



Mechanistic modeling of lipid nanoparticle formation for the delivery of nucleic acid therapeutics

Pavan K. Inguva^a, Saikat Mukherjee^a, Pierre J. Walker^b, Vico Tenberg^a, Cedric Devos^a, Sunkyu Shin^a, Yanchen Wu^a, Srimanta Santra^a, Jie Wang^a, Shalini Singh^a, Mona A. Kanso^a, Shin Hyuk Kim^c, Bernhardt L. Trout^a, Martin Z. Bazant^a, Allan S. Myerson^a, Richard D. Braatz^a,*

^a Department of Chemical Engineering, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139, United States

^b Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, CA 91125, United States

^c Department of Biological and Chemical Engineering, Hanbat National University, Daejeon, Republic of Korea

ARTICLE INFO

Keywords:

Mathematical modeling
Lipid nanoparticles
RNA
Computational fluid dynamics
Population balance model
Phase-field model

ABSTRACT

Nucleic acids such as mRNA have emerged as a promising therapeutic modality with the capability of addressing a wide range of diseases. Lipid nanoparticles (LNPs) as a delivery platform for nucleic acids were used in the COVID-19 vaccines and have received much attention. While modern manufacturing processes which involve rapidly mixing an organic stream containing the lipids with an aqueous stream containing the nucleic acids are conceptually straightforward, detailed understanding of LNP formation and structure is still limited and scale-up can be challenging. Mathematical and computational methods are a promising avenue for deepening scientific understanding of the LNP formation process and facilitating improved process development and control. This article describes strategies for the mechanistic modeling of LNP formation, starting with strategies to estimate and predict important physicochemical properties of the various species such as diffusivities and solubilities. Subsequently, a framework is outlined for constructing mechanistic models of reactor- and particle-scale processes. Insights gained from the various models are mapped back to product quality attributes and process insights. Lastly, the use of the models to guide development of advanced process control and optimization strategies is discussed.

1. Introduction

Nucleic acid-based therapeutics (NATs) have emerged as an exciting modality with the capability of addressing a wide variety of indications such as genetic and oncological conditions, and for use in vaccines (Damase et al., 2021; Wang et al., 2020; Buck et al., 2019). A diverse range of nucleic acid constructs with varying lengths and molecular structures have been explored such as plasmid DNA (pDNA), single- and double-stranded DNA (ss/dsDNA), anti-sense oligonucleotides (ASO), small interfering RNA (siRNA), and messenger RNA (mRNA) (Damase et al., 2021; Ibraheem et al., 2014), with each construct type providing therapeutic effect in a specific way e.g., RNA interference or expression of an encoded protein. In many cases, the nucleic acid construct may also include various modifications either native (e.g., 5' capping and 3' polyadenylation for mRNA constructs) or non-native (e.g., chemical modifications of the sugar/nucleobase) at the level of individual nucleotides to the whole construct (Kim et al., 2022b; McKenzie et al.,

2021). It is helpful to have an appreciation of the structure and chemistry of the construct, including modifications thereof, for modeling and analysis.

Direct delivery of naked nucleic acid constructs remains challenging for multiple reasons: They tend to be unstable and prone to enzymatic degradation, the physicochemical properties of constructs (i.e., their large size and negative charge) impede cellular uptake, and exogenous nucleic acids can provoke an undesirable immunogenic effect (Hamilton et al., 2023; Kulkarni et al., 2021; Damase et al., 2021). In combination with some of the construct-level modifications previously mentioned, several platform technologies for nucleic acid delivery such as *N*-acetylgalactosamine (GalNAc)-RNA conjugation, viral vectors, lipid-based nanoparticles, and polymeric nanoparticles have been explored to improve efficacy and mitigate undesirable effects (Byun et al., 2022; Bulcha et al., 2021; Kulkarni et al., 2021; Gupta et al., 2021). Of the various platforms, non-viral vectors and in particular, lipid nanoparticles (LNPs) have received significant attention due

* Corresponding author.

E-mail address: braatz@mit.edu (R.D. Braatz).

<https://doi.org/10.1016/j.biotechadv.2025.108643>

Received 23 August 2024; Received in revised form 5 May 2025; Accepted 8 July 2025

Available online 25 July 2025

0734-9750/© 2025 Published by Elsevier Inc.

to several advantages: comparative maturity of technology (Buck et al., 2019), feasibility of rational design and modification of excipients and adjuvants to achieve desirable properties (Damase et al., 2021; de Jesus and Zuhorn, 2015), lower immunogenicity compared to viral vectors (Buck et al., 2019; Yin et al., 2014), and scalable and cost-efficient manufacturing (Buck et al., 2019; Kulkarni et al., 2018). LNPs, while a mature technology, have undergone substantial development in the last decades. The interested reader is referred to (Xu et al., 2022; Buck et al., 2019; Cullis and Hope, 2017) for an overview of the development of LNPs and the current state of the art. Modern LNP formulations for NATs are multi-component and typically consist of four types of lipids: an ionizable lipid, a PEGylated lipid, a helper lipid, and cholesterol. The design of the various lipids, in particular the ionizable lipid, is an active area of research as the physicochemical properties of the various can have significant impact on the safety and efficacy of the final product (Hald Albertsen et al., 2022; Kon et al., 2022).

A range of manufacturing processes have been explored for the production of LNPs. Conventional techniques such as high pressure homogenization or the thin-film hydration method have been found to be unsatisfactory for NAT-LNPs for various reasons such as poor encapsulation efficiency, scalability, and potential damage to the sensitive nucleic acid construct (Xu et al., 2022; Evers et al., 2018). The current state-of-the-art set-ups employs rapid mixing of an ethanolic stream containing the lipids with an aqueous stream containing the nucleic acids in microfluidic devices at lab scales and T-junctions/confined-impinging jets (Evers et al., 2018; Erfle et al., 2019) at larger scales. These rapid mixing methods have been able to generate suitably high-quality LNPs with high encapsulation efficiencies (Evers et al., 2018), finding use in the production of the LNPs for approved products e.g., the Pfizer–BioNTech Covid-19 vaccine (Thorn et al., 2022). However, rapid mixing processes are strongly impacted by the fluid dynamics in the mixer (which is a function of the geometry, formulation, and operating conditions) and need to be carefully engineered during process development (Evers et al., 2018; Thorn et al., 2022; Devos et al., 2025).

Considering the current and growing importance of LNP-based NATs (Verma et al., 2023), understanding the LNP formation and manufacturing process is of immense importance to facilitate the production of high-quality drug products in a cost-efficient and scalable manner. To that end, computational modeling and simulations, in particular first-principles and mechanistic modeling, can play a significant role in advancing biomanufacturing. Three key benefits of incorporating modeling and simulations into the process development workflow are (1) improve fundamental scientific understanding of the process, (2) augment process development through guiding scale-up, process transfer, and optimization, and (3) improve quality control and process operation (Destro et al., 2024; Narayanan et al., 2020b; Hong et al., 2018; Rantanen and Khinast, 2015; Rogers and Ierapetritou, 2015). To our knowledge, there are limited studies in the literature applying computational methods to the manufacturing of LNP-based NATs with most relevant works applying molecular dynamics in the context of product design and formulation rather than to manufacturing (Cárdenas et al., 2023; de Jesus and Zuhorn, 2015).

This article aims to consolidate various computational modeling techniques that can be used to analyze LNP formation and manufacturing in the context of RNA therapeutics. The methods and approaches discussed in this article can be extended to other nucleic acid constructs and similar non-viral delivery platforms e.g., polymeric nanoparticles. The specific nuances of the product/process should be considered when carrying out these extensions. Many of the techniques draw upon expertise established in adjacent fields such as polymer precipitation and crystallization. We show how different modeling approaches, ranging from comparatively simple methods at the length- and time-scales of the mixer, to more complex meso- and molecular-scale methods can provide valuable insights, and in some cases, even be used to predict important product and process characteristics. The use of these modeling approaches to inform the development of advanced process monitoring and control strategies is also discussed.

2. Product and process description

2.1. Product and process overview

Modern NAT-LNP formulations employ multiple different lipids, each with specific physicochemical properties, that contribute to the efficacy of the LNPs. A summary of the key components present in the LNPs and their function(s) can be found in Table 1. The structure of LNPs is complex and highly dependent on many factors such as the formulation (i.e., types of lipids and proportions) (Mendonça et al., 2023; Eygeris et al., 2020), the size of the nucleic acid fragment and its loading in the LNP (Leung et al., 2015), and the manufacturing process and operating conditions (Cheng et al., 2023; Daniel et al., 2022; Hassett et al., 2021; Hu et al., 2019). While the structure of the NAT-LNP and its formation is not fully understood, the current consensus of NAT-LNP structures is that there is an electron-dense lipid core in the LNP where the nucleic acid, majority of the ionizable lipid, and some water are present while the surface is rich in PEGylated and helper lipids (Schoenmaker et al., 2021). Some NAT-LNP formulations, in particular older generation cationic/neutral liposomes, may incorporate fewer types of lipids in the final product. LNPs for other drug classes such as small molecules also typically consist of fewer lipid components (Ickenstein and Garidel, 2019). These simpler systems can be used as a foundation for model development and validation as they are comparatively more established in the literature and are easier to model as there are fewer components with complex physicochemical properties and may have simpler structures.

Current approaches to designing mixing processes for LNP production aim for rapid mixing which helps to achieve a smaller and more uniform LNP size distribution with less aggregation (Zhigaltsev et al., 2012). The typical rapid mixing process involves combining two streams: (1) an aqueous acidic buffer stream containing the nucleic acids and (2) an organic stream (typically ethanol) containing the lipids. Upon mixing, not only does the solvent polarity change which decreases the solubility of the various species, the various species associate, thus causing the formation of the LNPs which are precipitated out of solution. Precipitation in this context refers to the formation of the LNPs, which constitute a new phase in the system. A range of mixer configurations and geometries have been explored in the literature, e.g., a T-junction, Y-junction, and cross junction, at various scales ranging from pipe fittings ($\mathcal{O}(1\text{ cm})$) for large-scale production, to microfluidic devices ($\mathcal{O}(100\text{ }\mu\text{m})$) for lab-scale production (Evers et al., 2018; Maeki et al., 2018). Exemplar mixer geometries can be found in Fig. 1. In many cases, geometrical elements such as baffles, bends, and internal structures (e.g., staggered herringbone) can be incorporated upstream and/or downstream of the mixing point. These elements enhance mixing by introducing flow phenomena such as flow turning, flow splitting, and vortex generation, which result in chaotic/turbulent flows even at low Reynolds numbers (Inguva et al., 2018; Evers et al., 2018).

Immediately after the rapid mixing step, a buffer exchange is carried out to achieve four purposes: (1) remove the ethanol which can destabilize the LNPs (Hardianto et al., 2023; Kimura et al., 2020), (2) increase the pH of the system to physiological pH (typically around 7.4) which neutralizes the ionizable lipids, (3) concentrate the bulk product (Wu et al., 2025), and (4) remove excess lipids/unencapsulated cargo. Physically, three key variables change during this process, namely the ethanol concentration decreases, the pH increases, and the ionic strength increases (Cheng et al., 2023). The buffer exchange step can be performed by various types of methods such as dialysis and tangential flow filtration, with process scale influencing the choice of method. The buffer exchange step can influence various aspects of LNPs – including their size distribution, surface charge, and internal structure – via a fusion process whereby smaller LNPs fuse together to form larger particles. This fusion process is moderated by the PEGylated lipids occurring during the buffer exchange (Kamanzi et al., 2024;

Table 1
Summary of species present in LNPs. The interested reader is referred to (Hald Albertsen et al., 2022; Cheng and Lee, 2016) for excellent reviews on the function of the different lipid components in the LNP.

