The neural architecture of language: Integrative reverse-engineering converges on a model for predictive processing

Martin Schrimpf1,2,3, Idan Blank*,1,4, Greta Tuckute*,1,5, Carina Kauf*,1, Eghbal A. Hosseini1,
Nancy Kanwisher1,2,3, Joshua Tenenbaum†1,3, Evelina Fedorenko†1,2

1 Department of Brain and Cognitive Sciences, MIT, Cambridge, MA, USA
2 McGovern Institute for Brain Research, MIT, Cambridge, MA, USA
3 Center for Brains, Minds and Machines, MIT, Cambridge, MA, USA
4 Psychology Department, UCLA, Los Angeles, CA, USA
5 Media Lab, MIT, Cambridge, MA, USA

Abstract

The neuroscience of perception has recently been revolutionized with an integrative reverse-engineering approach in which computation, brain function, and behavior are linked across many different datasets and many computational models. We here present a first systematic study taking this approach into higher-level cognition: human language processing, our species’ signature cognitive skill. We find that the most powerful ‘transformer’ networks predict neural responses at nearly 100% and generalize across different datasets and data types (fMRI, ECoG). Across models, significant correlations are observed among all three metrics of performance: neural fit, fit to behavioral responses, and accuracy on the next-word prediction task (but not other language tasks), consistent with the long-standing hypothesis that the brain’s language system is optimized for predictive processing. Model architectures with initial weights further perform surprisingly similar to final trained models, suggesting that inherent structure – and not just experience with language – crucially contributes to a model’s match to the brain.

correspondence: msch@mit.edu, evelina9@mit.edu

*† joint second/senior authors
A core goal of neuroscience is to decipher from patterns of neural activity the algorithms underlying our abilities to perceive, think about, and act in the world. Recently, a new “reverse engineering” approach to computational modeling in systems neuroscience has transformed our algorithmic understanding of the ventral stream in primate vision (Bao et al., 2020; Cadena et al., 2019; Cichy et al., 2016; Kietzmann et al., 2019; Kubilius et al., 2019; Schrimpf et al., 2018, 2020; Yamins et al., 2014), and holds great promise for application to other aspects of brain function. This approach has been enabled by a breakthrough in artificial intelligence (AI): the engineering of artificial neural network (ANN) systems that perform core perceptual tasks with unprecedented accuracy, approaching human levels, and that do so using computational machinery that is abstractly similar to biological neurons. In the ventral visual stream, the key AI developments come from deep convolutional neural networks (DCNNs) that perform visual object recognition from natural images (Ciregan et al., 2012; Krizhevsky et al., 2012; Schrimpf et al., 2018, 2020; Yamins et al., 2014), which is widely thought to be the primary function of this pathway. Leading DCNNs for object recognition have now been shown to predict the responses of neural populations in multiple stages of the ventral stream (V1, V2, V4, IT), in both macaque and human brains, approaching the noise ceiling of the data. Thus, although far from perfect models, DCNNs could provide the basis for a first complete account of how the brain computes object percepts from visual images.

Inspired by this success story, analogous ANN models are now regularly applied to other domains of sensation and perception (Kell et al., 2018; Zhuang et al., 2017). Could these models also let us reverse-engineer the brain mechanisms of higher-level human cognition? Here we show for the first time how the reverse-engineering approach pioneered in the ventral stream can be applied to a higher-level cognitive domain that plays an essential role in human mental life: language processing, or the extraction of meaning from spoken or written phrases, sentences, and stories. Cognitive scientists have for decades treated neural network models with skepticism (Marcus, 2018; Pinker & Prince, 1988), as these systems lacked the capacity for explicit symbolic representation, a core feature of language, and thinking and reasoning more generally. Recent ANN models of language in AI, however, have proven capable of at least approximating some aspects of symbolic computation, and have achieved remarkable success on a wide range of applied natural language processing (NLP) tasks. The results presented here, based on this new generation of ANNs, suggest that a computationally adequate model of language processing in the brain may be closer than previously thought.

Because we build on the same logic in our analysis of language in the brain, it is helpful to review why the neural network-based reverse engineering approach has proven so compelling in the study of object recognition in the ventral stream. Crucially, our ability to robustly link computation, brain function, and behavior is supported not by testing a single model on a single dataset or a single kind of data, but by large-scale integrative benchmarking (Schrimpf et al., 2020) that establishes consistent patterns of performance across many different ANNs applied to multiple neural and behavioral datasets, together with their performance on the proposed core computational function of the brain system under study. Given the complexities of the brain’s structure and the functions it performs, we know that any one of these models is surely oversimplified and ultimately wrong – at best just an approximation of some aspects of what the brain might do. But some models are less wrong, and consistent trends in performance across many models can reveal insights that go substantially beyond what any one model can tell us.
In the ventral stream specifically, our understanding that computations underlying object recognition are analogous to the structure and function of DCNNs is supported by findings that across hundreds of model variants, DCNNs that perform better on object recognition tasks also better capture human recognition behavior and neural responses in IT cortex of both human and non-human primates (Rajalingham et al., 2018; Schrimpf et al., 2018, 2020; Yamins et al., 2014). This integrative benchmarking reveals a rich pattern of correlations among three classes of performance measures — (i) accuracy on the core object recognition task, (ii) accuracy in predicting hits and misses in human object recognition behavior, or human object similarity judgments, and (iii) neural variance explained, in IT neurophysiology or fMRI responses — such that for any DCNN model we can predict how well it scores on each of these measures from the other measures. This pattern of results was not assembled in a single paper but in multiple papers across several labs and several years of work. Taken together, they provide strong evidence that the ventral stream supports primate object recognition through something like a deep convolutional feature hierarchy, the exact details of which are being modeled more and more precisely.

Here we describe an analogous pattern of results for ANN models of human language, establishing a link between transformer-based ANN architectures that have revolutionized natural language processing in AI systems over the last two years, and fundamental computations of human language processing as revealed through both neural and behavioral measures. Language comprehension is a quintessentially human ability, bridging perception and high-level reasoning, and forming the foundation of human culture. The processing of language is known to depend causally on a left-lateralized fronto-temporal brain network (Bates et al., 2003; Binder et al., 1997; Fedorenko & Thompson-Schill, 2014; Friederici, 2012; Gorno-Tempini et al., 2004; Haguoort, 2019; Price, 2010) (Fig. 1) that responds robustly and selectively to linguistic input (Fedorenko et al., 2011; Monti et al., 2012), whether auditory or visual (Deniz et al., 2019; Regev et al., 2013). Yet the precise computations underlying language processing in the brain remain unknown. Computational models of sentence processing have previously been used to explain both behavioral (Dotlačil, 2018; Futrell, Gibson, & Levy, 2020; Gibson, 1998; Gibson et al., 2013; Hale, 2001; Jurafsky, 1996; Lakretz et al., 2020; Levy, 2008a, 2008b; Lewis et al., 2006; McDonald...
Our goal here is to present a first systematic integrative-benchmarking reverse engineering study of language in the brain, at the scale necessary to discover robust relationships between neural and behavioral measurements from humans, and performance of models on language tasks. We seek to determine not just which model fits empirical data best, but what dimensions of variation across models are correlated with fit to human data. This requires testing a broad suite of ANN architectures with sufficient variance on all three kinds of measures (fit to neural and behavioral data, and model performance). This approach has not been applied in the study of language or any other higher cognitive system, and even in perception has not been attempted within a single integrated study. Thus, we view our work more generally as a template for how to apply the integrative reverse-engineering approach to a novel perceptual or cognitive system.

Specifically, we examined the relationships between 43 diverse state-of-the-art ANN language models (henceforth ‘models’) across three neural language comprehension datasets (two fMRI, one electrocorticography (ECoG)), as well as behavioral signatures of human language processing in the form of self-paced reading times, and a range of linguistic functions assessed via standard engineering tasks from NLP. The models spanned all major classes of existing ANN language approaches and included simple embedding models (e.g., GloVe (Pennington et al., 2014)), more complex recurrent neural networks (e.g., LM1B (Jozefowicz et al., 2016)), and many variants of transformers or attention-based architectures— including both ‘unidirectional-attention’ models (trained to predict the next word given the previous words; e.g., GPT (Radford et al., 2019)) and ‘bidirectional-attention’ models (trained to predict a missing word given the surrounding context; e.g., BERT (Devlin et al., 2018)). Our integrative approach yields four major findings. (1) Models’ relative fit to neural data (“neural predictivity”) generalizes across different datasets and data types (fMRI, ECoG), and certain architectural features consistently lead to more brain-like models: transformer-based models perform better than recurrent networks or word-level embedding models, and larger-capacity models perform better than smaller models. (2) The best models explain nearly 100% of the explainable variance (up to the noise ceiling) in neural data. This result stands in stark contrast to earlier generations of models that have typically accounted for at most 30-50% of the predictable neural signal. (3) Across models, there are significant correlations among all three metrics of model performance: neural fit, fit to reading time in behavior, and model accuracy on the next-word prediction task; no other linguistic task was predictive of models’ fit to neural or behavioral data. These findings provide the strongest evidence to date for a classic hypothesis about the computations underlying human language understanding, that the brain’s language system is optimized to extract meaning through predictive processing. (4) Models initialized with random weights (prior to training) perform surprisingly similarly in neural predictivity to final trained models, which suggests that network architecture contributes as much or more than experience-dependent learning to a model’s match to the brain. In particular, one architecture introduced just in 2019, the generative pre-trained transformer (GPT-2), consistently outperforms all other models and explains almost all variance in both fMRI and ECoG data from sentence processing tasks. GPT-2 is also arguably the most cognitively plausible of the transformer models (because it uses unidirectional, forward attention), and performs best overall as an AI system when considering both natural language understanding and natural language generation tasks. Thus contemporary AI appears to be rapidly converging on architectures that might capture language processing, at least up to the sentence level, in the human mind and brain.
Results

