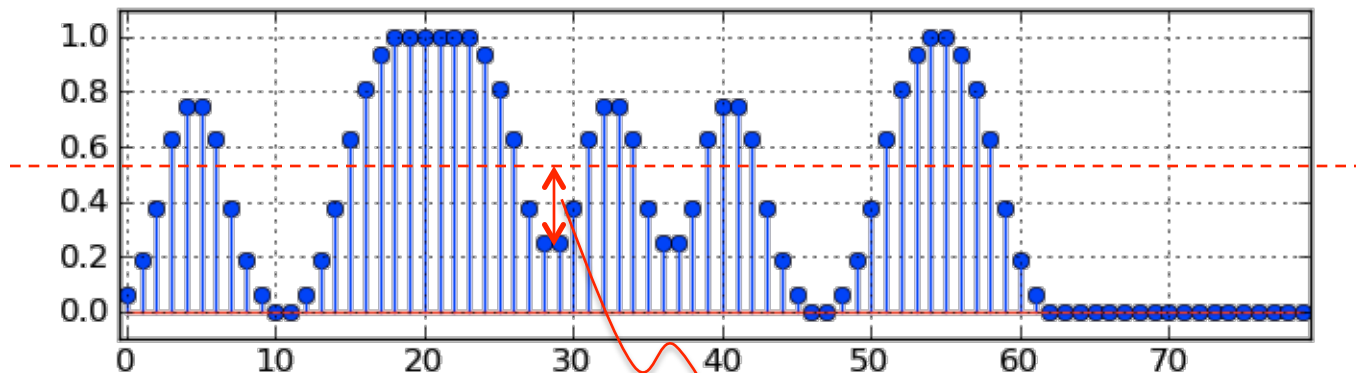
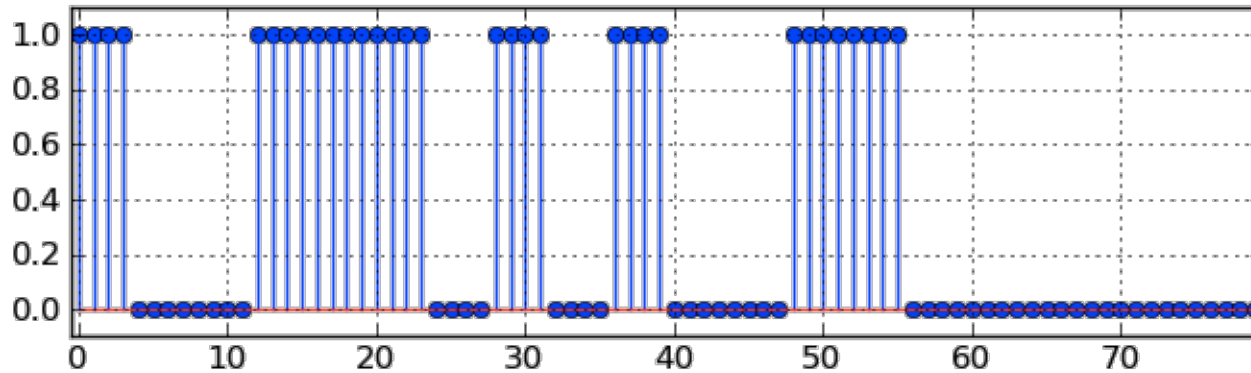
# Receiving the Response



