



# Term Rewriting Systems

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## Outline

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- Motivation for rewriting
- TRS Syntax
  - applicative TRS
- Some properties of TRS's
  - Strong normalization
  - Confluence
- Some special TRS's
  - underlined TRS
  - orthogonal TRS
  - Recursive Program Schemes (RPS)
  - Applicative RPS



## Equational Specifications

$$E \quad \begin{array}{l} A(x, 0) = x \\ A(x, S(y)) = S(A(x, y)) \\ M(x, 0) = 0 \\ M(x, S(y)) = A(M(x, y), x) \end{array}$$

$E$  is an *equational specification* of natural numbers.

An equation is between *terms*

The *signature*  $\Sigma$  for  $E$

Function symbol	Arity	
0	0	aka <i>Constants</i>
S	1	
A	2	
M	2	

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## Equational Theory

" $E \vdash t = s$ " means that  $t = s$  can be derived from the equations in  $E$  by the following rules:

*Substitution:*

$$E \vdash t(x_1, \dots, x_n) = s(x_1, \dots, x_n)$$

$$E \vdash t(t_1, \dots, t_n) = s(t_1, \dots, t_n)$$

*Forming Contexts:*

$$E \vdash t = s \text{ \& \& } C[ ] \text{ is a context}$$

$$E \vdash C[t] = C[s]$$

*Symmetry, Reflexivity and Transitivity of "=" :*

$$E \vdash t = s \Rightarrow E \vdash s = t$$

$$E \vdash t = t$$

$$E \vdash t = s \text{ \& } E \vdash s = t' \Rightarrow E \vdash t = t'$$

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## Decision Procedure

Is there a procedure to decide

if  $E \models t1 = t2$

In general , NO!

The notion of *reduction* or *rewriting* was originally developed to understand questions regarding decision procedures.



## Term Rewriting Systems (TRS)

A TRS is a  $(\Sigma, R)$

where  $\Sigma$  is a *signature* and

$R$  is a set of *rewrite rules* for terms over  $\Sigma$

$R$

$A(x, 0)$	$\rightarrow x$
$A(x, S(y))$	$\rightarrow S(A(x, y))$
$M(x, 0)$	$\rightarrow 0$
$M(x, S(y))$	$\rightarrow A(M(x, y), x)$

$\Sigma$  for  $R$

Function symbol	Arity
0	0
S	1
A	2
M	2

aka Constants

$A(S(0), S(0)) \rightarrow$



## Syntax: Terms

A *signature*  $\Sigma$  consists of a set of constants, function symbols and infinitely many variables.

*terms* over  $\Sigma$

$$t = x \mid c \mid F^k(t_1, \dots, t_k)$$

variable
constant
application

*Open term*: A term that contains a variable.

*Closed term*: A term without a variable.  
a.k.a. *Ground term*



## Rewrite Rules

$$t1 \rightarrow t2$$

1.  $t1$  must not be a variable;
2. Free variables of  $t2$  must be contained in the free variables of  $t1$

Examples of illegal rules

$$\begin{aligned} x &\rightarrow A(x, 0) \\ F(x) &\rightarrow y \end{aligned}$$

Sometimes it is convenient to disallow rules to rewrite *constants*, the 0-arity function symbols.

Variables of a rule are sometimes called the *meta variables* and range over all terms in the signature.



## Substitution

$A(x,0)$	$\rightarrow x$	(1)
$A(x,S(y))$	$\rightarrow S(A(x,y))$	(2)
$M(x,0)$	$\rightarrow 0$	(3)
$M(x,S(y))$	$\rightarrow A(M(x,y),x)$	(4)

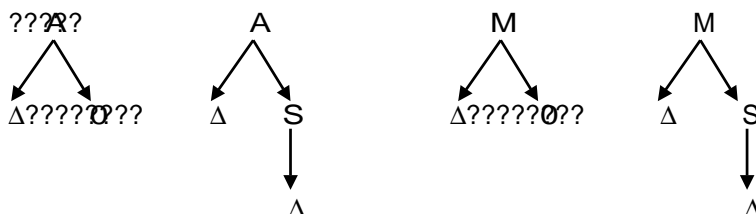
Does any rule apply to the term  
 $M(S(S(0)),S(0))$  ?