We evaluated a broad range of state-of-the-art ANN models on the match of their internal representations to three human neural datasets. The models spanned all major classes of existing language models (Methods 5, Table S10). The neural datasets consisted of i) fMRI activations while participants read short passages, presented one sentence at a time (across two experiments) that spanned diverse topics (Pereira2018 dataset (Pereira et al., 2018)); ii) ECoG recordings while participants read semantically and syntactically diverse sentences, presented one word at a time (Fedorenko2016 dataset (Fedorenko et al., 2016)); and iii) fMRI BOLD signal time-series elicited while participants listened to few-minutes-long naturalistic stories (Blank2014 dataset (I. Blank et al., 2014)) (Methods_1-3). Thus, the datasets varied in the method (fMRI/ECoG), the nature and grain of linguistic units to which responses were recorded (sentences/words/2s-long story fragments), and modality (reading/listening). In most analyses, we consider the overall results across the three neural datasets; when considering the results for the individual neural datasets, we give the most weight to Pereira2018 because it includes multiple repetitions per stimulus (sentence) within each participant and quantitatively exhibits the highest internal reliability (Fig. S1). Because our research questions concern language processing, we extracted neural responses from language-selective voxels or electrodes that were functionally identified by an extensively validated independent “localizer” task that contrasts reading sentences versus nonword sequences (Fedorenko et al., 2010). This localizer robustly identifies the fronto-temporal language-selective network (Methods_1-3, Fig. 2b, S3).

To compare a given model to a given dataset, we presented the same stimuli to the model that were presented to humans in neural recording experiments and ‘recorded’ the model’s internal activations (Methods_5-6, Fig. 1). We then tested how well the model recordings could predict the neural recordings for the same stimuli, using a method originally developed for studying visual object recognition (Schrimpf et al., 2018; Yamins et al., 2014). Specifically, using a subset of the stimuli, we fit a linear regression from the model activations to the corresponding human measurements, modeling the response of each voxel (Pereira2018) / electrode (Fedorenko2016) / region (Blank2014) as a linear weighted sum of responses of different units from the model. We then computed model predictions by applying the learned regression weights to model activations for the held-out stimuli, and evaluated how well those predictions matched the corresponding held-out human measurements by computing Pearson’s correlation coefficient. We further normalized these correlations by the extrapolated reliability of the particular dataset, which places an upper bound (“ceiling”) on the correlation between the neural measurements and any external predictor (Methods_7, Fig. S1). The final measure of a model’s performance (‘predictivity’ or ‘score’) on a dataset is thus Pearson’s correlation between model predictions and neural recordings divided by the estimated ceiling and averaged across voxels/electrodes/regions and participants. We report the score for the best-performing layer of each model (Methods_6, Fig. S10).
Specific models accurately predict human brain activity. We found (Fig. 2a-b) that specific models predict Pereira2018 and Fedorenko2016 datasets with up to 100% predictivity (see Fig. S2 for generalization to another metric) relative to the noise ceiling (Methods 7, Fig. S1). The Blank2014 dataset is also reliably predicted, but with lower predictivity. Models vary substantially in their ability to predict neural data. Generally, embedding models such as GloVe do not perform well on any dataset. In contrast, recurrent networks such as skip-thoughts, as well as transformers such as BERT, predict large portions of the data. The model that predicts the human data best across datasets is GPT2-xl, a unidirectional-attention transformer model, which predicts Pereira2018 and Fedorenko2016 at close to 100% and is among the highest-performing models on Blank2014 with 32% predictivity. These scores are higher in the language network than other parts of the brain (SI-4).

Model scores are consistent across experiments/datasets. To test the generality of the model representations, we examined the consistency of model scores across datasets. Indeed, if a model does well on one dataset, it tends to also do well on other datasets (Fig. 2c), ruling out the possibility that we are picking up on spurious, dataset-idiosyncratic predictivity, and suggesting that the models’ internal representations are general enough to capture brain responses to diverse linguistic materials presented visually or auditorily, and across three independent sets of participants. Specifically, model scores across the two experiments in Pereira2018 (overlapping sets of participants) correlate at \( r = .94 \) (Pearson here and elsewhere, \( p < .00001 \)), scores from Pereira2018 and Fedorenko2016 correlate at \( r = .50 \) (\( p < .001 \)), and from Pereira2018 and Blank2014 at \( r = .63 \) (\( p < .0001 \)).

Next-word-prediction task performance selectively predicts neural scores. In the critical test of which computations might underlie human language understanding, we examined the relationship between the models’ ability to predict an upcoming word and their brain predictivity. Words from the Wikitext-2 dataset (Merity et al., 2016) were sequentially fed into the candidate models. We then fit a linear classifier (over words in the vocabulary; \( n = 50k \)) from the last layer’s feature representation on the training set to predict the next word, and evaluated performance on the held-out test set (Methods 8). Indeed, next-word-prediction task performance robustly predicts neural scores (Fig. 3a; \( r = .45, p < .01 \), averaged across datasets). The best language model, GPT2-xl, also achieves the highest neural predictivity (see previous section). This relationship holds for model variants within each class—embedding models, recurrent networks, and transformers—ruling out the possibility that this correlation is simply due to between-class differences in next-word-prediction performance.

To test whether next-word prediction is special in this respect, we asked whether model performance on any language task correlates with neural predictivity. Focusing on the high-performing, transformer models, we found that performance on tasks from the GLUE benchmark collection (Cer et al., 2018; Dolan & Brockett, 2005; Levesque et al., 2012; Rajpurkar et al., 2016; Socher et al., 2013; A. Wang, Singh, et al., 2019; Warstadt et al., 2019; Williams et al., 2018)—including grammaticality judgments, sentence similarity judgments, and entailment—do not correlate with neural predictivity, in
spite of eliciting variable performance across models (Fig. 3b-c). The difference in the strength of correlation between neural data and the next-word prediction task vs. the GLUE tasks is highly reliable (p<<0.00001). This result suggests that optimizing for predictive representations may be a critical shared objective of biological and artificial neural networks for language, and perhaps more generally (Keller and Mrsic-Flogel, 2018; Singer et al., 2018).

Neural predictivity and next-word-prediction task performance correlate with behavioral predictivity. Beyond internal neural representations, we tested the models’ ability to predict external behavioral outputs because, ultimately in integrative benchmarking, we strive for a computationally precise account of language processing that can explain both neural response patterns and observable linguistic behaviors. We chose a large corpus (n=180 participants) of self-paced reading times for naturalistic story materials (Futrell2018 dataset (Futrell, Gibson, Tily, et al., 2020)). Per-word reading times provide a theory-neutral measure of incremental comprehension difficulty, which has long been a cornerstone of psycholinguistic research in testing theories of sentence comprehension (Demberg & Keller, 2008; Gibson, 1998; Just & Carpenter, 1980; D. C. Mitchell, 1984; Rayner, 1978; Smith & Levy, 2013).

Specific models accurately predict human reading times. We regressed each model’s last layer’s feature representation against reading times and evaluated predictivity on held-out words. As with the neural datasets, we observed a spread of model ability to capture human behavioral data, with models such as GPT2-xl, skip-thoughts, and ALBERT-xlarge predicting these

Figure 3: Model performance on a next-word-prediction task selectively predicts neural scores. a) Next-word-prediction task performance was evaluated as the surprisal between the predicted and true next word in the WikiText-2 dataset of 720 Wikipedia articles, or perplexity (x-axis, lower is better). Next-word-prediction task scores strongly predict neural scores across datasets (inset: this correlation is significant for two individual datasets: Pereira2018 and Blank2014; the correlation for Fedorenko2016 is also positive but not significant). b) Performance on diverse language tasks from the GLUE benchmark collection does not correlate with overall or individual (inset; SI-5) neural predictivity. c) Correlations of individual tasks with neural predictivity scores. Only improvements on next-word prediction lead to improved neural predictivity.

Figure 4: Behavioral predictivity, neural predictivity, and next-word-prediction task performance are pairwise correlated. (a) Behavioral predictivity of each model on Futrell2018 human reading times (notation similar to Fig. 2). Ceiling level is .78. (b) Models’ neural predictivity aggregated across the three neural datasets (or for each dataset individually; inset and Fig. 6) correlates with behavioral predictivity. (c) Next-word-prediction task performance (Fig. 3) correlates with behavioral predictivity.
data close to or at ceiling (Fig. 4a; also Merkx & Frank, 2020; Wilcox et al., 2020).

