Title: A new method for fMRI investigations of language: Defining ROIs functionally in individual subjects

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#### **Abstract**

Previous neuroimaging research has identified a number of brain regions sensitive to different aspects of linguistic processing, but precise functional characterization of these regions has proven challenging. We hypothesize that clearer functional specificity may emerge if candidate language-sensitive regions are identified functionally within each subject individually, a method that has revealed striking functional specificity in visual cortex but that has rarely been applied to neuroimaging studies of language. This method enables pooling of data from corresponding functional regions across subjects, rather than from corresponding locations in stereotaxic space (which may differ functionally because of the anatomical variability across subjects). However, it is far from obvious a priori that this method will work, as it requires that multiple stringent conditions be met. Specifically, candidate language-sensitive brain regions i) must be identifiable functionally within individual subjects in a short scan, ii) must be replicable within subjects and have clear correspondence across subjects, and iii) must manifest key signatures of language processing (e.g., a higher response to sentences than nonword strings, whether visual or auditory). We show here that this method does indeed work: we identify 13 candidate language-sensitive regions that meet these criteria, each present in at least 80 percent of subjects individually. The selectivity of these regions is stronger using our method than when standard group analyses are conducted on the same data, suggesting that the future application of this method may reveal clearer functional specificity than has been evident in prior neuroimaging research on language.

## Introduction

Three major questions drive research on the neural basis of language. First, what brain regions are involved? Second, are any of these regions specialized for particular aspects of linguistic processing (e.g., phonological, lexico-semantic, or structural processing)? Third, are any of these regions specific to language? Whereas previous neuroimaging research has identified a large number of brain regions sensitive to different aspects of language, precise functional characterization of these regions has proven challenging. Here we consider the possibility that a clearer picture of the functional organization of the language system may emerge if candidate language-sensitive regions were identified functionally within each subject individually.

Prior neuroimaging results do not consistently support functional specificity of brain regions implicated in language. For example, the triangular/opercular parts of the left inferior frontal gyrus (IFG) have been implicated in syntactic processing (e.g., Stromswold et al., 1996; Dapretto & Bookheimer, 1999; Ben-Schahar et al., 2003; Santi & Grodzinsky, 2007), but other studies have implicated these regions in lexico-semantic processing (e.g., Hagoort et al., 2004, in press; Rodd et al., 2005) and phonological processing (e.g., Blumstein et al., 2005; Myers et al., 2009). Similarly, anterior temporal regions have been implicated in storing amodal semantic representations (e.g., Patterson et al., 2007), but other studies have implicated these regions in structural processing (Noppeney & Price, 2004) or in constructing sentential meanings (Vandenberghe et al., 2002). Similar controversies surround the orbital portions of the left IFG, regions in the left superior and middle temporal gyri and other language-sensitive regions.

processes, such as music (e.g., Levitin & Menon, 2003), arithmetic processing (e.g., Dehaene et al., 1999), general working memory (e.g., Owen et al., 2005), and action representation (e.g., Fadiga et al., 2009). However, the lack of strong consistent evidence from neuroimaging for specificity within the language system, or between linguistic and nonlinguistic functions (see Blumstein, 2009, for a recent overview), appears to conflict with decades of research on patients with focal brain damage where numerous cases of highly selective linguistic deficits have been described (e.g., Coltheart & Caramazza, 2006), as well as with research using methodologies, such as event-related potentials (ERPs), where some functional dissociations – such as the dissociation between semantic and syntactic processes – are well established (e.g., Kutas & Hillyard, 1980; Kutas & Hilliard, 1983; Van Petten & Kutas, 1990; Osterhout & Holcomb, 1992; Friederici et al., 1993; Hagoort et al., 1993; see Kaan, 2009, for a recent review of the relevant literature; cf. Bornkessel-Schlesewsky & Schlesewsky, 2008, for a recent review reinterpretation of semantic/syntactic ERP components)<sup>2</sup>.

One possible reason why neuroimaging studies have found little consistent evidence for functional specificity of language-sensitive brain regions is that virtually all prior studies have relied on traditional group analyses which may underestimate specificity<sup>3</sup>. Functional regions of interest (fROIs) defined within individual subjects can reveal greater functional specificity by enabling us to pool data from corresponding functional regions across subjects, rather than from corresponding locations in stereotaxic space that may differ functionally because of inter-subject anatomical variability. However, there is no guarantee that this method will work for language, as it requires (i) a robust localizer that reliably selects the same regions in a short functional scan for each subject, (ii) a

principled method for deciding which functionally activated regions correspond across subjects, (iii) replicability of response in these regions within and between subject groups, and (iv) a demonstration that these regions exhibit functional properties characteristic of language regions: strong selectivity for linguistic materials independent of presentation modality. Here we develop a localizer that meets all these criteria.

## **Materials and Methods**

In three experiments, we present a functional localizer for regions sensitive to word- and sentence-level meaning and we test the robustness and reliability of this localizer. In deciding on a localizer task for high-level cognitive domains like language, there is a trade-off between tasks that produce sufficiently robust activations to be detectable in individual participants, and tasks that are relatively selective in targeting a particular cognitive process or type of representation. Language tasks that have been previously shown to produce robust activations in individual participants – used primarily in the clinical literature (cf. Pinel et al., 2007) in the attempt to develop a way to pre-operatively localize language-sensitive cortex (e.g., Bookheimer et al., 1997; Xiong et al., 2000; Ramsey et al., 2001; Petrovich Brennan et al., 2007) – have typically involved large contrasts (e.g., reading short texts / generating verbs in response to pictures of objects / covert naming of pictures vs. looking at a fixation cross). Although these contrasts do produce robust activations and likely include many of the language-sensitive regions, they also plausibly include brain regions supporting non-linguistic processing (see Fedorenko & Kanwisher, 2009, for further discussion). As a result, we decided to focus on a more selective contrast aimed at targeting regions sensitive to word- and sentencelevel meaning. In particular, our main contrast is between sentences and lists of pronounceable nonwords.

This and similar contrasts have been used in many previous studies (e.g., Petersen et al., 1990; Mazoyer et al., 1993; Hagoort et al., 1999; Friederici et al., 2000; Indefrey et al., 2001; Vandenberghe et al., 2002; Heim et al., 2005; Cutting et al., 2006; Humphries et al., 2006, 2007). The goal of the current work is not to demonstrate that some brain regions respond more strongly to sentences than to nonwords (we *expect* some regions to show this response profile based on previous research), but rather to use this contrast to identify a set of brain regions engaged in word- and/or sentence-level processing in individual brains. This ability to identify language-sensitive regions in individual brains enables subsequent investigations of these regions. In particular, once a robust localizer has been developed that reliably identifies a set of language-sensitive regions in individual subjects in a tractably short scan, the functional profiles of these regions can be investigated in detail by examining these regions' responses to various linguistic and non-linguistic stimuli. The ultimate goal is to understand the nature of the computations carried out by each of these regions.

It is worth noting that there have been a few previous attempts to (a) define language-sensitive regions functionally using the group data (e.g., Kuperberg et al., 2003), as well as (b) use individual subjects' activation maps in investigations of the neural basis of language (e.g., Neville et al., 1998; Ben-Shachar et al., 2004; January et al., 2009; Pinel et al., 2007, 2009). Group-based ROI-level analyses suffer from similar issues as group-based voxel-level analyses, because inter-subject variability in the locations of activations is likely to lead to averaging across active and non-active voxels for each subject within

the ROI(s), thereby resulting in decreased sensitivity and selectivity (see the Results section, and also Fedorenko, Nieto-Castañon & Kanwisher, unpublished observations, for some evidence; see Nieto-Castañon, Fedorenko & Kanwisher, unpublished observations, for a detailed discussion). Furthermore, none of these studies validated a localizer task independently prior to applying it to the critical question of interest. Instead, typically, all or a subset of experimental conditions were used to restrict the selection of voxels for analysis using either the group data (e.g., Kuperberg et al., 2003) or individual subjects' activation maps (e.g., Ben-Shachar et al., 2004; January et al., 2009). (The only exception, to the best of our knowledge, is the work of Pinel et al. (2007) who provide some information on the reliability of a language localizer contrast. However, the goal of the research described in Pinel et al.'s paper is quite different from that of the current work. In particular, our goal is to find a way to reliably identify language-sensitive regions (supporting high-level linguistic processes) at the individual-subject level, so that each of these regions can then be carefully characterized in terms of its functional response profile in future studies. To achieve this goal, we are trying to circumvent intersubject variability by defining the ROIs in each subject individually, and then averaging the responses from the corresponding functional regions across subjects. In contrast, the goal of Pinel et al.'s work is to explore the inter-subject variability in functional activations by relating it to behavioral and genetic characteristics of the subjects.)

There are two potential problems with these earlier attempts to use individual subjects' data. First, using the same data for defining the regions of interest and for extracting the responses will bias the results (e.g., Kriegeskorte et al., 2009; Vul & Kanwisher, 2009). And second, it is difficult to interpret the responses of the ROIs to the

critical conditions of interest because of the lack of an independent validation of the functional localizer contrasts (e.g., showing that the contrast picks out the same regions reliably within and across subjects and that regions selected by the relevant contrast exhibit some key properties of high-level language-sensitive regions). For the individual-subjects functional localization approach to be effective, what is needed is not ad hoc localizers that are different for each study, but a standardized one (or several standardized ones targeting different aspects of language) that has been shown to be effective (see also Section 4 of the Results section and the Discussion). This is exactly what we are trying to establish in the current work.

The three experiments reported here are similar in their design. Therefore, we will discuss them jointly throughout the <u>Materials and Methods</u> and the <u>Results</u> sections.

## **Design (Experiments 1-3)**

All three experiments include four conditions in a blocked design: (1) sentences, (2) word lists (scrambled sentences), (3) jabberwocky sentences (sentences where all the content words are replaced by pronounceable nonwords, like, for example, "florped" or "blay"), and (4) nonword lists (scrambled jabberwocky sentences)<sup>4</sup>. The sentences and the nonwords conditions are our critical conditions that are used as the localizer contrast. We will therefore focus on these conditions throughout the manuscript. We reasoned that this contrast would be likely to produce sufficiently robust activations to be detectable in individual participants (see e.g., Schosser et al., 1998, for a similar contrast eliciting activations in individual participants; see also Appendix D for a brief discussion of why this, and not one of the functionally narrower contrasts, was chosen). We included the

two additional conditions (words and jabberwocky) in the preliminary attempt to investigate whether any of the regions we identify specialize for, or at least are more strongly engaged in, lexical-level vs. structural aspects of language. We present data from these "intermediate" conditions in Appendix D, for completeness (see also Fedorenko et al., unpublished observations, for some additional results from these conditions), but we will not focus on these conditions, because the primary goal of this paper is to describe and validate our localizer task.

The *sentences condition* engages accessing meanings of individual words (lexical processing), and figuring out how the words relate to one another (structural processing), subsequently combining word-level meanings into larger, phrase-level meanings to derive the meaning of the entire sentence. The *nonwords condition* engages neither lexical, nor structural processing<sup>5</sup>. Rather it only involves accessing the phonological forms of nonwords and the function words. The contrast between sentences and nonwords is therefore aimed at identifying regions sensitive to word- and sentence-level meaning. Two sample items for each condition (from Experiment 2) are shown in (1). (See Appendix A for details on the process of constructing the materials used in Experiments 1-3, which ensured that low-level properties of the stimuli were matched across conditions; see Appendix D for sample items for the words and the jabberwocky conditions.)

#### (1) Sentences condition sample items:

THE DOG CHASED THE CAT ALL DAY LONG
THE CLOSEST PARKING SPOT IS THREE BLOCKS AWAY

Nonwords condition sample items:

# BOKER DESH HE THE DRILES LER CICE FRISTY'S CRON DACTOR DID MAMP FAMBED BLALK THE MALVITE

It is important to note that our main contrast (sentences minus pronounceable nonwords) should not include much phonological or morphological processing, as the relevant properties are matched across conditions (see Appendix A for details). This contrast is also not designed to target prosodic, pragmatic, or discourse-level processes. Therefore, although some of these processes may be included, the questions of the functional specificity of regions supporting these processes would have to be addressed by different functional localizers specifically targeting these other linguistic processes.