## Pattern of a Rule

$A(x,0)$	$\rightarrow x$
$A(x,S(y))$	$\rightarrow S(A(x,y))$
$M(x,0)$	$\rightarrow 0$
$M(x,S(y))$	$\rightarrow A(M(x,y),x)$

Replace variables on the LHS by  $\Delta$



A rule applies to a term if the rule pattern matches some node in the syntax tree of the term (  $\Delta$  matches any node)



## Rewriting

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*One-step* rewriting  $\rightarrow$

Application of one rule in a context

*Multiple-step* rewriting

$$t \equiv t_1 \rightarrow t_2 \rightarrow \dots \rightarrow t_n \equiv s$$

may be rewritten as  $t \rightarrow^* s$

*Rewriting can be thought of as  $\rightarrow^*$  inducing a relation on terms, thus*

$\rightarrow^* = \text{Transitive, reflexive closure of } \rightarrow$

In any semantic model, the terms  $t_1, t_2, \dots, t_n$  must have the same meaning!



## Applicative TRS

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A TRS that consists of a one special binary operator called *application* ( $\text{Ap}$ ), and some constants.

Example: Combinatory Logic

*Constants:*  $S, K$

*Rewrite rules:*

$$\begin{aligned} \text{Ap}(\text{Ap}(\text{Ap}(S, x), y), z) &\rightarrow \text{Ap}(\text{Ap}(x, z), \text{Ap}(y, z)) \\ \text{Ap}(\text{Ap}(K, x), y) &\rightarrow x \end{aligned}$$



## Special Notation for Applicative TRS

An infix version of Ap

$$\begin{array}{ll} ((S.x).y).z & \rightarrow (x.z).(y.z) \\ (K.x).y & \rightarrow x \end{array}$$

The "." is often suppressed in programming

$$\begin{array}{ll} ((S \ x) \ y) \ z & \rightarrow (x \ z) \ (y \ z) \\ (K \ x) \ y & \rightarrow x \end{array}$$

and by convention parentheses associative to the left

$$\begin{array}{ll} S \ x \ y \ z & \rightarrow x \ z \ (y \ z) \\ K \ x \ y & \rightarrow x \end{array}$$



## The S-K Combinatory System

$$\begin{array}{ll} S \ x \ y \ z & \rightarrow x \ z \ (y \ z) \\ K \ x \ y & \rightarrow x \end{array}$$

Any computable function can be expressed using S's and K's !

Example: Identity function "I x → x"

$$S \ K \ K \ x \rightarrow$$



## Mixed Notation

We can mix applicative and functional notation

$$\begin{array}{ll} S \ x \ y \ z & \rightarrow x \ z \ (y \ z) \\ K \ x \ y & \rightarrow x \\ D(x,x) & \rightarrow E \end{array}$$

The above system is very different from

$$\begin{array}{ll} S \ x \ y \ z & \rightarrow x \ z \ (y \ z) \\ K \ x \ y & \rightarrow x \\ D \ x \ x & \rightarrow E \end{array}$$

where D is a constant, that is,

$$Ap(Ap(D,x),x) \rightarrow E$$



## Arity - some bad terminology

A bad terminology is to say that

the "arity" of S is 3,  
or the "arity" of S is variable.

*S* is a *constant*, or a zero arity function symbol;  
Ap has arity 2, and the rewrite rule for S requires  
three Ap symbols and three arguments

$$S \ t1 \ t2 \ t3 \ t4 \ t5 \rightarrow$$





## Normal Form

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Let  $(\Sigma, R)$  be a TRS and  $t$  be a term

$t$  is in *normal form* if it cannot be reduced any further.

Term  $t$  is *strongly normalizing (SN)* if every reduction sequence starting from  $t$  terminates eventually.

$R$  is *strongly normalizing (SN)* if for all terms every reduction sequence terminates eventually.

$R$  is *weakly normalizing (WN)* if for all terms there is some reduction sequence that terminates.



## Strongly Normalizing?

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$$\begin{array}{ll} 1. & \text{Arb}(x,y) \rightarrow x \\ & \text{Arb}(x,y) \rightarrow y \end{array}$$

$$2. \quad F(0,1,x) \rightarrow F(x,x,x)$$

$$\begin{array}{ll} 3. & \text{Arb}(x,y) \rightarrow x \\ & \text{Arb}(x,y) \rightarrow y \\ & F(0,1,x) \rightarrow F(x,x,x) \end{array}$$



## Underlined Version of a TRS

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### Combinatory Logic

$$\begin{array}{ll} S \ x \ y \ z & \rightarrow x \ z \ (y \ z) \\ K \ x \ y & \rightarrow x \end{array}$$

Its *underlined version*

-- Extend the signature by S and K

$$\begin{array}{ll} \underline{S} \ x \ y \ z & \rightarrow x \ z \ (y \ z) \\ \underline{K} \ x \ y & \rightarrow x \end{array}$$

*Is the underlined version SN ?*



## Underlined TRS

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Given a TRS  $R$ , its underlined version  $\underline{R}$  is defined as follows:

1. The signature of  $\underline{R}$  contains all the symbols of  $R$  and the underlined version of each symbol of  $R$ .
2. For each rule in  $R$ ,  $\underline{R}$  contains a rule gotten by replacing the left most symbol of the rule in  $R$  by its underlined version.



