

# The Emergence of the Marked: Root-Domain Markedness in Lakhota

## 1 Introduction

- (1) The basic observation: marked structures are distributed unevenly throughout language, with “strong” or “privileged” positions frequently allowing a greater range of structures

- *Positional neutralization* (Trubetzkoy 1939 (1962); Steriade 1994; Jun 1995; Padgett 1995; Steriade 1997; Casali 1997; Beckman 1998; Lombardi 1999; Zhang 2002; Barnes 2002; Alderete 2003; Steriade, in press; and many others)

Onsets Stressed syls Roots Root-initial syls	}	tend to allow a wider range of structures than	{	codas unstressed syls affixes or reduplicants root-final syls
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- (2) The standard recipe for handling this asymmetry in OT:

1. Provide constraints that refer specifically to strong/privileged positions, such as:
  - Positional faithfulness (Casali 1997; Beckman 1998):  $IDENT_{Root}(constricted\ glottis)$  (preserve ejectives in roots), etc.
  - Positional markedness (Zoll 1998; Smith 2002)
2. High-ranked positional constraints determine the range of structures in strong positions

/t'apa/	$IDENT_{Root}(c.g.)$	*[+c.g.]
a. t'apa		*
b. tapa	*!	

3. The markedness constraint \*[+c.g.] is outranked, but still present in the grammar; it can still play a role when  $IDENT_{Root}(c.g.)$  is not relevant (such as outside roots)

E.g., hypothetical suffix /-t'a/:

/pala-t'a/	$IDENT_{Root}(c.g.)$	*[+c.g.]	$IDENT(c.g.)$
a. pala-t'a		*!	
b. pala-ta			*

- The emergence of the unmarked (TETU; McCarthy and Prince 1994): lower ranked markedness constraints get their way when special faithfulness constraints are inapplicable

- (3) Asymmetries in possible structures are a natural consequence of two assumptions OT:

- Universal constraint set contains constraints that are designed to license/preserve a greater range of contrasts in privileged positions (see esp. Smith 2003)
- Outranked constraints are nonetheless active in the grammar, waiting to have an effect with higher-ranked positional constraints are inapplicable

- (4) Perhaps for this reason, such cases have received a good deal of attention in the OT literature

- Cuzco Quechua: plain, aspirated, and ejective stops in roots, but only plain stops in suffixes (Parker and Weber 1996; Beckman 1998)
- Nootka: codas allowed in general, but prohibited in reduplicants (discussed by McCarthy and Prince 1994)

- (5) Purpose of this paper: call attention to a less-discussed pattern, in which strong positions have systematically *simpler* structures than weak positions

- (6) Lakhota: codas are generally banned in roots, but they may surface in affixes, function words, and reduplicants (data below)
- Proposal: such patterns are best captured by markedness constraints whose domain is the root (RSCs)
- (7) Outline of the paper
- Description of Lakhota: possible roots, affixes, clitics, and reduplicants
  - The same set of codas is allowed everywhere ... except roots
  - Root-domain Structure Constraints: markedness constraints whose domain of application is the root (or lexical category)
  - Discussion: the motivation of root-specific constraints on syllable structure, and some unresolved issues

## 2 The distribution of codas in Lakhota

- (8) Preliminaries on Lakhota
- Siouan language, spoken primarily in N. and S. Dakota, Nebraska, Minnesota, and Canada
  - Data from Boas and Deloria (1941), Buechel (1970), Shaw (1980), Munro (1989), and field work with a native speaker, Mary Rose Iron Teeth
  - Consonant inventory

<i>unaspirated</i>	p	t	tʃ	k
<i>aspirated</i>	p <sup>h1</sup>	t <sup>h</sup>	tʃ <sup>h</sup>	k <sup>h</sup>
<i>ejective</i>	p'	t'	tʃ'	k'
<i>fricatives</i>		s, z, s'	ʃ, ʒ, ʃ'	x, ɣ, x'
<i>nasals</i>	m	n		ŋ
<i>liquid</i>		l		
<i>glides</i>			j	w

- (9) Lakhota words allow a fairly rich set of onsets (A representative selection)