Species	Function(s)	Examples
Ionizable lipid	Enables efficient nucleic acid encapsulation, Facilitates cellular uptake of cargo, Adjuvant	DLin-MC3-DMA, SM-102, ALC-0315
PEGylated lipid	Controls LNP particle size, Enhances product stability, Enhance in vivo circulation time	PEG-DMG, PEG-DSPE, PEG-DSG, PEG-DMPE
Helper lipid	Improves LNP structural integrity, Improves encapsulation efficiency	DSPC, DOPE
Cholesterol	Improves LNP stability, Promotes membrane fusion	Cholesterol, β -Sitosterol, Stigmastanol, Vitamin D3
Nucleic acid cargo	Active pharmaceutical ingredient	BNT-162b2 (4284 base pairs)
Water	Residual	–

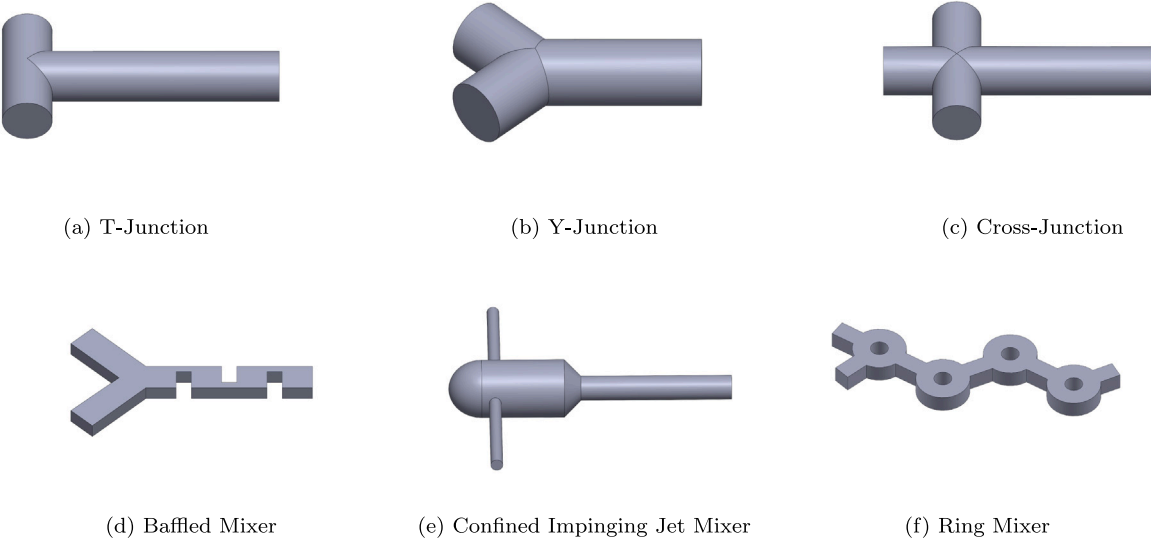


Fig. 1. Exemplar mixer geometries for rapid mixing of organic and aqueous streams for LNP manufacturing. Various modifications, such as flow constrictions and baffles, can be made upstream and/or downstream of the mixing point. For many microfluidic systems, rectangular geometries are typically employed due to the mixer manufacturing process.

Table 2
Exemplar NAT-LNP formulations and process operation conditions. The following abbreviations are used: FRR (Flowrate ratio of aqueous to organic streams), IL (Ionizable lipid), PL (PEGylated lipid), Ch (Cholesterol), HL (Helper lipid).

Process and product description	Mixer (length scale)	Stream compositions and flowrates	Other comments
Production of siRNA LNPs for luciferase expression (Chen et al., 2012b).	Rectangular staggered herringbone (70 μm $\times$ 200 μm)	Organic (mg mL ⁻¹): IL(2), HL(0.28), Ch(0.52), PL(0.13) Aqueous: 0.4 mg mL ⁻¹ siRNA FRR: 1 Total flowrate: 0.1 mL min ⁻¹ to 1 mL min ⁻¹	An additional PBS buffer stream was fed further downstream in the mixer
Production of siRNA LNPs for FVII suppression (Kimura et al., 2018).	Rectangular baffled mixer (100 μm $\times$ 200 μm)	Organic (mM): IL(3.96), Ch(3.96), PL(0.08) Aqueous: 0.071 mg mL ⁻¹ siRNA FRR: 3–9 Total flowrate: 0.05 mL min ⁻¹ to 0.5 mL min ⁻¹	–
Production of LNPs with mLuc mRNA (Strelkova Petersen et al., 2023).	NanoAssemblr [®] Benchtop	Organic (Molar ratio): IL(35), Ch(46.5), HL(16), PL(2.5) Aqueous: 0.05 mg mL ⁻¹ mRNA FRR: 1–3 Total flowrate: 4 mL min ⁻¹ to 14 mL min ⁻¹	IL-mRNA ratio is 10:1 (w/w)

Gilbert et al., 2024; Vargas et al., 2023; Kulkarni et al., 2019). Although this perspective focuses on the rapid mixing step and discusses the formulation of mechanistic models in that context, it is likely that many of the same methods are applicable to understanding the buffer exchange process, with suitable adaptations.

2.2. Analytical techniques and quality attributes

A necessary component of formulating models for any manufacturing process is an understanding of the analytical tools available for

characterizing the product/process and the features of the information these tools are able to provide. Not only are these analytical tools valuable for generating experimental data for model parameter estimation and validation, they also provide the ability to study the process/product to gain deeper physical insights which guide model development. In addition, process analytical technologies (PAT) is essential for developing monitoring and control strategies to ensure product quality (see Narayanan et al., 2020b and Section 5).

A summary of material and process inputs/variables, and product quality attributes that are regarded as significant in the production

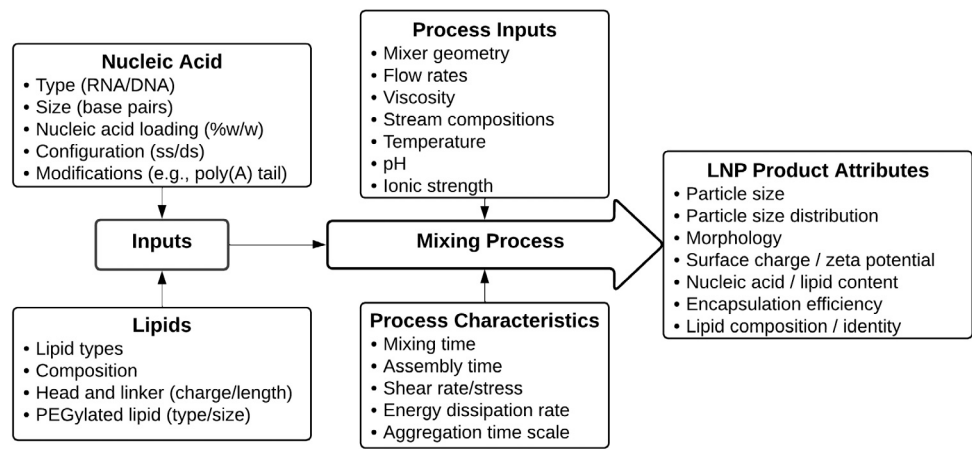


Fig. 2. Summary of important material, process, and product variables and attributes affecting LNP manufacturing and product quality.

Table 3
Manufacturing-related product and process attributes of LNPs and corresponding analytical techniques available to measure relevant properties. Where possible, references are related to NAT-LNPs specifically. This table is not intended to be exhaustive and there are rapid advances currently happening in the analytical methods to characterize LNPs. The interested reader is referred to [Nogueira et al. \(2024\)](#), [Daniel et al. \(2022\)](#), [Malburet et al. \(2022\)](#), [Fan et al. \(2021\)](#) for additional information.

Quality attribute/ Process variable	Analytical techniques
Particle size/ Size distribution	Electron microscopy (EM) imaging (offline) Dynamic Light Scattering (DLS) (offline) Spatially resolved DLS (inline) (Besseling et al., 2019) Taylor Dispersion Analysis (TDA) (offline) (Malburet et al., 2023) Nanoparticle Tracking Analysis (NTA) (offline) (Dragovic et al., 2011) Convex Lens-induced Confinement microscopy (CLiC) microscopy (offline) (Kamanzi et al., 2021)
Particle morphology and structure	Cryo TEM (offline) NMR spectroscopy (offline) Differential scanning calorimetry (offline) (Eygeris et al., 2020) Small-Angle X-ray Scattering (SAXS) (offline) (Uebbing et al., 2020) Small-Angle Neutron Scattering (offline) (Gilbert et al., 2024)
Nucleic acid content encapsulation efficiency	Ribogreen assay (offline) Size-exclusion chromatography (offline) Cylindrical Illumination Confocal Spectroscopy (CICS) (offline) (Li et al., 2022) CLiC (offline) (Kamanzi et al., 2021)
Surface charge	Electrophoretic light scattering (offline) Capillary electrophoresis (offline) (Franzen et al., 2011)
Chemical composition	Liquid Chromatography – Mass Spectroscopy (offline) (Parot et al., 2024) Liquid Chromatography – Corona Charged Aerosol Detection (offline) (Kinsey et al., 2022) Single Particle Automated Raman Trapping Analysis (SPARTA) (offline) (Barriga et al., 2022)
Physicochemical changes in the mixer	Spectroscopy (e.g., Raman) (inline/online) Hyperspectral imaging (online) (Kise et al., 2014)

of LNPs is outlined in Fig. 2. The mapping of available analytical techniques to characterize LNP product quality attributes is presented in Table 3. Currently, with the exception of particle size measurements, almost all analytical techniques used for characterizing LNPs and their formation are offline (i.e., a sample is taken for analysis, often performed manually and away from the production line). The cost and/or complexity of many of the measurement techniques currently employed result in limited data availability (due to limited/inrequent sampling) and large uncertainties in measured data. Advances in both sensor technology and application are going to be vital in facilitating progress for both developing a better physical understanding of LNP formation and for model formulation. One particular technology that we believe has potential for rapid mixing systems is hyperspectral imaging which can be used to provide inline spatially resolved chemical spectral data, potentially enabling a non-contact approach to probing physicochemical changes in the mixer in real time as LNPs are being formed.

3. Physical properties and thermodynamics

3.1. Transport properties

The physical properties (e.g., viscosity and diffusivity) of the various LNP constituents and of the whole particle itself are important for characterizing the behavior of the different species and are important model parameters/inputs to the models described subsequently. This section reviews how transport properties, can be obtained/estimated for both the molecular LNP constituents and the LNP particle itself.

3.1.1. Molecular properties

The best way to obtain numerical values for various transport properties such as the viscosity, diffusivity, and radius of gyration would be through experimental measurements. While some of these values have been determined experimentally (e.g., see [Vargas et al., 2005](#);

Tadakuma et al., 2006; Lifland et al., 2011; Cui et al., 2014; Gallud et al., 2021; Soong and Macdonald, 2005; Aliakbarinodahi et al., 2022; Ermilova and Swenson, 2023; Jeon et al., 2012; Baümler et al., 2017; Brake et al., 2005; Pilz et al., 1972; Perelman et al., 2023; Fee and Van Alstine, 2004; Chen et al., 2019; Sugiura et al., 2001; Armstrong et al., 2014; Baker and Abrams, 2014; Kessel et al., 2001; Gonçalves et al., 2010; Swindells et al., 1952)), the context in which the values were obtained are not identical, making it difficult to predict the ‘true’ value within the full LNP system, particularly when considering the composition and temperature-dependence (Schoenmaker et al., 2021) of these properties. Further, these values were measured in bulk systems and the transport properties within the LNP are expected to be quite different, due to the higher concentration of lipids (Evans et al., 1980; Hayashi et al., 1975). For modeling the self-assembly of LNPs, bulk transport properties would be a good start.