**Neural predictivity correlates with behavioral predictivity.** To test whether models with the highest neural scores also predict reading times best, we compared models’ neural predictivity (across datasets) with those same models’ behavioral predictivity. Indeed, we observed a strong correlation (Fig. 4b: \(r=.49, p<.001\)), which also holds for the individual neural datasets (Fig. 5). These results suggest that further improving models’ neural predictivity will simultaneously improve their behavioral predictivity. An intriguing outlier in this analysis is the skip-thoughts model, which predicts neural activity only moderately, but predicts reading times at ceiling.

**Next-word-prediction task performance correlates with behavioral predictivity.** Next-word-prediction task performance is predictive of reading times (Fig. 4c; \(r=.37, p<.05\)), in line with earlier studies (Goodkind & Bicknell, 2018; van Schijndel & Linzen, 2018). Note that this relationship, similar to the brain-to-behavior one, is not as strong as the one between next-word-prediction task performance and neural predictivity. This difference could point to additional mechanisms, on top of predictive language processing, that were recruited for the reading task.

**Model architecture alone yields predictive representations.** The brain’s language network plausibly arises through a combination of evolutionary and learning-based optimization. Can we test the relative importance of these two factors using model-to-brain comparisons? All models come with intrinsic architectural properties, like size, the presence of recurrence, and the directionality and length of context used to perform the target task (Methods 5, Table S10). These differences strongly affect model performance on normative tasks like next-word prediction after training, and define the representational space that the model can learn (Arora et al., 2018; Fukushima, 1988). To test whether model architecture alone—without training—already yields representational spaces that are similar to those implemented by the language network in the brain, we evaluated models with their initial (random) weights. Strikingly, even with no training, several model architectures reliably predicted brain activity and behavior (Fig. 5). For example, across the four datasets, untrained GPT2-xl achieves an average predictivity of ~61%, only ~14% lower than the trained network. (Importantly, a random context-independent embedding with equal dimensionality but no architectural priors predicts only a small fraction of the datasets, on average below 30% (Fig. S8), suggesting that a large feature space alone, without architectural priors, is not sufficient.) A similar trend is observed across models: training generally improves neural and behavioral predictivity, on average by .1 (26% relative improvement). Across models, the untrained scores are strongly predictive of the trained scores \((r=.82, p<.00001)\), indicating that models that predict human data poorly with random weights also perform poorly after training, but models that already perform well with random weights improve further with training.

![Model architecture alone already yields predictive representations and untrained performance predicts trained performance.](image)

Figure 5: Model architecture alone already yields predictive representations and untrained performance predicts trained performance. We evaluate untrained models by keeping weights at their initial random values. The remaining representations are driven by architecture alone and are tested on the three neural (Fig. 2) and the behavioral dataset (Fig. 4). Across all datasets, architecture alone yields representations that predict human brain activity considerably well. On average, training improves model scores by 26%. For Pereira2018, training improves predictivity the most whereas for Fedorenko2016, Blank2014 and Futrell2018 training does not always change—and for some models even decreases—the similarity with human measurements (Fig. S7). The untrained model performance is consistently predictive of its performance after training across and within (inset) datasets.
Discussion

Our results, summarized in Fig. 6, show that specific ANN language models can predict human neural and behavioral responses to linguistic input with high accuracy: the best models achieve, on some datasets, perfect predictivity relative to the noise ceiling. Neural predictivity correlates across datasets spanning recording modalities (fMRI, ECoG, reading times) and diverse materials presented visually and auditorily, establishing the robustness and generality of these findings. Critically, both neural and behavioral predictivity correlate with model performance on the normative next-word prediction task, but not other language tasks. Finally, model architectures alone, with random weights, produce representations that capture neural and behavioral linguistic responses and closely ‘track’ the representations with learned weights across datasets.

Underlying the integrative reverse-engineering framework, as implemented here in the cognitive domain of language, is the idea that large-scale neural networks can serve as possible mechanistic hypotheses of brain processing. We here identified some models—unidirectional-attention transformer architectures—that accurately capture brain activity during language processing, and began dissecting variations across the range of model candidates to explain why they achieve high brain predictivity. Two core findings emerged, both supporting the idea that the human language system is optimized for predictive processing. First, we found that the models’ performance on the next-word prediction (‘language modeling’) task, but not other language tasks, relates to neural predictivity (see Gauthier & Levy, 2019) for related evidence of fine-tuning of one model on tasks other than next-word-prediction leading to worse model-to-brain fit. Language modeling is the task of choice in the natural language processing (NLP) community: it is simple, unsupervised, scalable, and appears to produce the most generally useful, successful language representations. This is likely because language modeling encourages a neural network to build a joint probability model of the linguistic signal, which implicitly requires sensitivity to diverse kinds of regularities in the signal. Second, we found that the models best matching human language processing are precisely those that are trained to predict the next word. Predictive processing has advanced to the forefront of theorizing in cognitive science (Clark, 2013; Tenenbaum et al., 2011) and neuroscience (Keller & Mrsic-Flogel, 2018), including in the domain of language (Kuperberg & Jaeger, 2016; Levy, 2008a). The rich sources of information that comprehenders combine to interpret language—including lexical and syntactic information, pragmatic reasoning, and world knowledge (Garnsey et al., 1997; MacDonald et al., 1994; Tanenhaus et al., 1995; Trueswell et al., 1993, 1994)—can be used to make informed guesses about how the linguistic signal may unfold, and much behavioral and neural evidence now suggests that readers and listeners indeed engage in such predictive behavior (Altmann & Kamide, 1999; Frank & Bod, 2011; Kuperberg & Jaeger, 2016; Shain et al., 2020; Smith & Levy, 2013). Some accounts, rooted in the rich tradition of the analysis-by-synthesis approach to cognition (Neisser, 1967), construe prediction as forward-simulation carried out by the language production
system that draws on the generative language model (Dell & Chang, 2014; Pickering & Garrod, 2013). An intriguing possibility is therefore that both the human language system and successful ANN models of language are optimized to predict upcoming words in the service of efficient meaning extraction.

We also demonstrated that architecture alone, with random weights, can yield representations that match human brain data well. If we construe model training as analogous to learning in human development, then human cortex might already provide a sufficiently rich structure that allows for the rapid acquisition of language (Rodriguez & Granger, 2016). Perhaps most of development is then a combination of the system wiring up (Saygin et al., 2016; Zador, 2019) and learning the right decoders on top of largely structurally defined features. In that analogy, community development of new architectures could be akin to evolution (Hasson et al., 2020), or perhaps, more accurately, selective breeding with genetic modification: structural changes are tested and the best-performing ones are incorporated into the next generation of models. Importantly, this process implicitly still optimizes for language modeling, only on a different timescale.

These discoveries pave the way for many exciting future directions. The most brain-like language models can now be investigated in richer detail, ideally leading to intuitive theories around their inner workings. Such research is much easier to perform on models than on biological systems since all their structure and weights are easily accessible and manipulable (Cheney et al., 2017; Lindsey et al., 2019). Controlled comparisons of minimally different architectural variants and training objectives could define the necessary and sufficient conditions for human-like language processing (Samek et al., 2017), synergizing with parallel ongoing efforts in NLP to probe ANNs’ linguistic representations (Hewitt & Manning, 2019; Linzen et al., 2016; Tenney et al., 2020). Here, we worked with off-the-shelf models, and compared their match to neural data based on their performance on the next-word-prediction task vs. other tasks. Re-training many models on many tasks from scratch might determine which features are most important for brain predictivity, but is currently prohibitively expensive due to the insurmountable space of hyper-parameters. Further, the fact that language modeling is inherently built into the evolution of language models by the NLP community, as noted above, may make it impossible to fully eliminate its influences on the architecture even for models trained from scratch on other tasks.

How can we develop models that are even more brain-like? Despite impressive performance on the datasets and metrics here, ANN language models are far from human-level performance in the hardest problems of language understanding. An important open direction is to integrate language models like those used here with models and data resources that attempt to capture aspects of meaning important for commonsense world knowledge (e.g., Bisk et al., 2020; Bosselut et al., 2020; Sap et al., 2019, 2020; Yi et al., 2018). Such models might capture not only predictive processing in the brain—what word is likely to come next—but also semantic parsing, mapping language into conceptual representations that support grounded language understanding and reasoning (Bisk et al., 2020). The fact that language models lack meaning and focus on local linguistic coherence (Mahowald et al., 2020; Wilcox et al., 2020) may explain why their representations fall short of ceiling on *Blank*2014, which uses story materials and may therefore require long-range contexts.

