

Name: \_\_\_\_\_

*Department of Electrical Engineering and Computer Science*

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

6.02 Fall 2010

**Quiz III**

December 16, 2010

| <u>"x" your section</u>  | <u>Section</u> | <u>Time</u> | <u>Room</u> | <u>Recitation Instructor</u> |
|--------------------------|----------------|-------------|-------------|------------------------------|
| <input type="checkbox"/> | 1              | 10-11       | 36-112      | Tania Khanna                 |
| <input type="checkbox"/> | 2              | 11-12       | 36-112      | Tania Khanna                 |
| <input type="checkbox"/> | 3              | 12-1        | 36-112      | George Verghese              |
| <input type="checkbox"/> | 4              | 1-2         | 36-112      | George Verghese              |
| <input type="checkbox"/> | 5              | 2-3         | 26-168      | Alexandre Megretski          |
| <input type="checkbox"/> | 6              | 3-4         | 26-168      | Alexandre Megretski          |

There are **22 questions** (some with multiple parts) and **15 pages** in this quiz booklet. Answer each question according to the instructions given. You have **3 hours** to answer the questions.

If you find a question ambiguous, please be sure to write down any assumptions you make. **Please be neat and legible.** If we can't understand your answer, we can't give you credit! *And please show your work for partial credit.*

Use the empty sides of this booklet if you need scratch space. You may also use them for answers, although you shouldn't need to. *If you use the blank sides for answers, make sure to say so!*

**Please write your name CLEARLY in the space at the top of this page. NOW, please!**

**One two-sided "crib sheet" allowed. No other notes, books, calculators, computers, cell phones, PDAs, information appliances, carrier pigeons carrying messages, etc.!**

*Do not write in the boxes below*

| 1-4 (x/13) | 5-9 (x/20) | 10-11 (x/20) | 12-14 (x/14) | 15-17 (x/13) | 18-22 (x/20) | Total (x/100) |
|------------|------------|--------------|--------------|--------------|--------------|---------------|
|            |            |              |              |              |              |               |

## I Switching Places

1. **[4 points]:** Annette Werker has developed a new switch. In this switch, 10% of the packets are processed on the “slow path”, which incurs an average delay of 1 millisecond. All the other packets are processed on the “fast path”, incurring an average delay of 0.1 milliseconds. Annette observes the switch over a period of time and finds that the average number of packets in it is 19. What is the average rate, in packets per second, at which the switch processes packets?

**(Explain your answer in the space below.)**

2. **[3 points]:** Alyssa P. Hacker designs a switch for a *circuit-switched network* to send data on a 1 Megabit/s link using *time division multiplexing* (TDM). The switch supports a maximum of 20 different simultaneous conversations on the link, and any given sender transmits data in frames of size 2000 bits. Over a period of time, Alyssa finds that the average number of conversations simultaneously using the link is 10. The switch forwards a data frame sent **by a given sender** every  $\delta$  seconds according to TDM. Determine the value of  $\delta$ .

**(Explain your answer in the space below.)**

3. **[3 points]:** Louis Reasoner implements the link-state routing protocol discussed in 6.02 on a best-effort network with a non-zero packet loss rate. In an attempt to save bandwidth, instead of sending link-state advertisements periodically, each node sends an advertisement *only if* one of its links fails or when the cost of one of its links changes. The rest of the protocol remains unchanged. Will Louis' implementation always converge to produce correct routing tables on all the nodes?

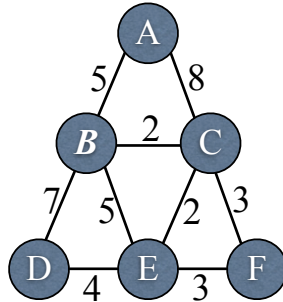
**(Explain your answer in the space below.)**

4. **[3 points]:** Consider a network implementing minimum-cost routing using the distance-vector protocol. A node,  $S$ , has  $k$  neighbors, numbered 1 through  $k$ , with link cost  $c_i$  to neighbor  $i$  (all links have symmetric costs). Initially,  $S$  has no route for destination  $D$ . Then,  $S$  hears advertisements for  $D$  from each neighbor, with neighbor  $i$  advertising a cost of  $p_i$ . The node integrates these  $k$  advertisements. What is the cost for destination  $D$  in  $S$ 's routing table after the integration?