Stop + stop:	Stop + fric/affric:	Fric + stop:	Obstruent + sonorant:
pte 'cow'	psĩ 'rice'	xtætu 'evening'	blo <sup>2</sup> 'potato'
tke 'heavy'	pʃa 'sneeze'	xpæ 'lie down'	gli 'arrive home'
tk <sup>h</sup> a 'but'	kʃto (emph. clitic)	stu 'in love'	gnæ 'cheat, fool'
kt <sup>h</sup> ũ 'wear'	ktʃi 'with'	ʃkate 'play'	sni 'cold'
Nasal + nasal:			
mni 'water'			

- (10) Word-final codas are generally *not* permitted<sup>3</sup>

- (\*[kat], \*[tax], \*[man])

- (11) Word-medially: arguably also no codas

- Same clusters allowed medially as initially
- VCCV syllabified V.CCV in deliberate/slow repetition: [ja...tke]

<sup>1</sup>Aspiration is frequently realized with velar, rather than laryngeal frication, particularly before [o], [a], and [ã], but frequently also before [e] and [u].

<sup>2</sup>UR most likely /plo/; voiceless unaspirated stops become voiced before sonorants.

<sup>3</sup>Here and throughout, I mean that codas are *not permitted on the surface*. Traditional analyses of Lakhota do make use of URs with underlying codas (CVC roots); see Shaw (1980) for arguments. Nothing that follows here will depend crucially on whether or not there are underlyingly C-final roots in Lakhota.

- (12) There are a handful of words with codas, however  
(Again, not utterly complete, but this seems to be a large percentage of them)

[l]	'el	'in, at, to'
	ma'hel	'on'
	'lel, 'hel	'here', 'there'
	tu'ktel	'somewhere'
	tu'ktektel	'here and there'
	e <sup>h</sup> tʃ <sup>h</sup> el, 'hetʃ <sup>h</sup> el	'that way, thus'
	t <sup>h</sup> ãkal	'outside'
	'k <sup>h</sup> ul	'under, beneath, down' (cf: k <sup>h</sup> uta 'low down')
[n] <sup>4</sup>	e'han, he'han	'at that time', 'then'
	le'han	'now, at this time'
	to'han	'when, until'
	i'tʃ <sup>h</sup> an, xtʃ <sup>h</sup> e'han	'just then'
	'xta.le.han	'yesterday'
[m]	i.'sam, 'sam	'more'
[s]	he.'nɔs	'they two'
[ʃ]	eniʃ, 'niʃ, naʔiʃ	'or'
	miʃ, niʃ, iʃ, ũ'kiʃ	'I, 'you', 'he/she/it', 'we' (emphatic/contrastive)
	'hetʃeʃ	'that's how (it happened) (cf: 'hetʃel 'thus')
	'ataʃ	'now'
	na'kũʃ	'also'
	a'k <sup>h</sup> eʃ	'but, although, though'
	ka'k <sup>h</sup> eʃ	'at any rate'
	'kejaʃ	'but, although; some'
	wa'nakaʃ	'long ago'
	tk <sup>h</sup> aʃ	'but' (dubitative) (cf: tk <sup>h</sup> a 'but')
[x]	hũx	'some'
[p] <sup>5</sup>	i't <sup>h</sup> okap	'before' (time and place)
[k]	tak	'what' (cf: also 'taku)
	ĩʃ'tok	'is it?' (tag question) (cf: also ĩʃ'toka)

- (13) Two observations about these words:

- They are all function words of some sort (and there may be some relation between the place words in [l], and also the time words in [n]; [ʃ] seems to be emphatic/contrastive)
- The codas they contain are relatively 'good codas' (mostly acoustic continuants, absolutely no voiced/aspirated/glottalized obstruents)

- (14) Furthermore: codas in suffixed/cliticized words in casual speech

- Animate plural *-pi*

Apocope to coda [p]:	/juha-pi/	'have-PL'	→	[juhap]
	/tʃ <sup>h</sup> ã'zeka-pi/	'angry-PL'	→	[tʃ <sup>h</sup> ã'zeka-p]
Or [m] after nasal V:	/lowã-pi/	'sing-PL'	→	[lowãm]
	/jatkã-pi/	'drink-PL'	→	[jatkãm]
Or [w] before nasals:	/oki-pi na/	'can-PL and'	→	[okiwna]

<sup>4</sup>These are written in Lakhota orthography as <l> after nasal vowels (*ehanl*); I do not know whether there is a more conservative pronunciation with [l].