One key missing piece in the mechanistic modeling of human language processing is a more detailed mapping from model components onto brain anatomy. In particular, aside from the general targeting of the fronto-temporal language network, it is unclear which parts of a model map onto which components of the brain’s language processing mechanisms. In models of vision, for instance, attempts are made to map ANN layers and neurons onto cortical regions (Kubilius et al., 2019) and sub-regions (Lee & DiCarlo, 2018). However, whereas function and its mapping onto anatomy is at least coarsely defined in the case of vision (Felleman & Van Essen, 1991), a similar mapping is not yet established in language beyond the broad distinction between perceptual processing and higher-level linguistic interpretation (Fedorenko & Thompson-Schill, 2014). The network that supports higher-level linguistic interpretation—which we focus on here—is extensive and plausibly contains meaningful functional dissociations, but how the network is precisely subdivided and what respective roles its different components play remains debated. Uncovering the internal structure of the human language network, for which intracranial recording approaches with high spatial and temporal resolution may prove critical (Mukamel & Fried, 2012; Parviz & Kastner, 2018), would allow us to guide and constrain models of tissue-mapped mechanistic language processing. More precise brain-to-model mappings would also allow us to test the effects of perturbations on models and compare them against perturbation effects in humans, as assessed with lesion studies or reversible stimulation. More broadly, anatomically and functionally precise models are a required software component of any form of brain-machine-interface.
Taken together, our findings suggest that predictive artificial neural networks serve as viable candidate hypotheses for how predictive language processing is implemented in human neural tissue. They lay a critical foundation for a promising research program synergizing high-performing mechanistic models of natural language processing with large-scale neural and behavioral measurements of human language comprehension in a virtuous cycle of integrative reverse-engineering: testing model ability to predict neural and behavioral brain measurements, dissecting the best-performing models to understand which components are critical for high brain predictivity, developing better models leveraging this knowledge, and collecting new data to challenge and constrain the future generations of neurally plausible models of language processing.
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Methods

1. Neural dataset 1: fMRI [Pereira2018]. We used the data from Pereira et al.’s (2018) Experiments 2 (n=9) and 3 (n=6) (10 unique participants). (The set of participants is not identical to Pereira et al., 2018: i) one participant (tested at Princeton) was excluded from both experiments here to keep the fMRI scanner the same across participants; and ii) two participants who were excluded from Experiment 2 in Pereira et al., 2018, based on the decoding results in Experiment 1 of that study were included here, to err on the conservative side.) Stimuli for Experiment 2 consisted of 384 sentences (96 text passages, four sentences each), and stimuli for Experiment 3 consisted of 243 sentences (72 text passages, 3 or 4 sentences each). The two sets of materials were constructed independently, and each spanned a broad range of content areas. Sentences were 7-18 words long in Experiment 2, and 5-20 words long in Experiment 3. The sentences were presented on the screen one at a time for 4s (followed by 4s of fixation, with additional 4s of fixation at the end of each passage), and each participant read each sentence three times, across independent scanning sessions (see Pereira et al., 2018 for details of experimental procedure and data acquisition).

Preprocessing and response estimation: Data preprocessing was carried out with SPM5 (using default parameters, unless specified otherwise) and supporting, custom MATLAB scripts. (Note that SPM was only used for preprocessing and basic modeling—aspects that have not changed much in later versions; for several datasets, we have directly compared the outputs of data preprocessed and modeled in SPM5 vs. SPM12, and the outputs were nearly identical.) Preprocessing included motion correction (realignment to the mean image of the first functional run using 2nd-degree b-spline interpolation), normalization (estimated for the mean image using trilinear interpolation), resampling into 2mm isotropic voxels, smoothing with a 4mm FWHM Gaussian filter and high-pass filtering at 200s. A standard mass univariate analysis was performed in SPM5 whereby a general linear model (GLM) estimated the response to each sentence in each run. These effects were modeled with a boxcar function convolved with the canonical Hemodynamic Response Function (HRF). The model also included first-order temporal derivatives of these effects (which were not used in the analyses), as well as nuisance regressors representing entire experimental runs and offline-estimated motion parameters.

Functional localization: Data analyses were performed on fMRI BOLD signals extracted from the bilateral fronto-temporal language network. This network was defined functionally in each participant using a well-validated language localizer task (Fedorenko et al., 2010), where participants read sentences vs. lists of nonwords. This contrast targets brain areas that support ‘high-level’ linguistic processing, past the perceptual (auditory/visual) analysis. Brain regions that this localizer identifies are robust to modality of presentation (e.g., Fedorenko et al., 2010; Scott et al., 2017), as well as materials and task (Diachek et al., 2020). Further, these regions have been shown to exhibit strong sensitivity to both lexico-semantic processing (understanding individual word meanings) and combinatorial, syntactic/semantic processing (putting words together into phrases and sentences) (Bautista & Wilson, 2016; I. Blank et al., 2016; I. A. Blank & Fedorenko, 2020; Fedorenko et al., 2010, 2012, 2016, 2020). Following prior work, we used group-constrained, participant-specific functional localization (Fedorenko et al., 2010). Namely, individual activation maps for the target contrast (here, sentences>nonwords) were combined with “constraints” in the form of spatial ‘masks’—corresponding to data-driven, large areas within which most participants in a large, independent sample show activation for the same contrast. The masks (available from https://evlab.mit.edu/funcloc/) and used in many prior studies e.g., Jouravlev et al., 2019; Diachek et al., 2020; Shain et al., 2020) included six regions in each hemisphere: three in the frontal cortex (two in the inferior frontal gyrus, including its orbital portion: IFGorb, IFG; and one in the middle frontal gyrus: MFG), two in the anterior and posterior temporal cortex (AntTemp and PostTemp), and one in the angular gyrus (AngG). Within each mask, we selected 10% of most localizer-responsive voxels (voxels with the highest t-value for the localizer contrast) following the standard approach in prior work. This approach allows to pool data from the same functional regions across participants even when these regions do not align well spatially. Functional localization has been shown to be more sensitive and to have higher functional resolution (Nieto-Castanon & Fedorenko, 2012) than the traditional group-averaging approach (Holmes & Friston, 1998), which assumes voxel-wise correspondence across participants. This is to be expected given the well-established inter-individual differences in the mapping of function to anatomy, especially pronounced in the association cortex (e.g., Frost & Goebel, 2012; Tahmasebi et al., 2012; Vazquez-Rodriguez et al., 2019).

We constructed a stimulus-response matrix for each of the two experiments by i) averaging the BOLD responses to each sentence in each experiment across the three repetitions, resulting in 1 data point per sentence per language-responsive voxel of each participant, selected as described above (13,553 voxels total across the 10 participants; 1,355 average, ±6 std. deviations).
dev.), and ii) concatenating all sentences (384 in Experiment 2 and 243 in Experiment 3), yielding a 384x12,195 matrix for Experiment 2, and a 243x8,121 matrix for Experiment 3.

To examine differences in neural predictivity between the language network and other parts of the brain, we additionally extracted fMRI BOLD signals from two other networks: the multiple demand (MD) network (Duncan, 2010; Fedorenko et al., 2013) and the default mode network (DMN) (Buckner et al., 2008; Buckner & D'Nicola, 2019). These networks were also defined functionally using well-validated localizer contrasts (Fedorenko et al., 2013; Mineroff et al., 2018) using a similar procedure as the one used for defining the language network: combining a set of ‘masks’ with individual activation maps, and selecting top 10% of most localizer-responsive voxels within each mask. Both networks were defined using a spatial working memory task (Fedorenko et al., 2011, 2013). For the MD network, we used the hard>easy contrast, and for the DMN network, we used the fixation>hard contrast. As for the language network, the MD and DMN masks were derived from large sets of participants for those contrasts, and are also available at https://evlab.mit.edu/funcloc/. The MD network and the DMN included 29,936 (2,994±230) and 10,978 (1,098±7) voxels, respectively.

2. Neural dataset 2: ECoG (Fedorenko et al., 2016). We used the data from Fedorenko et al.’s (2016) study (n=5). The set of participants includes one participant, S2, who was excluded from the main analyses in Fedorenko et al., 2016 due to a small number of electrodes of interest; because we here used only language-responsiveness as the criterion for electrode selection, this participant had enough electrodes to be included.) Stimuli consisted of 80 hand-constructed 8-word long semantically and syntactically diverse sentences and 80 lists of nonwords (as well as some other stimuli not used in the current study). For the critical analyses, we selected a set of 52 sentences that were presented to all participants. The materials were presented visually one word at a time (for 450 or 700 ms), and participants performed a memory probe task after each stimulus (see Fedorenko et al., 2016 for details of the experimental procedure and data acquisition).