**(Explain your answer in the space below.)**

## II Get Shorty

Consider the network shown in the picture below. Each node implements Dijkstra's shortest paths algorithm using the link costs shown in the picture.



5. [4 points]: Initially, node **B**'s routing table contains only one entry, for itself. When **B** runs Dijkstra's algorithm, in what order are nodes added to the routing table? **List all possible answers.**

6. [6 points]: Now suppose the link cost for one of the links changes but all costs remain non-negative. For each change in link cost listed below, **state whether it is possible for the route at node B** (i.e., the link used by **B**) for any destination to change, **and if so, name the destination(s) whose routes may change.**

A. The cost of link( $A, C$ ) increases: \_\_\_\_\_.

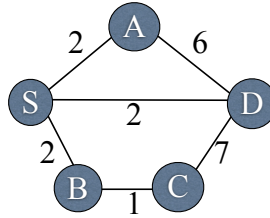
B. The cost of link( $A, C$ ) decreases: \_\_\_\_\_.

C. The cost of link( $B, C$ ) increases: \_\_\_\_\_.

D. The cost of link( $B, C$ ) decreases: \_\_\_\_\_.

### III Don't Lie to Me

Alyssa P. Hacker implements the 6.02 distance-vector protocol on the network shown below. Each node has its own local clock, which may not be synchronized with any other node's clock. Each node sends its distance-vector advertisement every 100 seconds. When a node receives an advertisement, it immediately integrates it. The time to send a message on a link and to integrate advertisements is negligible. No advertisements are lost. There is no HELLO protocol in this network.



7. [5 points]: At time 0, all the nodes **except**  $D$  are up and running. At time 10 seconds, node  $D$  turns on and immediately sends a route advertisement for itself to all its neighbors. What is the *minimum time* at which each of the other nodes is **guaranteed** to have a correct routing table entry corresponding to a minimum-cost path to reach  $D$ ? Justify your answers.

Node S: \_\_\_\_\_

Node A: \_\_\_\_\_

Node B: \_\_\_\_\_

Node C: \_\_\_\_\_

8. [2 points]: If every node sends packets to destination  $D$ , and to no other destination, which link would carry the most traffic?

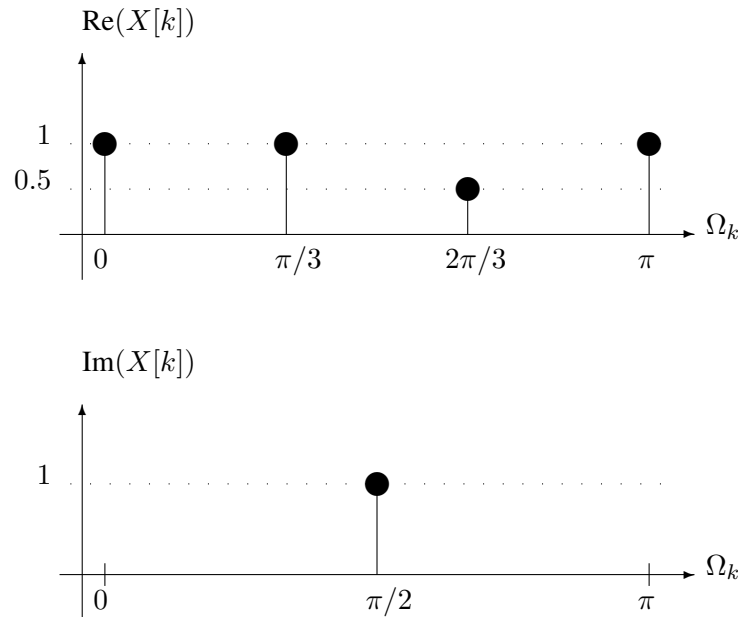
Alyssa is unhappy that one of the links in the network carries a large amount of traffic when all the nodes are sending packets to  $D$ . She decides to overcome this limitation with Alyssa's Vector Protocol (AVP). In AVP,  $S$  lies, advertising a "path cost" for destination  $D$  that is *different* from the sum of the link costs along the path used to reach  $D$ . All the other nodes implement the standard distance-vector protocol, not AVP.