In Experiment 1, in an effort to simulate the most natural reading context, subjects were instructed to simply read each word/nonword silently as they appear on the screen, without making an overt response<sup>6</sup>. Experiment 2 was conducted in order to rule out a potential attentional confound in Experiment 1. In particular, the sentences condition may be more interesting/engaging than the nonwords condition, so some of the activations observed in Experiment 1 may be due to general cognitive alertness. In Experiment 2, we therefore included a memory task where participants were asked to decide whether a probe word/nonword – presented at the end of each trial – had appeared in the immediately preceding stimulus. Participants were instructed to press one of two buttons to respond. The difficulty of this memory-probe task is inversely related to the expected attentional engagement across conditions in the passive reading task: less meaningful stimuli are more difficult to encode and subsequently remember (e.g., Potter,

1984; Potter et al., 2008), and hence attentional confounds should run in the opposite direction of those in the passive reading task in Experiment 1. Finally, Experiment 3 was conducted in order to (a) assess the generalizability of the functional regions identified in Experiments 1-2 to a new group of participants, and (b) determine which of the regions identified in Experiments 1 and 2 are modality-independent. In particular, our localizer task is designed to target brain regions that support relatively high-level linguistic processes (lexical and structural processes). Therefore, these regions should show a similar response to linguistic stimuli regardless of whether they are presented visually or auditorily. To test the modality-independence of the regions identified in Experiments 1-2, in Experiment 3 participants were presented with both visual and auditory runs of the localizer task.

## **Participants (Experiments 1-3)**

37 right-handed participants (26 females) from MIT and the surrounding community were paid for their participation (12 participants (9 females) took part in Experiment 1; 13 (10 females) - in Experiment 2; and 12 (7 females) - in Experiment 3<sup>7</sup>). All were native speakers of English between the ages of 18 and 40, had normal or corrected-to-normal vision and were naïve as to the purposes of the study. All participants gave informed consent in accordance with the requirements of Internal Review Board at MIT.

#### **Scanning procedures (Experiments 1-3)**

Structural and functional data were collected on the whole-body 3 Tesla Siemens Trio scanner at the Athinoula A. Martinos Imaging Center at the McGovern Institute for Brain

Research at MIT. T1-weighted structural images were collected in 128 axial slices with 1.33 mm isotropic voxels (TR = 2000 ms, TE = 3.39 ms). Functional, blood oxygenation level dependent (BOLD), data were acquired in 3.1x3.1x4 mm voxels (TR = 2000 ms, TE = 30 ms), in 32 near-axial slices. The first four seconds of each run were excluded to allow for steady state magnetization.

The scanning session consisted of several (between 6 and 14) functional runs. The target length of each of the three experiments was 8 runs (several participants completed an unrelated experiment in the same session<sup>8</sup>). The order of conditions was counterbalanced such that each condition was (i) equally likely to appear in the earlier vs. later parts of each functional run, and (ii) was as likely to follow every other condition as it was to precede it. Experimental blocks were 24 sec long, with 5 stimuli (i.e. sentences / word strings / jabberwocky sentences / nonword strings) per block (4 stimuli per block were used in the auditory runs of Experiment 3), and fixation blocks were 24 sec long (Experiment 1) or 16 sec long (Experiments 2-3). Each participant saw between 24 and 32 blocks per condition in a scanning session. (See Appendix B for the details of the procedure and timing in Experiments 1-3.)

## General analysis procedures

MRI data were analyzed using SPM5 (http://www.fil.ion.ucl.ac.uk/spm) and custom software<sup>9</sup>. Each subject's data were motion corrected and then normalized onto a common brain space (the Montreal Neurological Institute, MNI template). Data were then smoothed using a 4 mm Gaussian filter, and high-pass filtered (at 200 s). Details of the analysis procedures will be discussed in the Results section.

## Results

As discussed above, we focus on our main contrast (sentences minus nonwords), which targets regions engaged in (a) retrieving the meanings of individual words (lexical processing), and (b) combining these meanings into more complex – phrase- and sentence-level – representations (structural processing) (see Appendix C for a summary of the behavioral results from Experiments 2 and 3; see Appendix D for a discussion of the other two conditions built into our design (words and jabberwocky)). Sections 1-3 test the feasibility of functionally localizing language-sensitive regions in individual subjects. In Section 4, we discuss some advantages of the individual-subjects functional localization approach over the traditional group analyses (including a direct comparison between the two methods), as well as potential limitations of this approach.

## 1. Activations in individual subjects

At the core of the approach to investigating the neural basis of language developed here is the ability to identify language-sensitive brain regions in individual subjects. We therefore begin by presenting sample activation maps from individual subjects in Experiments 1 and 2 (Figure 1). As can be seen from Figure 1, the contrast between

Sentences and nonwords produces robust and extensive activations in individual brains. Consistent with much of the previous literature examining activations in individual subjects in PET and fMRI studies (for the most part, for pre-operative localization purposes), these activations are quite variable, differing in their extent, strength and location (e.g., Herholz et al., 1996; Bookheimer et al., 1997; Xiong et al., 2000; Brannen et al., 2001; Ramsey et al., 2001; Rutten et al., 2002a,b; Fernandez et al., 2003; Stippich et al., 2003; Seghier et al., 2004; Harrington et al., 2006). Nevertheless, activations appear to land in similar locations across individual brains: for example, in every subject we can see some activations in the left superior and/or middle temporal and temporoparietal regions, as well as on the lateral surface of the left frontal cortex. Figure 2 further demonstrates that these activations can be obtained in a relatively short period of scanning (approximately 15-20 minutes) and are replicable within subjects across runs as evidenced by similar activation patterns observed for odd vs. even runs (see Section 3 for a quantitative evaluation of within-subject replicability).

The fact that activations look similar across Experiments 1 and 2 (Figure 1) demonstrates that our localizer contrast is robust to different tasks (passive reading in Experiment 1 vs. reading with a memory task in Experiment 2) and materials (as discussed in Appendix A, completely non-overlapping sets of stimulus materials were used in the two experiments). This generalizability is an important property of a functional localizer, as seen for example in localizers for high-level visual areas that are not dependent on particular sets of stimuli or tasks (Kanwisher et al., 1997; Berman et al., 2009).

Now that we have established that the sentences minus nonwords contrast produces

robust activations in individual brains in a tractably short period of scanning, we are faced with the challenge of deciding (a) what parts of an individual's activation reflect the activity of distinct regions, and (b) how these different parts of the activations correspond across subjects. The traditional method for defining subject-specific fROIs involves examining individual activation maps for the contrast of interest and using macro-anatomical landmarks to select the relevant set(s) of voxels (e.g., Kanwisher et al., 1997; Saxe & Kanwisher, 2003). This method has worked well in investigating highlevel visual regions in the ventral visual pathway (e.g., the fusiform face area, the parahippocampal place area, the extrastriate body area) and also regions implicated in social cognition (e.g., the right and left temporo-parietal junction, the precuneus, the medial prefrontal cortical regions) because these regions are typically located far enough from other regions activated by the target contrast to avoid confusion in defining the regions and in establishing correspondence across subjects. However, as can be seen from Figure 1, activations for our language contrast are quite distributed and extensive, such that it is difficult to decide on the borders between different parts of the activations as well as on what counts as the "same region" across different brains based on macroanatomy alone. So, a new solution was needed.

# 2. A new method for defining subject-specific functional regions of interest (fROIs): Group-constrained Subject-Specific (GcSS) fROIs

Because it is standardly assumed that the same brain regions perform the same cognitive functions across subjects, the process of defining subject-specific fROIs must take into account information about points of high inter-subject overlap. The traditional method

for identifying spatial overlap across subjects is a whole-brain random-effects group analysis. One possibility for defining *subject-specific* functional ROIs (proposed in Fedorenko & Kanwisher, 2009) may therefore involve intersecting the regions that emerge in the traditional group analysis with individual activation maps. We initially tried this method. However, intersecting the random-effects activation map (using data from Experiments 1 and 2) with the individual activation maps enabled us to capture only 34.8% of individual activations (presumably due to poor alignment of functional activations across brains due to inter-subject anatomical variability, which results in missing individual subjects' activations in cases where these activations land in *similar* but mostly non-overlapping anatomical locations). This seemed low, and so we sought an alternative solution.

The method that we have developed for defining subject-specific fROIs consists of four steps. In *step 1*, a set of individual activation maps for the localizer contrast (sentences minus nonwords, in our case) is overlaid on top of one another. For this step, we included participants from Experiments 1 and 2 (n=25). Because we wanted to later be able to examine the response profile of the resulting regions in an independent subset of the data (e.g., Kriegeskorte et al, 2009; Vul & Kanwisher, 2009), we excluded the first functional run in each subject in this step. Each individual subject's activation map was thresholded at p < .05, corrected for false discovery rate (FDR; Genovese et al., 2002) for the whole brain volume. The result of this step is a probabilistic overlap map (Figure 3), showing for each voxel the number of subjects who show a significant sentences > nonwords response at that voxel. Despite similar-looking activations across individual subjects (see Figure 1), the voxels with the highest inter-subject overlap anywhere in the

brain have significant activation in only 18 of 25 subjects (there are two voxels with this level of inter-subject overlap; both are located in the left temporal lobe and have the following coordinates: (1) -56 -44 2; and (2) -62 -42 2).

This probabilistic overlap map is similar to the random-effects map in that it contains information about points of high inter-subject overlap. However, it also contains information about the distribution of individual activations around these high overlap points. Additional advantages to using a probabilistic overlap map instead of a randomeffects map for the intersection with individual subjects' activation maps – worth keeping in mind as new functional localizers for language or other domains are developed – are as follows: (a) unlike random-effects maps which are highly dependent on the size of the sample, probabilistic overlap maps are relatively independent of the size of the sample, and (b) probabilistic overlap maps are less biased toward high-overlap voxels. However, we would like to stress that the use of a probabilistic overlap map is not the critical aspect of these analyses, and in some cases spatial constraints derived based on a random-effects map (or other kinds of spatial constraints, including anatomical partitions) may be perfectly suitable for constraining the selection of subject-specific voxels (see also discussion in Fedorenko et al., unpublished observations), as long as the partition is large enough to encompass the range of inter-subject variability (Nieto-Castañon et al., unpublished observations). Instead, the critical aspect of our analyses is the intersection with individual activation maps (step 4 below).

In *step* 2, the overlap map is divided into "group-level partitions" following the topographical information in the map, using an image segmentation algorithm (a watershed algorithm; Meyer, 1991). This algorithm finds local maxima and "grows"

regions around these maxima incorporating neighboring voxels, one voxel at a time, in decreasing order of voxel intensity (i.e. number of subjects showing activation at that voxel), and as long as all of the labeled neighbors of a given voxel have the same label. The result of step 2 is a set of 180 partitions<sup>10</sup>.

In *step 3*, we selected a small subset of these 180 group-level partitions to focus on. Because the overlap map includes voxels present in single subjects, the vast majority of the 180 partitions are small regions specific to individual subjects or small subsets of subjects. The scatter plot in Figure 4 illustrates the relationship between the size of the partitions and the number of subjects that have a non-zero intersection with them (a non-zero intersection here is defined as a subject having at least one above-threshold voxel within the borders of the partition). Because we want to focus on regions that are present in the majority of the subjects, we decided to focus on the subset of the partitions that have a non-zero intersection in at least 20 out of 25 (i.e. 80%) of the subjects<sup>11</sup>. There were 16 partitions that satisfied this criterion. The union of these 16 partitions captures a substantial proportion of individual subjects' activations (50%, on average; if we include partitions that overlap with at least 60% of the subjects (a total of 34 partitions), then 64% of individual subjects' activations are captured).