Preprocessing and response estimation: We here provide only a brief summary, highlighting points of deviation from Fedorenko et al. (2016). The total numbers of implanted electrodes were 120, 128, 112, 134, and 98 for the five participants, respectively. Signals were digitized at 1200 Hz. Similar to Fedorenko et al. (2016), i) the recordings were high-pass filtered with a cut off frequency of 0.5 Hz; ii) reference, ground, and electrodes with high noise levels were removed, leaving 117, 118, 92, 130, and 88 electrodes (for these analyses, we were more permissive with respect to noise levels compared to Fedorenko et al., 2016, to include as many electrodes in the analyses as possible; hence the numbers of analyzed electrodes are higher here than in the original study for 4 of the 5 participants); iii) spatially distributed noise common to all electrodes was removed using a common average reference spatial filter between electrodes with line noise smaller than a predefined threshold (electrodes connected to the same amplifier); and iv) a set of notch filters were used to remove the 60 Hz line noise and its harmonics. To extract the high gamma band activity—which has been shown to correspond to spiking neural activity in the vicinity of the electrodes (Buzsáki et al., 2012)—we used a gaussian filter bank with centers at 73, 79.5, 87.8, 96.9, 107, 118.1, 130.4, and 144 Hz, and standard deviations of 4.68, 4.92, 5.17, 5.43, 5.7, 5.99, 6.3, and 6.62 Hz, respectively. This approach differs from Fedorenko et al. (2016), where an IIR band-pass filter was used to select frequencies in the range of 70-170 Hz, and is likely more sensitive (Dichter et al. 2018). Finally, as in Fedorenko et al. (2016), the Hilbert transform was used to extract the analytic signal (Lawrence Marple, 1999) (except here, the average of the Hilbert signal across the eight filters was used as high-gamma signal), z-scored for each electrode with respect to the activity throughout the experiment, and the signal envelopes were downsamplied to 300 Hz for further analysis (we did not additionally low-pass filter at 100 Hz, as in Fedorenko et al., 2016).

Functional localization: Mirroring the fMRI approach, where we focused on language-responsive voxels, data analyses were performed on signals extracted from language-responsive electrodes. These electrodes were defined in each participant using the same localizer contrast as in the fMRI datasets. In particular, we examined electrodes in which the envelope of the high gamma signal was significantly higher (at p<.01) for trials of the sentence condition than the nonword-list condition (for details, see Fedorenko et al., 2016).

We constructed a stimulus-response matrix by i) averaging the z-scored high-gamma signal over the full presentation window of each word in each sentence, resulting in 8 data points per sentence per language-responsive electrode (97
electrodes total across the 5 participants; 47, 8, 9, 15, and 18 for participants S1 through S5, respectively), and ii) concatenating all words in all sentences (416 words across the 52 sentences), yielding a 416x97 matrix.

To examine differences in neural predictivity between language-responsive and other electrodes, we additionally extracted high gamma signals from a set of ‘stimulus-responsive’ electrodes. Stimulus-responsive electrodes were defined as electrodes in which the envelope of the high gamma signal for the sentence condition was significantly different (at p<0.05 by a paired-samples t-test) from the activity during the inter-trial fixation interval preceding the trial. This selection procedure resulted in 67, 35, 20, 29, and 26 electrodes. As expected, this set of electrodes included many of the language-responsive electrodes; for the analysis in SI-4, we exclude the language-responsive electrodes leaving 105 stimulus- (but not language-) responsive electrodes.

### 3. Neural dataset 3: fMRI (Blank2014)

We used the data from Blank et al. (2014) (n=5). (The set of participants includes 5 of the 10 participants in Blank et al., 2014, because we wanted each participant to have been exposed to the same materials and as many stories as possible; the 5 participants included here all heard eight stories.) Stimuli consisted of stories from the publicly available Natural Stories Corpus (Futrell et al., 2018). These stories, adapted from existing texts (fairy tales and short stories) were designed to be “deceptively naturalistic”: they contained an over-representation of rare words and syntactic constructions embedded in otherwise natural linguistic context. The stories were presented auditorily (each was ~5 min in duration), and following each story, participants answered 6 comprehension questions (see Blank et al., 2014 for details of the experimental procedure, data acquisition, and preprocessing).

**Functional localization:** As in the Pereira2018 dataset, data analyses were performed on fMRI BOLD signals extracted from the language network. From each language-responsive voxel of each participant, the BOLD time-series for each story was extracted. Across the eight stories, the BOLD time-series included 1,317 time-points (TRs, time of repetition; TR=2s and corresponds to the time it takes to acquire the full set of slices through the brain). To align the neuroimaging data with the story text, we first split the text into consecutive 2-second intervals (corresponding to the fMRI TRs) based on the auditory recording; if a word straddled boundaries of intervals, it was assigned to the 2s interval in which that spoken word ended. Each of the resulting intervals thus included a story “fragment”, which could be a full short sentence, part of a longer sentence, or a transition between the end of one sentence and the beginning of another. Due to the temporal resolution of the HRF, whose peak’s latency is 4-6 seconds, we assumed that each time-point in the BOLD signal represented activity elicited by the text fragment that occurred 4s (i.e., 2 TRs) earlier.

We constructed a stimulus-response matrix by i) averaging the BOLD signals corresponding to each TR in each story across the voxels within each ROI of each participant (averaging across the voxels within ROIs was done to increase the signal-to-noise ratio), resulting in 1 data point per TR per language-responsive ROI of each participant (60 ROIs total across the 5 participants), and ii) concatenating all story fragments (1,317 ‘stimuli’), yielding a 1,317x60 matrix.

### 4. Behavioral dataset: Self-paced reading (Futrell2018)

We used the data from Futrell et al. (2018) (n=179). (The set of participants excludes 1 participant for whom data exclusions—see below—left only 6 data points or fewer.) Stimuli consisted of ten stories from the Natural Stories Corpus (same materials as those used in Blank2014, plus two additional stories), and any given participant read between 5 and 10 stories. The stories were presented online (on Amazon’s Mechanical Turk platform) visually in a dashed moving window display—a standard approach in behavioral psycholinguistic research (Just et al., 1982). In this approach, participants press a button to reveal each consecutive word of the sentence or story; as they press the button again, the word they just saw gets converted to dashes again, and the next word is uncovered. The time between button presses provides an estimate of overall language comprehension difficulty, and has been shown to be robustly sensitive to both lexical and syntactic features of the stimuli (Grodner & Gibson, 2005; Smith & Levy, 2013, inter alia) (see Futrell et al., 2018 for details of the experimental procedure and data acquisition.) We followed data exclusion criteria in Futrell et al. (2018): for any given participant, we only included data for stories where they answered 5 or all 6 comprehension questions correctly, and we excluded reading times (RTs) that were shorter than 100 ms or longer than 3000 ms.
We constructed a stimulus-response matrix by i) obtaining the RTs for each word in each story for each participant (848,762 RTs total across the 179 participants; 338 average, ±173 std. dev.), and ii) concatenating all words in all sentences (10,256 words across 485 sentences), yielding a 10,256x179 matrix.

5. Computational models. We tested 43 language models that were selected to sample a broad range of computational designs across three major types of architecture: embeddings, recurrent architectures, and attention-based ‘transformer’ architectures. Here we provide a brief overview (see Table SI-10 for a summary of key features varying across the models).

GloVe (Pennington et al., 2014) is a word embedding model where embeddings are positioned based on co-occurrence in the Common Crawl corpus; ETM (Dieng et al., 2019, 20ng dataset) combines word embeddings with an embedding of each word’s assigned topic; and word2vec (Mikolov et al., 2013)—abbreviated as w2v—provides embeddings which are trained to guess a word based on its context. Im_1b (Jozefowicz et al., 2016) is a 2-layer long short-term memory (LSTM) model trained to predict the next word in the One Billion Word Benchmark (Chelba et al., 2014); and the skip-thoughts model (Kiros et al., 2015) is trained to reconstruct surrounding sentences in a passage. For all 38 transformer models (pretrained models from the HuggingFace library (Wolf et al., 2019)), we only evaluate the encoder and not the decoder; the encoders process long contexts (100s of words) with a deep neural network stack of multiple attention heads that operate in a feed-forward manner (except the Transformer-XL-wt103 and the two XLNet models, which use recurrent processing), and differ mostly in the choice of directionality, network architecture, and training corpora (Table SI-11). We highlight key features of different classes of transformer models (BERT, RoBERTa, XLM, XL-MoBERTa, Transformer-XL-wt103, XLNet, CTRL, T5, ALBERT, and GPT) in the order in which they appear in the bar-plots (e.g., Fig. 2a), except for the three ‘distilled’ models (Sanh et al., 2019), which we mention in the end. BERT transformers (Devlin et al., 2018) (n=4; bert-base-uncased, bert-base-multilingual-cased, bert-large-uncased, bert-large-uncased-whole-wordmasking) are optimized to train bidirectional representations taking into account context both to the left and right of a masked token. RoBERTa transformers (Liu et al., 2019) (n=2; roberta-base, roberta-large) as a variation of BERT improve training hyper-parameters such as masking tokens dynamically instead of always masking the same token. XLM models (Lample & Conneau, 2019) (n=7; xlm-mmlm-enfr-1024, xlm-clm-enfr-1024, xlm-mmlm-xnli15-1024, xlm-mmlm-100-1280, xlm-mmlm-en2048) learn cross-lingual models by predicting the next (“clm”) or a masked (“mlm”) token in a different language. XLM-RoBERTa (Conneau et al., 2019) (n=2; xlm-roberta-base, xlm-roberta-large) combines RoBERTa masking with cross-lingual training in XLM. Transformer-XL-wt103 (Dai et al., 2020) adds a recurrence mechanism to GPT (see below) and trains on the smaller WikiText-103 corpus. XLNet transformers (Yang et al., 2019) (n=2; xlnet-base-cased, xlnet-large-cased) permute tokens in a sentence to predict the next token. CTRL (Keskar et al., 2019) adds control codes to GPT (see below) which influence text generation in a specific style. T5 transformers (Raffel et al., 2019) (n=5; t5-small, t5-base, t5-large, t5-3b, t5-11b) train the same model across a range of tasks including the prediction of multiple corrupted tokens, GLUE (A. Wang, Singh, et al., 2019), and SuperGLUE (A. Wang, Pruksachatkun, et al., 2019) in a text-to-text manner where the task is provided as a text prefix. ALBERT transformers (Lan et al., 2019) (n=8; albert-base-v1, albert-large-v1, albert-xlarge-v1, albert-xxlarge-v1, albert-base-v2, albert-large-v2, albert-xlarge-v2, albert-xxlarge-v2) use parameter-sharing and model inter-sentence coherence. GPT transformers (n=5) are trained to predict the next token in a large dataset emphasizing document quality (openai gpt, Radford et al., 2018) on the Book Corpus dataset, gpt2, gpt2-medium, gpt2-large, and gpt2-xl (Radford et al., 2019) on WebText. Finally, distilled versions of models (Sanh et al., 2019) (n=3; distilbert-base-uncased, distilgpt2, distilroberta-base) train compressed models on a larger teacher network.