9. [3 points]: What is the *smallest* numerical value of the cost that  $S$  should advertise for  $D$  along each of its links, to **guarantee** that only its own traffic for  $D$  uses its direct link to  $D$ ? Assume that all advertised costs are integers; if two path costs are equal, one can't be sure which path will be taken.

(Explain your answer in the space below.)

## IV Real and Imaginary Friends

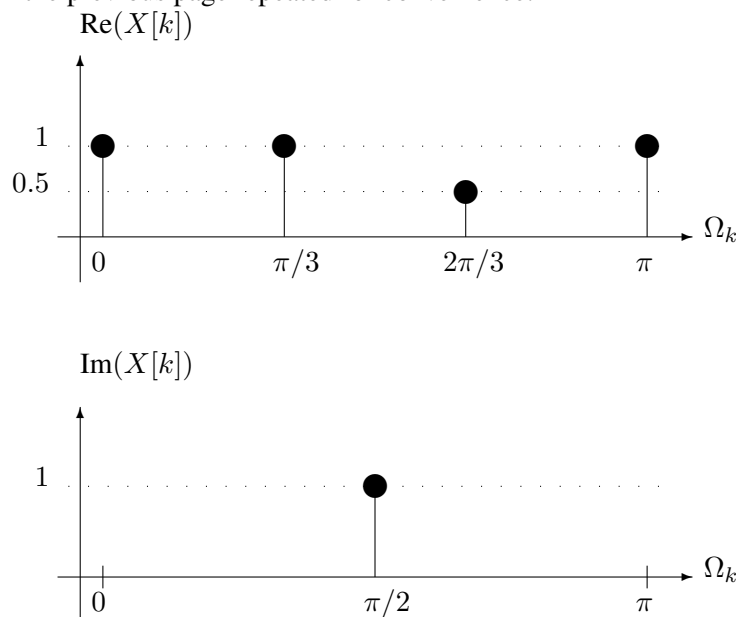
**10. [8 points]:** The figure below shows the real and imaginary parts of all *non-zero* Fourier series coefficients  $X[k]$  of a real periodic discrete-time signal  $x[n]$ , for frequencies  $\Omega_k \in [0, \pi]$ . Here  $\Omega_k = k(2\pi/N)$  for some fixed even integer  $N$ , as in all our analysis of the discrete-time Fourier series (DTFS), but the plots below only show the range  $0 \leq k \leq N/2$ . (If you wish to be reminded of the specific formulas associated with the DTFS, see the next page.)



**A.** Find all *non-zero* Fourier series coefficients of  $x[n]$  at  $\Omega_k$  in the interval  $[-\pi, 0)$ , i.e., for  $-(N/2) \leq k < 0$ . Give your answer in terms of careful and fully labeled plots of the real and imaginary parts of  $X[k]$  **in the space below** (following the style of the figure above).

**B.** Find the period of  $x[n]$ , i.e., the smallest integer  $T$  for which  $x[n + T] = x[n]$ , for all  $n$ .

Picture from the previous page repeated for convenience.



- C. For the frequencies  $\Omega_k \in [0, \pi]$ , find all non-zero Fourier series coefficients of the signal  $x[n-6]$  obtained by delaying  $x[n]$  by 6 samples.

For reference, we remind you that a signal  $x[n]$  over the time interval  $[0, N - 1]$  (where  $N$  is even, and  $N/2$  is denoted by  $K$ ) can be written as a DTFS:

$$x[n] = \sum_{k=-K}^{K-1} X[k] e^{j\Omega_k n},$$

where  $\Omega_k = k(2\pi/N)$ , and the Fourier coefficients  $X[k]$  are given by

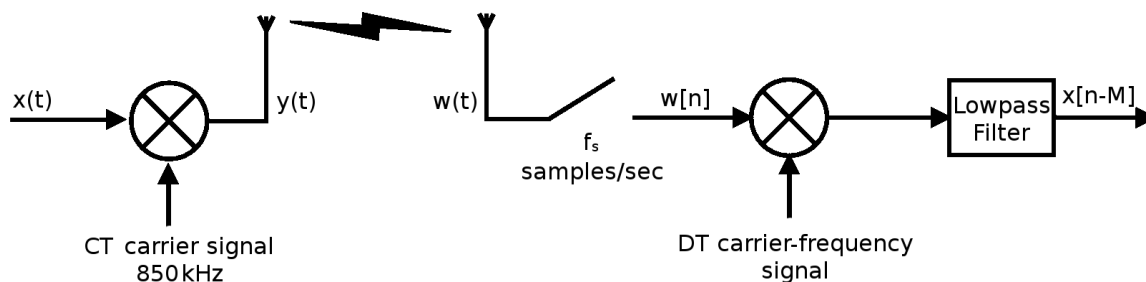
$$X[k] = \frac{1}{N} \sum_{n=0}^{N-1} x[n] e^{-j\Omega_k n}.$$