In the case where experimental values are lacking and the objective is to extrapolate to the operating conditions, we need an alternative, predictive approach to estimate the transport properties. It is here where theoretical approaches are invaluable in estimating values and providing some understanding. In conventional liquids, the diffusion coefficient (D_i) of isolated spherical particles governed by their Brownian motion is well-described by the Stokes–Einstein relation (Andreoli et al., 1980),

$$D_i = \frac{kT}{6\pi r_i \eta_0}, \quad (1)$$

where r_i is the particle hydrodynamic radius (which vastly exceeds that of solvent molecules), k is the Maxwell–Boltzmann constant, T is temperature, and η_0 is the solvent viscosity. While this equation can work well for spherical, neutral species, for many of the species involved in LNPs, it is unlikely that the Stokes–Einstein equation can be used reliably without empirically modifying the hydrodynamic radius. Numerous theoretical (Onsager, 1926, 1927; Bernard et al., 1991; Muthukumar, 1997; Liu et al., 1998; Skibinska et al., 1999) and computational (Fong et al., 2020, 2021) studies over the past few decades have been carried out to better understand the transport properties of such species. In particular, Muthukumar (1997) provides an excellent summary of the expected scaling laws for various transport properties (diffusion coefficients, viscosity, radius of gyration, etc.) in different regimes (dilute, semi-dilute, and concentrated). Some of the relationships that could be applied to the LNP system are summarized in Table 4. These scaling laws provide an intuition for how the transport properties might be expected to scale as a function of the species’ properties and during the LNP self-assembly process. Unfortunately, the scaling relationships are only applicable within the systems and regimes that they have been derived for (Karatrantos et al., 2017; Kalathi et al., 2014; Rudyak et al., 2011; Maldonado-Camargo et al., 2017; Yuan et al., 2022; Cicuta et al., 2007; Vaz et al., 1985). As discussed earlier, while the self-assembly process will primarily occur in the bulk where the concentrations are dilute, once the LNP has formed, transport within the particle will be very different. Further, obtaining quantitatively accurate estimates of transport properties using these approaches is both computationally costly and, due to approximations made in these studies, unlikely.

Aside from performing fully atomistic molecular dynamics simulations, which carries its own challenges (which are discussed in Section 4.6), another way to obtain an accurate estimate of transport properties would be to use empirical correlations. In the case of the LNP components, very few correlations exist (Lopez et al., 2021), particularly for the larger species (mRNA and some of the lipids). As such, more generalized correlation methods may need to be considered. In the case of transport properties, one approach would be to use entropy-scaling methods (Rosenfeld, 1977; Bell et al., 2019) which assume that any transport property can be related to the residual entropy of the system. Historically, these methods have been very effective for large alkanes (Jäger et al., 2023), some mixtures (Lötgering-Lin

et al., 2018), and some charged systems (Melfi and Scurto, 2024). While extrapolating to the LNP system might be challenging, the true limitation to using such a method is a lack of experimental data. It may be possible to fit these correlations of structurally similar systems (such as dilute polyelectrolytes), from which extrapolation could be more reliable. Such an exploration is a deserving topic for future study.

3.1.2. Particle properties

Particle-scale transport properties of an LNP particle can influence its transport and stability, which can then impact subsequent manufacturing steps, as well as its *in vivo* behavior. Two key particle-scale properties are particle diffusivity and surface charge.

Particle diffusivity can be observed as both translational and rotational diffusivity. Translational diffusivity (D_t) is related to the translational motion of particles, while rotational diffusivity (D_r) is associated with the rotational motion of the particle, measuring how quickly the particle can rotate or reorient itself within a fluid (Kittel, 2005). As LNPs are not motile, both translational and rotational diffusion are caused by Brownian motion in the fluid. The translational diffusivity of the LNP particle is important for reactor-scale transport simulations (i.e., computational fluid dynamics and population balance models) which require the diffusivity of all species being tracked, including the LNPs (e.g., see Section 4.2.3). For non-spherical/anisotropic LNPs, which have been experimentally observed in some LNP formulations (Brader et al., 2021; Kloczewiak et al., 2022), the orientation and orientability of the particle, which is characterized by the rotational diffusivity, can be significant. For example, the cellular attachment and uptake of anisotropic nanoparticles can be affected by the particle shape and orientation (Lovegrove et al., 2023).

Limited experimental knowledge exists regarding LNP diffusivity, particularly rotational diffusivity. Techniques employed for similar systems, such as viral particles, could also be used for LNPs. A range of experimental techniques have been able to measure translational diffusivity such as photon-correlation spectroscopy (Oliver et al., 1976), Taylor dispersion analysis (Malburet et al., 2023), nanoparticle tracking analysis (Dragovic et al., 2011), and CLiC microscopy (Kamanzi et al., 2021). Similarly, rotational diffusivity can be measured using techniques such as light scattering (King et al., 1973; Lehner et al., 2000; Wada et al., 1971), transient electric birefringence (O’Konski and Haltner, 1956), and flow birefringence (Boedtker and Simmons, 1958). These experimental measurements can be challenging and costly, motivating theoretical approaches for property estimation. For spherical particles, the translational and rotational diffusivities can be calculated using the Stokes–Einstein Eq. (1) and the Stokes–Einstein–Debye equation ($D_r = \frac{kT}{8\pi\eta_0 r^3}$) respectively (Unni et al., 2021). In the case of non-spherical/anisotropic particles, these theoretical relationships are not directly applicable and more-complicated methods are necessary (e.g., see (Kanso and Giacomini, 2022; Kanso et al., 2019, 2020)).

LNP surface charge is a critical physicochemical property (U.S. Food and Drug Administration, 2018) as it can impact the ability of the LNP to deliver its cargo, as well as influence the toxicity and immunogenicity of the final product, with high surface charges being undesirable (Sharma et al., 2024; Guéguen et al., 2024). Most pertinently, the particle charge can also influence its transport properties (e.g., particle diffusivity and aggregation characteristics) which need to be accounted for during manufacturing, storage, and transportation (Du et al., 2010). The surface charge is a function of several factors, such as LNP composition, particle size, and buffer composition (Cardellini et al., 2016). Direct measurement of the surface charge is typically not possible, and instead, the zeta (ζ) potential, which represents the potential difference between the dispersion medium and the stationary layer of fluid attached to the particle (Barba et al., 2019), is commonly reported. Experimental techniques that can be used to evaluate the ζ potential/surface charge are outlined in Table 3. Experimentally reported ζ potential values for mRNA-loaded LNPs range between -2.5 and -20 mV for pH values between 7 and 8, and between $+8$ and $+27$

Table 4

Scaling relationships of the radius of gyration (R_g), diffusion coefficient (D), and viscosity (η) of a polyelectrolyte within the dilute regime (Muthukumar, 1997): l is the Kuhn length, w is the excluded volume parameter, l_B is the Bjerrum length of the solvent, κ is the inverse Debye screening length, N is the chain length, and c is the polyelectrolyte concentration. Such scaling relationships can be applied to species within an LNP system.

Property	Scaling
Radius of Gyration ($6R_g^2/L$)	high salt: $\left(\frac{4}{3l^2} \sqrt{\frac{3}{2\pi}} \left(w + \frac{4\pi l_B}{\kappa^2}\right)\right)^{2/5} N^{1/5} l$ low salt: $\left(\frac{4\pi l_B}{2\sqrt{6\pi^{5/2}l}}\right)^{2/3} N l$
Diffusion coefficient (D)	$\frac{8}{3\sqrt{\pi}} \frac{kT}{6\pi\eta_0 R_g}$
Viscosity ($\frac{\eta-\eta_0}{\eta_0}$)	high salt: $c \left(w + \frac{4\pi l_B}{\kappa^2}\right)^{3/5} l^{6/5} N^{4/5}$ low salt: $c l_B l^2 N^2$

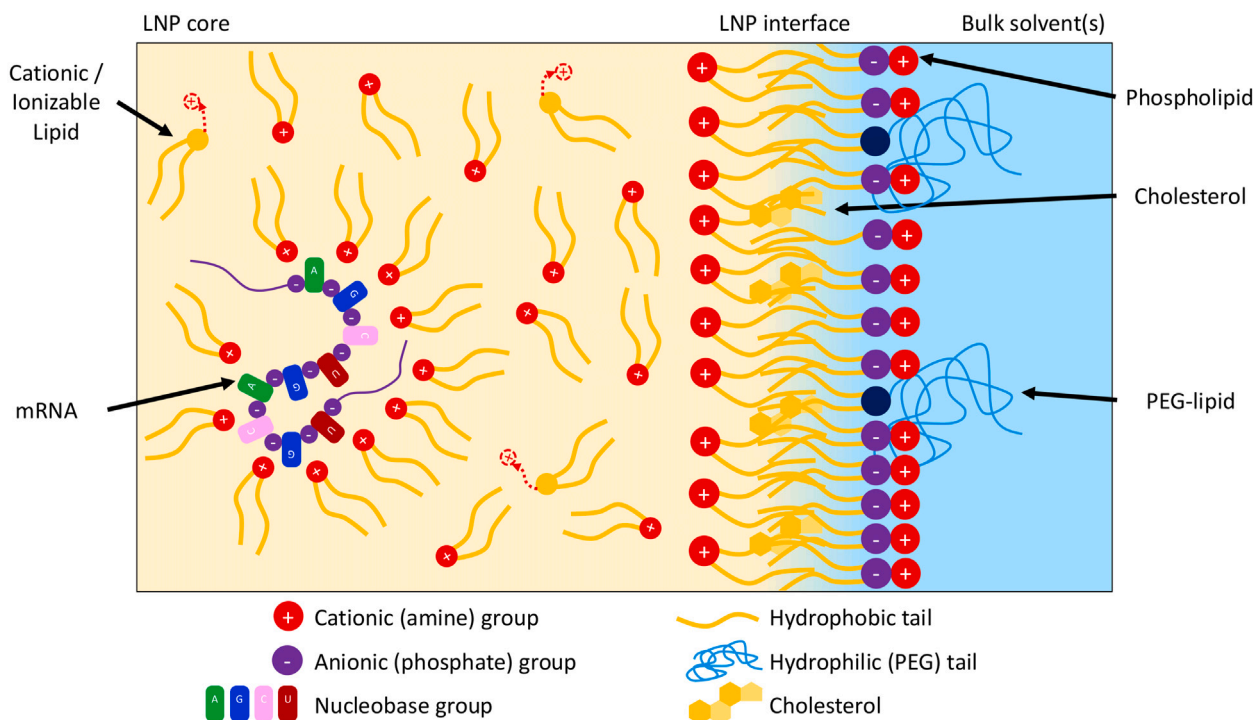


Fig. 3. Simplified representation of LNP interface. The distribution of components along the interface is not representative of what might occur in a real system as it will depend strongly on the formulation.

mV for pH values between 4 to 6 (Larson et al., 2022; Malburet et al., 2022). Higher absolute zeta potential values, indicative of increased electrostatic repulsion, enhance stability by preventing particle aggregation (Retamal Marín et al., 2017). This electrostatic force also plays a role in influencing particle size distribution, settling behavior, and agglomeration tendencies.

Theoretical models can be used to estimate the ζ potential of a particle. Electrokinetic models such as Smoluchowski's, Hückel's, and Henry's delve into how the electrophoretic mobility can be related to the ζ potential (Retamal Marín et al., 2017). Unfortunately, theoretical models often rely on simplifications and may be more suitable for simple colloidal systems or conditions.

3.2. Thermodynamic properties

Fig. 3 is a simplified schematic of the structure of the LNP interface. The ionizable lipid (IL) plays two key roles in the formation of LNPs: mRNA complexation and formation of the LNP interface. Its ionizable head group is crucial in these processes (Hajj and Whitehead, 2017; Kowalski et al., 2019; Meng et al., 2021). At low pH, below their pK_a , ILs are charged, promoting mRNA encapsulation and aiding nanoparticle assembly. However, this charge can destabilize the LNP interface.

As pH increases to physiological levels, ILs deprotonate, becoming neutral, which enhances interface stability and reduces interactions with anionic blood cell membranes, lowering toxicity. Upon cellular uptake, the endosomes which have an acidic environment causes ILs to re-protonate, thus destabilizing the LNP and facilitating cargo release. The ionizable nature of ILs is fundamental to LNP function and must be carefully considered in mechanistic modeling, as their pK_a and structures are key design parameters influencing delivery efficiency and stability.

However, the IL alone is not capable of forming a stable interface. As such, phospholipids, cholesterol, and PEGylated lipids are added to enhance the formation and stability of the interface. While this system may appear quite complex, the essential building blocks of each component share some commonalities in terms of their molecular interactions. The ionizable, phospho- and PEGylated lipids all include hydrophobic tails which interact favorably to form the interface. The ionizable and helper lipid both include cationic (typically amine) groups, while the mRNA and helper lipid include anionic (typically phosphate) groups. The electrostatic interactions between mRNA and the ionizable lipid are responsible for their initial complexation. Additionally, the PEG tail of the PEGylated lipid will experience hydrophilic interactions, and the nucleic acids can form hydrogen bonds due to the presence

of the nucleobase groups. A more in-depth summary of the role of each component can be found in (Eygeris et al., 2022; Hald Albertsen et al., 2022).

