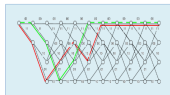


# Reliable Data Transport: Sliding Windows

## 6.02 Fall 2013 Lecture 23



INTRODUCTION TO BECS II  
**DIGITAL  
COMMUNICATION  
SYSTEMS**

# A Brief History of the Internet

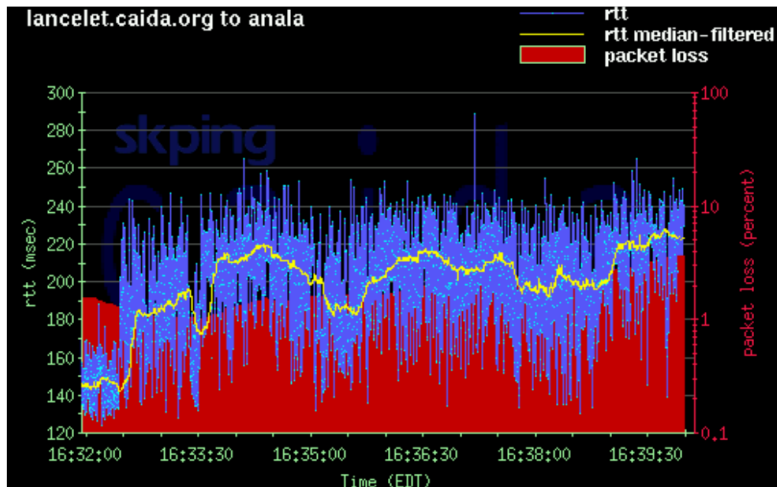
guest lecture by Prof. Hari Balakrishnan

Wednesday December 4, 2013, usual 6.02 lecture time

# Today's Plan

- ▶ Round-Trip Time Statistics
  - ▶ average and linear deviation
  - ▶ smoothing filters: EWMA
  - ▶ timeout for "stop-and-wait"
- ▶ Sliding Window Protocol
  - ▶ general operation
  - ▶ throughput analysis

# Round-Trip Time as a Random Variable



# Deciding On The Timeout

Performance analysis of the **stop-and-wait** protocol, coupled with **Markov inequality** suggest using

$$T_o = \hat{T}_r + \frac{\hat{D}_r}{q}$$

where

- ▶  $T_o$  is the timeout
- ▶  $\hat{T}_r$  is an estimate of  $\mathbf{E}[T_r]$  (average RTT)
- ▶  $\hat{D}_r$  is an estimate of  $\mathbf{E}[|T_r - \hat{T}_r|]$  (**linear deviation** of RTT)
- ▶  $q$  is a bound of spurious retransmission probability

## "Static" Estimates

Given RTT samples sequence  $T_r[0], T_r[1], T_r[2], \dots$

$$\begin{aligned}\hat{T}_r[0] &= 0, \\ \hat{T}_r[n] &= \frac{T_r[0] + T_r[1] + \dots + T_r[n-1]}{n} \quad (n \geq 1).\end{aligned}$$

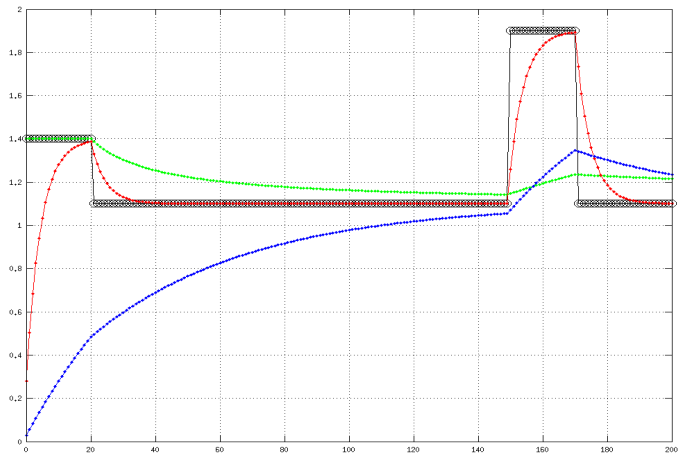
Equivalently,

$$\begin{aligned}\hat{T}_r[0] &= 0, \\ \hat{T}_r[n] &= \left(1 - \frac{1}{n}\right) \hat{T}_r[n-1] + \frac{1}{n} T_r[n-1] \quad (n \geq 1)\end{aligned}$$