Digitization threshold = 0.5V

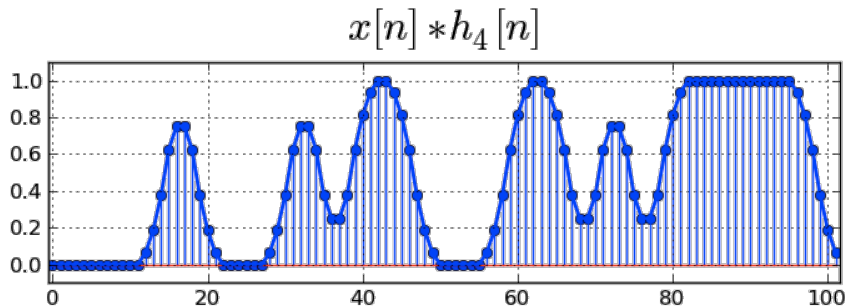
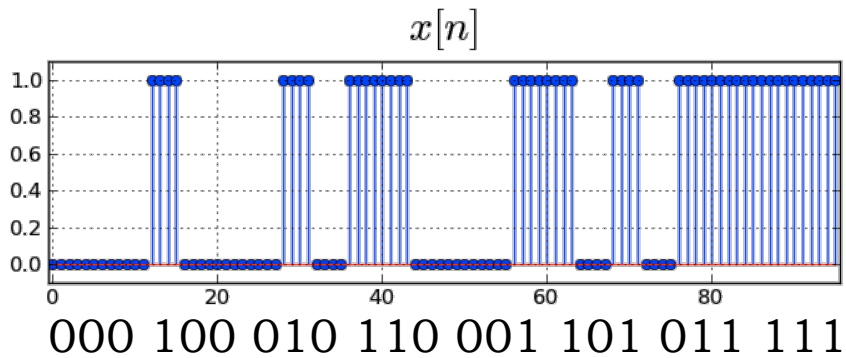
# Faster Transmission