Finally, in *step 4* (the critical step), we defined fROIs in each individual subject by intersecting the chosen subset of group-level partitions with individual activation maps, i.e. the partitions were used to constrain the selection of subject-specific voxels. (No constraints, such as e.g., contiguity, were placed on the topography of subject-specific voxels within the boundaries of a partition in this step.) Again, we used activation maps for all but the first functional run, leaving out one run to be able to later examine response

profiles of these regions using independent data (Kriegeskorte et al, 2009; Vul & Kanwisher, 2009). Figure 5 shows the results of this intersection step for a subset of 7 sample subjects for two sample partitions: a region in the left inferior frontal gyrus (IFG) and a region in the left middle frontal gyrus (MFG).<sup>12</sup>

In the remainder of the paper, and in future work, we will use the following terminology introduced here: *group-level partitions* are spatial constraints derived based on the probabilistic overlap map (these are intersected with individual subjects' activation maps to define subject-specific fROIs); *GcSS (group-constrained subject-specific) fROIs* will be used to refer to the subsets of subject-specific voxels within the borders of each partition; *group-level fROIs* will be used to refer to fROIs defined based on the traditional group analysis maps (these will only be used in establishing that the new method is at least as good as the traditional methods for detecting functional specificity); and *fROIs* or simply *regions* will be used to refer to language-sensitive regions more abstractly, as in e.g., "the role of the left IFG region in syntactic processing".

## 3. Validating a subset of fROIs for further investigation

In order to establish that this set of brain regions is engaged in language processing, it is necessary to demonstrate that their response profile replicates within and between subject groups, and generalizes from visual to auditory presentation. We decided on the following four criteria that each fROI must satisfy in order to be considered a high-level linguistic region.

 The sentences minus nonwords contrast must be significant in the fROI in a subset of data that was not used to define the fROIs.

- 2. The sentences condition must be significantly higher in the fROI than the fixation baseline in a subset of data that was not used to define the fROIs.
- The sentences minus nonwords contrast must be significant in the fROI in a new group of subjects.
- 4. The sentences minus nonwords contrast must be significant in a new group of subjects in the auditory version of the localizer task.

The motivation for the first criterion is straightforward: we are only interested in regions that are replicable within an individual, i.e. that have a stable response profile over time. The current standard in the field for assessing the replicability of a region's response involves defining the region using a subset of the runs and then estimating the response for the conditions of interest in the remaining run(s) (e.g., Kriegeskorte et al, 2009; Vul & Kanwisher, 2009). The second criterion was included because even if a region shows a highly reliable difference between sentences and nonwords, but the sentences condition elicits a response that is not different from or is below the fixation baseline, it seems problematic to think of such a region as a high-level linguistic region. We therefore chose to focus on regions whose response to the sentences condition is reliably higher than the fixation baseline. The inclusion of the third criterion ensured that the regions we identified in Experiments 1 and 2 were not specific to the particular group of subjects tested in those experiments. Finally, the fourth criterion provides a test of an important property that high-level linguistic regions should have: modality-independence. In particular, because we are targeting relatively high-level aspects of linguistic processing (processing word- and sentence-level meaning), the modality of the input

(visual vs. auditory) should not much affect the response profiles of our regions (cf. Michael et al., 2001; Constable et al., 2004).

The results are summarized in Table 1. Three of the sixteen fROIs failed to satisfy at least one of the four criteria and will be excluded from further consideration. Ten of the sixteen fROIs satisfied all four criteria. Three additional fROIs satisfied three of the four criteria and showed a marginal effect in the fourth. Given that a conjunction of these four criteria is quite stringent, we will for now include these three ROIs.

Figures 6-7 show the group-level partitions corresponding to the thirteen fROIs that we selected based on our four criteria (for now, we will refer to them in terms of their approximate anatomical locations relative to macroanatomic landmarks). These regions include four regions in the left frontal lobe (two regions in the IFG, a region in the Middle Frontal Gyrus, and a region in the Superior Frontal Gyrus), five regions in the left temporal/parietal lobes (four regions spanning the temporal lobe, and a region in the Angular Gyrus), two regions in the right temporal lobe, and two cerebellar regions (the right and left cerebellar regions are not homologous; we have labeled them Right and Left Cerebellum for now, for simplicity). Figure 8 shows the responses of the GcSS fROIs (defined using these partitions) to sentences and nonwords (a) in an independent subset of the data in Experiments 1-2, (b) in the first visual run in Experiment 3, and (c) in the auditory runs in Experiment 3 (in Experiment 3 where each participant did several runs of the visual localizer task and several runs of the auditory localizer task, we used all but the first run of the visual data to define subject-specific fROIs and we then extracted the response from the first visual run and from all of the auditory runs).

As can be seen in Figure 8, every region shows a reliable difference between the

sentences and the nonwords conditions in both visual and auditory modalities. Although some regions show main effects of modality, such that the response is overall stronger to visually presented stimuli (e.g., left PostTemp, left AngG or right Cereb) or to auditorily presented stimuli (e.g., left AntTemp, left MidAntTemp or right MidAntTemp), we will not explore these modality effects further here, but this is something to keep in mind in future investigations as we gather additional information about the functional profiles of these regions.

It is also worth noting that several of the regions respond to nonwords significantly above baseline, suggesting that these regions may play some role in phonological processing. However, because the nonwords condition includes function words and some of the nonwords include English morphology, this response could also reflect morphological processing and/or processing meanings associated with function words. Future work will be necessary to evaluate the potential role of these regions in phonological and morphological processing.

In addition to the four tests above, we examined within-subject replicabilty in a different way: we used a multi-voxel pattern analysis (e.g., Haxby et al., 2001) on our 13 group-level partitions. In particular, for each subject (in Experiments 1-2), we split the data into odd-numbered and even-numbered runs and examined the correlations in the activation maps for the sentences minus nonwords contrast – across all voxels in each partition – for (i) odd- vs. even-numbered runs *within* each subject, vs. (ii) odd- vs. even-numbered runs *between* subjects (each subject's odd runs were compared to every other subject's even runs, and the average value was computed). In Figure 9 we present the average correlation values for each of our 13 partitions. Within-subject correlations were

highly significant (12/13 partitions were significant at p<.0001, the remaining partition was significant at p<.001; all p values were FDR-corrected, taking into account all 180 original partitions). Between-subject correlations were significant for all but one partition: 9/13 were significant at p<.0001, 3/13 were significant at p<.001. The remaining partition (left Cereb) was marginal at p=.055. Importantly, however, as Figure 9 clearly shows, the correlation values are significantly higher for within-subject comparisons (average r value across partitions: .52) than for between-subjects comparisons (average r value across partitions: .10; paired t-test p<.0001). These results demonstrate that voxels in each of the partitions show highly replicable responses within subjects. Furthermore, these results underscore the point about inter-subject variability in the precise location of functional activations within each partition. As discussed above, different subjects show different (sometimes non-overlapping) subsets of voxels in the sentences > nonwords contrast. As a result, even though a voxel may show a strong and stable effect in one subject, this same voxel may not show an effect in most of the other subjects. This plausibly leads to lower between-subjects correlation values, which are at the heart of the problem with standard group analyses.

# 4. Advantages and limitations of using subject-specific functional ROIs Advantages

There are several important advantages of the individual-subjects functional localization approach compared to the traditional random-effects group analyses. The most important advantage of this approach is that it allows identification and investigation of the corresponding functional regions across subjects, rather than the corresponding locations

in stereotaxic space that may differ functionally because of the anatomical variability across subjects. This ability to reliably and quickly identify a set of key language-sensitive regions in each individual brain enables future studies to investigate the *same functional regions*, allowing us to establish a cumulative research enterprise in our field, rather than producing dozens of distinct incommensurable findings. In traditional random-effects group analyses it is often difficult to relate sets of findings from different studies to one another because it is difficult to evaluate whether activations observed across different studies reflect activity of the "same" functional regions. As a result, new studies do not build upon older studies. The individual-subjects functional localization approach allows a systematic investigation of a set of language-sensitive regions across studies and across labs, leading to an accumulation of knowledge about functional profiles of these regions (see Fedorenko et al., unpublished observations, for additional discussion). Furthermore, results can be more easily replicated across studies, which should reduce the number of controversies currently present in the field.

Second, because the analyses are restricted to a small number of functionally-defined ROIs instead of tens of thousands of voxels, statistical power is much higher in this approach compared to the traditional group analyses (see Saxe et al., 2006, for additional discussion). This higher power allows investigation of potentially subtle aspects of functional profiles of the ROIs.

Third, the ability to identify language-sensitive regions in individual subjects enables investigation of small but scientifically interesting populations, where – in some cases – there may not be a sufficient number of subjects for a traditional group analysis.

Fourth, reliable localizers for key cognitive functions, such as high-level linguistic

processing, can be used in preoperative localization in an effort to minimize, and maybe eventually eliminate, the need for electrocortical stimulation mapping, which is highly invasive (e.g., Bookheimer, 1997).

Finally, let us briefly consider the two main alternatives to the individual-subjects functional localization approach. The first is the traditional group analysis approach (e.g., a random-effects analysis). As discussed above, one potential issue with the traditional group analyses is that they may miss some activations due to insufficient intersubject overlap at the voxel level even though most/all subjects may show activation in / around a particular anatomical location. Another potential problem is the risk of underestimating functional specificity because non-corresponding regions are pooled across subjects (see Nieto-Castañon et al., unpublished observations, for a detailed discussion). To illustrate this point, we performed a direct comparison of functional selectivity (the size of the sentences minus nonwords effect) between our GcSS fROIs and fROIs defined on the group data, i.e. by taking voxels that emerge in a randomeffects group analysis and that fall within the borders of our group-level partitions (critically, these group-level ROIs are identical across subjects). A priori, one could imagine that the selectivity of the random-effects group-level fROIs would be at least as high as that of GcSS fROIs, because the random-effects group-level fROIs include voxels that behave most consistently across participants and may therefore be most functionally selective. However, this is not what we find. As can be seen in Figure 10, we observe reliably higher selectivity for the subject-specific fROIs, compared to the group-level fROIs in every one of the thirteen regions (this is similar to the result reported for the selectivity of the fusiform face area defined in individual subjects vs. based on the group

data; Saxe et al., 2006).

The second alternative approach uses anatomical regions of interest, such as Amunts et al.'s (1999) probabilistic cytoarchitectonic maps (e.g., Binkofsky et al., 2000; Heim et al., 2005; Santi & Grodzinsky, 2007; Heim et al., 2009). Although these maps are valuable in bringing us one step closer to detecting structure beyond the level of macroanatomy in functional neuroimaging, it is important to keep in mind that, like the random-effects group analyses, these maps may also underestimate functional specificity due to variability in the locations of cytoarchitectonic zones across individual brains, especially in higher-order cortices (e.g., Fischl et al., 2008). (See Fedorenko et al., unpublished observations, for additional comparisons between subject-specific fROIs discussed in this paper and probabilistic cytoarchitectonic maps, as well as other kinds of group-level ROIs, demonstrating that GcSS fROIs exhibit overall stronger response to linguistic stimuli as well as higher functional selectivity.)

In summary, the individual-subjects functional localization approach has the potential to complement existing approaches and may reveal stronger functional specificity within the language system than has been evident in prior research.

## Limitations

Perhaps the most common criticism of the individual-subjects functional localization approach is that the localizer task might miss some key regions involved in the cognitive process of interest. Indeed, when dealing with complex high-level cognitive processes, it is difficult, perhaps impossible, to devise a localizer contrast that will capture all and only the brain regions supporting the cognitive process of interest (linguistic processing, in our

case). We have three responses to this potential concern. First, the localizer contrast presented in the current paper includes the "classical" (left frontal and left temporoparietal) language regions, as well as some additional regions commonly implicated in linguistic processing. We are therefore satisfied with the coverage of language-sensitive cortex that our localizer contrast provides. A detailed characterization of the functional profiles of each of these regions will be an important step in understanding how language is implemented in the brain, even if this set of regions does not include all of the brain regions involved in linguistic processing. Second, the individual-subjects functional localization approach should be supplemented by other analysis methods including whole-brain analyses of each subject and standard group analyses<sup>13</sup>. These other analysis methods may (a) reveal areas of activation outside the borders of the fROIs, as well as (b) pick up regularities in activation patterns at the level below the level of fROIs. When either outcome is found, new fROIs should be considered. And third, this concern should be ameliorated if the conclusions drawn from experiments relying on the fROI approach take into account the fact that the localizer task may not include all the brain regions involved in the cognitive process of interest. For example, if we observed that none of our thirteen language-sensitive ROIs responded to some non-language task x, we would not be able to argue that language and x do not share any brain machinery. Instead, what we would be able to conclude is that brain regions supporting the processing of wordand sentence-level meaning (i.e. regions that our localizer task targets) do not respond to x. (It is worth noting that this latter issue concerning data interpretation applies to traditional group analyses, as well.)