## V All We Hear Is... One AM Radio Station

The Boston sports radio station WEEI AM (“amplitude modulation”) broadcasts on a carrier frequency of 850 kHz, so its continuous-time (CT) carrier signal can be taken to be  $\cos(2\pi \times 850 \times 10^3 t)$ , where  $t$  is measured in seconds. Denote the CT audio signal that’s modulated onto this carrier by  $x(t)$ , so that the CT signal transmitted by the radio station is

$$y(t) = x(t) \cos(2\pi \times 850 \times 10^3 t), \quad (1)$$

as indicated schematically on the left side of the figure below.



We use the symbols  $y[n]$  and  $x[n]$  to denote the discrete-time (DT) signals that would have been obtained by respectively sampling  $y(t)$  and  $x(t)$  in Eq.(1) at  $f_s$  samples/sec; more specifically, the signals are sampled at the discrete time instants  $t = n(1/f_s)$ . Thus

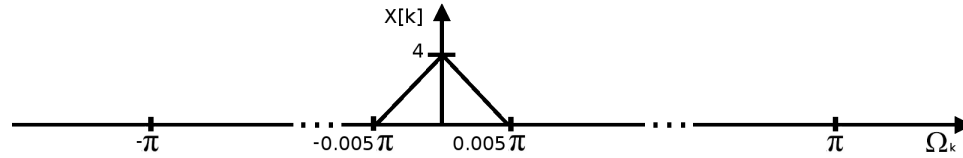
$$y[n] = x[n] \cos(\Omega_c n) \quad (2)$$

for an appropriately chosen value of the angular frequency  $\Omega_c$ . Assume that  $x[n]$  is periodic with some period  $N$ , and that  $f_s = 2 \times 10^6$  samples/sec.

**11. [12 points]:** Answer the following questions, explaining your answers in the space provided.

- A.** Determine the value of  $\Omega_c$  in Eq.(2), restricting your answer to a value in the range  $[-\pi, \pi]$ . (You can assume in what follows that the period  $N$  of  $x[n]$  is such that  $\Omega_c = 2k_c\pi/N$  for some integer  $k_c$ ; this is a detail, and needn't concern you unduly.)

- B.** Suppose the Fourier series coefficients  $X[k]$  of the DT signal  $x[n]$  in Eq.(2) are *purely real*, and are as shown in the figure below, plotted as a function of  $\Omega_k = 2k\pi/N$ . (Note that the figure is not drawn to scale. Also, the different values of  $\Omega_k$  are so close to each other that we have just interpolated adjacent values of  $X[k]$  with a straight line, rather than showing you a discrete “stem” plot.) Observe that the Fourier series coefficients are non-zero for frequencies  $\Omega_k$  in the interval  $[-.005\pi, .005\pi]$ , and 0 at all other  $\Omega_k$  in the interval  $[-\pi, \pi]$ .



Draw a carefully labeled sketch below (though not necessarily to scale) to show the Fourier series coefficients of the DT modulated signal  $y[n]$ . However, rather than labeling your horizontal axis with the  $\Omega_k$ , as we have done above, you should **label the axis with the appropriate frequency  $f_k$  in Hz**.



Assume now that the receiver detects the CT signal  $w(t) = 10^{-3}y(t - t_0)$ , where  $t_0 = 3 \times 10^{-6}$  sec, and that it samples this signal at  $f_s$  samples/sec, thereby obtaining the DT signal

$$w[n] = 10^{-3}y[n - M] = 10^{-3}x[n - M] \cos(\Omega_c(n - M)) \quad (3)$$

for an appropriately chosen integer  $M$ .