To retrieve model representations, we treated each model as an experimental participant (Figure 1) and ran the same experiment on it that was run on humans. Specifically, sentences were fed in sequentially into the model (for Pereira et al., 2018, Blank et al., and Furtell et al., 2018, sentences were grouped by passage / story to mimic the procedure with human participants).

For embedding and recurrent models, sentences were fed in word-by-word; for transformers, the context before (but not after) each word was also fed into the models due to their lack of memory; the length of the context was determined by the models’ architectures. For recurrent models, the memory was reset after each paragraph (Pereira et al., 2018), each sentence (Fedorenko et al., 2016), or each story (Blank et al., and Furtell et al., 2018).

After the processing of each word, we retrieved (“recorded”) model representations at every computational block (e.g., one LSTM cell or one Transformer encoder block). (Word-by-word processing increases computational cost but is necessary to avoid bidirectional models, like the BERT transformers, seeing the future.) When comparing against human recordings
spanning more than one word such as a sentence (Pereira2018) or story fragment (Blank2014), we aggregated model representations: for the embedding models, we used the mean of the word representations; for recurrent and transformer models, we used the representation of the last word since these models already aggregate representations of the preceding context, up to a maximum context length of 512 tokens.

6. Comparison of models to brain measurements. We treated the model representation at each layer separately and tested how well it could predict human recordings (for Pereira2018, we treated the two experiments separately, but averaged the results across experiments for all plots except Fig. 2c). To generate predictions, we used 80% of the stimuli (sentences in Pereira2018, words in Fedorenko2016 and Futrell2018, and story fragments in Blank2014; Fig. 1) to fit a linear regression from the corresponding 80% of model representations to the corresponding 80% of human recordings. We applied the regression on model representations of the held-out 20% of stimuli to generate model predictions, which we then compared against the held-out 20% of human recordings with a Pearson correlation. This process was repeated five times, leaving out different 20% of stimuli each time, and we computed the per-voxel/electrode/ROI mean predictivity across those five splits. We aggregated these per-voxel/electrode/ROI scores by taking the median of scores for each participant’s voxels/electrodes/ROIs and then computing the median and median absolute deviation (m.a.d.) across participants (over per-participant scores). Finally, this score was divided by the estimated ceiling value (see Estimation of ceiling below) to yield a final score in the range of [0, 1]. We report the results for the best-performing layer for each model (SI-12).

7. Estimation of ceiling. Due to intrinsic noise in biological measurements, we estimated a ceiling value to reflect how well the best possible model of an average human could perform. To do so, we first subsampled—for each dataset separately—the data with n recorded participants into all possible combinations of s participants for all s ∈ [2, n] (e.g. [2, 3, 4, 5] for Fedorenko2016 with n=5 participants). For each subsample s, we then designated a random participant as the target that we attempt to predict from the remaining s − 1 participants (e.g., predict 1 subject from 1 (other) subject, 1 from 2 subjects, ..., 1 from 4, to obtain a mean score for each voxel/electrode/ROI in that subsample. To extrapolate to infinitely many humans and thus to obtain the highest possible (most conservative) estimate, we fit the equation \( v = v_0 \times \left( 1 - e^{-\frac{x}{\tau_0}} \right) \) where x is each subsample’s number of participants, v is each subsample’s correlation score and \( v_0 \) and \( \tau_0 \) are the fitted parameters for asymptote and slope respectively. This fitting was performed for each voxel/electrode/ROI independently with 100 bootstraps each to estimate the variance where each bootstrap draws x and v with replacement. The final ceiling value was the median of the per-voxel/electrode/ROI ceilings \( v_0 \).

For Fedorenko2016, a ceiling was estimated for each electrode in each participant, so each electrode’s raw value was divided by its own ceiling value. Similarly, for Blank2014, a ceiling was estimated for each ROI in each participant, so each ROI’s raw value was divided by its own ceiling value. For Pereira2018, we treated the two experiments separately, focusing on the 5 participants that completed both experiments to obtain full overlap in the materials for each participant, and used 10 random sub-samples to keep the computational cost manageable. A ceiling was estimated for all voxels in the 5 participants who participated in both experiments. Each voxel’s raw predictivity value was divided by the average ceiling estimate (across all the voxels for which it was estimated). For Futrell2018, given the large number of participants and because most participants only had measurements for a subset of the stimuli, we did not hold out one participant but rather tested how well the mean RTs for one half of the participants predicted the RTs for the other half of participants. We further took 5 random subsamples at every 5 participants, starting from 1, and built 3 random split-halves, again to keep computational cost manageable. A ceiling was estimated for each participant, and each participant’s raw values were divided by this ceiling. (Note that this approach is even more conservative than the leave-one-out approach, because split-half correlations tend to be higher than one-vs.-rest, due to a reduction in noise when averaging (for each half).)

8. Language Modeling. To assess the models’ performance on the normative next-word-prediction task, we used a dataset of 720 Wikipedia articles, WikiText-2 (Merity et al., 2016), with 2M training, 218k validation, and 246k test tokens (words and word-parts). These tokens were processed by model-specific tokenization with a maximum vocabulary size of 250k, selected based on the tokens’ frequency in the model’s original training dataset, and split up into blocks of 32 tokens each (both the vocabulary size and the length of blocks were constrained by computational cost limitations). We sequentially
fed the tokens into models as explained in Computational Models and captured representations at each step from each model's final layer. To predict the next word, we fit a linear decoder from those representations to the next token over words in the vocabulary (n=50k), on the training tokens. This decoder is trained with a cross-entropy-loss \( L = -\sum_c t^c_i \log \left( \frac{e^{s^c_i}}{\sum_d e^{s^d_i}} \right) \) where \( t^c_i \) is the true label for class \( c \) and sample \( i \), and \( s^c_i \) is the predicted probability of that class; the linear weights are updated with AdamW and a learning rate of 5e-5 in batches of 4 blocks until convergence as defined on the validation set. Importantly, note that we only trained weights of a readout decoder, not the weights of models themselves, in order to maintain the same model representations that we used in model-to-brain and model-to-behavior comparisons. The final language modeling score is reported for each model as the perplexity, i.e. the exponent of the cross-entropy loss, on the held-out test set.

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Supplement

Figure S1: Ceiling estimates for neural and behavioral datasets. Due to intrinsic noise in biological measurements, we estimated a ceiling value to reflect how well the best possible model of an average human could perform, based on sub-samples of the total set of participants (see Methods). For each sub-sample, \( s - 1 \) participants are used to predict a held-out participant (except in Futrell2018, where this is done on split-halves, as described in the text). Each dot represents a correlation between the average of the \( s - 1 \) participants and the left-out participant for a random sub-sample of the number of participants \( s \) indicated on the x-axis. We then bootstrapped 100 random combinations of those dots to extrapolate (gray lines) the highest possible ceiling if we had an infinite number of participants at our disposal. The parameters of these bootstraps are then aggregated by taking the median to compute an overall estimated ceiling (dashed gray line with 95% CI in error-bars). We use this estimated ceiling to normalize model scores and here also report the number of participants at which the estimated ceiling would be met (which show that for Pereira2018 and Futrell2018, the number of participants we have is at and close to the asymptote value, respectively).