Another potential concern about the individual-subjects functional localization approach arises from the ambiguity of a response profile in which a fROI responds similarly to two conditions: X and Y. In particular, such a response profile could reflect (a) true multi-functionality, such that the fROI supports cognitive processes required for performing X and Y; (b) the response of two adjacent but functionally distinct subregions located within the fROI, such that one sub-region responds to condition X, and the other responds to condition  $Y^{14}$ ; or (c) the response of multiple small (potentially interleaved) functionally distinct sub-regions, such that some of these respond to condition X, and the rest respond to condition Y. If (b) is the case, then performing a whole-brain analysis on the same data should reveal non-homogeneous activation patterns within a fROI. This is one reason to always perform such an analysis even when the fROI approach is the primary approach. If (c) is the case, then we would not be able to distinguish this response from the response of a truly multi-functional region. However, the traditional methods would not fare better in this case. Multi-voxel pattern analysis (MVPA) methods (e.g., Haxby et al., 2001) are helpful in distinguishing true multi-functionality from the alternative whereby a region consists of multiple functionally-distinct sets of voxels. In particular, even if a region's mean response does not distinguish conditions X and Y, the responses across the whole set of voxels in the region may be different across the two conditions. Therefore, the traditional approaches as well as the fROI approach may sometimes be supplemented by MVPA (see Peelen & Downing, 2007, for a further discussion).

Yet another potential concern arises from the fact that when using the individualsubjects fROI approach we are not investigating the language system as a whole, but rather focusing on several of its component parts, studying each in isolation. However, we would argue that this is not a concern. By carefully characterizing each component of the system, we can eventually get a better handle on the system as whole. This is, in fact, an advantage over the traditional group averaging method where accumulating knowledge about the components of the language system has been difficult, because of the difficulty of determining whether some part of activation observed in one study is in the "same" functional region as activation observed in another study. Furthermore, using diffusion tensor imaging and resting state correlation methods, we can begin investigating the relationships among our language-sensitive regions.

Finally, the use of the individual-subjects fROI approach is sometimes seen as "giving up" on anatomy. This is not the case. Rather, because (a) the current neuroimaging tools are limited to detecting macroanatomic structures, (b) microanatomic regions vary in where they land relative to sulci and gyri across subjects (e.g., Brodmann, 1909; Zilles et al., 1997; Amunts et al., 1999; Amunts & Willmes, 2006), and (c) it is *micro*antomic rather than *macro*anatomic structures that have been shown to correspond to function in animal studies (e.g., Iwamura et al., 1983; Matelli et al., 1991; Rozzi et al., 2008), we resort to using functional localizers (combined with anatomical constraints) to identify corresponding functional units across subjects. We are keen to explore possible relationships between our functional regions and cyto-/myelo-architecture, but currently available methods do not allow such an exploration. Until this becomes possible, treating our functional regions as "natural kinds" – keeping an open mind for updating their definitions as we learn more about their functional properties – seems at least as

reasonable as (and perhaps more so than) assuming functional correspondence across subjects based on locations in stereotaxic space.

# Discussion

Encouraged by the well-documented and substantial advantages of the individual-subjects fROI method in studies of human visual cortex, we sought to test whether such a method might be possible for the domain of language. To be effective, this method requires (i) a robust localizer that reliably picks out the same regions in a short functional scan for each subject, (ii) a principled method for deciding which functionally activated regions have correspondence across subjects, (iii) replicability of response in these regions within and between subject groups, and (iv) a demonstration that these regions exhibit functional properties characteristic of language regions: strong selectivity for linguistic materials independent of modality of presentation. Here we demonstrate that such a method is indeed possible, by developing a functional localizer for language cortex that satisfies all four criteria.

Specifically, our localizer, which contrasts the response during reading of sentences versus reading of nonword strings, successfully identifies thirteen candidate language regions. Each is present in at least 80% of subjects, each is highly replicable within subjects, and each shows the expected functional profile of a high-level language region. Further, the specificity of these regions for language versus non-language stimuli found using our method far surpasses the specificity of functional responses evident when traditional group analyses are applied to the same data. Now that our method has been validated in the present study, it can be applied to the classic questions of the specificity

of language regions i) for particular aspects of language, and ii) for linguistic functions as opposed to non-linguistic ones.

Before concluding with a summary of the theoretical implications of this work, it is worth emphasizing that defining fROIs is an effort to carve nature at its joints, that is, to identify the fundamental components of a system so that each can be characterized independently. It would be unlikely if the fROIs described here were the best possible characterization of the components of the language system. More likely, future research will tell us that some of these fROIs should be abandoned, some should be split into multiple sub-regions, others should be combined, and yet other new ones (derived from new functional contrasts) should be added. We intend the use of language fROIs to be an organic, iterative process rather than a rigid and fixed one. On the other hand, to be most useful, some balance will have to be achieved between flexibility of fROI definition and consistency across studies and labs, as the latter is necessary if fROIs are to enable the accumulation of knowledge across studies.

From Gall, Flourens, and Broca, to Chomsky, Fodor, and Pinker, language has resided at the epicenter of the 200-year-old debate about whether the human mind and brain contain "modules" that are specialized for particular cognitive operations. The apparent evidence from brain-damaged patients for brain specializations for language has become clouded over the last ten years by a lack of parallel evidence from brain imaging. In a recent review of the brain basis of language, Blumstein (2010) argued that "certain areas of the brain that have been associated with language processing appear to be recruited across cognitive domains, suggesting that while language may be functionally special, it draws on at least some neural mechanisms and computational properties shared

across other cognitive domains." We have argued previously that this apparent lack of specificity in the brain imaging literature on language processing may arise in part from the nearly exclusive use of data analysis methods that are known to underestimate functional specificity (Fedorenko & Kanwisher, 2009). Here we provide a solution to this problem: a method for analyzing brain imaging data in language studies that does not suffer from the loss of resolution that is intrinsic in methods that rely on spatial overlap of activations across subjects.

To the extent that we find similar results with this new method to those reported in the previous literature (i.e. language-sensitive regions supporting different aspects of language and/or non-linguistic processes) we will be more confident that this multifunctionality is not a methodological artifact of averaging across adjacent functionally distinct regions across subjects. And to the extent that this method reveals a new picture in which at least some of the language-sensitive regions are highly specialized for a particular kind of linguistic computations, it stands a chance of reconciling the neuroimaging evidence with the evidence from neuropsychology, thereby leading to clearer answers to fundamental questions about the architecture of the language system and its relationship to other cognitive functions.

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# Figure legends

- Figure 1: Sample activations in the left hemispheres of individual subjects for the sentences > nonwords contrast (top panel sample subjects from Experiment 1; bottom panel sample subjects from Experiment 2). Threshold: FDR .05.
- Figure 2: Activations in two sample subjects (S02, S10) for all of the runs (left column; eight runs in S02, seven runs in S10), only the odd-numbered runs (middle column), and only the even-numbered runs (right column). [Four runs using the design of the experiments presented here take  $\sim$ 30-40 minutes; however, this is because these runs include four experimental conditions. With just two conditions (sentences and nonwords), which is all that is necessary for functionally defining language-sensitive ROIs, only two runs are required, which take  $\sim$ 15-20 minutes.]
- Figure 3: Probabilistic overlap map for subjects in Experiments 1 and 2 (n=25). Colors indicate the number of subjects showing significant activation for sentences > nonwords in each voxel (the maximum possible value of a voxel equals the number of subjects included in the map, i.e. 25).
- Figure 4: The relationship between the size of the group-level partitions (for all 180 partitions) and the number of subjects that have a non-zero intersection with the partition (i.e. at least one supra-threshold voxel within the borders of the partition). In dark grey are partitions that have a non-zero intersection with at least 80% of the subjects. In light grey are partitions that have a non-zero intersection with 60-79% of the subjects.
- Figure 5: Two sample fROIs (left IFG and left MFG) in seven sample subjects. The borders of the group-level partitions are shown in blue and the subject-specific activations are shown in red.
- Figure 6: Group-level partitions corresponding to the 13 key fROIs shown on a slice mosaic.
- Figure 7: Group-level partitions corresponding to the 13 key fROIs projected onto the brain surface.
- Figure 8: Responses of the 13 fROIs to the sentences and nonwords conditions (a) in an independent subset of the data in Experiments 1 and 2 (first two bars), (b) in the first visual run in Experiment 3 (second two bars), and (c) in the auditory runs in Experiment 3 (last two bars). Error bars represent standard errors of the mean.
- Figure 9: Correlations across the voxels in each of the 13 group-level partitions comparing odd- vs. even-numbered runs within subjects (black bars), and comparing odd- vs. even-numbered runs between subjects (grey bars). Error bars represent standard errors of the mean.

Figure 10: A comparison of the selectivity (the size of the sentences > nonwords effect) of GcSS fROIs vs. group-level fROIs (based on the random-effects group analysis of subjects in Experiments 1-2, n=25). Significance levels: left IFGorb <.05; left Cerebellum <.01; left AngG, left MFG, right Cerebellum, left SFG <.005; the rest of the regions <.001. Error bars represent standard errors of the mean.

Figure D1: Responses of the 13 fROIs to the sentences, words, jabberwocky and nonwords conditions in an independent subset of the data in Experiments 1 and 2. Error bars represent standard errors of the mean.

Figure D2: Results of the random-effects group analyses for four contrasts that involve the words and the jabberwocky conditions. The data come from Experiments 1 and 2 (n=25). The threshold is .001, uncorrected.

[Tables are submitted as a separate .doc file.]

# Appendix A

# Details of the materials used in Experiments 1-3.

All the materials are available from the authors upon request and will soon be made available from Fedorenko's website (http://web.mit.edu/evelina9/www/funcloc).

# **Experiment 1**

The materials were constructed in several steps:

- (1) Creating the sentences condition
- 180 twelve-word-long sentences were constructed using a a variety of syntactic structures and covering a wide range of topics.
  - (2) Creating the word-lists condition

The set of 180 sentences was divided into two sets (sentences 1 through 90, and sentences 91 through 180) and the words were scrambled across sentences within each set. Scrambling was done this way in order to separate the content words in the sentences and the words conditions as far from each other as possible: we used sentences 1-90 in the first half of the runs, and sentences 91-180 in the second half of the runs; and we used word-list strings created by scrambling sentences 1-90 in the second half of the runs, and word-list strings craeted by scrambling sentences 91-180 in the first half of the runs.

(3) Creating the jabberwocky condition

Each of the 180 sentences had content words (nouns, verbs, adjectives, adverbs, etc.) removed from it, leaving only the syntactic frame intact. The syntactic frame consisted of (a) function words (articles, auxiliaries, complementizers, conjunctions, prepositions, verb particles, pronouns, quantifiers (e.g., all, some), question words (e.g., how, what), etc.), and (b) functional morphemes (past-tense endings, gerund form endings, third person singular endings, plural endings, possessive endings, etc.). Then, the content words were syllabified to create a set of syllables that could be re-combined in new ways to create pronounceable nonwords. For syllables that formed real words of English, a single phoneme was replaced (respecting the phonotacic constraints of English) to turn the syllable into a nonword. The syllables were then recombined to create nonwords matched for length (in syllables) with the "corresponding" word (i.e. the word that would appear in the same syntactic frame in the sentences condition). (A post-hoc pairedsamples t-test revealed no significant difference between words and nonwords in length measured in the number of letters (p=.11).) This syllable-recombination procedure was used to minimize low-level differences in the phonological make-up of the words and nonwords (e.g., a post-hoc paired-samples t-test revealed no significant difference in letter bigram frequency (p=.29; bigram frequency estimates were obtained from the WordGen program (Duyck et al., 2004))). And finally, the nonwords were inserted into the syntactic frames.

We separated the sentences and the jabberwocky conditions as far from each other as possible: we used sentences 1-90 in the first half of the runs, and sentences 91-180 in the second half of the runs; and we used jabberwocky sentences 1-90 in the second half of the runs, and jabberwocky sentences 91-180 in the first half of the runs.