To predict any thermodynamic property of interest for LNPs, a thermodynamic model is needed that is representative of the LNP system. As of the writing of this perspective, no such model has been developed. The building blocks mentioned previously, however, are not unique to LNPs. Systems such as polyelectrolytes, for which numerous thermodynamic models have been developed, often involve some or all of these building blocks. For polyelectrolytes, activity coefficient models such as extensions of the Non-Random Two-Liquid (NRTL) (Yu et al., 2019; Li et al., 2021) model have been used to predict activity coefficients of aqueous polyelectrolytes. Unfortunately, as highlighted by Zhang et al. (2023), such approaches are likely to perform poorly when considering denser systems (such as the LNP core) due to the treatment of the long-range electrostatic interactions. Other activity coefficient model approaches such as UNIFAC and COSMO-based approaches, despite their improved predictive capabilities, are likely to have the same limitations.

Thermodynamic perturbation theories are an alternative to activity coefficient models. In the case of polyelectrolytes, Zhang and co-workers (Zhang et al., 2016; Zhang and Wang, 2021) have developed a simple liquid-state (LS) theory capable of predicting complexation between chains of opposite and asymmetric charge, similar to the expected behavior between the ionizable lipid and mRNA, and has been shown to provide accurate representation of experimental systems. In principle, this approach could be used to estimate the partition coefficient of mRNA between the two phases, with the added benefit of being capable of modeling the interfacial properties of the system (Zhang and Wang, 2021).

One limitation of LS approach is the neglect of hydrophobic and hydrophilic interactions, which are likely to play a vital role in the formation and stabilization of the LNP interface. In the case of the IL, its hydrophobicity is expected to vary depending on its deprotonation state, becoming more hydrophobic when the IL is neutral at physiological pH. Approaches such as the Statistical Association Fluid Theory (SAFT) equation of state (Chapman et al., 1989, 1990) are more-suited to modeling such interactions, as demonstrated by their ability to accurately model bulk and equilibrium properties of hydrophobic+hydrophilic systems (Gross and Sadowski, 2001; Lafitte et al., 2013; Papaioannou et al., 2014).

Given both the SAFT and LS approaches are expressed as perturbation-free-energy expansions, in principle, it is possible to combine them, leading to a thermodynamic models, which accurately characterizes the LNP system:

$$A(V, T, \mathbf{n}) = A_{\text{id}} + A_{\text{disp}} + A_{\text{chain}} + A_{\text{assoc}} + A_{\text{ele}}, \quad (2)$$

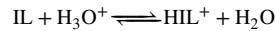
where A , V , and T are the Helmholtz free energy, volume and temperature of the system, respectively, and $\mathbf{n}$ is a vector containing the molar amounts of each component. The subscripts denote the ideal (id), dispersive/hydrophobic (disp), chain formation (chain), association/hydrophilic (assoc) and electrostatic (ele) contributions. With a Helmholtz free energy expression, following from the Gibbs-phase rule, it is possible to obtain any equilibrium property. For example, the activity coefficients (γ_i) of each species can be obtained from their chemical potential (μ_i), which itself can be obtained as derivatives of the Helmholtz free energy:

$$\mu_i = \left(\frac{\partial A}{\partial n_i} \right)_{V, T} \rightarrow \gamma_i = \frac{1}{x_i} \exp \left(\frac{\mu_i - \mu_i^*}{RT} \right), \quad (3)$$

where x_i is the mole fraction of species i and the superscript $*$ denotes a property relating to a pure system of species i .

In the case of the ionizable lipid, an additional constraint needs to be included in the formulation of the thermodynamic model to account for its deprotonatable site. While an association theory like SAFT could

be used to model this behavior (Perdomo et al., 2023), a more accurate approach would be to explicitly account for the reversible reaction:



This approach explicitly models the two charge states of the IL, as well as the hydronium ion. The equilibrium concentrations of each species in the presence of this reaction can be determined by including a modified version of the Henderson–Hasselbach equation:

$$\text{pH} = \text{pK}_a + \log_{10} \frac{a_{\text{IL}}^*}{a_{\text{HIL}^+}^*}, \quad (4)$$

where a_i^* is the activity of species i in which the reference system is identical to that used to obtain the pK_a . The inclusion of this equation accounts for the effects of system pH and the pK_a of the IL on any of the thermodynamic properties of interest for the system.

One of the more-vital thermodynamic properties, when considering the LNP system, is the relative solubility of mRNA between the LNP core and the bulk phase. This partition coefficient (K_i) can be obtained as

$$K_{\text{mRNA}} = \frac{x_{\text{mRNA}}^{\text{LNP}}}{x_{\text{mRNA}}^{\text{bulk}}} = \frac{\gamma_{\text{mRNA}}^{\text{bulk}}}{\gamma_{\text{mRNA}}^{\text{LNP}}}. \quad (5)$$

Ideally, K_{mRNA} should be as large as possible to maximize the solubility of mRNA within the LNP. To even estimate the partition coefficient, the equilibrium compositions must be obtained in both the LNP and bulk phases at a given set of conditions such as pressure (p_0), temperature (T_0), and initial composition ($\mathbf{n}_0$). This information is obtained by minimizing the Gibbs free energy of the system:

$$\min G(p_0, T_0, \mathbf{n}_0), \quad (6)$$

This optimization presents itself as deceptively simple. In reality, multiple sophisticated algorithms have been proposed to solve this optimization (Michelsen, 1982; Pereira et al., 2012), made more challenging through the introduction of charged species (Nikolaidis et al., 2022) and reversible reactions (Pérez Cisneros et al., 1997). The optimization can be simplified to the solution of two nonlinear algebraic equations:

$$\sum_i \frac{n_i(1 - K_i \exp(Z_i \psi))}{1 + \phi(K_i \exp(Z_i \psi) - 1)} = 0, \quad (7)$$

$$\sum_i \frac{n_i Z_i}{1 + \phi(K_i \exp(Z_i \psi) - 1)} = 0, \quad (8)$$

which ensure that the mass balance and charge neutrality is satisfied, respectively, where Z_i is the charge of species i , ϕ is the phase fraction of the LNP phase, and ψ is the electrochemical potential difference between the two phases. The set of K_i which satisfy these equations will correspond to the partition coefficients at equilibrium.

Performing the above calculations can pose a significant challenge. However, the implementation of the free energy expressions and the methods needed to use them has been abstracted away in projects such as Clapeyron.jl (Walker et al., 2022a) (and derivative packages such as cDFT.jl) which provide all the necessary tools to obtain the relevant properties.

Nevertheless, one challenge remains whenever trying to use such thermodynamic models: parameterization. While the approach described above would be suitable for modeling LNP systems, the true limitation lies in obtaining the parameters which represent the system. These parameters are typically obtained through regression using experimental data. In the case of SAFT-type equations, the Perturbed-Chain SAFT (PC-SAFT) equation (Gross and Sadowski, 2001) has been used to model similar systems with some success (Reschke et al., 2014, 2015; Wysoczanska et al., 2021), where solubility data were used to fit the parameters. Unfortunately, due to the nature of the PC-SAFT equations, these parameters are not transferrable to LNP systems and acquiring such solubility data would be quite challenging. Alternative predictive approaches have been developed for both pure and mixture

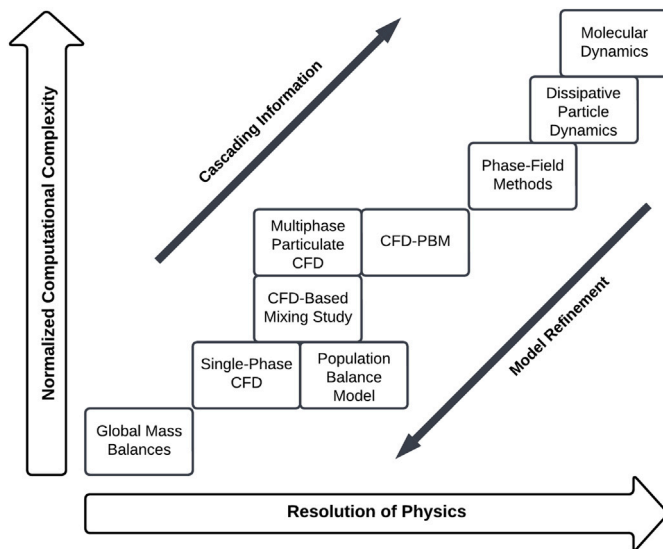


Fig. 4. Summary of modeling strategies available to characterize LNP manufacturing sorted based on depth of physical/process insights gained and normalized computational complexity (i.e., computational cost incurred to resolve the same length- and time-scale).

systems, including *ab initio* (Umer et al., 2014; Kaminski and Leonhard, 2020; Walker et al., 2022b) and machine learning (Winter et al., 2022; Habicht et al., 2023; Felton et al., 2024; Winter et al., 2023) methods, the most promising of which are group-contribution-based approaches (Sauer et al., 2014) such as the SAFT- γ Mie equation (Papaioannou et al., 2014; Wehbe et al., 2022; Bernet et al., 2024; Valsecchi et al., 2024), where, much like the illustration in Fig. 3, molecules can be assembled from common moieties (or groups). Here, parameters are specific to the groups, which can be fitted to systems with more-abundant data and then extrapolated to the desired systems. In the case of SAFT- γ Mie, most of the required groups have already been fitted, with the exception of the phosphate groups involved in mRNA and the phospholipids, which should be possible to parameterize using experimental data for systems involving phosphate groups (such as ionic liquids). The tools required for parameterization of model parameters are already available within the Clapeyron.jl framework and will be the topic of future study.

4. Process modeling approaches

This section outlines some of the modeling strategies that can be employed to study various aspects of the LNP production process. These methods can be categorized along two axes: computational and model complexity, and process and physical insights. A schematic of the various methods outlined is presented in Fig. 4. The LNP formation is inherently a multi-scale and multi-physics process. A rigorous modeling approach needs to employ multiple methods at different length and time scales where results from simpler approaches e.g., mass and energy balances/single-phase CFD are used to inform more detailed methods while insights from more detailed methods can be used to refine simpler models.

4.1. Mass and energy balances

Global mass and energy balances can be constructed by considering the LNP manufacturing process as a mixing process with two input streams (aqueous and organic) and two output streams (LNPs and the raffinate which consists of the solvents and residual lipids/nucleic acids). The model equations are derived by drawing a control volume around the mixer (see Fig. 5) and tracking the material and energy

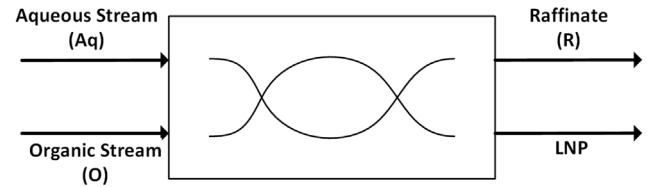


Fig. 5. Schematic of LNP mixer with two feed streams and two product streams as a result of LNP precipitation.

flows in and out of the system. This model assumes that the LNP formation process is at equilibrium i.e., the individual species concentrations in the respective outlet phases are the equilibrium concentrations for a given set of material inputs and process conditions. Formulating these balances for the entire process is useful for “closing” the mass balance of the system and characterizing the location of various species which could be either in the LNP or in the raffinate stream. It is also straightforward to extend the control volume for the mass balance to include the buffer exchange step. The energy balance is also useful for estimating the temperature rise as a result of mixing.

