

## Vision and visual neuroscience II

### Thomas Serre & Tomaso Poggio

McGovern Institute for Brain Research Center for Biological and Computational Learning Department of Brain & Cognitive Sciences

### Last class

#### Problem of visual recognition

- Historical background
- Neurons and areas in the visual system
- Data and hierarchical feedforward models

















(Kobatake and Tanaka, 1994)







# Rapid categorization



(Biederman 1972; Potter 1975; Thorpe 1996)



Increase in complexity (number of subunits), RF size and invariance

	Receptive field sizes		
	Model	Cortex	References
simple cells	$0.2^{\circ} - 1.1^{\circ}$	$\approx 0.1^{\circ} - 1.0^{\circ}$	[Schiller et al., 1976e;
			Hubel and Wiesel, 1965]
complex cells	0.4° - 1.6°	$\approx 0.2^{\circ} - 2.0^{\circ}$	
	Peak frequencies (cycles / deg)		
	Model	Cortex	References
simple cells	range: 1.6 – 9.8	$bulk \approx 1.0 - 4.0$	[DeValois et al., 1982a])
	mean/med: 3.7/2.8	mean: $\approx 2.2$	
		range: ≈ 0.5 – 8.0	
complex cells	range: 1.8 – 7.8	$bulk \approx 2.0 - 5.6$	
	mean/med: 3.9/3.2	mean: 3.2	
		range ≈ 0.5 – 8.0	
	Frequency bandwidth at 50% amplitude (cycles / deg)		
	Model	Cortex	References
simple cells	range: 1.1 – 1.8	bulk ≈ 1.0 – 1.5	[DeValois et al., 1982a]
	med: ≈ 1.45	med: $\approx 1.45$	
		range $\approx 0.4 - 2.6$	
complex cells	range: 1.5 – 2.0	$bulk \approx 1.0 - 2.0$	
*	med: 1.6	med: 1.6	
		range ≈ 0.4 – 2.6	
	Frequency bandwidth at 71% amplitude (index)		
	Model	Cortex	References
simple cells	range: 44 – 58	bulk $\approx 40 - 70$	[Schiller et al., 1976d]
*	med: 55		
complex cells	range 40 – 50	bulk $\approx 40 - 60$	
*	med. 48		
	Orientation bandwidth at 50% amplitude (octaves)		
	Model	Cortex	References
simple cells	range: 38° – 49°		[DeValois et al., 1982b]
L	med: 44°		
complex cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 90^{\circ}$	
·	med: 43°	med: 44°	
	Orientation bandwidth at 71% amplitude (octaves)		
	Model	Cortex	References
simple cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 70^{\circ}$	[Schiller et al., 1976c]
	med: 30°		
complex cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 90^{\circ}$	
	med: 31°		
complex cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 90^{\circ}$	
	med: 31°		

## Example: VI







Feedforward hierarchical models of the visual cortex

★ Detailed implementation + learning



- ★ Detailed implementation + learning
- $\star$  Comparison w| neural data



- ★ Detailed implementation + learning
- ★ Comparison w| neural data
- ★ Agreement with psychophysics



- ★ Detailed implementation + learning
- ★ Comparison w| neural data
- ★ Agreement with psychophysics
- $\star$  Application to computer vision



- ★ Detailed implementation + learning
- ★ Comparison w| neural data
- ★ Agreement with psychophysics
- $\star$  Application to computer vision
- Beyond (static) feedforward processing



- $\star$  Detailed implementation + learning
- ★ Comparison w| neural data
- $\star$  Agreement with psychophysics
- $\star$  Application to computer vision
- - Beyond (static) feedforward processing
    - $\star$  Extension to action recognition in the dorsal stream



- $\star$  Detailed implementation + learning
- ★ Comparison w| neural data
- ★ Agreement with psychophysics
- $\star$  Application to computer vision
- Beyond (static) feedforward processing
  - $\star$  Extension to action recognition in the dorsal stream
  - Attention and cortical feedbacks  $\star$



Increase in complexity (number of subunits), RF size and invariance





# CI units

# Increase in tolerance to **position** (and in RF size)





# CI units

# Increase in tolerance to scale





# S2 units

- Features of moderate complexity (n~1,000 types)
- Combination of VI-like complex units at different orientations
  - Synaptic weights w learned from natural images
  - 5-10 subunits chosen at random from all possible afferents  $(\sim | 00 - |, 000)$



stronger facilitation



# C2 units

- Same selectivity as S2 units but increased tolerance to position and size of preferred stimulus
- Local pooling over S2 units with same selectivity but slightly different positions and scales
- S2 units in V2 and C2 in V4?