Figure S2: Scores generalize across metrics. Model scores on each dataset generalize across different choices of a similarity metric; here we plot the predictivity metric used in the manuscript on the x-axis against a model-to-brain similarity metric based on representational dissimilarity matrices (RDMs) between models and neural representations on the y-axis. Like in the predictivity metric, stimuli along with corresponding model activations and brain recordings were split 5-fold but we then only compared the respective test splits given that the RDM metric does not employ fitting. Specifically, we followed (Kriegeskorte, 2008) and computed the RDM for each model’s activations, and a separate RDM for each brain recording dataset, based on 1 minus the Pearson correlation coefficient between pairs of stimuli; then, we measured model-brain similarity via Spearman correlation across the two RDMs’ upper triangles. The RDM score for one model on one human dataset is then the mean over splits. We ran each model and compared resulting scores with the primarily used scores from the predictivity metric. Correlations for models’ scores between the predictivity and the RDM metrics are: Pereira2018 \( r = .57, p<0.0001 \); Fedorenko2016 \( r = .40, p<.01 \); Blank2014 \( r = .38, p<.05 \).
Figure S3: **Brain surface visualization of model predictivity scores.** Plots show surface projections of volumetric individual language-responsive functional ROIs in the left and right hemispheres (LH and RH) for five representative participants from Pereira2018. In each voxel of each fROI, we show a normalized predictivity value for two models that differ substantially in their ability to predict human data: GloVe (first two columns) and GPT2-xl (second two columns; for GPT2-xl, we show predictivity values from the overall best-performing layer, in line with how we report the results in the main text). (Note that the voxel locations are identical between GloVe and GPT2-xl, and are determined by an independent functional language localizer as described in the text; we here illustrate the differences in predictivity values, along with showing sample fROIs used in our analyses). Predictivity values were ceiling-normalized for each participant and each of 12 ROIs separately (a slight deviation from the approach in the main analysis, which was designed to control for between-region differences in reliability). The data were analyzed in the volume space and co-registered using SPM12 to Freesurfer’s standard brain CVS35 (combined volumetric and surface-based (CVS)) in the MNI152 space using nearest neighbor interpolation and no smoothing. The ceiled predictivity maps for the language localizer contrast (10% of most language-responsive voxels in each ‘mask’; Methods-1) were projected onto the cortical surface using mri_vol2surf in Freesurfer v6.0.0 with a projection fraction of 1. The surface projections were visualized on an inflated brain in the MNI152 space using the developer version of Freeview (assembly March 10th, 2020). The bar plots in the rightmost column show the normalized predictivity values per ROI (median across voxels) in the language network for GPT2-xl. Error bars denote m.a.d. across voxels. The distribution of predictivity values across the language-responsive voxels, and the similar predictivity magnitudes across the ROIs in the bar graphs, both suggest that the results (between-model differences in neural scores) are not driven by one particular region of the language network, but are similar across regions, and between the LH and RH components of the network (see also SI-4).
SI-4 – Language specificity

In the analyses reported in the manuscript, we focused on the language-responsive regions / electrodes. Here, for two datasets, we investigated the model-brain relationship outside the language network in order to assess the spatial specificity of our results, i.e., to test whether they obtain only, or more strongly, in the language network compared to other parts of the brain. For both datasets, we report analyses based on raw predictivity values, without normalizing by the estimated noise ceiling because the brain regions of the language network differ from other parts of the brain in how strongly their activity is tied to stimulus properties during comprehension (e.g., I. A. Blank & Fedorenko, 2017, 2020; Diachek et al., 2020; Shain et al., 2020; Wehbe et al., 2020). This variability is important to take into account when comparing between functionally different brain regions/electrodes because we are interested in how well the models explain linguistic-stimulus-related neural activity. When we normalize the neural responses of a non-language-responsive region/electrode using a language comprehension task, we’re effectively isolating whatever little stimulus-related activity this region/electrode may exhibit, putting them on “equal or similar footing with the language-responsive regions/electrodes. (For completeness and ease of comparison with the main analyses, we also report analyses based on normalized predictivity values.)

Fedorenko2016: The scores obtained from language-responsive electrodes were compared to those obtained from stimulus-responsive electrodes, excluding the language-responsive ones (see Methods–2), for all 43 models. The number of language-responsive electrodes across five participants was 97, and the number of stimulus-, but not language-, responsive electrodes across the participants was comparable (n=105). The analysis was identical to the main analysis (see Methods), besides omitting the ceiling normalization for the raw predictivity analyses. As described in Methods, normalization was performed for each electrode in each participant separately.

For raw predictivity, neural responses in the language-responsive electrodes were predicted 49.21% better on average across models than the non-language-responsive electrodes (independent-samples two-tailed t-test: t=3.4, p=0.001). (For normalized predictivity, neural responses in the language-responsive electrodes were predicted 59.26% better on average across models than the non-language-responsive electrodes (t=2.24, p=0.03).)

Pereira2018: The scores obtained from the language network were compared to those obtained from two control networks: the multiple demand (MD) network and the default mode network (DMN) (see Methods), for all 43 models. The number of voxels in the language network across participants was, on average, 1,355 (± 7 SD across participants), and the average number of voxels in the MD network and the DMN was comparable (MD: 2,994±230); DMN: 1,098±7). The analysis was identical to the main analysis (see Methods), besides omitting the ceiling normalization for the raw predictivity analyses. For the normalized predictivity analyses, the network predictivity values were normalized by their respective network ceiling values.

For raw predictivity, neural responses in the language network ROIs were predicted 16.96% better on average across models than the MD network ROIs (independent-samples two-tailed t-test: t=2.26, p=0.03) and numerically (14.33%) better than the DMN ROIs (t=1.78, p=0.08). (For normalized predictivity, neural responses in the language network ROIs were predicted numerically (6.47%) worse on average than the MD network ROIs (t=-0.92, p=0.36) and also numerically (1.05%) worse than the DMN ROIs (t=-0.31, p=0.76).)

These results suggest that—when allowing for inter-regional differences in the reliability of language-related responses—the model-to-brain relationship is stronger in the language-responsive regions/electrodes. However, we leave open the possibility that language models also explain neural responses outside the boundaries of the language network, perhaps because these models capture some parts of our general semantic knowledge, which is plausibly stored in a distributed fashion across the brain. For example, several earlier studies used simple embedding models to decode linguistic meaning from fMRI data (e.g., Wehbe et al., 2014; Huth et al., 2016; Anderson et al., 2017; Pereira et al., 2018) and reported reliable decoding not only within the language network, but also across other parts of association cortex. Given that we know that different large-scale cortical networks differ functionally in important ways (e.g., see Fedorenko & Blank, 2020, for a recent discussion of the language vs. MD networks), it will be important to investigate in future work the precise mapping between the language models’ representations and neural responses in these different functional networks.
SI-5 – Model performance on diverse language tasks vs. model-to-brain fit

To test whether the next-word prediction task is special in predicting model-to-brain fit, we used the Pereira2018 dataset to examine the relationship between the models’ performance on diverse language processing tasks from the General Language Understanding Evaluation (GLUE) benchmarks (Wang et al., 2018) and neural predictivity. We used a subset of the high-performing, transformer models (n=30 of the 38 where we could find published commitments of which features to use for GLUE). The GLUE benchmark encompasses nine tasks that can be classified into three categories: single-sentence judgment tasks (n=2), sentence-pair semantic similarity judgment tasks (n=3), and sentence-pair inference tasks (n=4). The two single-sentence tasks are both binary classification tasks: models are asked to determine whether a given sentence is grammatical or ungrammatical (Corpus of Linguistic Acceptability, CoLA (Warstadt et al., 2018)), or whether the sentiment of a sentence is positive or negative (Stanford Sentiment Treebank, SST-2 (Socher et al., 2013)). In the semantic similarity tasks, models are asked to assert or deny the semantic equivalence of question pairs (Quora Question Pairs, QQP (Chen et al., 2018)) or sentence pairs (Microsoft Research Paraphrase Corpus, MRPC (Dolan & Brockett, 2005)), or to judge the degree of semantic similarity between two sentences on a scale of 1-5 (Semantic Textual Similarity Benchmark, STS-B (Cer et al., 2017)). Lastly, the benchmark contains four inference tasks, of which we include three (following Devlin et al., 2018), we exclude the Winograd Natural Language Inference, WNLI task; see (12) in https://gluebenchmark.com/faq). In two of these tasks, models are asked to determine the entailment relationship between sentences in a pair using either tertiary classification: entailment, contradiction, neutral (Multi-Genre Natural Language Inference corpus, MNLI (Williams et al., 2018)), or binary classification: entailment or no entailment (Recognizing Textual Entailment, RTE (Dagan et al., 2006, Bar Haim et al., 2006, Giampiccolo et al., 2007, Bentivogli et al., 2009)). And in the third inference task, the Question Natural Language Inference, QNLI, task (Rajpurkar et al., 2016, White et al., 2017, Demszky et al., 2018), models are presented with question-answer pairs and asked to decide whether or not the answer-sentence contains the answer to the question.