<sup>5</sup>Phonetically, coda [p] (and also [k]) often have a small and variable amount of voicing, particularly in certain contexts, such as before [h], and utterance-finally. I assume this is a purely phonetic effect, and continue to transcribe them as voiceless [p]/[k]. It should be noted that Lakhota generally lacks surface [b],[g] except in stop+resonant clusters.

- Definite determiner *-ki*

Apocope to coda [k]: /ju'ha-ki/ 'have-DEF' → [ju'hak]  
/jute ki/ 'eat DEF' → [jutek]
  - Emphatic clitic *-kfto* → *-kf*

/ni'je/ 'you' + /-kf/ → [nijekʃ] 'it's up to you'  
/letʃija na-wa-ʒĩ/ 'here stand-1sg' + /-kf/ → [letʃija 'nɔʒĩkʃ] 'I'm standing over here!'
  - Other clitics don't undergo apocope (low vowels, or bad codas):
    - hã      progressive
    - kta/-kte      future
    - tk<sup>h</sup>a      dubitative
    - ɲni      negative
    - tʃa      definite
    - he      addressing 2nd person
  - Also happens to be no [-ti] suffix to create coda [t]
- (15) Consistent set of “marginally possible codas”: [p,k,s,ʃ,x,m,n,l,w]
- Systematically absent: voiced, aspirated, and glottalized obstruents  
(\*[z, ʒ, ɣ, p<sup>h</sup>, t<sup>h</sup>, k<sup>h</sup>, p', t', k', s', ʃ', x'])
  - Curiously also absent: [t] (perhaps an accidental gap because no *-ti* suffix?)
- (16) What to make of this set of codas?
- Could ignore the problem
    - A relatively small handful of exceptions, after all, compared to the otherwise robust generalization that codas are disallowed in Lakhota
  - Could try to contain the problem
    - Admit that they are exceptional, and find a way to regulate and contain the exceptionality
    - E.g., greater faithfulness for high frequency items, protected from the regular grammar by their frequency of occurrence?
      - ◇ \*Laryngeal coda ≫  $\mathcal{F}$  if frequent enough ≫ \*Coda
      - ◇ See Zuraw (2000) for a proposal for handling exceptions, and why high frequency might help them resist the ordinary grammar; see also Bybee (2001) for discussion from a different perspective
    - Rests on the (almost certainly false) hope that all of these functional elements are more frequent than any lexical root
  - Could analyze the problem as a perversion
    - Codas in suffixes and function words are preserved by special faithfulness constraints ( $\mathcal{F}_{\text{Affix}}$ ,  $\mathcal{F}_{\text{Func}}$ )
    - For some reason, in Lakhota,  $\mathcal{F}$  to these usually weak positions outranks  $\mathcal{F}$  to roots<sup>6</sup>
  - Or, turn the problem around
    - Propose that Lakhota *does* generally allow codas
    - But banned in roots by a special version \*CODA that applies only in roots (\*CODA<sub>ROOT</sub>)
- Strong evidence for this last approach from reduplication

<sup>6</sup>A related, but distinct approach which I will not explore here is an analysis that employs cophologies (Orgun 1996; Inkelas 1998; Inkelas and Zoll 2003): one for roots, and one for function words and affixes. The reduplication data in the following section would be especially problematic for a cophology approach, since it would require the non-root phonology to apply to reduplicants, while root phonology applies to their bases.

## (17) Reduplication in Lakota: two types

- Final reduplication: [gle.ʃka] → [gle.ʃka.ʃka] ‘spotted’
  - Penult reduplication: [gle.ʃe] → [gle.gle.ʃe] ‘colorful’ (\*[gle.ʃe.ʃe])
- The analysis of this distinction in OT difficult and not directly relevant here. See Shaw (1980), Marantz (1982), and Sietsema (1988) for rule-based approaches, and Nelson (2003) for an attempt to recast this analysis in OT; see also Albright (2002, chap. 5) and Hogoboom (2003) for discussion.