# (4) Creating the nonword-lists condition

The set of 180 jabbewocky sentences was divided into two sets (jabberwocky sentences 1 through 90, and jabberwocky sentences 91 through 180) and the nonwords were scrambled across sentences within each set, similar to the words condition.

# Experiment 2

For Experiment 2, a new (non-overlapping with Experiment 1) set of 160 sentences was created. Like in Experiment 1, these sentences used a variety of syntactic structures and covered a wide range of topics. In contrast to the mateirals used in Experiment 1, the sentences were eight words long and only included mono- and bi-syllabic words. This was done because some of the longer words and especially longer nonwords were difficult to read in the allotted time in Experiment 1 (350 ms) and we wanted to keep the time allocated to each word/nonword constant (rather than varying it depending on the length of the word/nonword). The process of constructing the word-lists, jabberwocky and nonword-lists conditions was similar to that used in Experiment 1.

As discussed in the Methods section, Experiment 2 included a memory task. In particular, participants were asked to decide whether a word/nonword – presented at the end of each string of words/nonwords – appeared in the immediately preceding string. Participants were instructed to press one of two buttons to respond. Memory probes were restricted to content words in the sentences and words conditions. Correponding nonwords were used in the jabberwocky and nonwords conditions. Memory probes required a yes-response on half of the trials, and a no-response on the other half of the trials. True memory probes were selected, such that a word/nonword appearing in different positions in the sentence (e.g., at the beginning of the sentence, in the middle of the sentence, or at the end of the sentence) was approximately equally likely to be tested. False memory probes were created by using words and pronounceable nonwords that did not appear in any of the materials in the experiment.

# **Experiment 3**

The materials from Experiment 2 were used (128 of the 160 sentences / word-lists / jabberwocky / nonword-lists; fewer materials were needed because four, not, five items constituted a block of the same length as the visual presentation). For the auditory runs, the materials were recorded by a native speaker using the Audacity software, freely available at <a href="http://audacity.sourceforge.net/">http://audacity.sourceforge.net/</a>. The speaker was instructed to produce the sentences and the jabberwocky sentences with a somewhat exaggerated prosody. These two conditions were recorded in parallel in order to make the prosodic contours across each pair of a regular sentence and a jabberwocky sentence as similar as possible. The speaker was further instructed to produce the words and the nonwords conditions in a way that would make them sound like a continuous stream of speech (rather than like a list of unconnected words). This was done in an effort to minimize the differences in prosody between the sentences and jabberwocky conditions on one hand, and the words and nonwords conditions on the other hand.

# Appendix B

# Details of the procedure and timing in Experiments 1-3.

### **Procedure**

For Experiments 1 and 2, and for the visual runs of Experiment 3, words/nonwords were presented in the center of the screen one at a time in all capital letters. No punctuation was included in the sentences and jabberwocky conditions, in order to minimize differences between the sentences and jabberwocky conditions on one hand, and the word-lists and nonword-lists conditions on the other.

# **Timing**

# **Experiment 1**

The timing was slightly adjusted after the first four participants based on the feedback from the participants. In particular, the per-word timing was changed from 250 ms to 350 ms. The two timing schemes are described below.

# Timing scheme with 250 ms per word

Each trial (each string of 12 words/nonwords) lasted 3000 ms (250 ms x 12). There were five strings in each block, with 600 ms fixation periods at the beginning of the block and between each pair of strings. Therefore, each block was 18 sec long. Each run consisted of 16 blocks, grouped into four sets of 4 blocks with 18 sec fixation periods at the beginning of the run and between each set of blocks. Therefore, each run was 378 sec (6 min 18 sec) long. There were eight runs in this experiment. The total duration of the experiment (including the anatomical run, setup and debriefing) was approximately 2 hours.

### Timing scheme with 350 ms per word

Each trial (each string of 12 words/nonwords) lasted 4200 ms (350 ms x 12). There were five strings in each block, with 600 ms fixation periods at the beginning of the block and between each pair of strings. Therefore, each block was 24 sec long. Each run consisted of 16 blocks, grouped into four sets of 4 blocks with 24 sec fixation periods at the beginning of the run and between each set of blocks. Therefore, each run was 504 sec (8 min 24 sec) long. There were eight runs in this experiment. The total duration of the experiment (including the anatomical run, set up and debriefing) was approximately 2 hours.

Eleven of the twelve participants completed all 8 runs. The remaining participant completed 7/8 runs.

### **Experiment 2**

Each trial lasted 4800 ms, which included (a) a string of 8 words/nonwords (350 ms x 8), (b) a 300 ms fixation, (c) a memory probe appearing on the screen for 350 ms, (d) a period of 1000 ms during which participants were instructed to press one of two buttons, and (e) a 350 ms fixation. Participants could respond any time after the memory probe appeared on the screen. There were five trials in each block. Therefore, each block was 24 sec long. Each run consisted of 16 blocks, grouped into four sets of 4 blocks with 16 sec fixation periods at the beginning of the run and between each set of blocks. Therefore, each run was 464 sec (7 min 44 sec) long. There were eight runs in this experiment. The total duration of the experiment (including the anatomical run, setup and debriefing) was approximately 2 hours.

Each participant completed between 6 and 8 runs. Ten of the thirteen participants completed an unrelated experiment in the same session (see footnote 8).

# **Experiment 3**

The timing for the visual runs of Experiment 3 was identical to that used in Experiment 2. For the auditory runs, each trial lasted 6000 ms, which included (a) a string of 8 words/nonwords (total duration varied between 3300 ms and 4300 ms), (b) a 100 ms beep tone indicating the end of the sentence, (c) a memory probe presented auditorily (maximum duration 1000 ms), (d) a period (lasting until the end of the trial) during which participants were instructed to press one of two buttons. Participants could respond any time after the onset of the memory probe. There were four trials in each block. Therefore, each block was 24 sec long. Each run consisted of 16 blocks, grouped into four sets of 4 blocks with 16 sec fixation periods at the beginning of the run and between each set of blocks. Therefore, each run was 464 sec (7 min 44 sec) long. There were eight runs in this experiment (four visual and four auditory). The total duration of the experiment (including the anatomical run, setup and debriefing) was approximately 2 hours.

Each participant, except for one, completed four visual and four auditory runs. The remaining participant completed 2 visual and 7 auditory runs.

# Appendix C

# A summary of the behavioral data from Experiments 2 and 3.

# **Experiment 2**

	Sentences	Words	Jabberwocky	Nonwords
Accuracy	98.4 (0.5)	91.8 (2.4)	88.0 (1.7)	85.5 (2.4)
Reaction time	716 (21)	750 (24)	788 (23)	795 (22)
(SE in parentheses)				

# **Experiment 3: visual runs**

	Sentences	Words	Jabberwocky	Nonwords
Accuracy	99.3 (0.4)	96.9 (0.8)	91.3 (1.2)	89.2 (1.4)
Reaction time	676 (23)	707 (23)	760 (25)	764 (25)

# **Experiment 3: auditory runs**

	Sentences	Words	Jabberwocky	Nonwords
Accuracy	98.0 (0.8)	93.3 (1.4)	89.4 (1.4)	82.9 (1.4)
Reaction time	1096 (36)	1138 (42)	1194 (39)	1211 (36)

# Appendix D

# Discussion of the words and jabberwocky conditions.

As discussed in the Methods section, in addition to the two main conditions (sentences and nonwords), two additional conditions were included in our experiments: words and jabberwocky. There were two reasons for including these conditions. First, we wanted to use these conditions to ask – very preliminarily – whether any of the regions identified with the sentences > nonwords contrast may specialize for, or at least be more strongly engaged in, lexical vs. structural processing. And second, we were considering using functionally narrower contrasts (such as words > nonwords or jabberwocky > nonwords) as additional localizer contrasts that may identify more functionally specialized brain regions. We now discuss the results relevant to each of these goals.

# Lexical versus structural processing in regions identified with our main localizer contrast

As discussed above, the *sentences condition* engages both lexical and structural processing, and the nonwords condition engages neither (cf. footnote 5). The words condition engages lexical processing, but not structural processing, because the individual lexical items can't be combined into more complex representations. The *jabberwocky condition* engages structural processing 15 – due to the presence of function words and functional morphemes distributed in a way allowed by the rules of English syntax – but not lexical processing, beyond perhaps the most rudimentary process of identifying whether a particular nonword denotes an entity, or describes an action or a property, which can be determined based on the syntactic environment a nonword appears in and, in some cases, based on the morphological properties of the nonword. Using this information, it may also be possible to assign thematic roles (e.g., agent or patient) to entity-denoting nonwords. (For example, in a jabberwocky string "the blay florped the plonty mogg", we can determine that "the blay" and "the mogg" refer to some entities, "florped" describes some action that "the blay" performed on "the mogg", and "plonty" denotes some property of "mogg".) Sample items for the words and jabberwocky conditions (from Experiment 2) are shown in (D1):

# (D1) Words condition sample items:

BECKY STOP HE THE LEAVES BED LIVE MAXIME'S SEEN ASLEEP DID FRED TURNED FLOCK THE MUSTARD

Jabberwocky condition sample items:

THE GOU TWUPED THE VAG ALL LUS RALL
THE HEAFEST DRODING DEAK IS RHAPH PHEMES AWAY

Because the sentences condition includes both lexical and structural processing, the higher response to this condition compared to the nonwords condition in our fROIs could be due to (a) lexical processing, (b) structural processing, or (c) a combination of both lexical and structural processing. By examining the response of our fROIs to the two

"intermediate" conditions (words and jabberwocky) we can therefore try to determine what factor is the primary contributor to the sentences minus nonwords effect. In particular, if the effect is primarily driven by the processing of word-level meanings, then the fROI should show a higher response to the sentences and words conditions (which include real content words of English which have lexical and referential semantics), compared to the jabberwocky and nonwords conditions. If, on the other hand, the effect is primarily driven by structural processing, then the fROI should show a higher response to the sentences and jabberwocky conditions (which include English syntax, such that the words/nonwords can be combined into more complex representations), compared to the words and nonwords conditions. If both factors contribute to the effect, then the two intermediate conditions should elicit similar responses, lower than that elicited by the sentences condition and higher than that elicited by the nonwords condition.

Figure D1 presents the responses of our GcSS fROIs to the four conditions of the experiment (based on data from Experiments 1 and 2). As in the analyses above, these responses are extracted from the first functional run of each subject, i.e. using data that were not used in defining the fROIs. The responses to the sentences and nonwords conditions are the same as the first two bars for each region in Figure 8.

To quantitatively evaluate the response profiles of our fROIs to the four conditions, we conducted a 2x2 ANOVA on each region, crossing the presence of lexico-semantic information (present, absent) with the presence of structural information (present, absent). In addition, we performed paired-samples t-tests on pairs of conditions. The results are summarized in Table D1. In most of the fROIs, the response to the two intermediate conditions falls in between the response to the sentences condition and the nonwords condition (surfacing as two main effects in the ANOVAs). This response profile is consistent with these regions being engaged in both processing word-level meanings and combining these meanings into more complex representations. However, it is also possible that this design / these materials are not ideally suited for uncovering a dissociation between the cognitive processes involved in lexical access vs. syntactic processing. In particular, the words condition may engage structural processing to some extent, because it contains function words which sometimes may result in structure fragments (e.g., "the leaves" or "seen asleep" in D1). It is also possible that the fact that inferring the basic semantic categories of nonwords in the jabberwocky condition (i.e. object, action, property) is sufficient to engage lexical processing to some extent. As a result, these two conditions may elicit a similar response across different languagesensitive regions, some of which may be specialized for lexico-semantic processing and others – for syntactic processing.

Although, for the reasons above, it is difficult to interpret the lack of a clear difference in response to the words vs. the jabberwocky conditions as indicating a lack of a dissociation between lexical and structural processing in these regions, these results are informative in at least one way. In particular, given that the sentences condition elicits a reliably higher response than either the words or the jabberwocky condition in most regions, it appears to be the case that unconnected lexical representations or the structural frame without the lexico-semantic content cannot elicit as strong a response in these

regions as that elicited by the sentences condition, which includes both lexical meanings and a structural frame that allows combining these lexical meanings into a more complex structural/meaning representation.