## Underlining and Development

Underline some redexes in a term.

*Development* is a reduction of the term such that only underlined redexes are done.

*Complete Development* is a reduction sequence such that all the underlined redexes have been performed.

$$\begin{array}{lcl}
 (\underline{S} \ K \ x \ (\underline{K} \ y \ z)) & & \\
 \rightarrow (\underline{S} \ K \ x \ y) & \text{---} & \rightarrow K \ (\underline{K} \ y \ z) \ (x \ (\underline{K} \ y \ z)) \\
 \rightarrow K \ y \ (x \ y) & & \rightarrow K \ y \ (x \ (\underline{K} \ y \ z)) \\
 & & \rightarrow K \ y \ (x \ y)
 \end{array}$$

*By underlining redexes we can distinguish between old and newly created redexes in a reduction sequence.*



## Underlined TRS

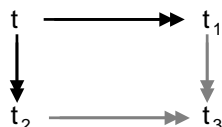
*Theorem:* For every TRS  $R$ ,  $\underline{R}$  is strongly normalizing.

The proof is based on assigning weights to each rule such that there is a *Decreasing weight property* for each redex.



## Confluence *aka Church-Rosser Property*

A reduction system  $R$  is said to be *confluent (CR)*, if  $t \rightarrow t_1$  and  $t \rightarrow t_2$  then there exists a  $t_3$  such that  $t_1 \rightarrow t_3$  and  $t_2 \rightarrow t_3$ .



*Fact:* In a confluent system, if a term has a normal form then it is *unique*.

Are all TRS's confluent?



## Confluence is difficult to Prove

$A(x, 0)$	$\rightarrow x$
$A(x, S(y))$	$\rightarrow S(A(x, y))$
$M(x, 0)$	$\rightarrow 0$
$M(x, S(y))$	$\rightarrow A(M(x, y), x)$

$Ack(0, x)$	$\rightarrow S(x)$
$Ack(S(y), 0)$	$\rightarrow Ack(x, S(0))$
$Ack(S(x), S(y))$	$\rightarrow Ack(x, Ack(S(x), y))$

$S\ x\ y\ z$	$\rightarrow x\ z\ (y\ z)$
$K\ x\ y$	$\rightarrow x$



## Orthogonal TRSs

A TRS is *Orthogonal* if it is:

1. *Left Linear*: has no multiple occurrences of a variable on the LHS of any rule, and
2. *Non Interfering*: patterns of rewrite rules are pairwise non-interfering

*Theorem*: An Orthogonal TRS is Confluent.



## Orthogonal TRS: *Examples*

$$\begin{array}{ll} A(x,0) & \rightarrow x \\ A(x,S(y)) & \rightarrow S(A(x,y)) \\ M(x,0) & \rightarrow 0 \\ M(x,S(y)) & \rightarrow A(M(x,y),x) \end{array}$$

$$\begin{array}{ll} \text{Ack}(0,x) & \rightarrow S(x) \\ \text{Ack}(S(y),0) & \rightarrow \text{Ack}(x,S(0)) \\ \text{Ack}(S(x),S(y)) & \rightarrow \text{Ack}(x,\text{Ack}(S(x),y)) \end{array}$$

$$\begin{array}{ll} S\ x\ y\ z & \rightarrow x\ z\ (y\ z) \\ K\ x\ y & \rightarrow x \end{array}$$


## Recursive Program Scheme (RPS)

An RPS is a TRS such that

$G = \{ G_1, \dots, G_n \}$  are base functions with non-interfering rules

$F = \{ F_1, \dots, F_m \}$  are user-defined functions such that

1.  $G \cap F = \emptyset$

2. There is at most one rule for each  $F_i$  in  $F$

$F_i(x_1, \dots, x_k) = t_i$   
where each  $x_i$  is distinct and each  $t_i$  is built from  $x_1, \dots, x_k$ , and symbols from  $F$  and  $G$

*Fact:* An RPS is an orthogonal TRS.

$\Rightarrow$  RPS is confluent!



## Applicative RPS

It is the same as a functional RPS except that it is defined using applicative format.

We can generate an applicative TRS  $R^{ap}$  from a functional TRS  $R$  as follows:

For each rule  $t_1 \rightarrow t_2$  in  $R$ ,  $R^{ap}$  contains the rule  $t_1^{ap} \rightarrow t_2^{ap}$  where  $t^{ap}$  means

$F(t_1, \dots, t_n)^{ap} \Rightarrow F^{ap}(t_1^{ap}, \dots, t_n^{ap})$

*Theorem:* If  $R$  is confluent then so is  $R^{ap}$ .