# Beyond C2 units

Units increasingly complex and invariant

### ♦ S3/C3 units:

- Combination of V4-like units with different selectivities
- Dictionary of ~1,000 features = num. columns in IT (Fujita 1992)



# Beyond C2 units

- Units increasingly complex and invariant
- ♦ S3/C3 units:
  - Combination of V4-like units with different selectivities
  - Dictionary of ~1,000 features = num. columns in IT (Fujita 1992)

### ♦ S4 units:

- View-tuned units (imprinted with part of the training set, e.g. animal and non-animal images but still unsupervised)
- Tuning and invariance properties agrees with IT data (Logothetis, Pauls & Poggio 1995)





Related to Edelman & Poggio (Edelman & Poggio 1990) 1ª Related to Ullman's visual features of *intermediate* Complexity (Ullman et al 2002) V2



### 2 key learning stages:



Related to PFC Edelman & Poggio (Edelman & Poggio 1990) Related to Ullman's visual features of *intermediate* COMPLEXITY (Ullman et al 2002) V2



### 2 key learning stages:

- \* Large dictionary of reusable features:
  - "unbound" features (Treisman & Gelade 1980; Wolfe & Bennett 1997; Schyns & Oliva 1994)
  - Different levels of invariance and complexity
  - Unsupervised learning from natural images
    ~developmental-like learning stage



PFC Related to Edelman & Poggio (Edelman & Poggio 1990)

Related to Ullman's visual features of intermediate complexity (Ullman et al 2002)



Gabor filters (Jones & Palmer 1987)

### 2 key learning stages:

#### \* Task-specific circuits:

- Supervised learning from ~100-1000 labeled examples
- Linear classifier on top of VTUs (S4 units) [~RBF] (see Fredman Riesenhuber Poggio Miller, 2001, 2003)

### \* Large dictionary of reusable features:

- "unbound" features (Treisman & Gelade 1980; Wolfe & Bennett 1997; Schyns & Oliva 1994)
- Different levels of invariance and complexity
- Unsupervised learning from natural images
  ~developmental-like learning stage



#### PFC Related to Edelman & Poggio (Edelman & Poggio 1990)

TT Related to Ullman's visual features of intermediate complexity (Ullman et al 2002) V2 <sup>▲</sup>



Gabor filters (Jones & Palmer 1987)

- Learning likely to play key role in recognition
- Details still open-ended (lack of neural data to constrain)
- Learning described in a more "algorithmic" way



## Columns in the cortex



Tanaka et al.



Tsunoda et al.



#### Orientation and ocular dominance columns

Figure 23. The ice-cube model of the cortex. It illustrates how the cortex is divided, at the same time, into two kinds of slabs, one set of ocular dominance (left and right) and one set for orientation. The model should not be taken literally: Neither set is as regular as this, and the orientation slabs especially are far from parallel or straight.
- Layers of the model are organized in columns
- Each model unit is equivalent to ~100 IF (~1 column of cortex)
- Each hypercolumn contains the same basic dictionary of features and is replicated at all positions and scales



Learning is sequential

- Start with layer S2/C2 then S2b/C2b and S3/C3
- Pick one unit in layer Sk
- Select random set of inputs from retinotopically organized afferents





$$y = \exp \left[ -\frac{1}{2\sigma^2} \sum_{j=1}^n (w_j - x_j)^2 \right]$$



25,

V

 $S_k$ 



✦ We assume the input image moves (shifting and looming) so that the selectivity of the imprinted units gets replicated at all positions and scales

♦ We learn ~1,000 units this way and then move to the next layer

 Learning follows a long tradition of researchers who have argued that the visual system may be adapted to the statistics of the natural environment

(Attneave 1954; Barlow 1961; Atick 1992; Ruderman 1994; Simoncelli & Olshausen 2001)

	 	 	 	 _





1					
2	_	_			

# Learning the invariance from temporal continuity

w T. Masquelier & S. Thorpe (CNRS, France)

Simple cells learn
 correlation in space
 (at the same time)