The overall mass balance is given by

$$\frac{dM}{dt} = F^{Aq} + F^O - F^R - F^{LNP}, \quad (9)$$

where M is the total mass holdup in the mixer, F^i is the mass flowrate of stream i with the superscripts Aq, O, R, and LNP corresponding to the aqueous, organics, raffinate, and LNP streams. Similarly, mass balances for each individual species can be written by introducing a mass fraction variable for species j in stream j , x_j^i ,

$$\frac{dM_j}{dt} = F^{Aq} x_j^{Aq} + F^O x_j^O - F^R x_j^R - F^{LNP} x_j^{LNP}, \quad (10)$$

where M_j is the mass holdup of species j in the mixer. For an N component system, $N - 1$ species mass balance equations would be formulated with the mass fraction of the last species being inferred from the fact that, for any stream, the sum of mass fractions of all species is unity, i.e., $\sum_j x_j^i = 1$. Eq. (10) can be simplified by introducing the partition coefficient $k_{D,j} = \frac{x_j^{LNP}}{x_j^R}$. The advantage of introducing k_D to the model is that it can be computed separately either experimentally or computationally using methods outlined in Section 3.2. Lastly, the energy balance is given by

$$\frac{dH}{dt} = F^{Aq} h^{Aq} + F^O h^O - F^R h^R - F^{LNP} h^{LNP} - \dot{Q}_{env}, \quad (11)$$

where H is the total enthalpy holdup in the mixer, h^i is the specific enthalpy of stream i , and $\dot{Q}_{env}$ is the heat loss to the environment. Estimating the specific enthalpies of the respective streams can be quite challenging considering the complex species present. However, the typical LNP mixing process uses dilute aqueous buffer and ethanol streams as inputs (see Table 2). Hence, a very reasonable approximation for the system is to simply treat the process as a two-component mixing process of only ethanol and water. In addition, considering the short residence time in the mixer, it is also reasonable to assume that heat transfer to the environment is negligible (i.e., $\dot{Q}_{env} = 0$). Consequently, (11) simplifies to

$$\frac{dH}{dt} = F^{Aq} h^{Water} + F^O h^{Ethanol} - (F^R + F^{LNP}) h^{Water-Ethanol}. \quad (12)$$

Computing the mass and energy (simplified) balances can be performed easily with the help of a process simulator and accompanying thermodynamic model package (e.g., Aspen). The main source of temperature changes during the process is due to the mixing of ethanol with water which is known to be exothermic (Peeters and Huyskens, 1993). However, the effect of heat of mixing has not been extensively discussed in the literature on LNP production and multiple computational fluid dynamics (CFD) studies investigating the mixing of water and ethanol

have similarly neglected its effect (Schikarski et al., 2017; Orsi et al., 2013). While (9)–(12) are presented on a mass basis, the equations can be readily expressed on a molar basis instead. In addition, all the balance equations have an accumulation term (i.e., time derivative) for completeness, but it would typically be ignored as the mixing process normally operates at steady state.

The relative simplicity of global mass and energy balances to characterize the process should be recognized as both a strength and weakness. On one hand, these balances are able to provide useful information on the process at low-cost. However, the balances are only able to provide coarse-grained information on the process and the quality of the results are a function of the quality of experimental data/thermodynamic models used to estimate the partition coefficients and enthalpies. Mass balances that span multiple unit operations (in particular the buffer exchange step) may be needed when key constituents of outlet streams are measured at the outlet of a downstream unit operation. For example, internal LNP properties are not measurable immediately downstream from the LNP formation, since their measurement first requires buffer exchange and then physical separation of LNPs from liquid. Lastly, non-equilibrium phenomena such as the trapping of water within the LNPs cannot be captured by global mass and energy balances.

4.2. Computational fluid dynamics (CFD)

Computational fluid dynamics (CFD) is widely used to understand unit operations involving fluid mixing in pharmaceutical manufacturing (Rantanen and Khinast, 2015). While LNP manufacturing involves multiphase solid–liquid flow in the mixer, where the liquid phase is composed of a mixture of water and ethanol and the solid phase is composed of LNPs, experimentally observed mass fractions of LNP inside the mixer are comparatively low ($\leq 5\%$) (He et al., 2018; O'Brien Laramy et al., 2023). A single-phase liquid flow model is sometimes used to understand the main characteristics of the flow inside the mixer (Buongiorno, 2006). However, since the LNPs are a separate and distinct phase, multiphase flow modeling may be needed to enable more accurate process modeling by including the dynamic behavior of the particulate phase. Single-phase models, while useful, cannot adequately explain solid–liquid interactions, the movement of particles, the agglomeration and dispersion processes, and changes in particle size.

This section first reviews the governing equations for single-phase one- and two-component liquid flows and discusses the relative strengths and limitations of these models in characterizing the flow inside the mixer. Subsequently, we review the governing equations for solid–liquid flows based on a multiphase approach and outline why multiphase approaches can yield more realistic and reliable results compared to single-phase models.

4.2.1. Single-phase models

The incompressible Navier–Stokes equations, which are the governing equations for single-component liquid flow, can be used to understand the overall impact of the mixer geometry on flow. The associated total mass and linear momentum conservation equations, respectively, are

$$\nabla \cdot \mathbf{u} = 0, \quad (13)$$

$$\rho \frac{\partial \mathbf{u}}{\partial t} + \rho \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \nabla \cdot \boldsymbol{\tau} + \rho \mathbf{b}, \quad (14)$$

where $\mathbf{u}$, p , and $\boldsymbol{\tau} = \mu(\nabla \mathbf{u} + (\nabla \mathbf{u})^T)$ are the velocity, pressure, and viscous stress, and ρ , μ , and $\mathbf{b}$ are density, viscosity, and acceleration due to an external body force. Exothermic ethanol–water mixing, turbulence, and contrast of properties at different spatial locations inside the mixer are ignored in the above equations. While these equations provide an approximate idea of the fluid flow inside the mixer, the assumption of zero turbulence can give an incorrect estimate of shear rates in the flow. Estimating the shear stress is important, as it affects the structure of LNPs and the encapsulated genetic material (Kim et al., 2022a).

4.2.2. Turbulence models

While the Reynolds number (Re) typically used for microfluidic mixing in the mixers is not large enough to suggest transition to turbulence, the flow may become turbulent as a result of flow turning in some mixers with high local curvatures, such as the baffled mixture shown in Fig. 1d. Such curvature can give rise to localized vortices in the flow (Inguva et al., 2018). Rapid momentum changes to fluid streams in a confined impinging jet mixer, as shown in Fig. 1e, also can give rise to turbulent effects. In addition, ethanol–water mixing may also induce transition to turbulence at small Re (Devos et al., 2025). Estimating these turbulent effects by directly simulating (13)–(14) requires resolving the Kolmogorov length scale (λ_K), which is computationally very expensive. A more common approach is to use turbulence models to approximate the effects at small length scales, by extending (13)–(14).

A turbulence model uses computationally resolved physical quantities to approximate the effect of physical quantities that are not resolved computationally, such as sub-grid scale shear stress and viscosity. While the computational effort is reduced, properly choosing the turbulence model based on the target application is important. Commonly used turbulence models for (13)–(14) can be broadly classified into Reynolds Averaged Navier Stokes (RANS) and Large Eddy Simulation (LES) models. Here, we provide a conceptual overview for each of these models.

The RANS model decomposes the flow variables into an ensemble-averaged and a fluctuating component: $\mathbf{u} = \langle \mathbf{u} \rangle + \mathbf{u}'$ and $p = \langle p \rangle + p'$. Substituting into (13)–(14) gives the RANS equations,

$$\nabla \cdot \langle \mathbf{u} \rangle = 0, \quad (15)$$

$$\rho \frac{\partial \langle \mathbf{u} \rangle}{\partial t} + \rho \langle \mathbf{u} \rangle \cdot \nabla \langle \mathbf{u} \rangle = -\nabla \langle p \rangle + \nabla \cdot \langle \boldsymbol{\tau} \rangle + \nabla \cdot \langle \boldsymbol{\tau}' \rangle + \rho \mathbf{b}, \quad (16)$$

where $\langle \boldsymbol{\tau} \rangle = \mu(\nabla \langle \mathbf{u} \rangle + \nabla^T \langle \mathbf{u} \rangle)$ and $\langle \boldsymbol{\tau}' \rangle$ are the ensemble-averaged viscous stress and Reynolds stress, respectively. Most engineering applications employ two-equation RANS models such as the k - ϵ (Lauder and Spalding, 1983) and k - ω (Wilcox, 1988) models, where the Reynolds stress is modeled using the Boussinesq eddy viscosity approximation,

$$\langle \boldsymbol{\tau}' \rangle = \mu_t(\nabla \langle \mathbf{u} \rangle + \nabla^T \langle \mathbf{u} \rangle) - \frac{2}{3} \rho k \mathbf{I}, \quad (17)$$

where μ_t and k are the turbulent viscosity and turbulent kinetic energy, respectively, and $\mathbf{I}$ is the identity matrix. As an illustration, in the k - ϵ model, k and μ_t are determined by solving

$$\frac{\partial (\rho k)}{\partial t} + \nabla \cdot (\rho k \langle \mathbf{u} \rangle) = \nabla \cdot \left\{ \left(\mu + \frac{\mu_t}{\sigma_k} \right) \nabla k \right\} + G_k - \rho \epsilon + S_k, \quad (18)$$

$$\frac{\partial (\rho \epsilon)}{\partial t} + \nabla \cdot (\rho \epsilon \langle \mathbf{u} \rangle) = \nabla \cdot \left\{ \left(\mu + \frac{\mu_t}{\sigma_\epsilon} \right) \nabla \epsilon \right\} + C_{1\epsilon} \frac{\epsilon}{k} G_k - C_{2\epsilon} \rho \frac{\epsilon^2}{k} + S_\epsilon, \quad (19)$$

$$\mu_t = \rho C_\mu \frac{k^2}{\epsilon}, \quad G_k = \frac{\mu_t}{2\mu^2} \boldsymbol{\tau} : \boldsymbol{\tau}, \quad (20)$$

where ϵ , S_k , and S_ϵ are the turbulent dissipation rate, the source of turbulent kinetic energy, and the source of turbulent dissipation, and $C_{1\epsilon} = 1.44$, $C_{2\epsilon} = 1.92$, $C_\mu = 0.09$, $\sigma_k = 1.0$, and $\sigma_\epsilon = 1.3$ are constants.

In the viscous sublayer near walls, the accuracy of the k - ϵ model can significantly decrease. Standard wall functions developed by Lauder and Spalding (1974) simplify the modeling of near-wall turbulence by using empirical laws such as the logarithmic law of the wall discovered by von Kármán (1931). The wall function developed through these studies primarily use the logarithmic law of the turbulent boundary layer to calculate the velocity, turbulent kinetic energy, turbulent dissipation rate, temperature, and component distribution near the walls. The basic equations for predicting the wall velocity based on the wall function are

$$U^* = \frac{1}{\kappa} \ln(E y^*) = U_p C_\mu^{1/4} k_p^{1/2}, \quad (21)$$

$$y^* = \frac{\rho C_\mu^{1/4} k_p^{1/2}}{\mu} y_p, \quad (22)$$

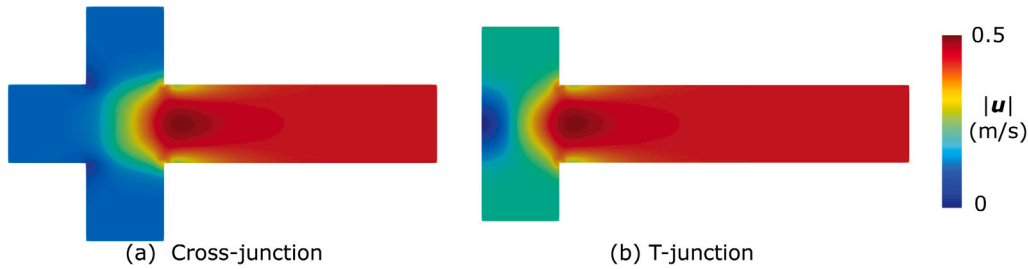


Fig. 6. Distribution of magnitude of the velocity of water in (a) a T-junction and (b) a cross-junction mixer at steady state, solved using the $k-\epsilon$ turbulence model. The flows in (a) are $F^{\text{left}} = 125 \mu\text{L} \cdot \text{min}^{-1}$ and $F^{\text{bottom}} = F^{\text{top}} = 187.5 \mu\text{L} \cdot \text{min}^{-1}$ and in (b) are $F^{\text{bottom}} = 375 \mu\text{L} \cdot \text{min}^{-1}$ and $F^{\text{top}} = 125 \mu\text{L} \cdot \text{min}^{-1}$.

where κ is the von Kármán constant ($= 0.4187$), E is an empirical constant ($= 9.793$), U_p is the mean velocity of the fluid at the wall-adjacent cell centroid P , k_p is the turbulent kinetic energy at the wall-adjacent cell centroid P , and y_p is the distance from the centroid of the wall-adjacent cell to the wall.