Future work will be necessary to answer the question of the relative specializations of our fROIs for particular aspects of linguistic processing definitively. For example, the role each of these regions plays in language processing may be illuminated by examining the response of our fROIs to parametric complexity manipulations involving different aspects of the linguistic signal (e.g., lexical complexity, syntactic complexity, discourse-level complexity, pragmatic complexity), or using naturalistic stimuli (e.g., Wilson et al., 2008; Yarkoni et al., 2008) in order to determine which fROIs are sensitive to different aspects of the signal unfolding over time.

# Using functionally narrower contrasts as additional localizer contrasts

In the early stages of our investigation, we observed that although individual subjects' activations (in whole-brain analyses) for the sentences > nonwords contrast were quite systematic across subjects, the activations for the narrower contrasts (words > nonwords, jabberwocky > nonwords), or for the two contrasts directly contrasting the two "intermediate" conditions (words > jabberwocky, and jabberwocky > words) were (a) substantially weaker, and (b) highly variable. Consistent with this observation at the level of individual subjects' data, the results of random-effects group analyses on these contrasts (Figure D2; data from Experiments 1 and 2, n=25) revealed little consistent activity. Although in the words > nonwords and the jabberwocky > nonwords contrasts, we do see some activations within the areas that are activated by our main (sentences > nonwords) contrast, these activations are gone when comparing the words and the jabberwocky directly. (Note that the jabberwocky > words contrast reveals some activations in the vicinity of the visual word-form area (e.g., Baker et al., 2007), perhaps because visual/orthographic processing is more effortful for unfamiliar pronounceable nonwords than for familiar words. However, because these regions do not respond to the sentences condition, they do not constitute high-level linguistic regions which we are focusing on here.) This is consistent with the response profiles of our fROIs (Figure D1) showing similar responses to the words and the jabberwocky conditions. This strengthens our belief that either our fROIs support both lexical and strutural processes, or these conditions are not well suited for dissociating between the two. Future work will tell.

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- It is worth noting, however, that low-spatial-resolution methods, like ERPs, are limited in their ability to provide conclusive answers with respect to the functional specificity of particular cortical regions, because similar components could be generated by distinct brain regions, and different components could be generated by the same brain region. Questions of whether a particular brain region is specialized for a particular kind of cognitive process are therefore best answered with high-spatial-resolution methods, such as functional MRI, TMS, intra-operative stimulation and, in some cases, lesion studies.
- <sup>3</sup> Of course, other factors plausibly contribute to the lack of a clearer picture in the neuroimaging studies of language. These factors include, among others, differences in the functional contrasts used to isolate different components of the language system, differences in the scanning procedures and in the analyses, and an almost non-existent practice of replicating findings within and across labs (see Fedorenko, Nieto-Castañon & Kanwisher, unpublished observations, for additional discussion).
- <sup>4</sup> The motivation for creating word-list and nonword-list conditions by scrambling the sentences and the jabberwocky conditions, respectively, was to minimize between-condition differences that were not intended to be a part of our manipulations. For example, omitting function words from the word-lists condition may have resulted in activation of some regions in the sentences > words contrast that are engaged in processing function words rather than in the more high-level structural and sentence-level meaning aspects of language. (See Appendix A for details on the process of constructing the materials used in Experiments 1-3.)
- <sup>5</sup> It is possible that the nonwords condition will engage lexical processing to *some* extent due to the presence of function words and due to the fact that some of the nonwords include English morphology. It is also possible that the nonwords condition will engage structural processing to *some* extent due to the fact that the function words and the nonwords may in some cases form part-structures. For example, a determiner "the" followed by a nonword like "malvite", as in the example in (1), may be construed as a noun phrase even if the surrounding syntactic context does not conform to the rules of the English syntax (as it does in the jabberwocky condition). However, critically, the sentences condition should engage both lexical and structural processing to a *much stronger* extent.
- <sup>6</sup> Based on the feedback from the first four participants who noted some difficulty in staying awake / alert with no task (other than the reading task), especially during the later runs, we asked each of the subsequent participants to press a button after each stimulus (sentence, sequence of words, jabberwocky sentence or sequence of nonwords), when a fixation cross briefly appeared on the screen.
- <sup>7</sup> Four additional subjects were scanned on Experiment 1: two were excluded due to their inability to stay in the scanner for the entire duration of the scanning session, and two due to excessive head motion. One additional subject was scanned on Experiment 2 and was excluded due to excessive head motion. Three additional subjects were scanned on Experiment 3: two were excluded due to excessive head motion, and one due to extreme sleepiness throughout the scanning session.
- <sup>8</sup> Ten of the thirteen participants in Experiment 2 completed an unrelated experiment in the same experimental session. Six of these completed this experiment after the localizer experiment, and four of these completed it before the localizer experiment. This unrelated experiment took approximately 30-40 minutes.
- <sup>9</sup> The custom scripts developed for the subject-specific analyses used here will soon be made publicly available from Fedorenko's website (web.mit.edu/evelina9/www/funcloc.html) in the form of an SPM toolbox, along with the actual localizer task and the group-level partitions discussed in the Results section. <sup>10</sup> To minimize the over-segmentation that could result from spurious local maxima, prior to applying the watershed algorithm, we smoothed the overlap map using a 6mm Gaussian kernel. This step reduced the number of partitions from 863 (without smoothing) to 180 (with prior 6mm Gaussian smoothing). In addition, the watershed algorithm was limited to voxels where the smoothed overlap values (a weighted-average of the overlap across neighboring voxels) was above or equal to one. From the original 137000

<sup>&</sup>lt;sup>1</sup> Some researchers have articulated proposals that aim to unify the seemingly discrepant findings by postulating subdivisions within the larger functional areas (e.g., Buckner et al., 1995; Fiez, 1997; Dapretto & Bookheimer, 1999; Heim et al., 2009), or by proposing broader functions for some brain regions (e.g., Hagoort et al.'s semantic unification proposal (Hagoort et al., 2009), or Thompson-Schill and colleagues' cognitive control proposal (Thompson-Schill, 2005; Novick et al., 2005; Snyder et al., 2007)).

voxels with at least one subject showing activation, 36323 voxels (27%) had smoothed overlap values below one (among these, 33267 voxels had one-subject, 2952 voxels had two-subject, and 104 voxels had three-subject unsmoothed overlap values). In addition, 11183 voxels (8%) were determined to lie at the border of two regions (watershed lines) and were subsequently not part of the final partitions (covering 89494 voxels).

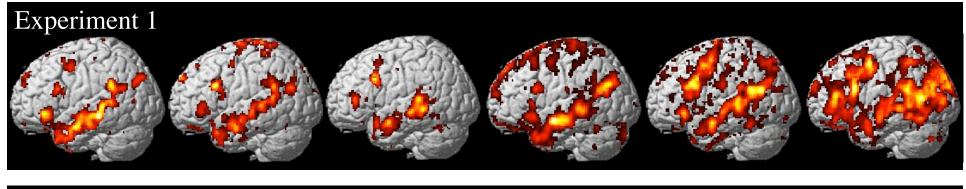
<sup>11</sup> Of course, this cutoff point is arbitrary. One could instead select a larger subset of partitions for further investigation which have a laxer intersection threshold. In fact, although in the current paper we will focus on the regions that have a non-zero intersection with at least 80% of the subjects, when we make the group-level partitions available (see footnote 9), we will provide information on an additional set of partitions that intersect with 60-79% of the subjects. Some of these partitions correspond to regions that have been previously implicated in some aspects of linguistic processing and it may be worthwhile to "track" some of these regions in future studies aimed at characterizing key language-sensitive regions in terms of their role in language processing as well as their specificity for language vs. other cognitive processes.

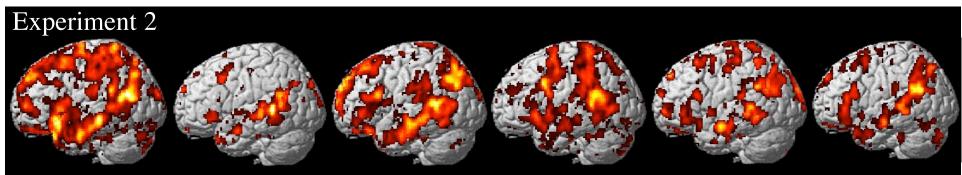
12 In order to evaluate the robustness of our group-level partitions with respect to which particular subset of the data is used in creating the probabilistic overlap map, we performed an additional analysis. In particular, for each subject, we created an additional set of first-level contrasts (e.g., for a subject with eight runs, contrasts were created for each of the eight runs, as well as for the subsets of runs orthogonal to each of these runs, i.e. runs 2-8 (orthogonal to run 1), runs 1,3-8 (orthogonal to run 2), etc.). (Note that this is a general case of what was done in the analyses reported in the current paper, where only one such division was used: run 1 vs. all but the first run.) Then, for each subject, we computed the average of the mask files associated with the orthogonal sets of runs. Finally, we overlapped the resulting images across subjects to create a new probabilistic overlap map, which was then divided into partitions using the same watershed algorithm. The partitions which showed high overlap with individual subjects' activation maps were very similar across the two analyses. For example, across our 13 key partitions, mean percentage voxel match – between the two sets – was 88% (standard error 1.9). This suggests that our group-level partitions are robust to which particular subset of the data is used in creating the overlap map.

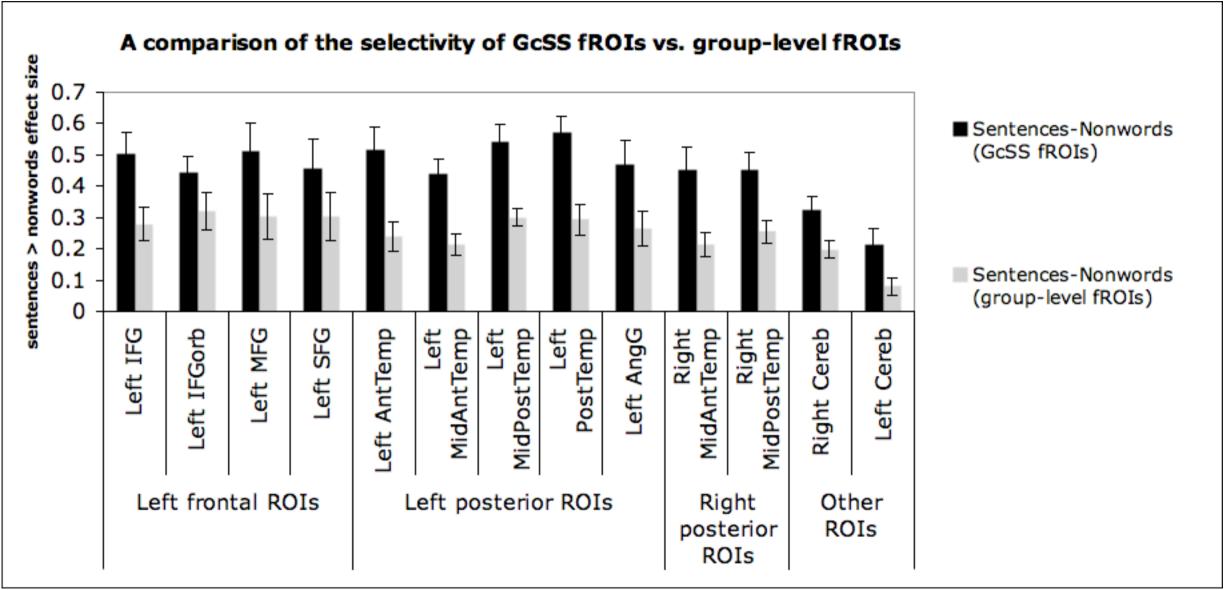
<sup>13</sup> There is sometimes a misconception that using the individual-subjects functional localization approach somehow prevents the use of the traditional analysis methods (e.g., Friston et al., 2006). However, there is no reason why group analyses and fROI analyses cannot be conducted on the same data. Indeed, this is generally advisable (Saxe et al., 2006).