Complex cells learn
 correlation in time

see also (Foldiak 1991; Perrett et al 1984; Wallis & Rolls, 1997; Einhauser et al 2002; Wiskott & Sejnowski 2002; Spratling 2005)



movie courtesy of Wolfgang Einhauser

### Agreement w experimental data

#### ◆ VI:

- Simple and complex cells tuning properties (Schiller et al 1976; Hubel & Wiesel 1965; Devalois et al 1982)
- MAX operation in subset of complex cells (Lampl et al 2004)

#### ◆ V2:

• <u>Combination of orientations in V2</u> (Anzai et al,2007)

#### **◆**∨4:

- Tuning for two-bar stimuli (Reynolds Chelazzi & Desimone 1999)
- MAX operation (Gawne et al 2002)
- Two-spot interaction (Freiwald et al 2005)
- <u>Tuning for boundary conformation</u> (Pasupathy & Connor 2001)
- Tuning for Cartesian and non-Cartesian gratings (Gallant et al 1996)

#### ✦ IT:

- <u>Tuning and invariance properties</u> (Logothetis et al 1995)
- Differential role of IT and PFC in categorization (Freedman et al 2001 2002 2003)
- <u>Read out data</u> (Hung Kreiman Poggio & DiCarlo 2005)
- Average effect in IT (Zoccolan Cox & DiCarlo 2005; Zoccolan Kouh Poggio & DiCarlo in press)
- ✦ Human:
  - Face processing (fMRI + psychophysics) (Riesenhuber et al 2004; Jiang et al 2006)
  - <u>Rapid object categorization</u> (Serre, Oliva & Poggio 2007)

<u>fwd</u> >>

	Receptive field sizes				
	Model	Cortex	References		
simple cells	0.2° - 1.1°	$\approx 0.1^{\circ} - 1.0^{\circ}$	[Schiller et al., 1976e;		
			Hubel and Wiesel, 1965]		
complex cells	0.4° - 1.6°	$\approx 0.2^{\circ} - 2.0^{\circ}$			
	Peak frequencies (cycles / deg)				
	Model	Cortex	References		
simple cells	range: 1.6 – 9.8	$bulk \approx 1.0 - 4.0$	[DeValois et al., 1982a])		
	mean/med: 3.7/2.8	mean: $\approx 2.2$			
		range: $\approx 0.5 - 8.0$			
complex cells	range: 1.8 – 7.8	$bulk \approx 2.0 - 5.6$			
	mean/med: 3.9/3.2	mean: 3.2			
		range ≈ 0.5 – 8.0			
	Frequency ba	andwidth at 50% amplitue	de (cycles / deg)		
	Model	Cortex	References		
simple cells	range: 1.1 – 1.8	bulk ≈ 1.0 – 1.5	[DeValois et al., 1982a]		
	med: ≈ 1.45	med: ≈ 1.45	1		
		range $\approx 0.4 - 2.6$			
complex cells	range: 1.5 – 2.0	$bulk \approx 1.0 - 2.0$			
	med: 1.6	med: 1.6			
		range ≈ 0.4 – 2.6			
	Frequency bandwidth at 71% amplitude (index)				
	Model	Cortex	References		
simple cells	range: 44 – 58	$bulk \approx 40 - 70$	[Schiller et al., 1976d]		
*	med: 55		i i i		
complex cells	range 40 – 50	$bulk \approx 40 - 60$			
*	med. 48				
	Orientation bandwidth at 50% amplitude (octaves)				
	Model	Cortex	References		
simple cells	range: 38° – 49°		[DeValois et al., 1982b]		
*	med: 44°		-		
complex cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 90^{\circ}$			
*	med: 43°	med: 44°			
	Orientation bandwidth at 71% amplitude (octaves)				
	Model	Cortex	References		
simple cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 70^{\circ}$	[Schiller et al., 1976c]		
	med: 30°				
complex cells	range: 27° – 33°	bulk $\approx 20^{\circ} - 90^{\circ}$			
	med: 31°				
	med: 31				

<u>fwd</u> >>

<< <u>back</u>

Example: VI



(Serre & Riesenhuber 2004)

# S2 units

- Features of moderate complexity (n~1,000 types)
- Combination of VI-like complex units at different orientations
  - Synaptic weights w learned from natural images
  - 5-10 subunits chosen at random from all possible afferents  $(\sim | 00 - |, 000)$



stronger facilitation



Nature Neuroscience - 10, 1313 - 1321 (2007) / Published online: 16 September 2007 | doi:10.1038/nn1975

#### Neurons in monkey visual area V2 encode combinations of orientations Akiyuki Anzai, Xinmiao Peng & David C Van Essen



14 spikes per s

2

0

32 spikes per s

0.5

0

-0.5

-2

-2



# Comparison w|V4

**Two Convex Projections** 

Tuning for curvature and boundary conformations?