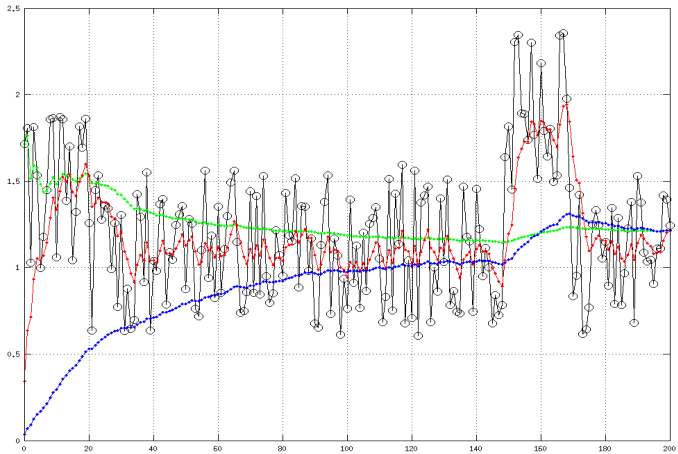
**The impact of a single RTT sample diminishes with time!**

Replacing  $\frac{1}{n}$  with a fixed  $\alpha \in (0, 1)$  reduces the averaging horizon from "infinite" to "finite" (approximately  $n_0 = 1/\alpha$  samples).

# Comparing Averages: Reaction to Pure Change



# Comparing Averages: Smoothing Power





# Using Exponentially Weighted Moving Averages (EWMA)

To adapt to changes in the distribution of  $T_r$ :

$$\begin{aligned}T_o &= \hat{T}_r + q^{-1}\hat{D}_r \\ \hat{T}_r^+ &= (1 - \alpha)\hat{T}_r + \alpha T_r \\ \hat{D}_r^+ &= (1 - \beta)\hat{D}_r + \beta|T_r - \hat{T}_r|\end{aligned}$$

$0 < \alpha, \beta, q < 1$  (TCP uses  $\alpha = 1/8$ ,  $\beta = q = 1/4$ )

$1/\alpha$ ,  $1/\beta$  are the **time constants**

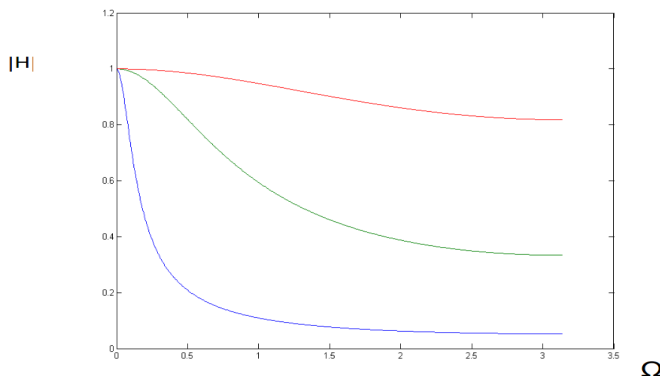
$q$  is a bound on the probability of spurious retransmission

# EWMA: What Is Going On

LTI model:  $y[n+1] = (1 - \alpha)y[n] + \alpha x[n]$

Step response:  $y_s[n] = 1 - (1 - \alpha)^n$  ( $n \geq 0$ )

Frequency response:  $H(\Omega) = \alpha / (e^{j\Omega} - 1 + \alpha)$



Which color corresponds to the smallest  $\alpha$ ?