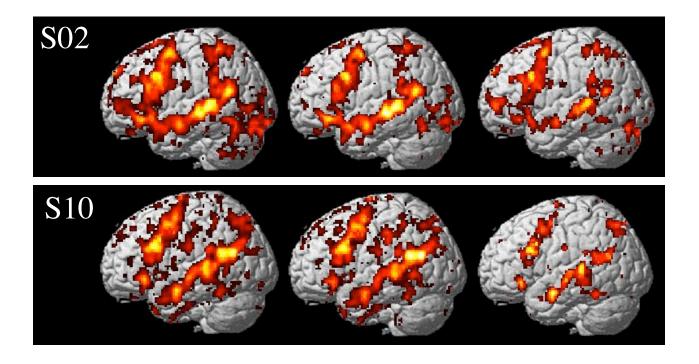
<sup>14</sup> It is worth noting that structural heterogeneity has been observed for many Brodmann areas, which are often assumed to constitute "natural kinds". This includes, for example, BAs 44 and 45 (see Amunts et al., 1999, for a systematic investigation and a detailed discussion). This raises similar concerns about adopting BAs as the functional units as those raised with respect to functional ROIs.

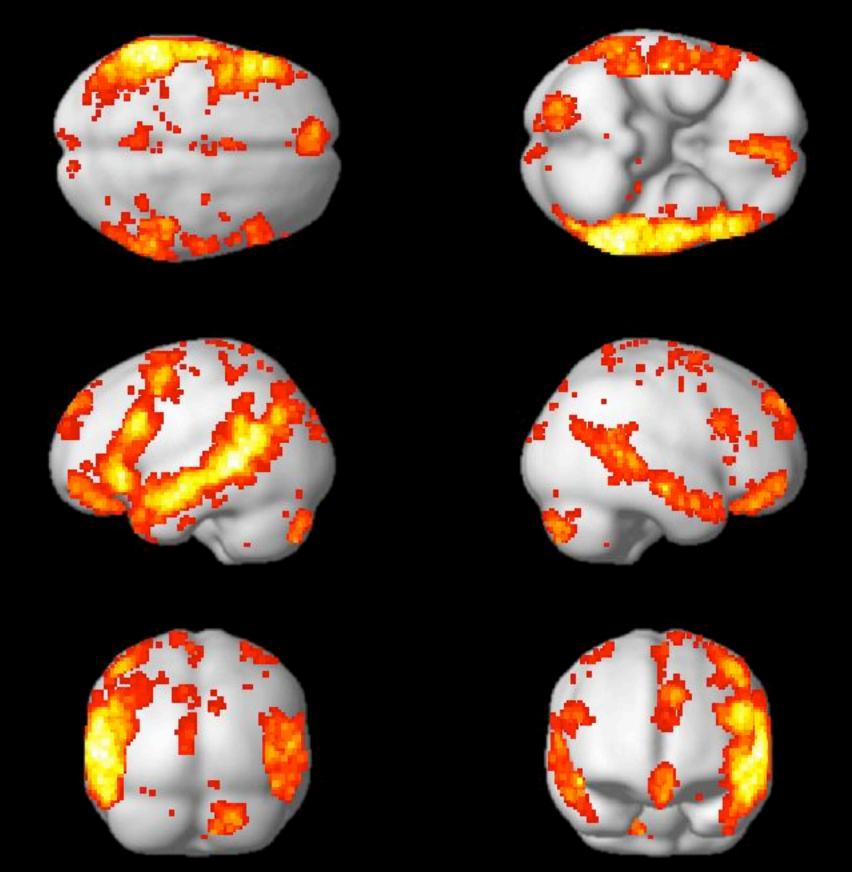
<sup>15</sup> It is worth noting that jabberwocky sentences (or "syntactic prose") have been commonly used in investigating aspects of structural processing in both fMRI and ERP studies (e.g., Friederici et al., 2000; Hahne & Jescheniak, 2001; Indefrey et al., 2001; Moro et al., 2001; Yamada & Neville, 2007). The fact that some of these studies have demonstrated similar structural effects for jabberwocky sentences as for sentences containing regular content words suggests that syntactic information is processed similarly in these two kinds of materials.



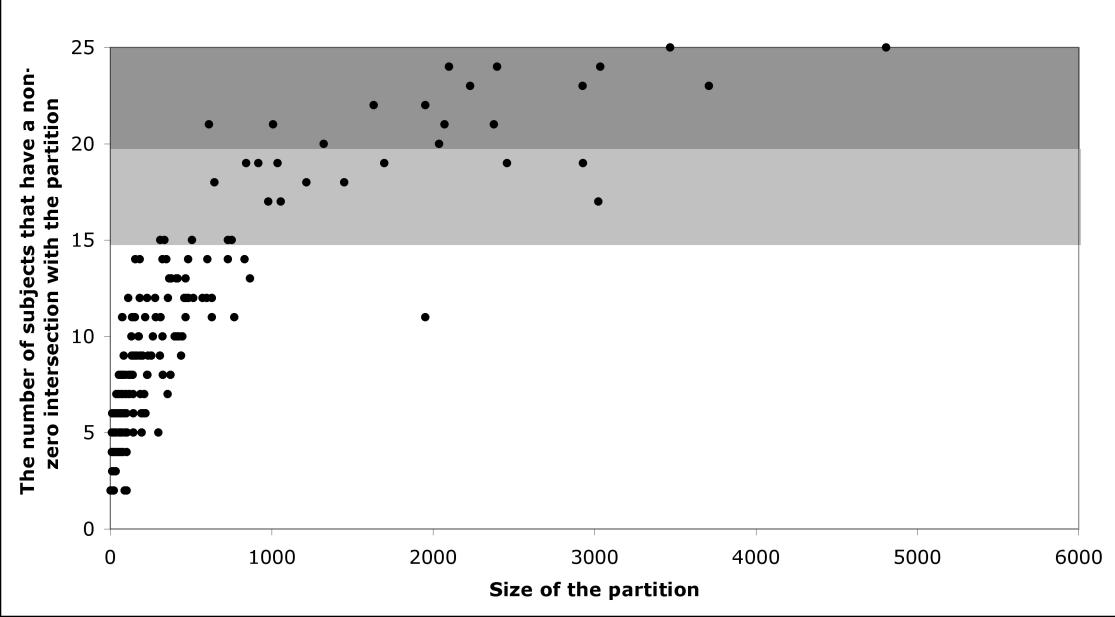


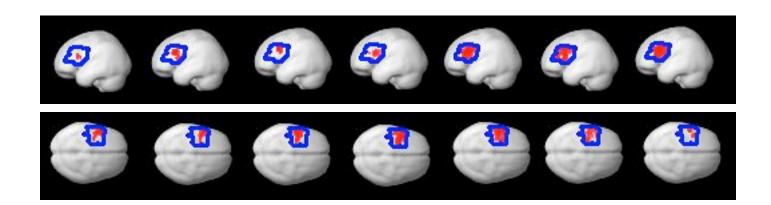


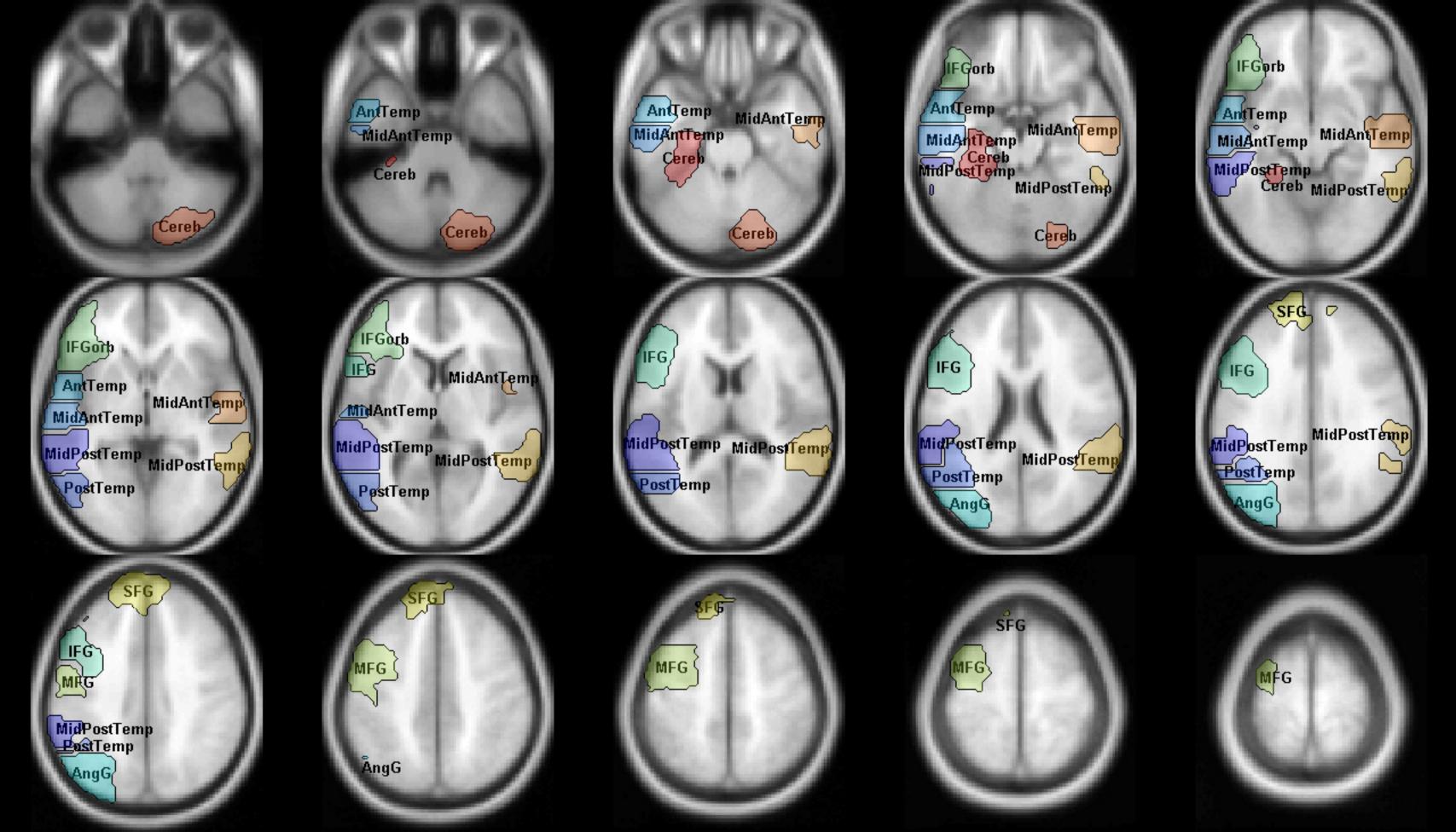


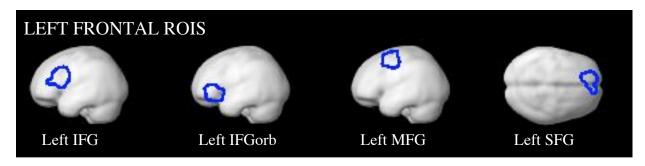


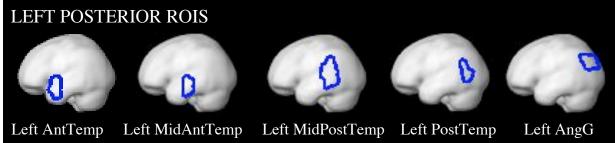
# The relationship between the size of the partitions and the number of subjects that have a non-zero intersection with the partition