**Three Convex Projections** 

Four Convex Projections

0

### No parameter fitting!

### V4 neuron tuned to boundary conformations

### Most similar model C2 unit



modified from (Pasupathy & Connor 1999)

### No parameter fitting!

### V4 neuron tuned to boundary conformations

### Most similar model C2 unit



modified from (Pasupathy & Connor 1999)

**ρ** = **0.78** 



	Tuning functions	Model units	V4 neurons
a)	2-D boundary conformation	0.38	0.41
b)	4-D boundary conformation	0.47	0.46
c)	2-Gaussian boundary conformation	0.50	0.46
d)	Edge orientation	0.11	0.15
e)	Edge orientation + contrast polarity	0.18	0.21
f)	2-D axial orientation $\times$ elongation tuning functions	0.28	0.18
g)	3-D axial orientation $\times$ length $\times$ width tuning functions	0.32	0.28

<< <u>back</u>

<u>fwd</u> >>

More comparison w|V4

> "average" effect in model C2 units?

(Reynolds Chelazzi & Desimone 1999)





<< <u>back</u> <u>fwd</u> >>



<< <u>back</u> <u>fwd</u> >>





<< <u>back</u> <u>fwd</u> >>

-1 ג 1-



<< back fwd >>

Prediction: Response of the pair is predicted to fall between the responses elicited by the stimuli alone

V4 neurons (with attention directed away from receptive field)



<< <u>back</u> <u>fwd</u> >>

Prediction: Response of the pair is predicted to fall between the responses elicited by the stimuli alone



<< <u>back</u> <u>fwd</u> >>



### Example: IT

Logothetis Pauls Poggio 1995

# Agreement w IT Readout data

(Hung Kreiman Poggio DiCarlo 2005)





<< <u>back</u> <u>fwd</u> >>

How does the model compare to human observers?



(Thorpe et al 1996; Van Rullen & Koch 2003; Bacon-Mace et al 2005)

### Show demo

# Head Close-body Medium-body Far-body Animals Image: Close-body Image: Close-body

### Natural distractors

## Artificial distractors



#### Database collected by Torralba & Oliva (2003)



(Serre Oliva & Poggio 2007)

# "Clutter effect"

- High performance (~90%) when
  - maximal amount of information present
  - in the absence of clutter
- Performance decreases (~74%) with increasing amount of clutter
- Limitation of feedforward model compatible with decrease in response in V4 (Reynolds Chelazzi & Desimone 1999) and IT in the presence of clutter (Zoccolan, Cox, DiCarlo, 2005; Zoccolan, Kouh, Poggio, DiCarlo, in sub; Rolls, Aggelopoulos, Zheng, 2003)









(Serre Oliva & Poggio 2007)



# Further comparisons

Image-by-image correlation:

- Heads:  $\rho = 0.71$
- Close-body: ρ=0.84
- Medium-body: ρ=0.7 I
- Far-body: ρ=0.60



 Model predicts level of performance on rotated images (90 deg and inversion) How does the model compare to state-of-the-art machine vision systems?

Datasets	Bench.	Model		
MIT-CBCL Faces	90.4	95.9		
MIT-CBCL Cars	75.4	95.1		



(Leung 2004)



(Heisele Serre Pontil Vetter & Poggio 2002)

(Serre Wolf & Poggio 2005)

Datasets	Bench.*	Model		
CalTech Leaves	84.0	97.0		
CalTech Cars	84.8	99.7		
CalTech Faces	96.4	98.2		
CalTech Airplanes	94.0	96.7		
CalTech Motorcycles	95.0	98.0		

\*constellation model by Perona and colleagues



(Serre Wolf & Poggio 2005)

# Comparison w SIFT features



(Serre Wolf & Poggio 2005)
## The street scene project



Source: Bileschi & Wolf

## The StreetScenes Database





3,547 Images, all taken with the same camera, of the same type of scene, and hand labeled with the same objects, using the same labeling rules.