## Example: Stop-and-Wait Performance With Constant $T_r$

Recall:  $T = T_r + \frac{pT_o}{1-p}$

If

- ▶ bottleneck link can support 100 packets/sec
- ▶  $T_r = 100ms$
- ▶  $T_o = T_r + \epsilon$

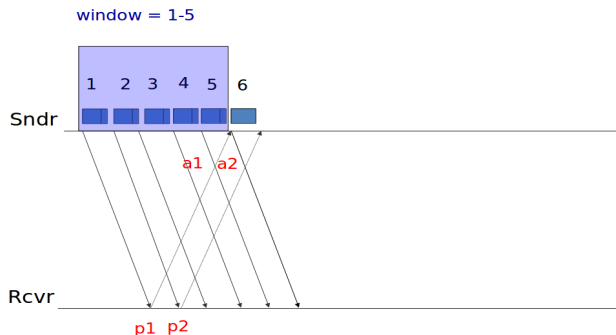
then, using stop-and-wait, the maximum throughput is at most only 10 packets/sec.

Only 10 percent utilization:

**we need a better reliable transport protocol!**

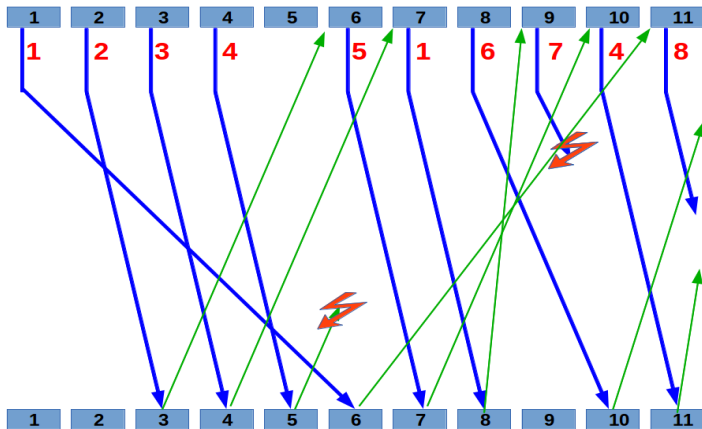
# Sliding Window Protocol

- ▶ Allow up to  $W$  unacknowledged packets
- ▶ Wait  $T_o$  to re-transmit long-unacknowledged packets
- ▶ Send out next untransmitted packet whenever window permits (and no re-transmission is scheduled)
- ▶ Keep a buffer of out-of-order packets at the receiver



# Sliding Window Example ( $W = 4, T_o = 6$ )

**SENDER**

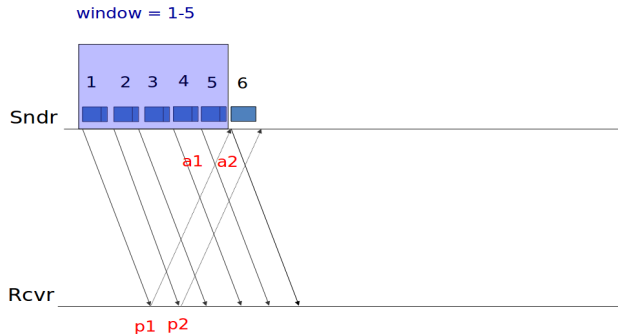


**RECEIVER**

# Window Size and Transmission Rate (No Packet Loss)

Size the window for non-stop transmission over single round-trip:

$$\left. \begin{array}{l} \text{bottleneck } 100 \text{ packets/sec} \\ \text{round-trip time } 0.05 \text{ sec} \end{array} \right\} \Rightarrow W = 100 \cdot 0.05 = 5$$