$x[n]$  at 4 samples/bit

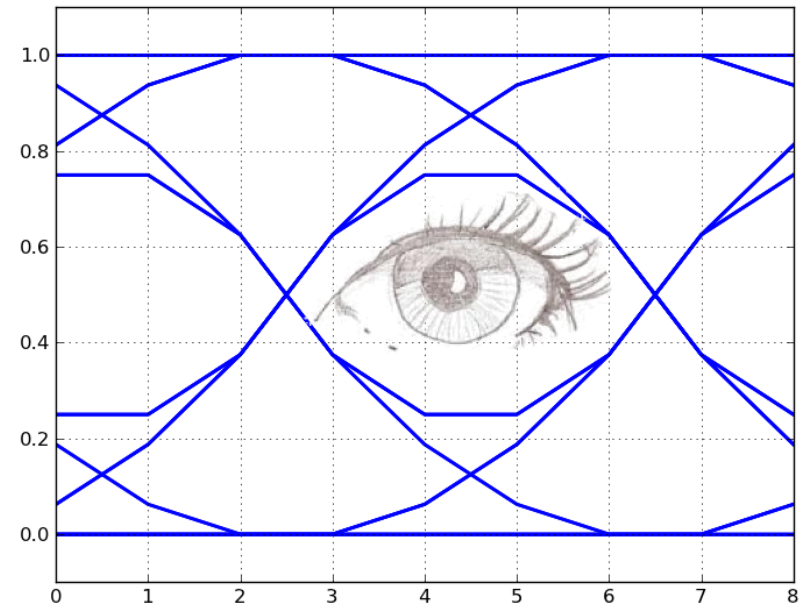


# Eye Diagrams

Using same  $h[n]$  as before and  $\text{samples\_per\_bit}=4$



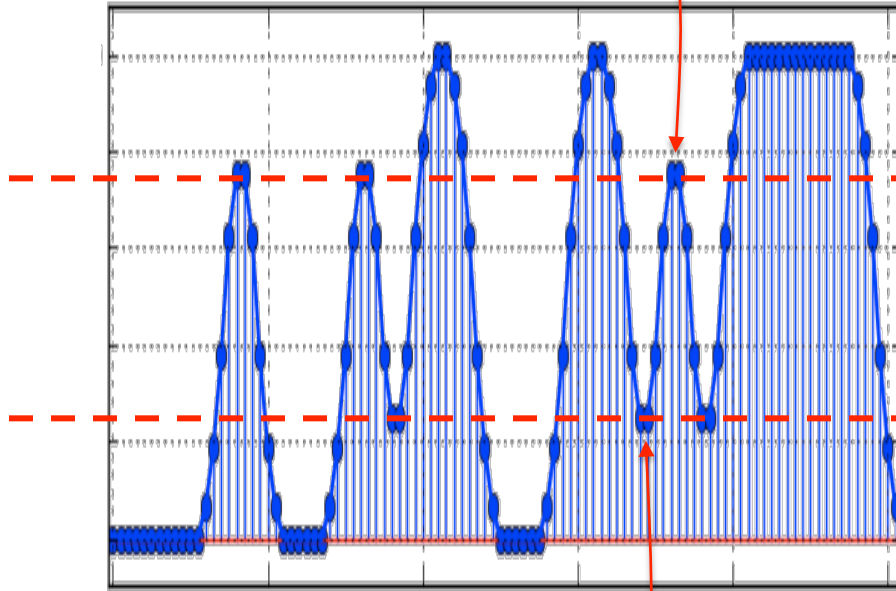
Eye diagram:  $h_4[n]$ , 4 samples/bit



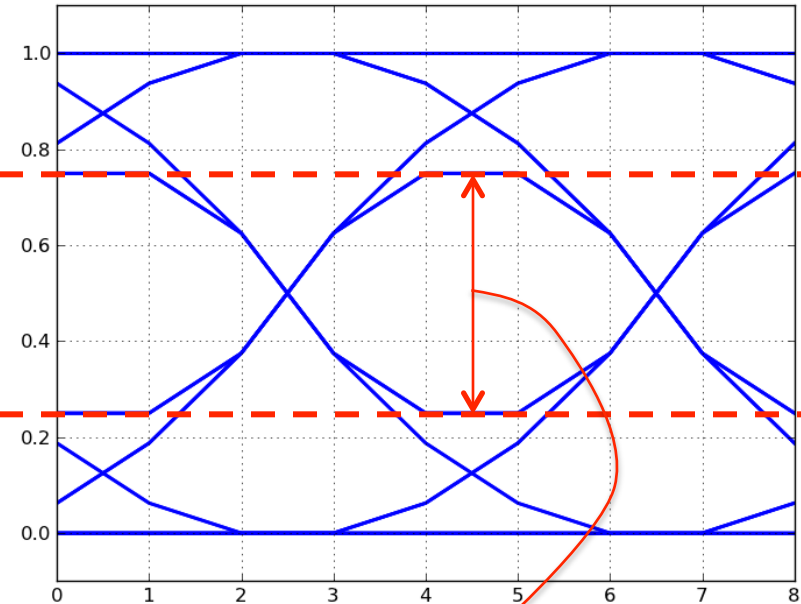
Eye diagrams make it easy to find the worst-case signaling conditions at the receiving end.

# “Width” of Eye

Worst-case “1”



Worst-case “0”



“width” of eye  
(as in “eye wide open”)

To maximize noise margins:

Pick the best sample point → widest point in the eye

Pick the best digitization threshold → half-way across width

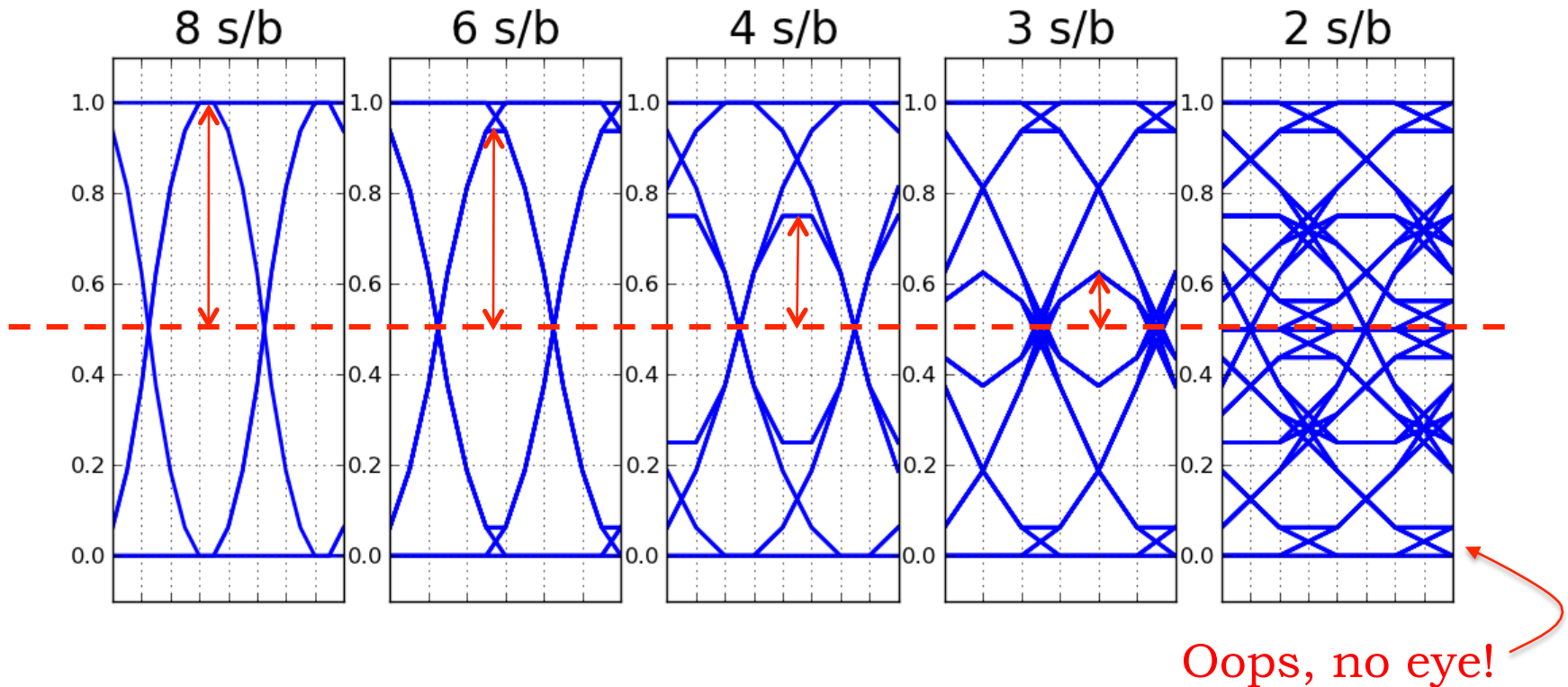
# Constructing the Eye Diagram

1. Compute B, the number bits “covered” by  $h[n]$ . Let  $N =$  samples/bit

$$B = \left\lfloor \frac{\text{length of active portion of } h[n]}{N} \right\rfloor + 2$$

2. Generate a test pattern that contains all possible combinations of B bits – want all possible combinations of neighboring cells. If B is big, randomly choose a large number of combinations.
3. Transmit the test pattern over the channel ( $2^B N$  samples)
4. Instead of one long plot of  $y[n]$ , plot the response as an *eye diagram*:
  - a. break the plot up into short segments, each containing  $KN$  samples, starting at sample 0,  $KN$ ,  $2KN$ ,  $3KN$ , ... (e.g.,  $K=3$ )
  - b. plot all the short segments on top of each other

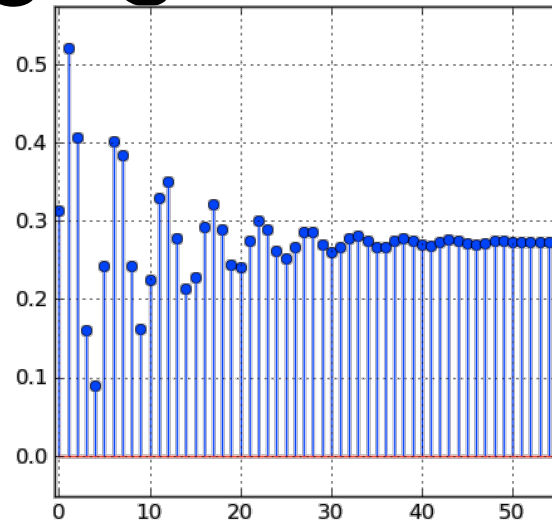
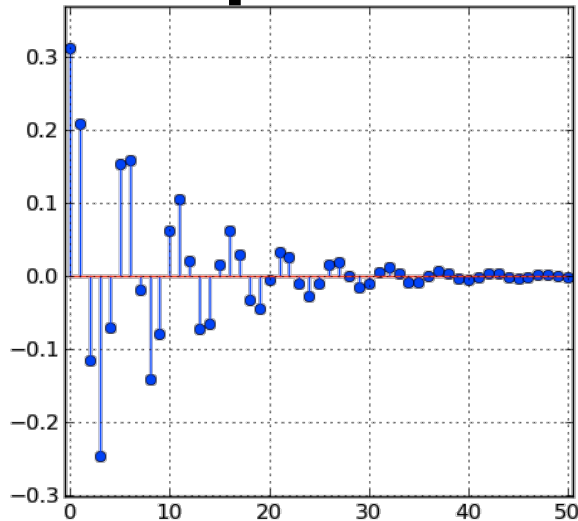
# Choosing Samples/Bit



Given  $h[n]$ , you can use the eye diagram to pick the number of samples transmitted for each bit ( $N$ ):

Reduce  $N$  until you reach the noise margin you feel is the minimum acceptable value.