In order to evaluate model performance on GLUE benchmark tasks, each GLUE dataset was first converted into a format that is compatible with transformer model input using functionality from the GLUE data processor provided by Huggingface transformers (https://huggingface.co/transformers/). In particular, each set of materials is represented as a matrix that includes the following dimensions: item (and sentence for multi-sentence materials) ID, ID for each individual word (with reference to the vocabulary used by the transformer models), the label (e.g., grammatical vs. ungrammatical), and the ‘attention mask’ which specifies which part(s) of the sentences the model should pay attention to (e.g., some ‘padding’ is commonly used to equalize the lengths of sentences/items to the target length of 128 tokens (again constrained by computational cost), and the attention mask is set to include only the actual words in the materials, and not the padding, and in some models to further constrain which parts of the input to attend to—e.g., in GPT2 models, the rightward context is ignored). Next, each GLUE dataset was then fed into each model to obtain a sequence of hidden states at the output of the last layer of the model. Following default settings from Huggingface transformers, from these hidden states, we then extracted the token of interest: for bidirectional models such as BERT, this was the first input token—a special token ([cls]) that is appended to each item and designed for sequence classification tasks, and for unidirectional models such as GPT-2, XLNet or CTRL, this token corresponded to the last attended token (e.g., the last word/word-part in the sentence). In order to ensure a fair comparison between the models and to avoid the skewing of representations by individual task pre-training, dense linear pooling projection layers (specific to some transformer) are disregarded. Finally, we fit a linear decoder from the features of the extracted tokens of interest to the task label(s). For tasks with two or more labels, a cross-entropy loss function is used; for the task that uses a rating scale, the decoder is trained with a mean-square error (MSE) loss function. Similar to the next-word prediction task, the linear weights are updated with the AdamW optimizer and a learning rate of 5e-5 in batches of 8 blocks until convergence as defined on the validation set. Importantly, and also similar to the next-word-prediction task, we only trained weights of a readout decoder, not the weights of models themselves, in order to maintain the same model representations that we used in model-to-brain and model-to-behavior comparisons. To account for potential bias in the GLUE datasets, multiple metrics within tasks, as well as different metrics across tasks are reported in the GLUE benchmark. Following standards in the field, we report the final task score as accuracy for SST-2, QQP, MRPC,
MNLI, RTE, and QNLI, Matthew’s Correlation for CoLA, and Pearson correlation for STS-B. The results are shown in Fig. S5. None of the tasks significantly predicted neural scores, suggesting that next-word prediction may be special in its ability to predict brain-like processing.

Figure S5: Performance on next-word prediction selectively predicts model-to-brain fit. Performance on GLUE tasks was evaluated as described in SI-5. Only the next-word prediction correlations but none of the GLUE correlations were significant.
Figure S6: Models’ neural predictivity for each dataset is correlated with behavioral predictivity. In Fig. 4b, we showed that the models’ neural predictivity (averaged across the three neural datasets: Pereira2018, Fedorenko2016, Blank2014) correlates with behavioral predictivity. Here, we show that this relationship also holds for each neural dataset individually: Pereira2018: $p<0.0001$, Fedorenko2016: $p<0.01$, Blank2014: $p<0.01$.

Figure S7: Model architecture alone already yields predictive representations and untrained performance predicts trained performance. In Fig. 5, we showed that untrained models already achieve robust brain predictivity (averaged across the three neural and one behavioral datasets). Here, we show that this relationship also holds for each dataset individually: Pereira2018: $p<<0.00001$, Fedorenko2016: $p<0.005$, Blank2014: $p<0.00001$, Futrell2018: $p<<0.00001$. 
SI-9 – Effects of model architecture and training on neural and behavioral scores

The 43 language models included in the current study span three major types of architecture: embedding models, recurrent models, and attention-based transformer architectures. However, in addition to this coarse distinction, the individual models vary widely in diverse architectural and training features. A rigorous examination of the effects of different model features on model-to-brain/behavior fit would require careful pairwise comparisons of minimally different models, which is not possible for ‘off-the-shelf’ models without extremely expensive re-training from scratch under many/all possible combinations of architecture, training diet, optimization objective, and other hyper-parameters. However, we here undertook a preliminary exploratory investigation. In particular, for a subset of model features (Table SI-9), we computed a Pearson correlation between the feature values and the averaged model score across all four datasets (3 neural, and 1 behavioral). We included five architectural features. Three features were continuous: i) number of hidden layers, which varied between 1 and 48 (mean 16.02, std. dev. 11.02); ii) number of features (units across considered layers), which varied between 300 and 78,400 (mean 20,971.26, std. dev. 18,362.91); and iii) the size of the embedding layer, which varied between 128 and 48,000 (mean 872.28, std. dev. 744.33). And the remaining two features were binary: iv) uni- vs. bi-directionality (32/43 models were bi-directional), and v) the presence of recurrence (5/43 models had recurrence). And we included two training-related features: i) training data size (in GB), which varied between 0.2 and 336 (mean 351.06 std. dev. 726.81); and ii) vocabulary size, which varied between 30,000 and 3,000,000 (mean 223,096.95 std. dev. 561,737.36). All training data numbers were taken from the original model papers, and if training data was specified in tokens, a conversion rate of 4 bytes per token was used. We further excluded the multilingual XLM and BERT models when examining the effect of training data size, because those numbers could not be confidently verified. For comparison, we also included performance on the next-word-prediction task that we examined in the main text.

The results are shown in Fig. S9. As expected—given the results reported in the main text for the individual datasets (Fig. 3, 4c)—next-word prediction performance robustly predicts model-to-brain/behavior fit ($r = 0.49$, p < 0.01). These results suggest that optimizing for predictive representations may be a critical shared feature of biological and artificial neural networks for language. How do architectural and training-related features compare to next-word-prediction task performance in their effect on neural/behavioral predictivity? Two architectural size features are most correlated with model performance: number of hidden layers ($r = 0.56$, p < 0.001), and number of features ($r = 0.68$, p << 0.0001). This is expected given that the most recent models with the highest performance on linguistic tasks are also the largest ones that researchers are able to run on modern hardware. The two training-related features—training data size and vocabulary...
size—are significantly negatively correlated with model performance. To rule out the possibility that the negative effect of training-related features is driven by models with relatively small training datasets and vocabulary size (e.g., ETM; Table S10) that have low brain/behavior predictivity, we ran an additional analysis considering only transformer models (n=38): even in these generally highly predictive models, more training data ($r = -0.29$, $p = 0.11$ [not plotted]) or larger vocabulary size ($r = -0.21$, $p = 0.25$ [not plotted]) do not appear to be beneficial, although the negative correlations are non-significant.

Does the collection of model designs investigated in this paper inform the hyperparameters that should be optimized for in any new model to achieve high predictivity? To provide a preliminary answer to this question, we performed an exploratory analysis in the form of stepwise forward model selection and examined (a) the most parsimonious model that explains the data, and (b) how much variance the selected features explain cumulatively (Fig. 59b). High overall explained variance indicates that the combination of features selected by the model is predictive of model performance, whereas low overall explained variance indicates that crucial predictive hyperparameters are still being neglected. In the forward regression analysis, we add predictors based on the highest $R^2$-adjusted value of the new model, as long as variance increases by adding a new factor. This analysis revealed that adding training dataset size and recurrence does not lead to variance increase. Significance markers indicate the p-value for significance of adding each term, and for each regression step we plot the added explained variance (in $R^2$-adjusted) of the variable chosen by the model. The overall cumulative $R^2$-adjusted value of the selected model is 0.822.

Figure 59: Effects of model architecture vs. training on neural and behavioral scores. a) We compared the effects on neural and behavioral scores (the averaged model score across all four datasets) of three kinds of features: (i) architectural properties, (ii) training-dependent variables, and, for comparison, (iii) performance on the next-word-prediction task examined in the main text (Fig. 3, 4c). b) Alternative combination of predictors with stepwise forward regression model. New predictors are added based on the highest $R^2$-adjusted value of the new model, as long as variance increases by adding a new factor (thus excluding training dataset size and recurrence). Significance markers indicate the p-value for significance of adding model terms. For each regression step, we plot the added explained variance (in $R^2$-adjusted) of the variable chosen by the model. The overall cumulative $R^2$-adjusted value of the selected model is 0.822. As in a), the preferred explanatory variable is the number of features. Stepwise forward regression based on significance leads to the same model-choice. Note that, as above, t5-11b is excluded for regression based on next-word-prediction, and multilingual models are excluded for regression on training size.
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Table S10: Overview of model designs.
Figure S1: Distribution of layer preference (best performing layer) per voxel for GPT2-xl for Pereira2018. A per-voxel per-participant raw predictivity value was obtained in the language network by computing the mean over cross-validation splits and experiments. For each voxel, the layer with the highest predictivity value was estimated as the “preferred” layer (argmax over layer scores). As in the main analyses, the voxels in the language network were included. Zero on the x-axis corresponds to the embedding layer of the model. The upper plot is averaged across all participants in Pereira2018 (n=10). The lower panel shows the participant-wise layer preference for five representative participants. Across participants, most voxels show the highest predictivity value for later layers of GPT2-xl. Within participants, the layer preference across voxels varies but is often clustered around particular layers. Investigations of how predictivity fluctuates across model layers, and/or between the language network and other parts of the brain, is left for future work.