Object	car	pedestrian	bicycle	building	tree	road	sky
# Labeled Examples	5799	1449	209	5067	4932	3400	2562

http://cbcl.mit.edu/software-datasets/streetscenes/

## The system

Input Image



Segmented Image

Texture-based objects pathway (e.g., trees, road, sky, buildings)
Rigid-objects pathway (e.g., pedestrians, cars)

**Standard Model** 

classification



































(Serre Wolf Bileschi Riesenhuber & Poggio PAMI 2007)



(Serre Wolf Bileschi Riesenhuber & Poggio PAMI 2007)

# Action recognition with a model of the dorsal stream

#### Source: Wikipedia, "ventral stream"



(Ungerleider & Mishkin 1984)

#### Source: Wikipedia, "ventral stream"





(Ungerleider & Mishkin 1984)



Action recognition with a model of the dorsal stream



(Gallant & VanEssen 1994)



(Riesenhuber & Poggio 1999; Serre et al. 2005)

(Giese & Poggio 2003; Casile & Giese 2005; Sigala Serre Poggio & Giese 2005)

MT/MST

Same "principles", only different parameters:

- Same 2 types of functional  $\mathbf{O}$ units [simple and complex]
  - Same 2 key operations [tuning and soft-max]
  - Same unsupervised learning rule

### Action recognition with a model of the dorsal stream of the visual cortex

Dorsal similar organization as ventral stream

Starts with spatio-temporal RFs in VI



Oshawa DeAngelis Freeman 1995)

## Motion sensitive SI units as spatio-temporal filters





(Heeger 1987; Simoncelli & Heeger 1998)

# Motion sensitive SI units as spatio-temporal filters







(Heeger 1987; Simoncelli & Heeger 1998)

## Unsupervised learning in MT produces pattern and component cells



## Unsupervised learning in MT produces pattern and component cells



## The problem

#### **Training Videos**

jack

run

#### Testing videos



bend



jump 2



side





jump I



walk



wave 2

#### \*each video~4s, 50~100 frames

Dataset from (Blank et al, 2005)

## The problem

#### **Training Videos**

jack

run

#### Testing videos



bend



jump 2



side







\*each video~4s, 50~100 frames



jump I



walk



wave 2



#### Dataset from (Blank et al, 2005)

### Standard action datasets



#### Weizmann Human action (9 classes)



## Multi-class recognition accuracy

	Baseline	Our system
KTH Human	81.3%	91.6%
UCSD Mice	75.6%	79.0%
Weiz. Human	86.7%	96.3%

\*Accuracy : average over diagonal terms of confusion matrices
\*2/3 training, 1/3 testing
\* chances: 10%~20%

(Jhuang Serre Wolf & Poggio 2007)

## Multi-class recognition accuracy

	Baseline	Our system	
KTH Human	81.3%	91.6%	-
UCSD Mice	75.6%	79.0%	
Weiz. Human	86.7%	96.3%	

\*Accuracy : average over diagonal terms of confusion matrices
\*2/3 training, I/3 testing
\* chances: 10%~20%

(Jhuang Serre Wolf & Poggio 2007)

### Automatic classification of abnormal behavior in mutant vs. wild mice w Andrew Steele, Whitehead Institute



rear

hang

Serre, Steele, Jhuang, Garrote & Poggio

walk

# Cortical feedbacks and attention

# Limitations of feedforward processing: clutter



Zoccolan Kouh Poggio DiCarlo 2007

Poggio (MIT)









#### # distractors



# distractors



# distractors






## Comparison with human eye fixations on natural scenes

Poggio (MIT)

## The StreetScenes Database





3,547 Images, all taken with the same camera, of the same type of scene, and hand labeled with the same objects, using the same labeling rules.

Object	car	pedestrian	bicycle	building	tree	road	sky
# Labeled Examples	5799	1449	209	5067	4932	3400	2562

### Poggio (MIT)

# Testing the model against human eye movements

Show demo





S. Chikkerur, C. Tan, T. Serre and T. Poggio



## **Pedestrian search**



S. Chikkerur, C. Tan, T. Serre and T. Poggio



## **Car search**



S. Chikkerur, C. Tan, T. Serre and T. Poggio



S. Chikkerur, C. Tan, T. Serre and T. Poggio







PEDESTRIAN TASK



### serre@mit.edu

slides will be available online model code available online