**C.** Determine the value of  $M$  in Eq.(3).

**D.** Noting your answer from part **B**, determine for precisely which intervals of the frequency axis the Fourier series coefficients of the signal  $y[n - M]$  in Eq.(3) are non-zero. You **need not find the actual coefficients**, only the frequency range over which these coefficients will be non-zero. Also **state whether or not the Fourier coefficients will be real**. Explain your answer.

**E.** The demodulation step to obtain the DT signal  $x[n - M]$  from the received signal  $w[n]$  now involves multiplying  $w[n]$  by a DT carrier-frequency signal, followed by appropriate low-pass filtering (with the gain of the low-pass filter in its passband being chosen to scale the signal to whatever amplitude is desired). Which one of the following six DT carrier-frequency signals would you choose to multiply the received signal by? Circle your choice and give a brief explanation.

- (a)  $\cos(\Omega_c n)$ .
- (b)  $\cos(\Omega_c(n - M))$ .
- (c)  $\cos(\Omega_c(n + M))$ .
- (d)  $\sin(\Omega_c n)$ .
- (e)  $\sin(\Omega_c(n - M))$ .
- (f)  $\sin(\Omega_c(n + M))$ .

## VI Why So Slow?

A sender  $A$  and a receiver  $B$  communicate using the stop-and-wait protocol studied in 6.02. There are  $n$  links on the path between  $A$  and  $B$ , each with a data rate of  $R$  bits per second. The size of a data packet is  $S$  bits and the size of an ACK is  $K$  bits. Each link has a physical distance of  $D$  meters and the speed of signal propagation over each link is  $c$  meters per second. The total processing time experienced by a data packet and its ACK is  $T_p$  seconds. ACKs traverse the same links as data packets, except in the opposite direction on each link (the propagation time and data rate are the same in both directions of a link). There is no queueing delay in this network. Each link has a packet loss probability of  $p$ , with packets being lost independently.

**12. [6 points]:** What are the following four quantities in terms of the given parameters?

**A.** Transmission time for a data packet *on one link* between  $A$  and  $B$ : \_\_\_\_\_.

**B.** Propagation time for a data packet across  $n$  links between  $A$  and  $B$ : \_\_\_\_\_.

**C.** Round-trip time (RTT) between  $A$  and  $B$ ? \_\_\_\_\_.  
(The RTT is defined as the elapsed time between the start of transmission of a data packet and the completion of receipt of the ACK sent in response to the data packet's reception by the receiver.)

**D.** Probability that a data packet sent by  $A$  will reach  $B$  = \_\_\_\_\_.

## VII Stop Wait... Do Tell Me

Ben Bitdiddle gets rid of the timestamps from the packet header in the 6.02 stop-and-wait transport protocol running over a best-effort network. The network may lose or reorder packets, but it never duplicates a packet. In the protocol, the receiver sends an ACK for each data packet it receives, echoing the sequence number of the packet that was just received.

The sender uses the following method to estimate the round-trip time (RTT) of the connection:

1. When the sender transmits a packet with sequence number  $k$ , it stores the time on its machine at which the packet was sent,  $t_k$ . If the transmission is a retransmission of sequence number  $k$ , then  $t_k$  is updated.
2. When the sender gets an ACK for packet  $k$ , if it has not already gotten an ACK for  $k$  so far, it observes the current time on its machine,  $a_k$ , and measures the RTT sample as  $a_k - t_k$ .

If the ACK received by the sender at time  $a_k$  was sent by the receiver in response to a data packet sent at time  $t_k$ , then the RTT sample  $a_k - t_k$  is said to be correct. Otherwise, it is incorrect.

**13. [5 points]:** Circle **True** or **False** for the following statements.

- A. True / False** If the sender never retransmits a data packet during a data transfer, then all the RTT samples produced by Ben's method are correct.
- B. True / False** If data and ACK packets are never reordered in the network, then all the RTT samples produced by Ben's method are correct.
- C. True / False** If the sender makes no spurious retransmissions during a data transfer (i.e., it only retransmits a data packet if all previous transmissions of data packets with the same sequence number did in fact get dropped before reaching the receiver), then all the RTT samples produced by Ben's method are correct.