(18) Penult reduplication: frequently copies the following onset, yielding [C<sub>1</sub>VC<sub>2</sub>]<sub>σ</sub> reduplicant

[sa.pe]	→	[ <u>sap</u> .sa.pe]	‘black’
[ʃa.pe]	→	[ <u>ʃap</u> .ʃa.pe]	‘dirty’
[sa.ke]	→	[ <u>sak</u> .sa.ke]	‘hard’
[tʃ <sup>h</sup> ā.ze.ke]	→	[tʃ <sup>h</sup> ā. <u>zek</u> .ze.ke]	‘angry’
[wa.ʃ <sup>h</sup> a.ke]	→	[wa.ʃ <sup>h</sup> <u>ak</u> .ʃ <sup>h</sup> a.ke]	‘strong’

## (19) When can reduplicants have closed syllables?

1. When C<sub>2</sub> is among the set of “marginally possible codas” (as in [sap.sa.pe], [sak.sa.ke])
2. When C<sub>2</sub> can be devoiced, deglottalized, or depalatalized to create a marginally possible coda

[s]	[pu.ze]	→	[ <u>pus</u> .pu.ze]	‘dry’
	[ble.ze]	→	[ <u>bles</u> .ble.ze]	‘clear’
[ʃ]	[pa.t <sup>h</sup> u.ʒe]	→	[pa.t <sup>h</sup> uʃ.t <sup>h</sup> u.ʒe]	‘bend over’
	[ka.p <sup>h</sup> o.ʒe.la]	→	[ka.p <sup>h</sup> oʃ.p <sup>h</sup> o.ʒe.la]	
[x]	[ka.ʃe]	→	[ <u>kax</u> .ka.ʃe]	‘do, make’
	[pi.ʃe]	→	[ <u>pix</u> .pi.ʃe]	‘boil’
[k]	[tʃi.k <sup>h</sup> a.la]	→	[tʃi <u>k</u> .tʃi.k <sup>h</sup> a.la] <sup>7</sup>	‘small’
	[ʃi.tʃe]	→	[ʃi <u>k</u> .ʃi.tʃe]	‘bad’

3. And remarkably, [t] → [l]

[l]	[k <sup>h</sup> a.te]	→	[ <u>k<sup>h</sup>al</u> .k <sup>h</sup> a.te]	‘hot’
	[ʃka.te]	→	[ʃ <u>kal</u> .ʃka.te]	‘play’
	[ʔo.ta]	→	[ʔ <u>ol</u> .ʔo.ta]	‘be many’

Or, occasionally [t] → [k]

[l]	[su.ta]	→	[ <u>suk</u> .su.ta]	‘hard’
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4. Even stranger: sometimes irregular [t], [l] → [k]

[k]	[o.ʒu.la]	→	[o. <u>ʒuk</u> .ʒu.la]	‘full’
	[a.ju.ta]	→	[a. <u>juk</u> .ju.ta]	‘look at’

- The mappings employed are not 100% regular (a phenomenon reminiscent of Turkish emphatic reduplication; Kelepir (2000, Wedel (2000)). Nonetheless, C<sub>2</sub> must always be a member of the set [p,k,s,ʃ,x,m,n,l,w] if it is to be copied.

## (20) Further details: some variability

- C<sub>2</sub> is (almost) never copied when a medial CCC would result<sup>8</sup>
  - \*[psk] [ka.ska.pe] → [ka.ska.ska.pe] (\*[ka.skap.ska.pe]) ‘slap’
  - \*[sgm] [yu.gmu.ze] → [yu.gmu.gmu.ze] (\*[yu.gmu.gmu.ze]) ‘twist’
  - \*[kxw] [ka.xwo.ke] → [ka.xwo.xwo.ke] (\*[ka.xwok.xwo.ke]) ‘blow’
- Occasionally, C<sub>2</sub> resists copying even when it could be transformed into a possible coda

[xo.te]	→	[ <u>xo</u> .xo.te] (*[xol.xo.te])	‘gray’
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<sup>7</sup>The *-la* is a diminutive suffix that usually does not count when determining which syllable to reduplicate. (That is, [tʃik.tʃi.k<sup>h</sup>a.la] is at some level penultimate reduplication, not a third pattern of initial or antepenultimate reduplication.)