# Example: “ringing” channel

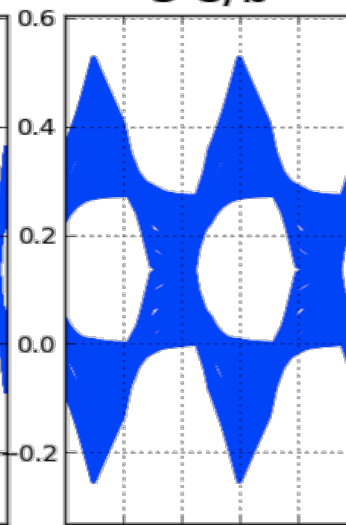
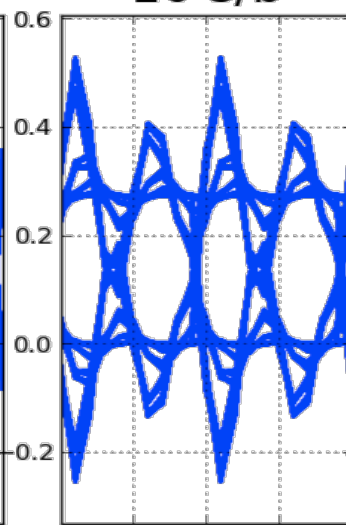
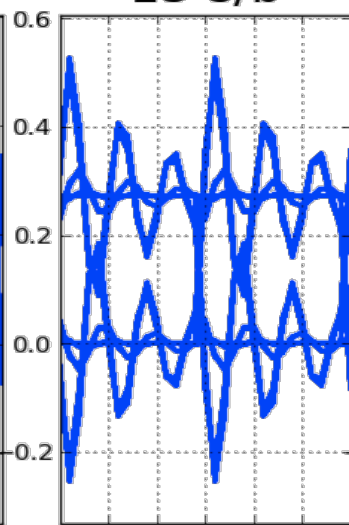
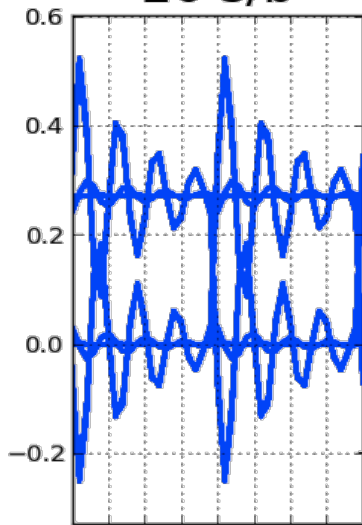