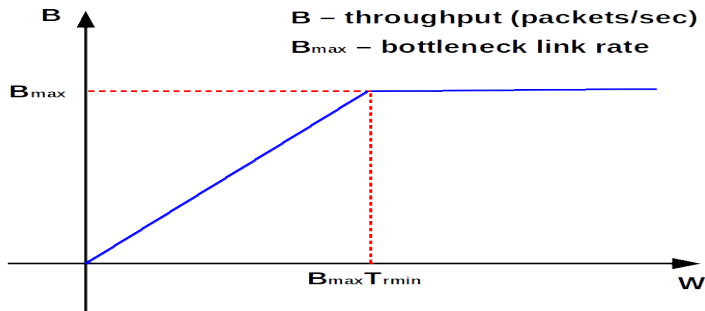


# Back To The Little's Law

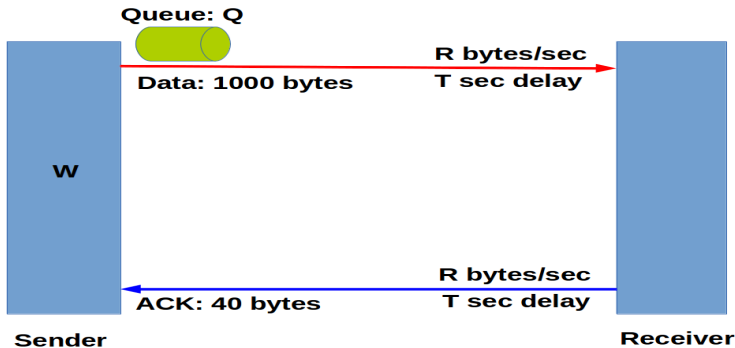
$$W = B \cdot T_r$$

(packets in transport) = (throughput rate)  $\times$  (time in transport)

More accurately:  $W = B \cdot T_{rmin} + Q$  ( $Q$ : no. packets in queues)



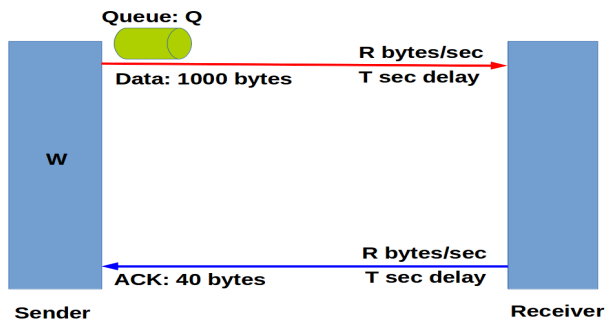
# Example



- ▶ no packet loss (except in queue overflows)
- ▶ throughput (packets/sec) = ?
- ▶  $W = B \cdot (2T + \frac{1000}{R} + \frac{40}{R}) + Q$ ,  $1000 \cdot B \leq R$ ,  $Q \rightarrow \min$



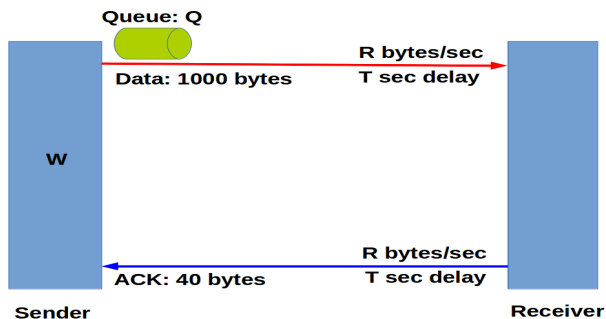
# Example: Case 1



$$W = 10, T = 0.01, R = 10^6$$
$$10 = B \cdot 0.021 + Q, \quad 1000 \cdot B \leq 10^6 \quad Q \rightarrow \min$$

$$B = 476, Q = 0$$

## Example: Case 2



$$W = 50, T = 0.01, R = 10^6$$
$$50 = B \cdot 0.021 + Q, \quad 1000 \cdot B \leq 10^6 \quad Q \rightarrow \min$$

$$B = 1000, Q = 29$$

# Summary

- ▶ reliability via redundancy (careful retransmissions)
- ▶ timeout selection is critical for performance
- ▶ round-trip time statistics are essential
- ▶ time horizon adjustment in EWMA
- ▶ performance improvement with sliding windows
- ▶ bandwidth-delay product in throughput analysis