<sup>8</sup>I have recorded one exception: *bleze* → *blesbleze* ‘clear’

- (21) What is important for present purposes:
- Penultimate reduplication does often create closed-syllable reduplicants, and they actively employ the same set of codas seen in suffixes and function words
- (22) How do we know that  $C_2$  of the  $C_1VC_2$  reduplicant is really a coda?
- For what it's worth, slow, deliberate speech: [sak] ... [sa] ... [ke]
  - In many cases the resulting CC cluster is not a possible onset
    - [kap'oʃp'oʒela] could not be syllabified [o.ʃp'o]
    - Similarly [pat<sup>h</sup>uʃt<sup>h</sup>uʒe] (\*ʃt<sup>h</sup>), [t<sup>h</sup>āzekzeke] (\*kz), [k<sup>h</sup>alk<sup>h</sup>ate] (\*lk<sup>h</sup>), etc.
  - Phonetic realization: reduplicated  $C_2$  [p] and [k] often realized partly or fully voiced, as with coda [p] and [k] in word/utterance-final position
  - Logic: why would  $C_2$  be transformed to a possible coda, if it was not a coda?
- (23) Upshot: Lakhota exhibits “The emergence of the marked”
- A set of codas that are allowed everywhere *except* roots: [p,k,s,ʃ,x,m,n,l,w]
  - Affixes, function words, and reduplicants allow systematically *more* marked structures than roots do

### 3 Analysis using root-specific markedness

- (24) How to capture emergence of the marked?
- Possibility 1: greater faithfulness in weak positions (emergence of the unmarked in roots)
  - Possibility 2: greater markedness in strong positions (emergence of the marked outside roots)
- Goal of this section: argue against a faithfulness-based approach, and in favor of markedness relativized to roots
- (25) Sketch of a faithfulness-based approach:
- Inviolable phonotactics: no laryngeally specified codas (\*[z, ʒ, ʁ, p<sup>h</sup>, t<sup>h</sup>, k<sup>h</sup>, p', t', k', s', ʃ', x']), and also no [t] (\*t]σ ?)
  - $\mathcal{F}$  for weak positions, to preserve codas in these positions, as long as they are a member of the OK set
  - \*CODA: ranked low enough that codas are allowed in weak positions
  - $\mathcal{F}$  for other positions: ranked even lower, codas banned in roots
- Emergence of the marked arises when  $\mathcal{F}$  for weak positions is irrelevant, and \*CODA prevails
- (26) Problems with the faithfulness-based approach:
- Relies on ranking  $\mathcal{F}$  for weak positions  $\gg$   $\mathcal{F}$  for strong positions (argued by some to be impossible, either because  $\mathcal{F}_{\text{Weak}}$  does not exist, or a universal ranking of  $\mathcal{F}_{\text{Strong}} \gg \mathcal{F}_{\text{Weak}}$ ; Casali 1997; Beckman 1998; Alderete 2001; Alderete 2003)
  - Also: what constraint enforces faithfulness for weak positions?
    - Affixes:  $\mathcal{F}_{\text{Aff}}$  (or general  $\mathcal{F}$ )
    - Function words:  $\mathcal{F}_{\text{Func}}$
    - Reduplicants:  $\text{MAX}_{\text{BR}}$
    - The only way to enforce identical phonotactics in all three positions is to ensure that *all three* of these faithfulness constraints are ranked identically w.r.t. coda markedness (below ban on laryngeal codas, above \*CODA). This is the OT equivalent of a conspiracy: three constraints must push in the same direction to achieve a unified surface pattern.

## (27) Markedness-based approach mitigates both of these problems

- A limited set of codas are generally allowed in the language, using the familiar configuration of layered markedness and faithfulness (as above, I use broad cover terms like \*Laryngeal Coda to stand in for your favorite implementation of coda conditions)

➤ \*Laryngeal Coda  $\gg$  IO- $\mathcal{F}$ , MAX<sub>BR</sub>  $\gg$  \*CODA

Roots like /sap/ cannot surface faithfully:

/sap/	*Laryngeal Coda	IO- $\mathcal{F}$	MAX <sub>BR</sub>	*CODA
☞ a. [mahel]				*
b. [mahelV]/[mahe] <sup>9</sup>		*!		