20 s/b

15 s/b

10 s/b

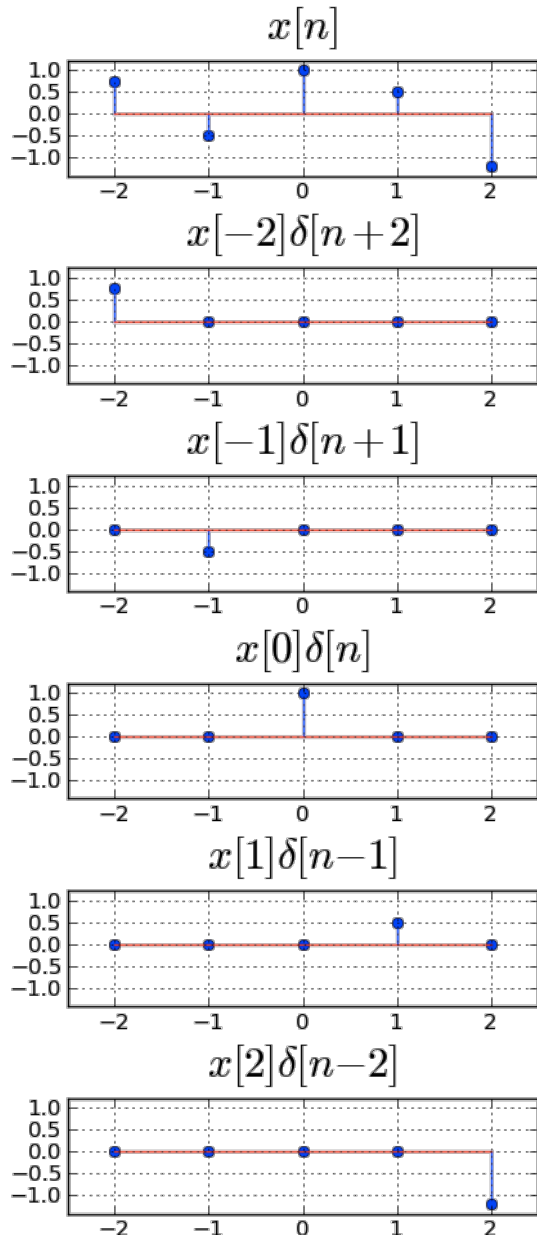
5 s/b





# From Unit Step Decomposition to Unit Sample Decomposition ...

# Unit Sample Decomposition



A discrete-time signal can be decomposed into a sum of time-shifted, scaled unit sample functions.

Example: in the figure,  $x[n]$  is the sum of  $x[-2]\delta[n+2] + x[-1]\delta[n+1] + \dots + x[2]\delta[n-2]$ .

In general:

$$x[n] = \sum_{k=-\infty}^{\infty} x[k]\delta[n-k]$$

For any particular index, only one term of this sum is non-zero

# Convolution!

If system  $S$  is both linear and time-invariant (LTI), then we can use the unit sample response to predict the response to *any* input waveform  $x[n]$ :

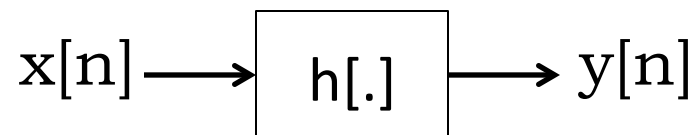
Sum of shifted, scaled unit sample functions

$$x[n] = \sum_{k=-\infty}^{\infty} x[k] \delta[n-k] \longrightarrow \boxed{S} \longrightarrow y[n] = \sum_{k=-\infty}^{\infty} x[k] h[n-k]$$

Sum of shifted, scaled unit sample responses, with the same scale factors

CONVOLUTION SUM

Indeed, the unit sample response  $h[n]$  completely characterizes the LTI system  $S$ , so you often see



# Notation, Notation!

Evaluating the convolution sum

$$y[n] = \sum_{k=-\infty}^{\infty} x[k]h[n-k]$$

for all  $n$  defines the output signal  $y$  in terms of the input  $x$  and unit-sample response  $h$ . Some constraints are needed to ensure this infinite sum is well behaved, i.e., doesn't "blow up" (we'll discuss this soon).

We use  $*$  to denote convolution, and write  $y=x*h$ . We can then write the value of  $y$  at time  $n$ , which is given by the above sum, as  $y[n] = (x * h)[n]$ .

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**Be warned:** you'll find people writing  $y[n] = x[n] * h[n]$ , where the poor index  $n$  is doing **triple** duty. This is **awful** notation, but a super-majority of engineering professors (including at MIT) will inflict it on their students.


# Channels as LTI Systems

Many transmission channels can be effectively modeled as LTI systems. When modeling transmissions, there are few simplifications we can make:

- We'll call the time transmissions start  $t=0$ ; the signal before the start is 0. So  $x[m] = 0$  for  $m < 0$ .
- Real-world channels are **causal**: the output at any time depends on values of the input at only the present and past times. So  $h[m] = 0$  for  $m < 0$ .

These two observations allow us to rework the convolution sum when it's used to describe transmission channels:

$$y[n] = \sum_{k=-\infty}^{\infty} x[k]h[n-k] = \sum_{k=0}^{\infty} x[k]h[n-k] = \sum_{k=0}^n x[k]h[n-k] = \sum_{j=0}^n x[n-j]h[j]$$



start at  $t=0$       causal       $j=n-k$

# Properties of Convolution

$$(x * h)[n] \equiv \sum_{k=-\infty}^{\infty} x[k]h[n-k] = \sum_{m=-\infty}^{\infty} h[m]x[n-m]$$

The second equality above, which follows from the simple change of variables  $n-k=m$ , establishes that convolution is **commutative**:

$$x * h = h * x$$

Convolution is **associative**:

$$h_2 * (h_1 * x) = (h_2 * h_1) * x$$

Convolution is **distributive**:

$$(h_1 + h_2) * x = (h_1 * x) + (h_2 * x)$$

# Stability

What ensures that the infinite sum

$$y[n] = \sum_{m=-\infty}^{\infty} h[m]x[n-m]$$

is well-behaved?