Opt E. Miser implements the 6.02 stop-and-wait reliable transport protocol with one modification: being stingy, he replaces the sequence number field with a 1-bit field, deciding to reuse sequence numbers across data packets. The first data packet has sequence number 1, the second has number 0, the third has number 1, the fourth has number 0, and so on. Whenever the receiver gets a packet with sequence number  $s$  ( $= 0$  or  $1$ ), it sends an ACK to the sender echoing  $s$ . The receiver delivers a data packet to the application if, and only if, its sequence number is different from the last one delivered, and upon delivery, updates the last sequence number delivered.

**14. [3 points]:** He runs this protocol over a best-effort network that can lose packets (with probability less than 1) or reorder them, and whose delays may be variable. Does the modified protocol always provide correct reliable, in-order delivery of a stream of packets?

**(Explain your answer in the space below.)**

## VIII Slip Sliding (Windows) Away

Consider a reliable transport connection using the 6.02 sliding window protocol on a network path whose RTT in the absence of queueing is  $RTT_{\min} = 0.1$  seconds. The connection's bottleneck link has a rate of  $C = 100$  packets per second, and the queue in front of the bottleneck link has space for  $Q = 20$  packets.

**15. [6 points]:** Assume that the sender uses a sliding window protocol with fixed window size. There is no other connection on the path.

**A.** If the size of the window is 8 packets, then what is the throughput of the connection?

**B.** If the size of the window is 16 packets, then what is the throughput of the connection?

**C.** What is the smallest window size for which the connection's RTT exceeds  $RTT_{\min}$ ?

## IX Start Me Up

TCP, the standard reliable transport protocol used on the Internet, uses a sliding window. Unlike the protocol studied in 6.02, however, the size of the TCP window is *variable*. The sender changes the size of the window as ACKs arrive from the receiver; it does not know the best window size to use a priori.

TCP uses a scheme called **slow start** at the beginning of a new connection. Slow start has three rules, R1, R2, and R3, listed on the next page for convenience (TCP uses some other rules too, which we will ignore).

In the following rules for slow start, the sender's current window size is  $W$  and the last in-order ACK received by the sender is  $A$ . The first packet sent has sequence number 1.

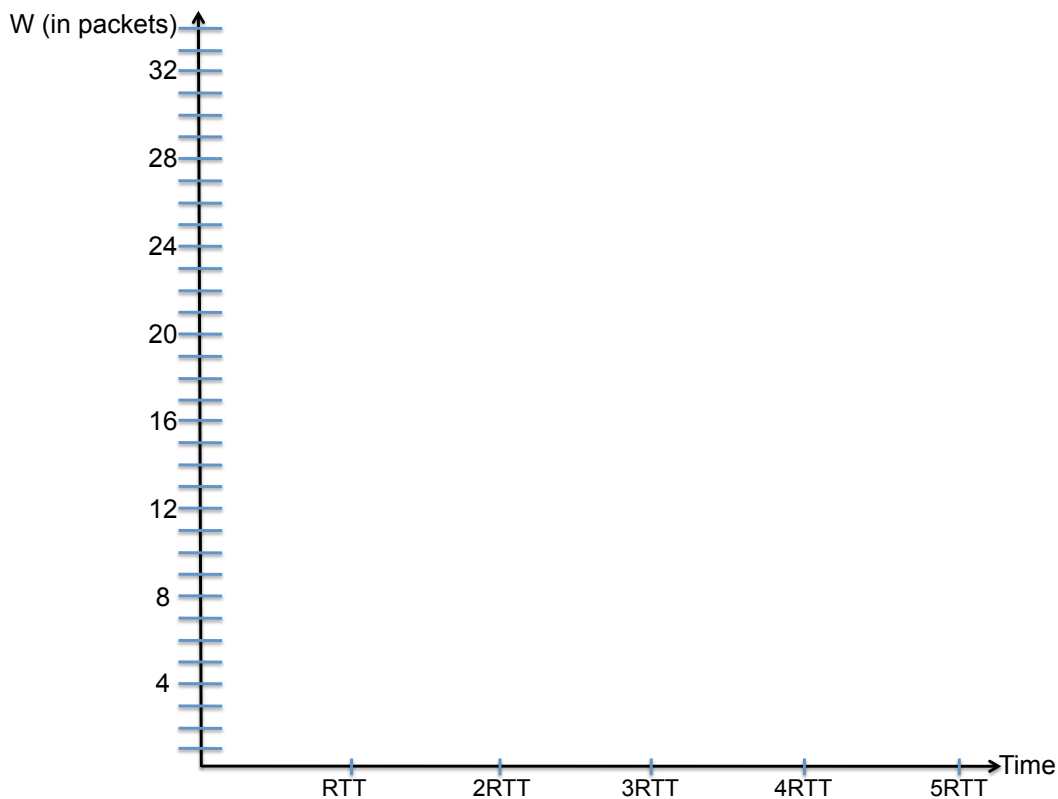
- R1. Initially, set  $W \leftarrow 1$  and  $A \leftarrow 0$ .
- R2. If an ACK arrives for packet  $A + 1$ , then set  $W \leftarrow W + 1$ , and set  $A \leftarrow A + 1$ .
- R3. When the sender retransmits a packet after a timeout, then set  $W \leftarrow 1$ .