Affixes may also contain codas:

/ja-p/	*Laryngeal Coda	IO- $\mathcal{F}$	MAX <sub>BR</sub>	*CODA
☞ a. [ʃap]				*
b. [ʃapV]/[ʃa]		*!		

As may reduplicants:

/RED-sape/	*Laryngeal Coda	IO- $\mathcal{F}$	MAX <sub>BR</sub>	*CODA
☞ a. [sapsape]			*	*
b. [sasape]			**!	

But hypothetical function word /maheʒ/ cannot surface as such (choice of output depends on details of IO- $\mathcal{F}$ )

/maheʒ/	*Laryngeal Coda	IO- $\mathcal{F}$	MAX <sub>BR</sub>	*CODA
a. [maheʒ]		*!		*
☞ b. [maheʃ]/[maheʒe]/[mahe]			*	(*)

- And codas are banned altogether in roots

➤ \*CODA<sub>ROOT</sub>  $\gg$  IO- $\mathcal{F}$

Function words like /tuktel/ surface faithfully:

/sap/	*CODA <sub>ROOT</sub>	*Laryngeal Coda	IO- $\mathcal{F}$	MAX <sub>BR</sub>	*CODA
a. [sap]	*!			*	
☞ b. [sape]/[sa]			*		

(28) Mirror image of the TETU configuration: here, greater faithfulness emerges outside roots, when a higher ranked markedness constraint (\*CODA<sub>ROOT</sub>) is inapplicable

<sup>9</sup>As is often the case with richness of the base problems, data from the language do not necessarily tell us what happens to non-occurring patterns (i.e., what happens to clicks in English?). In fact, the standard analysis of Lakhota claims that illegal codas are fixed by epenthesis (Shaw 1980), so I will limit the candidates to those with the most obvious fixes of epenthesis or deletion.

## 4 Discussion and conclusion

- (29) This is, in fact, not the first proposal that strong positions may be subject to extra markedness
- Pre-OT: Morpheme Structure Rules/Conditions could be stated on any position of any morpheme (Halle 1959; Stanley 1967; Chomsky and Halle 1968)
  - de Lacy (2000), Smith (2002): strong positions are sometimes required to “sound strong”
    - Stressed syllables must be heavy (weight-to-stress)
    - Vowels lengthen in stressed syllables (\*Short V/’σ)
    - Word-initial onsets must be voiceless (extremely non-sonorant)
- (30) But if strong positions can be subject to extra markedness, don’t we lose the predicted asymmetry ((3) above)?
- Potentially yes. But ...
    - One line of defense: limit what kinds of markedness can apply in strong positions
      - de Lacy, Smith: only those motivated by sonority considerations<sup>10</sup>
      - The Lakhota example shows that things other than sonority may play a role as well; but we still might hope that it’s not the entire set of  $\mathcal{M}$
    - In order to answer this question (why \*CODA<sub>ROOT</sub>, and what else might we need?), it would be helpful to have a better understanding of what motivates such cases
- (31) A suggestion about why syllable structure, from the history of Lakhota
- A speculative, but plausible origin of this RSC in Lakhota: (Rood 1983)
- (Modern Lakhota forms stand in for the equivalent Proto-Siouan reconstructed forms)
- Stage 1: roots may end in C or V, clitics are V-initial (w/deletion of clitic V in hiatus)
- |                |          |          |           |            |
|----------------|----------|----------|-----------|------------|
| C-final roots: | /sak/    | sak-e?   | sak-api   | sak-ikte   |
| V-final roots: | /glefka/ | glefka-? | glefka-pi | glefka-kte |
|                | /wafte/  | wafte-?  | wafte-pi  | wafte-kte  |
- Stage 2: C-final roots reanalyzed as variant V-final roots
- |                  |            |          |           |            |
|------------------|------------|----------|-----------|------------|
| “C-final roots”: | sak{a~e~i} | sake-?   | saka-pi   | sakī-kte   |
| V-final roots:   | /glefka/   | glefka-? | glefka-pi | glefka-kte |
- RSCs on syllable structure are caused by (or serve to facilitate) morphological parsing
- (32) More generally: constraints on syllable structure and sequences play an important role in parsing
- Regularities in possible sequences aid in learning word/morpheme segmentation (Harrington, Watson, and Cooper 1988; Cairns, Shillcock, Chater, and Levy 1994; Brent and Cartwright 1996; Aslin, Woodward, LaMendola, and Bever 1996; Saffran, Newport, and Aslin 1996; Cairns, Shillcock, Chater, and Levy 1997; Brent 1999; Aslin, Saffran, and Newport 1999)
  - Hay (2003) and others have argued that these cues are not only useful in learning, but are actively used by the adult parser as well
- (33) A possible parallel in English: root-final obstruent clusters
- |                                       | Root-finally      | Word-finally |
|---------------------------------------|-------------------|--------------|
| Voiceless-final clusters generally OK | ✓st               | ✓st          |
|                                       | ✓kt               | ✓kt          |
| Voiced-final clusters bad in roots    | *gd <sup>11</sup> | ✓gd          |
|                                       | *zd               | ✓zd          |
- (The full data is, of course, more complex than this)