One important case: If the unit sample response is *absolutely summable*, i.e.,

$$\sum_{m=-\infty}^{\infty} |h[m]| < \infty$$

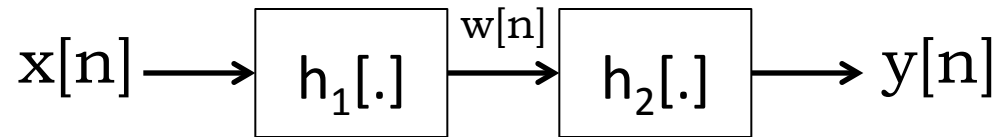
and the input is *bounded*, i.e.,  $|x[k]| \leq M < \infty$

Under these conditions, the convolution sum is well-behaved, and the *output* is guaranteed to be *bounded*.

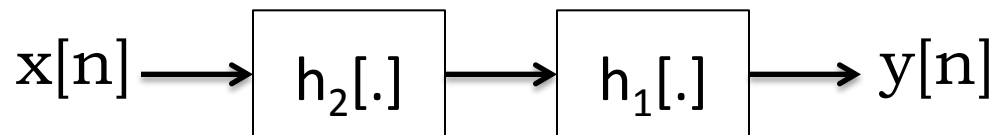
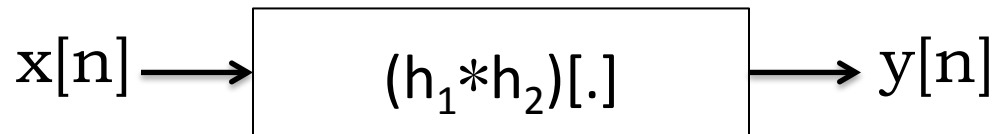
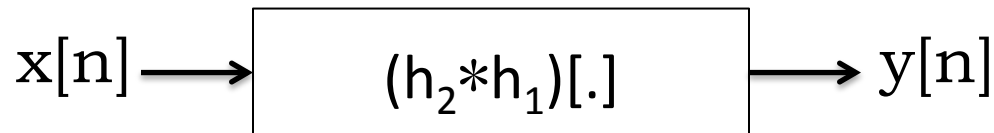
The **absolute summability of  $h[n]$**  is necessary and sufficient for this **bounded-input bounded-output (BIBO) stability**.



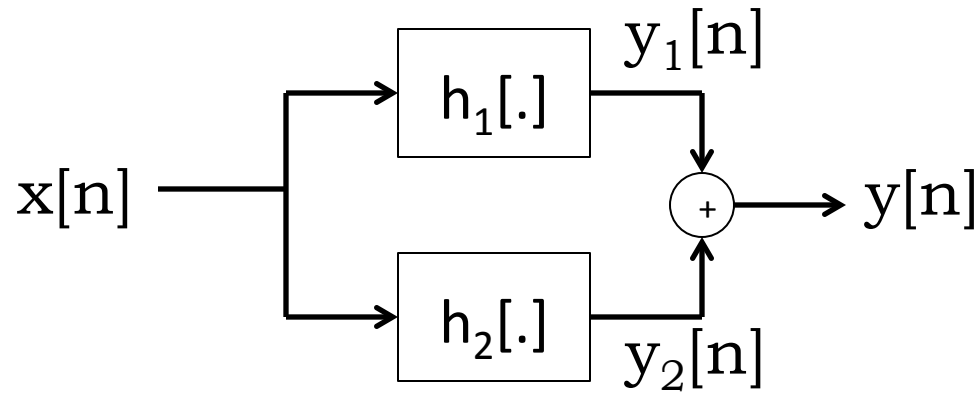
# Series Interconnection of LTI Systems



$$y = h_2 * w = h_2 * (h_1 * x) = (h_2 * h_1) * x$$



# Parallel Interconnection of LTI Systems



$$y = y_1 + y_2 = (h_1 * x) + (h_2 * x) = (h_1 + h_2) * x$$