After [Launder and Spalding \(1974\)](#) proposed the use of wall functions, various wall function methods have been suggested, with each method modeling the flow near the wall under specific conditions. Scalable wall functions are effective when the grid near the wall becomes denser, resulting in a smaller y^+ value ([Marié et al., 1997](#)). Non-equilibrium wall functions are designed to be applicable even when the flow near the wall is in a non-equilibrium state and is effective in cases where there are large pressure gradients or strong curvatures near the wall ([Kim and Choudhury, 1995](#)). Enhanced wall treatment is a hybrid approach that uses wall functions together with direction resolution in the low Re region to better capture the flow near the wall ([Fiuza and Rezende, 2018](#)).

[Fig. 6](#) shows the steady-state profile of the magnitude of the velocity for the flow of water in (a) a T-junction and (b) a cross-junction mixer using the $k-\epsilon$ model. Although the native $k-\epsilon$ and $k-\omega$ models provide good quantification for turbulent effects in single-component, single-phase flows, their predictions are sometimes inadequate for regions near the walls and flows containing large pressure gradients and large curvatures. Modified forms of the native RANS models have been proposed, such as the RNG model ([Yakhot et al., 1992](#)) and the SST model ([Menter, 1994](#)), among others. For an extensive review of RANS models for T-mixers, see [Frank et al. \(2010\)](#).

Another commonly employed turbulence modeling approach is LES, which filters the governing equations into a large- and a small-scale part, and models the subgrid-scale (SGS) terms. For the sake of understanding LES modeling for the equations presented in the ensuing sections, we present the LES equations corresponding to (13)–(14), in addition to a scalar transport equation that governs the transport of a scalar v by advection, diffusion, and reaction,

$$\frac{\partial v}{\partial t} + \mathbf{u} \cdot \nabla v = \nabla \cdot (D_v \nabla v) + S_v, \quad (23)$$

where D_v is the diffusion constant and S_v is a source term for the production of v . Applying spatial filtering on (13)–(14) and (23) gives

$$\nabla \cdot \bar{\mathbf{u}} = 0, \quad (24)$$

$$\rho \frac{\partial \bar{\mathbf{u}}}{\partial t} + \rho \bar{\mathbf{u}} \cdot \nabla \bar{\mathbf{u}} = -\nabla \bar{p} + \nabla \cdot \bar{\boldsymbol{\tau}} + \nabla \cdot \bar{\mathbf{J}} + \rho \bar{\mathbf{b}}, \quad (25)$$

$$\frac{\partial \bar{v}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla \bar{v} = \nabla \cdot (D_v \nabla \bar{v} + \bar{\mathbf{J}}) + \bar{S}_v, \quad (26)$$

where the overline represents spatially filtered quantities, and the symbols $\bar{\boldsymbol{\tau}}$ and $\bar{\mathbf{J}}$ are the SGS stress and SGS scalar flux respectively. SGS terms are typically modeled using a Dynamic Smagorinsky Model,

$$\text{tr}(\bar{\boldsymbol{\tau}}) = \frac{C_I \rho \Delta^2}{\mu^2} \bar{\boldsymbol{\tau}} : \bar{\boldsymbol{\tau}}, \quad \bar{\boldsymbol{\tau}} = \frac{1}{3} \text{tr}(\bar{\boldsymbol{\tau}}) \mathbf{I} - \frac{C_s \rho \Delta^2}{2\mu^2} \sqrt{2\bar{\boldsymbol{\tau}} : \bar{\boldsymbol{\tau}}} \left(\bar{\boldsymbol{\tau}} - \frac{1}{3} \text{tr}(\bar{\boldsymbol{\tau}}) \mathbf{I} \right), \quad (27)$$

$$\bar{\mathbf{J}} = -\frac{C_s \rho \Delta^2}{2\mu \text{Sc}_t} \sqrt{2\bar{\boldsymbol{\tau}} : \bar{\boldsymbol{\tau}}} \nabla \bar{v}, \quad (28)$$

where Δ is the computational mesh size, Sc_t is the turbulent Schmidt number, and C_s and C_I are model coefficients determined using the Germano identity ([Germano, 1992](#)). For a more extensive discussion on LES models, see [Tkatchenko et al. \(2007\)](#).

While incompressible turbulence models can be used to predict shear stresses inside the mixer at a reasonable computational cost, they cannot account for the spatial variation in properties in an ethanol–water mixture. The mixing of ethanol and water changes the density of the solution and is exothermic. Such a mixing process can be treated in the form of compressible fluid flow equations. Moreover, the turbulent models to simulate the mixing phenomena needs to also include the species and energy transport models ([Gatski and Bonnet, 2009](#)).

4.2.3. Species transport models

Water and ethanol are two miscible species, and the extent of their mixing is key to determining LNP precipitation in the mixer. The species transport model for the various components can be represented by conservation equations of volume/mass fraction. Using the notation of volume fraction can be useful to consider changes in the composition in the mixer. The governing equations for two-component miscible flows are ([Ghorbani et al., 2021](#))

$$\frac{\partial \rho_m}{\partial t} + \nabla \cdot (\rho_m \mathbf{u}) = 0, \quad (29)$$

$$\frac{\partial (\rho_m \mathbf{u})}{\partial t} + \rho_m \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \nabla \cdot \boldsymbol{\tau} + \rho_m \mathbf{b}, \quad (30)$$

$$\frac{\partial \varphi}{\partial t} + \mathbf{u} \cdot \nabla \varphi = \nabla \cdot (D_{we} \nabla \varphi), \quad (31)$$

where $\rho_m(\varphi)$, $\mu_m(\varphi)$, D_{we} , and φ are the density, viscosity, and mass diffusivity of the mixture, and volume fraction of ethanol respectively. While linear interpolation functions are generally used for predicting density and viscosity of a liquid mixture ([Parke and Birch, 1999](#)), the viscosity of an ethanol–water mixture is ~ 3 times higher than pure water ([Orsi et al., 2013](#)). This viscous ethanol–water interfacial region could inhibit the formation of vortices, which reduces mixing efficiency at large Re. The density of the mixture varies linearly, and the difference between ρ_w and ρ_e is not large enough so that Rayleigh–Taylor instabilities become the primary source of transition to turbulence. However, shear at the ethanol–water interface could give rise to Kelvin–Helmholtz (KH) instabilities, which are the primary instabilities that cause transition to turbulence during ethanol–water mixing ([Schikarski et al., 2017](#)). In addition, accurately quantifying the diffusion of water and ethanol requires resolving the Batchelor length scale, which is related to the Kolmogorov length scale by $\lambda_B = \lambda_K / \sqrt{\text{Sc}}$. Here, $\text{Sc} \approx 600$ is the Schmidt number for water–ethanol mixing ($\text{Sc} = \mu_m / (\rho_m D_{we})$). This makes the direct numerical simulation (DNS) of water–ethanol mixing computationally expensive even for moderate Re. Limited DNS of mixing in T-mixers ([Orsi et al., 2013](#); [Schikarski et al., 2017](#); [Inguva et al., 2018](#)) have shown that, for $\text{Re} \leq 250$, the flow is stratified, the mixing is purely diffusive, and the vortices remain confined to individual liquids. As $\text{Re} \geq 500$, strong KH instabilities are observed at the interface, and mixing is greatly enhanced due to turbulence. In [Fig. 7](#), we show the variation of the volume fraction of ethanol at steady

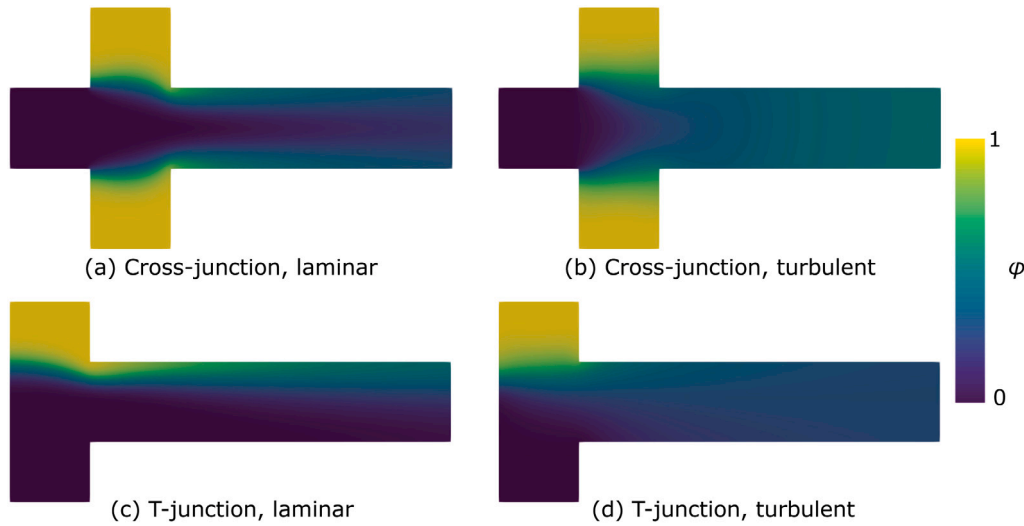


Fig. 7. Distribution of the volume fraction of ethanol at steady-state in (a, b) cross-junction and (c, d) T-junction mixers for (a, c) laminar and (b, d) turbulent flows, computed using the k - ϵ turbulence model. Ethanol is injected from the top and bottom in (a, b), and from the top in (c, d) using the same flow rates as in Fig. 6.

state for water–ethanol mixing in cross-junction, and T-junction mixers under laminar and turbulent flow conditions.

The distribution of individual chemical species such as lipids and mRNA which are transported by the water–ethanol liquid mixture is neglected in simple CFD models. Since LNPs are formed from the self-assembly of these species, modeling the distribution of these species is critical to characterizing LNP formation. This section enumerates the transport equations for individual species of the mixture. Consider an organic stream composed of I ionizable lipids (ILs), whose concentrations and valences are represented as $[L_i]$ and z_i respectively, $i = 1, \dots, I$; and N neutral lipids (NLs) whose concentrations are represented as $[L_n]$, $n = 1, \dots, N$. The transport of species due to the velocity of the underlying flow, molecular diffusion, electromigration (transport driven by electric potential for charged ILs and mRNA), and production/dissipation due to self assembly can be modeled by

$$\rho \frac{\partial [\text{mRNA}]}{\partial t} + \rho(\mathbf{u}) \cdot \nabla [\text{mRNA}] = \nabla \cdot \left(\rho D_{i,\text{mRNA}} \left(\nabla [\text{mRNA}] + z_{\text{mRNA}} [\text{mRNA}] \frac{e}{k_B T} \nabla V \right) \right) - \rho Y, \quad (32)$$

$$\rho \frac{\partial [L_i]}{\partial t} + \rho(\mathbf{u}) \cdot \nabla [L_i] = \nabla \cdot \left(\rho D_{i,L_i} \left(\nabla [L_i] + z_i [L_i] \frac{e}{k_B T} \nabla V \right) \right) - \rho Y, \quad i = 1, \dots, I, \quad (33)$$

$$\rho \frac{\partial [L_n]}{\partial t} + \rho(\mathbf{u}) \cdot \nabla [L_n] = \nabla \cdot \left(\rho D_{i,L_n} \nabla [L_n] \right) - \rho Y, \quad n = 1, \dots, N, \quad (34)$$

$$\rho \frac{\partial [\text{LNP}]}{\partial t} + \rho(\mathbf{u}) \cdot \nabla [\text{LNP}] = \nabla \cdot \left(\rho D_{i,\text{LNP}} \nabla [\text{LNP}] \right) + \rho Y, \quad (35)$$

$$\nabla \cdot (\epsilon \epsilon_r \nabla V) = -e \left(z_{\text{mRNA}} [\text{mRNA}] + \sum_{i=1}^I z_{L_i} [L_i] \right), \quad (36)$$