Assume that all the other mechanisms are the same as the 6.02 sliding window protocol. Data packets may be lost because packet queues overflow, but assume that packets are not reordered by the network.

We run slow start on a network with  $\text{RTT}_{\min} = 0.1$  seconds, bottleneck link rate = 100 packets per second, and bottleneck queue = 20 packets.

**16. [2 points]:** What is the smallest value of  $W$  at which the bottleneck queue overflows?

**17. [5 points]:** Sketch  $W$  as a function of time on the graph below for the first 5 RTTs of a connection. The X-axis marks time in terms of multiples of the connection's RTT. (*Hint: Non-linear!*)



## X Information and Cmprssn

18. [3 points]: After careful data collection, Alyssa P. Hacker observes that the probability of “HIGH” or “LOW” traffic on Storow Drive is given by the following table:

|                                       | HIGH traffic level               | LOW traffic level               |
|---------------------------------------|----------------------------------|---------------------------------|
| <i>If the Red Sox are playing</i>     | $P(\text{HIGH traffic}) = 0.999$ | $P(\text{LOW traffic}) = 0.001$ |
| <i>If the Red Sox are not playing</i> | $P(\text{HIGH traffic}) = 0.25$  | $P(\text{LOW traffic}) = 0.75$  |

- A. If it is known that the Red Sox are playing, then how much information in bits is conveyed by the statement that the traffic level is LOW. Give your answer as a mathematical expression.
- B. Suppose it is known that the Red Sox are **not** playing. What is the entropy of the corresponding probability distribution of traffic? Give your answer as a mathematical expression.

19. [3 points]:  $X$  is an unknown 4-bit binary number picked uniformly at random from the set of all possible 4-bit numbers. You are given another 4-bit binary number,  $Y$ , and told that the Hamming distance between  $X$  (the unknown number) and  $Y$  (the number you know) is *two*. How many bits of information about  $X$  have you been given?

20. [3 points]: Consider a Huffman code over four symbols,  $A$ ,  $B$ ,  $C$ , and  $D$ . For each of the following encodings, circle **True** if it is a valid Huffman encoding, and **False** otherwise. Give a brief explanation next to each one.

A. **True / False**  $A : 0, B : 11, C : 101, D : 100$

B. **True / False**  $A : 1, B : 01, C : 00, D : 010$

C. **True / False**  $A : 00, B : 01, C : 110, D : 111$

**21. [4 points]:** Huffman is given four symbols,  $A$ ,  $B$ ,  $C$ , and  $D$ . The probability of symbol  $A$  occurring is  $p_A$ , symbol  $B$  is  $p_B$ , symbol  $C$  is  $p_C$ , and symbol  $D$  is  $p_D$ , with  $p_A \geq p_B \geq p_C \geq p_D$ . Write down a single condition (equation or inequality) that is both necessary and sufficient to guarantee that, when Huffman constructs the code bearing his name over these symbols, each symbol will be encoded using exactly two bits. Explain your answer.

**22. [7 points]:** Consider the LZW compression and decompression algorithms as described in 6.02. Assume that the scheme has an initial table with code words 0 through 255 corresponding to the 8-bit ASCII characters; character “a” is 97 and “b” is 98. The receiver gets the following sequence of code words, each of which is 10 bits long:

97 97 98 98 257 256

- A. What was the original message sent by the sender?
- B. By how many bits is the compressed message shorter than the original message (each character in the original message is 8 bits long)?
- C. What is the first string of length 3 added to the compression table? (If there’s no such string, your answer should be “None”.)

*FIN*