<sup>10</sup>Inkelas and Rose (2003) argue that positional velar fronting in child speech, in which velar stops are realized as alveolar in strong positions, is also an example of strengthening gone awry: the child attempts to produce a strengthened [k], but because of articulatory constraints of having a big tongue and a small palate, the result of over-vigorous ends up being closer to [t].

<sup>11</sup>A possible exception is *smaragd* ‘emerald’

## (34) RSCs employing inventory constraints as well

- Possible initial segments in Welsh roots (shaded) vs. words: (Williams 1980; Thorne 1993)

b	d	g	p	t	k	
m	n	ŋ	m <sup>h</sup>	n <sup>h</sup>	m <sup>h</sup>	
v	ð		f	θ	s	x <sup>w</sup>
	l, r			ɬ, ɬ <sup>h</sup>		h

## (35) Before we can really decide powerful a theory of root markedness is needed, we need a better empirical understanding of root structure constraints that cannot be reduced to surface phonetic constraints

- Also relevant to consider to what extent these constraints parallel/are different from possible restrictions on particular subsets of roots (lexical strata: Itô and Mester 1995; Inkelas, Orgun, and Zoll 1997; Itô and Mester 1999)

## (36) Where this all leads:

- It is not a completely unrestricted call to allow any sort of positional markedness constraint in the grammar
- RSCs like the Lakhota case have plausible *morphological grounding*, in the same way that many constraints have been argued to have *phonetic grounding* (Hayes 1999; Smith 2003)

## (37) An open question:

- Can all strong positions be referred to by such markedness constraints?
  - Roots (Lakhota)
  - Initial onsets (Welsh)
  - Stressed syllables? onsets in general? Seem unlikely... (and more difficult to motivate on parsing grounds)
 Conjecture: should yield predominantly *edge* phenomena (see Broselow 2003 for some relevant cases)

## (38) One other important issue that I leave unresolved here: the learning issue posed by RSCs

- A subset problem: the set of structures found in Lakhota roots are a subset of those found in the language as a whole (open syls  $\subset$  all syls)
- A learner, hearing the word [tk<sup>h</sup>aʃ], cannot respond by demoting assuming that codas are allowed *everywhere*
  - There may be no positive evidence that forces the learner to go back and conclude that codas are impossible in roots
  - Result: an inadequately restrictive grammar
- This problem may be complicated even further by the fact that learners do not necessarily have a complete morphological analysis (what is a root and what is not) when they are beginning to learn such phonotactic distributions
- Work underway trying to determine to what extent this can already be handled by existing proposals, such as Biased Constraint Demotion (BCD; Prince and Tesar 1999)

## (39) Conclusion

- An overlooked, but perhaps quite common pattern: roots have systematically simpler structures than words as a whole (the emergence of the marked outside roots)
- Lakhota: roots may not have codas, but affixes, function words, and reduplicants may
- Proposal: a wider range of markedness constraints may target strong positions than previously assumed
  - Not a negative result! Not only is it empirically necessary, but it opens an avenue to explore the ways in which markedness is employed to help in tasks like morphological parsing, beyond the obvious sequential constraints that have been explored thus far

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