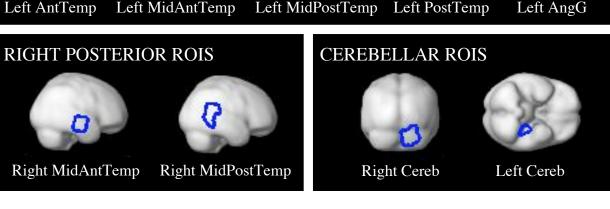




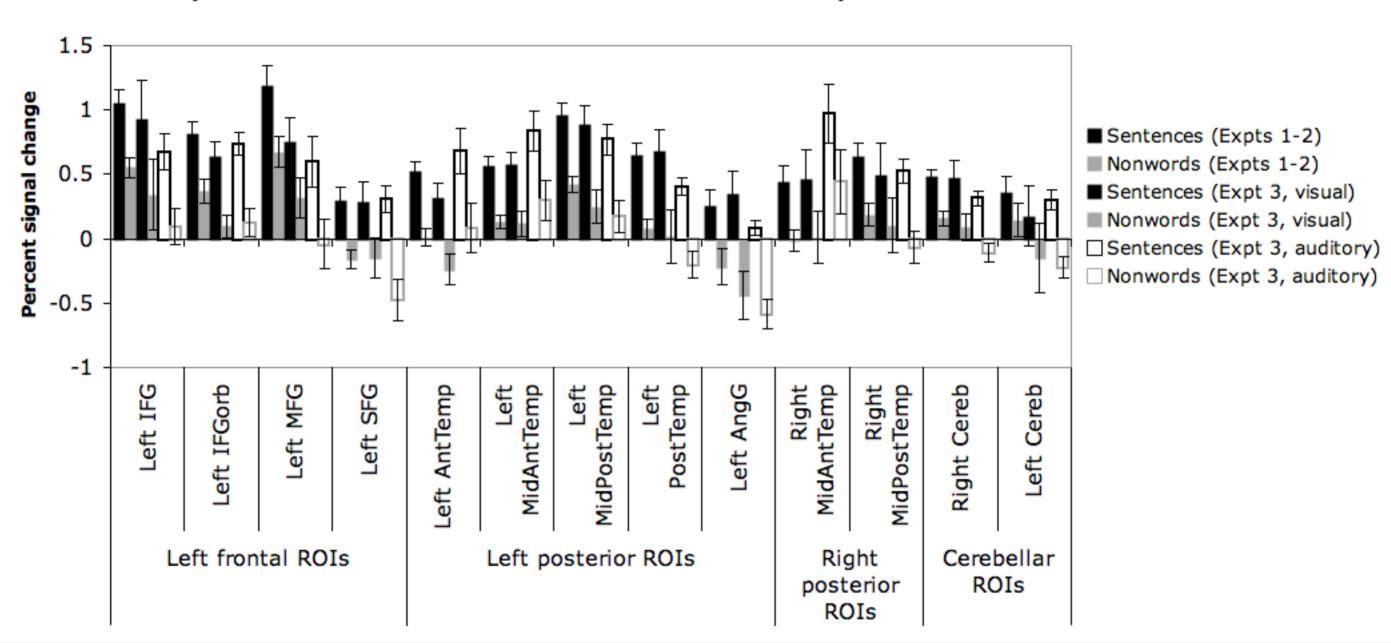


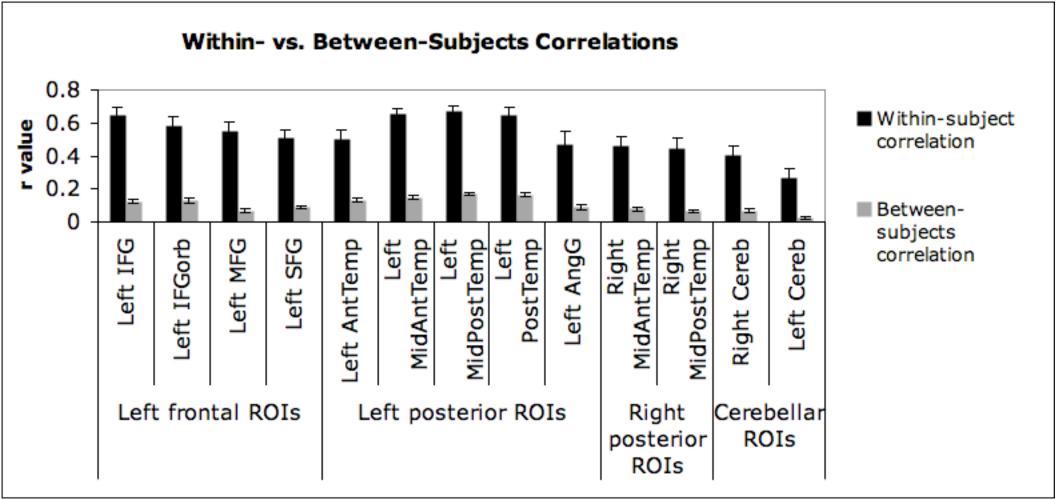




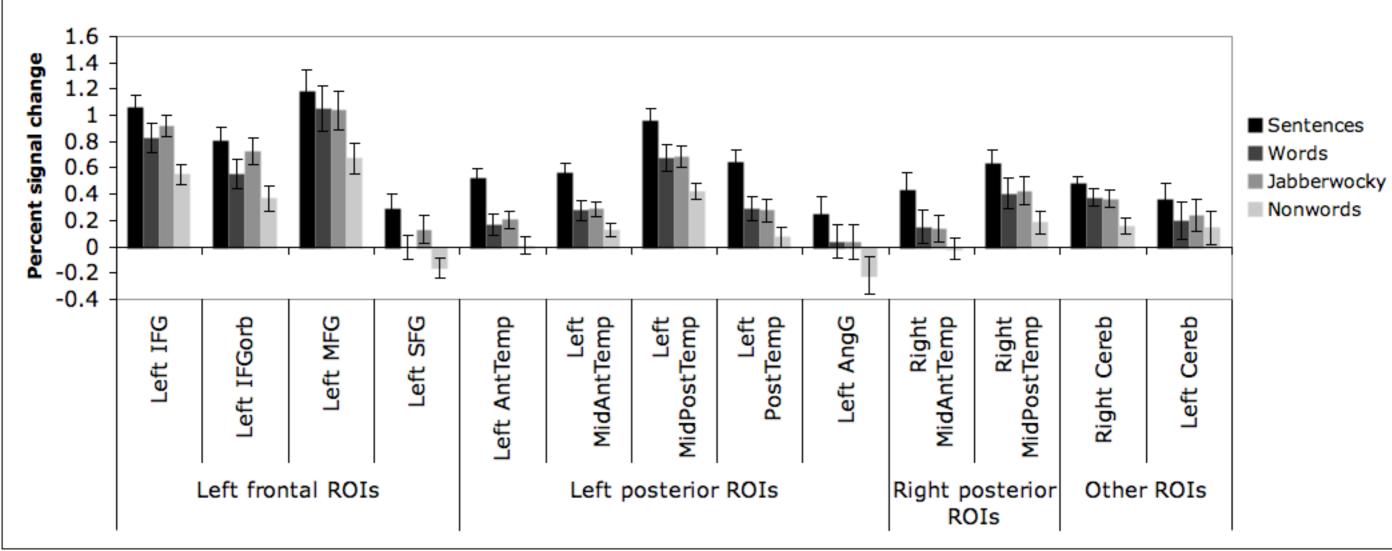


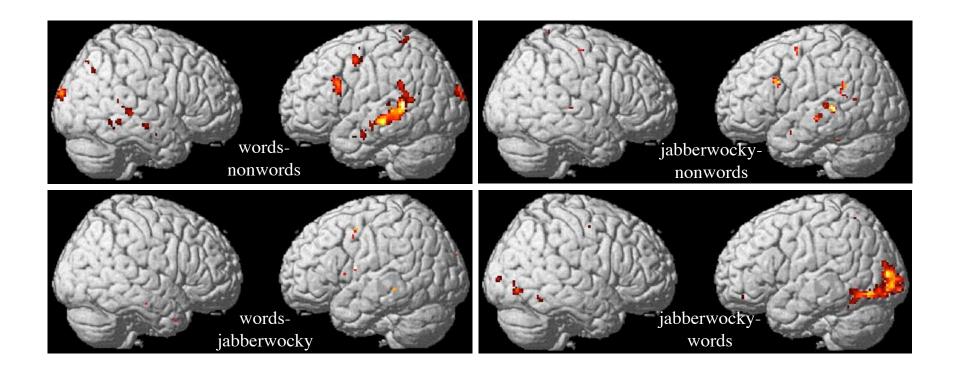
# Responses to the sentences and nonwords conditions in Experiments 1-3





# Response to the sentences, words, jabberwocky and nonwords conditions in Experiments 1-2





		ROI	Size of the group- level partition	Average size of a GcSS fROI (Expts 1-2); SE in parentheses	Peak coordinate of the group-level partition	S-N effect significant in an independent subset of the data	Sentences significantly higher than fixation	S-N effect generalizes to a new group of subjects (visual presentation)	S-N effect generalizes to a new group of subjects (auditory presentation)
ROIs that satisfied the selection criteria	Left	Left IFG	3468	536 (98)	-48 16 24	<.0001	<.0001	<.0005	<.0005
	frontal ROIs	Left IFGorb	2926	400 (66)	-48 33 -4	<.0001	<.0001	<.0005	<.0001
	KOIS	Left MFG	3036	365 (70)	-40 -2 53	<.0001	<.0001	<.05	<.0005
		Left SFG	2071	234 (69)	-7 50 41	<.0005	<.05	.064	<.0001
	Left	Left AntTemp	1952	172 (104)	-52 2 -18	<.0001	<.0001	<.0001	<.0001
	posterior ROIs	L. MidAntTemp	2099	385 (61)	-55 -18 -13	<.0001	<.0001	<.01	<.0001
	11015	L. MidPostTemp	4807	938 (112)	-56 -40 10	<.0001	<.0001	<.0001	<.0001
		Left PostTemp	2397	386 (67)	-48 -62 15	<.0001	<.0001	<.0005	<.0001
sati		Left AngG	2230	277 (65)	-37 -76 30	<.0001	.068	<.005	<.0005
that	Right	R. MidAntTemp	2038	251 (62)	55 -14 -13	<.0001	<.005	<.05	<.0001
ROIs	posterior ROIs	R. MidPostTemp	3709	466 (106)	58 -45 10	<.0001	<.0001	<.05	<.0001
<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	Cerebellar ROIs	Right Cereb	2377	267 (69)	21 -81 -34	<.0001	<.0001	<.01	<.0001
		Left Cereb	1323	104 (34)	-29 -32 -21	<.0005	<.01	.059	<.0001
at o	he a a	Medial PFC1	1008	117 (29)	-3 55 -9	<.01	n.s.	n.s.	<.0005
ROIs that failed to	satisfy the selection criteria	Medial PFC2	1632	161 (51)	-4 58 18	<.01	n.s.	n.s.	<.0001
RC fai sati sel cr		Right AntTemp	611	69 (17)	53 4 -20	<.0001	<.05	n.s.	<.005

**Table 1**: Basic properties of and a summary of the results for the subset of 16 high-overlap partitions and the corresponding GcSS fROIs. (Darker shadings indicate higher significance levels.)

### 2 x 2 ANOVA results t-test results ME of S > JME of lexico-Interaction J > NS > WW > NWvs.J syntactic semantic processing processing (SJ > WN)(SW > JN)Left IFG <.05 Left frontal <.005 <.05 <.001 <.001 <.005 n.s. n.s. **ROIs** Left IFGorb <.005 <.001 <.001 <.05 <.05 (diff.=I.18I) n.s. n.s. n.s. Left MFG .079 .095 .06 <.001 .054 <.001 n.s. n.s. Left SFG .091 <.005 0.09 <.005 <.005 <.05 n.s. n.s. Left posterior <.005 <.001 <.05 Left AntTemp <.001 <.001 <.001 n.s. n.s. **ROIs** <.005 L. MidAntTemp <.001 <.001 <.001 <.005 <.001 n.s. n.s. <.005 <.005 <.001 <.001 <.001 L. MidPostTemp <.001 n.s. n.s. Left PostTemp <.001 <.005 <.001 <.01 <.005 <.001 n.s. n.s. .072 Left AngG .082 <.005 <.05 <.01 <.05 n.s. n.s. Right posterior R. MidAntTemp .055 <.05 <.05 <.05 <.001 <.001 n.s. n.s. R. MidPostTemp <.005 <.01 <.005 **ROIs** <.05 <.05 n.s. <.001 n.s. <.05 <.001 <.001 Cerebellar ROIs Right Cereb <.01 <.05 <.05 n.s. n.s. Left Cereb <.05 .093 <.05

Table D1: The results of 2x2 ANOVAs and t-tests investigating the response of our fROIs to the Words and Jabberwocky conditions. (Darker shadings indicate higher significance levels.)

n.s.

n.s.

n.s.

n.s.

n.s.