where $[\text{mRNA}]$ and z_{mRNA} are the concentration and valence of mRNA; $[\text{LNP}]$ is the concentration of LNPs; e is the elementary charge; k_B is the Boltzmann constant; $\epsilon \epsilon_r$ is the dielectric constant of the ethanol–water mixture; $D_{i,\text{mRNA}}$, D_{i,L_i} , D_{i,L_n} , and $D_{i,\text{LNP}}$ represent the total diffusivity of mRNA, ILs, NLs, and LNPs, respectively; V is the induced electric potential; and Y is a source term that describes the rate of self-assembly of lipids and mRNA to produce LNPs. These equations assume that the Nernst–Planck law is valid for the diffusion of charged species, namely ILs and mRNA in the liquid (Del Río and Whitaker, 2016). Owing to the high dielectric constants of water and ethanol ($\epsilon \geq 20$), a reasonable approximation is that the induced electric potential may not be large enough for Nernst–Planck diffusion to be significant. In that case, (36) becomes negligible, and (32)–(33) reduce to advection–diffusion–reaction equations. However, we include these

terms for the sake of generality. The source term Y is a complex nonlinear function of the species concentrations and valences, and is yet to be characterized experimentally for this system to the best of our knowledge. The total diffusivity is the sum of molecular and turbulent diffusivity, e.g., $D_{i,\text{mRNA}} = D_{\text{mRNA}} + D_t$. The turbulent diffusivity can be calculated using $D_t = \mu_t / (\rho \text{Sc}_i)$. For $\text{Re} \leq 250$, molecular diffusivity is the predominant mechanism, whereas turbulent diffusivity dominates for $\text{Re} \geq 500$ for the range of molecular diffusivities of the lipids. After the self-assembly, LNPs are precipitated out of solution and exist as small solid particles in the fluid. As such, (35) describes the evolution equation of the solid phase in the fluid, assuming that the LNPs follow the streamlines of the flow. (This approximation is reasonable for nanometer-sized particles (Di Carlo et al., 2007).)

DNS is accurate, but coupling to species balance equations previously outlined makes the problem intractable computationally (Nguyen et al., 2016). Additionally, the rate of self-assembly of lipids is not fast compared with the rate of mixing of water and ethanol at the SGS, which is referred to as micromixing (David and Villermaux, 1987). These kind of flows, where the time scales for turbulent mixing and self-assembly are comparable and micromixing effects are important, are typically modeled using a Probability Distribution Function (PDF) approach for turbulent mixing (Fox, 2003; Meyer and Jenny, 2009). The PDF (f_ϕ) is a function of the lipid concentrations, water and ethanol volume fractions, as well as the space and time coordinates; from which the individual species concentrations and the self-assembly source term may be obtained (Fox, 2003). Solution methods for the PDF include transported PDF models, where we explicitly solve transport equations for the PDF, for details see (Pope, 2001). Another approach is the finite-mode presumed PDF approach, where the PDF is presumed to be a composition of a finite number of Dirac delta functions (Fox, 2003),

$$f_\phi(\boldsymbol{\psi}; \mathbf{x}, t) = \sum_{n=1}^{N_e} p_n(\mathbf{x}, t) \prod_{a=1}^{N_s} \delta[\psi_a - \langle \phi_a \rangle_n(\mathbf{x}, t)] \quad (37)$$

where N_s is the total number of species, N_e is the total number of modes/environments, p_n is the probability of mode n , and $\langle \phi_a \rangle_n$ is the mean composition of scalar ϕ in mode n . For our system, we have $N_s = I + N + 2$, corresponding to the ILs, NLs, mRNA, and LNP. A 3-mode/environment model ($N_e = 3$) has been previously used to describe micromixing of water–ethanol (da Rosa and Braatz, 2018), water-methanol (Pirkle et al., 2015), and water-BaCl₂ (Marchisio et al., 2001), among others. For the 3-environment model for binary liquid–liquid mixing, environment 1 describes pure water, environment 2

Table 5
Micromixing functions for the 3-environment ethanol–water micromixing model.

Function	Formula	Function	Formula	Function	Formula
G_1	$-\gamma p_1(1 - p_1)$	$G_{s,1}$	$\gamma_s p_3$	$M^{(3)}$	$\gamma (p_1(1 - p_1) + p_2(1 - p_2))$
G_2	$-\gamma p_2(1 - p_2)$	$G_{s,2}$	$\gamma_s p_3$	$M_s^{(3)}$	$-2\gamma_s p_3$

describes pure ethanol, and environment 3 describes the ethanol–water mixture. While other models, such as the 2-and 4-environment models are also available (Wang and Fox, 2004), the 3-environment model aligns most naturally to this mixing process. Using p_j to denote the probability of environment j ($p_1 + p_2 + p_3 = 1$), and $\langle \xi \rangle_3$ to describe the concentration of ethanol in environment 3, the governing equations for ethanol–water micromixing can be written as

$$\rho \frac{\partial p_j}{\partial t} + \rho \langle \mathbf{u} \rangle \cdot \nabla p_j = \nabla \cdot (\rho D_t \nabla p_j) + \rho G_j(p_1, p_2, p_3, \langle \xi \rangle_3) + \rho G_{s,j}(p_1, p_2, p_3, \langle \xi \rangle_3); \quad j = 1, 2, \quad (38)$$

$$\rho \frac{\partial}{\partial t}(p_3 \langle \xi \rangle_3) + \rho \langle \mathbf{u} \rangle \cdot \nabla (p_3 \langle \xi \rangle_3) = \nabla \cdot [\rho D_t \nabla (p_3 \langle \xi \rangle_3)] + \rho M^{(3)}(p_1, p_2, p_3, \langle \xi \rangle_3) + \rho M_s^{(3)}(p_1, p_2, p_3, \langle \xi \rangle_3), \quad (39)$$

where the functions G_j , $G_{s,j}$, $M^{(3)}$, and $M_s^{(3)}$ model micromixing effects (Fox, 2003). In particular, the functions G_j and $M^{(3)}$ model micromixing in accordance with the interaction by exchange with the mean (IEM) theory, which states that the environment probabilities (p_i) and species concentrations homogenize to a mean value with the same rate constant (γ); whereas the functions $G_{s,j}$ and $M_s^{(3)}$ ensure that the mean variance of the scalar is correctly predicted using (38)–(39). Their specific forms are enumerated in Table 5. The constants in Table 5, namely γ and γ_s , and the mean variance of $\langle \xi \rangle_3$ (represented as $\langle \xi'^2 \rangle$) are

$$\gamma = \frac{2\langle \xi'^2 \rangle \epsilon}{k [p_1(1 - p_1)(1 - \langle \xi \rangle_3)^2 + p_2(1 - p_2)\langle \xi \rangle_3^2]}, \quad (40)$$

$$\gamma_s = \frac{2D_t \nabla \langle \xi \rangle_3 \cdot \nabla \langle \xi \rangle_3}{(1 - \langle \xi \rangle_3^2)^2 + \langle \xi \rangle_3^2}, \quad (41)$$

$$\langle \xi'^2 \rangle = p_1(1 - p_1) - 2p_1 p_3 \langle \xi \rangle_3 + p_3(1 - p_3)\langle \xi \rangle_3^2. \quad (42)$$

4.2.4. Energy transport models

Ethanol–water mixing is exothermic with an enthalpy of mixing of $\Delta H_{we} = -412$ J/mol for a mixture with $x_e = 0.5$, where x_e is the mole fraction of ethanol. This enthalpy of mixing causes a local increase in temperature near the ethanol–water interface. Quantifying this temperature rise may be important for certain flow rates and mixer geometries, as temperature changes the properties of mRNA and lipids (Ball et al., 2016). The variation of temperature in the fluid is modeled by the energy balance

$$\frac{\partial(\rho_m C_{pm} T)}{\partial t} + \nabla \cdot (\rho_m \mathbf{u} C_{pm} T) = \nabla \cdot (\kappa_m \nabla T) + S_h, \quad (43)$$

where T , $C_{pm}(\varphi)$, and $\kappa_m(\varphi)$ are the temperature, specific heat capacity, and thermal diffusivity of the mixture. For an extensive review of the dependence of specific heat capacity and thermal diffusivity of the mixture on the volume fraction of ethanol, see (Parke and Birch, 1999). The last term in the energy balance is the heat of mixing between ethanol and water, which can be represented as

$$S_h = \left(\frac{\rho_m \varphi}{M_e} \right) \left(\frac{\rho_e F^O + \rho_w F^{Aq}}{\rho_m} \right) x_e (1 - x_e) \sum_{n=0}^4 B_n (1 - 2x_e)^n, \quad (44)$$

$$x_e = \frac{\varphi / M_e}{\varphi / M_e + (1 - \varphi) / M_w},$$

where M_e and M_w are the molar masses of ethanol and water, respectively, and $B_0 = 1580$, $B_1 = 1785$, $B_2 = 3487$, $B_3 = 3187$, and $B_4 = 1957$ J/mol are constants (Boyne and Williamson, 1967). When used alongside turbulence models, the velocity in (43) is replaced by

the ensemble-averaged velocity for RANS models and spatially filtered velocity for LES models. For the range of Re relevant to LNP manufacturing ($\leq \mathcal{O}(10^4)$), the turbulent intensities are small and turbulent heating may be neglected (Cafiero et al., 2014), implying that the turbulence models reviewed in Section 4.2.2 can be used directly.

4.2.5. Multiphase flow models

When LNPs precipitate from solution and form small particles in the fluid, it can be treated as a distinct solid phase dispersed in the liquid phase. Such flows, where different phases interact, are commonly treated mathematically as interpenetrating continua in CFD. The mixture model, which simultaneously considers the carrier phase and the dispersed phase, is efficient in the analysis of such multiphase flows. The model equations are

$$\frac{\partial \rho_m}{\partial t} + \nabla \cdot (\rho_m \mathbf{u}_m) = 0, \quad (45)$$

$$\frac{\partial(\rho_m \mathbf{u}_m)}{\partial t} + \nabla \cdot (\rho_m u_m \mathbf{u}_m) = -\nabla p + \nabla \cdot (\mu_m (\nabla \mathbf{u}_m + (\nabla \mathbf{u}_m)^T)) + \rho_m \mathbf{b} + \mathbf{F}_{st}, \quad (46)$$

$$\frac{\partial(\alpha_q \rho_q)}{\partial t} + \nabla \cdot (\alpha_q \rho_q \mathbf{u}_q) = 0, \quad (47)$$

where $\mathbf{F}_{st}$ represents the interfacial forces per unit volume; and α_q , ρ_q , and $\mathbf{u}_q$ are the volume fraction, density, and velocity of phase q , respectively. The slip velocity (i.e., the relative velocity between phases), $\mathbf{u}_{dr}$ is defined as $\mathbf{u}_{dr} = \mathbf{u}_p - \mathbf{u}_m$, where $\mathbf{u}_p$ is the velocity of the dispersed phase. The mixture velocity $\mathbf{u}_m$ is related to the volume fractions and velocities of each phase by the relation,

$$\mathbf{u}_m = \sum_q \alpha_q \mathbf{u}_q. \quad (48)$$

The mixture model is quite similar to the equations for single-phase flow previously described, but is expressed with the density and velocity of two or more phases. In addition, the momentum equation for the mixture includes addition terms due to the slip of the dispersed phase relative to the continuous phase. The slip velocity equation can be solved using empirical correlations or additional differential equations to account for the interactions between the phases due such as drag and drift forces (ANSYS, 2021). The volume fraction of the dispersed phase is solved using the phase continuity equation. One way to simulate LNP formation and transport using the mixture model is to treat LNPs and the water–ethanol solution as two phases, with the species transport equation for ethanol and water added to represent the solution phase. A more accurate approach is to treat the system as one solid and multiple liquid phases.

Using only one momentum equation such as in the mixture model (48) makes it inherently difficult to accurately predict the multiphase flows. Specifically, if LNPs detach and disperse from the continuous phase flow (e.g., using a baffled mixer), the particles can have a completely different directional flow from the continuous phase within the cell. To better simulate such cases, an Euler–Euler multiphase model can be adopted which incorporates the mass and momentum conservation equations for each phase, thus calculating the velocity of each phase separately. The momentum equation is correspondingly expressed as

$$\frac{\partial(\alpha_q \rho_q \mathbf{u}_q)}{\partial t} + \nabla \cdot (\alpha_q \rho_q \mathbf{u}_q \mathbf{u}_q) = -\alpha_q \nabla p + \nabla \cdot (\alpha_q \boldsymbol{\tau}_q) + \alpha_q \rho_q \mathbf{g} + \mathbf{M}_q, \quad (49)$$

where p is assumed to be shared by all phases, $\boldsymbol{\tau}_q$ is the stress tensor for phase q , and $\mathbf{M}_q$ represents the rate of momentum exchange per unit volume between phases.

When using the Euler–Euler model, interaction forces can be directly calculated using theoretical models based on the velocities computed for each phase (Prosperetti and Tryggvason, 2007). In other words, the advantage of the Euler–Euler multiphase model is that it can more realistically represent interactions between phases by predicting the momentum of the phases separately. Specifically, it can accurately represent phenomena where vectors have different directions within a cell, making it well-suited for particulate processes frequently encountered in chemical engineering such as fluidized beds (Van Wachem and Almstedt, 2003) and cyclones (Narasimha et al., 2012). These features of the Euler–Euler model are also well-suited for predicting the behavior of nanoparticles in flow.

To realistically predict the free movement of particles, the Discrete Element Method (DEM), which treats the particulate phase as individual particles and describes their trajectory using Newton's equations of motion, is an effective modeling approach. To predict the movement of the LNPs following the continuum, it is necessary to couple the DEM equations with the governing equations describing the flow of the continuous phase (i.e., the Navier–Stokes equations). This approach is called the Euler–Lagrangian multiphase model. The continuous phase of this model is treated in a similar way to a single-phase flow model, though additional interaction forces with the particulate phase can be incorporated depending on the extent of coupling deemed necessary. The motion of individual particles is governed by

$$m_p \frac{d\mathbf{u}_p}{dt} = \mathbf{f}_d + \mathbf{f}_g + \mathbf{f}_c, \quad (50)$$

where m_p is the particle mass, $\mathbf{u}_p$ is the velocity, $\mathbf{f}_d$ is the drag force (Syamlal and Gidaspow, 1985; Alobaid et al., 2022), $\mathbf{f}_g$ is the gravitational force acting on the particle, and $\mathbf{f}_c$ is the force acting on the particle due to collisions. This modeling approach helps characterize the detailed transport phenomena of the LNPs in the mixer that otherwise cannot be resolved by simpler CFD models (i.e., single-phase models) (Mahmoud et al., 2019). For more details on the models used for $\mathbf{f}_d$ and on Eulerian–Lagrangian models in general, see (Subramaniam, 2013).

The CFD models in this section do not model particulate processes such as nucleation, growth, and agglomeration in the mixer. The evolution of the particulate size distribution can be modeling using the population balance framework described in the next section.

4.3. Population balance models

Population balance models (PBMs) are a framework to study the spatiotemporal dynamics of a population that has a distribution over one or more intrinsic properties. Examples of intrinsic properties typically considered in PBMs include size (e.g., length, mass, volume), composition (e.g., concentrations and densities), and age. These descriptive capabilities of PBMs have resulted in their application in a wide range of physical, chemical, and biological systems such as crystallization and precipitation, multiphase flows, and cell cultures (Ramkrishna and Singh, 2014).

The PBM for a single species (e.g., LNPs) with m intrinsic variables is (Ramkrishna and Singh, 2014; Inguva et al., 2022; Inguva and Braatz, 2023),

$$\frac{\partial n}{\partial t} + \sum_{i=1}^m \frac{\partial(G_i n)}{\partial a_i} + \nabla \cdot (\mathbf{u}n) = S + \nabla \cdot (D \nabla n), \quad (51)$$

where n is the species number density, G_i is the growth rate corresponding to the intrinsic variable a_i , D is the particle diffusivity, and S is a source term that describes various physical processes that change the number density of particles, e.g., breakage. To date, there are no published studies employing PBMs to study LNP production by rapid mixing. In this section, we summarize how PBMs from adjacent areas such as emulsification (Håkansson, 2019; Raikar et al., 2009), precipitation, and crystallization (Woo et al., 2006; Schwarzer et al.,

2006) can guide model development for LNPs with a focus on modeling the particle size distribution.

Physically, LNP formation and dynamics can be modeled as having four predominant steps:

- Nucleation, in which a small number of molecules of the various species associate to form an LNP,
- Growth, in which the volume of the LNP increases as further quantities of various species are incorporated into the LNP from the bulk,
- Agglomeration/aggregation in which two or more LNPs collide and merge to form a larger LNP, and
- Breakage, in which larger LNPs fragment into smaller LNPs.

A schematic illustration of the four processes is shown in Fig. 8. Tracking the LNP size L as an intrinsic property, the PBM incorporating these processes is

$$\frac{\partial n}{\partial t} + \frac{\partial(Gn)}{\partial L} + \nabla \cdot (\mathbf{u}n) = B_n + B_a - D_a + B_b - D_b + \nabla \cdot (D \nabla n), \quad (52)$$

where B and D refer to birth and death rates, and the subscripts n , a , and b refer to nucleation, aggregation, and breakage, respectively. In the ensuing discussion, we outline how the underlying physics informs possible functional forms for the terms G , B_n , B_a , D_a , B_b , and D_b for LNP formation. Exemplar functional forms for the various terms are presented in Table 6.

4.3.1. Nucleation

Prior to formulating an expression for the nucleation rate, it is helpful to first consider the physics of the nucleation process. By creating a supersaturated environment, such as with the addition of an antisolvent, it becomes thermodynamically favorable for the solute(s) to form a new phase, such as the formation of a precipitate. Nucleation is the first step of this process and a nucleus can be understood as the smallest amount of the new phase that can exist independently (Erdemir et al., 2009). Nucleation mechanisms have been broadly categorized as either primary or secondary depending on whether nucleation occurs in the absence or presence of parent particles of the same kind respectively (Xu et al., 2020). For LNP manufacturing, which relies on rapid mixing of an antisolvent, high supersaturation is created locally at the mixing area, resulting in primary nucleation likely being the dominant mechanism (Thorat and Dalvi, 2012), thus motivating the focus of the subsequent discussion on primary nucleation.

Within the context of primary nucleation, the mechanism can be either homogeneous (nuclei form in the solution) or heterogeneous (nuclei form on structural inhomogeneities e.g., surfaces/foreign particles) (Thanh et al., 2014). For some systems, the nuclei are formed by a two-step mechanism in which the fluid spontaneously forms highly concentrated liquid droplets within a dilute bulk fluid phase, which is then followed by the formation of nuclei (Erdemir et al., 2009). To our knowledge, nucleation rate expression based on two-step nucleation are rarely used, though bounds on the rate can be constructed (Chen et al., 2012a). For precipitation processes, parsing the specific nucleation mechanism is challenging (e.g., see (Roelands et al., 2006; Kügler et al., 2016)), and may not be necessary for developing a PBM as these complexities can be captured in the nucleation model with the use of fitted parameters.

For primary nucleation, the birth rate is usually expressed in the PBM literature as

$$B_n = B_0 \delta(L - L_0), \quad (53)$$

where B_0 is the nucleation rate, $L_0 \geq 0$ is the length of the smallest particle, and δ is the Dirac delta function which treats the nuclei as being mono-disperse, and is often a good assumption for nuclei that form in a turbulent mixing zone. Some studies replace the Dirac delta function with a broader probability distribution function (e.g., Gaussian), which

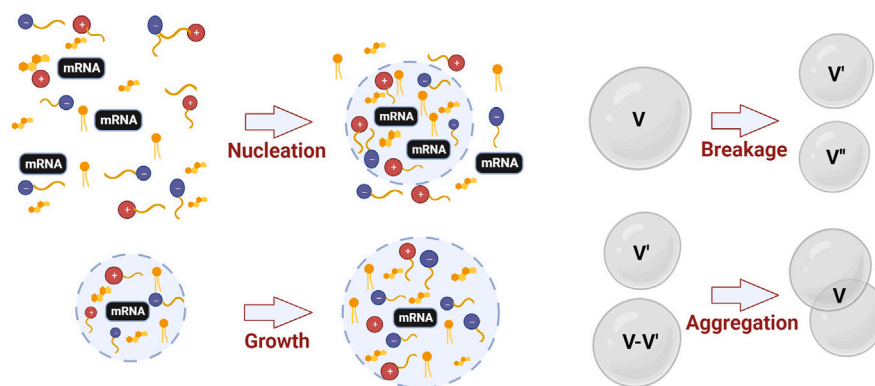


Fig. 8. Schematic diagram of nucleation, growth, agglomeration, and breakage.

can be useful for modeling poly-disperse nucleation (Kumar et al., 2008) or for numerical reasons (Lapidot et al., 2019).

The nucleation rate B_0 can be modeled using various approaches. The first class of models uses mass-action kinetics to express B_0 as a function of the product of the concentration of reactants raised to the power of their stoichiometric coefficients (Sajjadi, 2009; Liu et al., 2014; Thanh et al., 2014). In precipitation/crystallization applications, it is also common to see power law expressions for B_0 in terms of the supersaturation (Omar and Rohani, 2017). For multicomponent systems, the expression for the supersaturation can be modified accordingly (e.g., see (Schwarzer and Peukert, 2004; Güldenpfennig et al., 2019)). The second class of models employ Arrhenius-type expressions, capturing properties such as the supersaturation, activities, or free energy directly. These models are often closely related to classical nucleation theory (e.g., see (Di Pasquale et al., 2012, 2013; Zhu et al., 2016)).

The use of species concentrations (and supersaturation defined in terms of concentrations) for modeling nucleation, while straightforward and sufficient for many applications, is a simplification of the physics of the process. In reality, the species activities need to be used which requires an accurate description of the thermodynamics of the system. By using the species activities, the use of the supersaturation or activities or free energies in the nucleation model are equivalent. Thus far, there has been limited deployment of detailed thermodynamic modeling in the PBM literature, with most studies adapting specific semi-empirical methods to model precipitation of inorganic systems (e.g., see (Peng et al., 2015; Zhu et al., 2016; Güldenpfennig et al., 2019)). We also note the work of Widenski et al. (2011) which employed various activity-coefficient thermodynamic models predictively to model the crystallization of acetaminophen with limited success as the thermodynamic models used were not sufficiently accurate. For LNP systems, it is likely that advanced thermodynamic models, such as those described in Section 3.2, are necessary and additional work to interface the thermodynamics with the PBM is needed.

4.3.2. Growth

The growth of a particle is the process by which the characteristic dimension of a particle (e.g., diameter) increases as solute(s) from the bulk solution are incorporated into the particle (Myerson et al., 2019). As growth, like nucleation, involves the formation of a new phase, the driving force for the process is also the supersaturation of the solute(s). The previous discussion on how the supersaturation is defined and employed for describing nucleation is directly relevant to the growth rate as well. Mechanistically, growth takes place sequentially with the transport of the solute(s) from the bulk solution to the particle-solution interface followed by the incorporation of the solute(s) into the particle. Transport of the heat of precipitation and/or counter-diffusion of liberated species/solvent to the bulk may also need to be accounted for (Abegg et al., 1968; Myerson et al., 2019).

The first class of expressions for the growth rate G employ a mechanistic understanding of the growth process by considering whether the growth is diffusion or reaction limited. When the growth is limited by either step, that step becomes the rate-determining step for growth, enabling one to formulate an expression incorporating mechanistic insight. Examples in the PBM literature include (Schwarzer and Peukert, 2004; Di Pasquale et al., 2013) for diffusion-controlled growth and (Perala and Kumar, 2014; Handwerk et al., 2019; Hong et al., 2021; Pico et al., 2023) for reaction-controlled growth. The second class of growth rate expressions use an empirical power-law type functional form with the supersaturation raised to power that is treated as a fitted parameter. Power-law type expressions, while a simplification, are commonly used in the PBM literature and are able to adequately describe important trends and experimental data even for complex systems. It is also possible to account for temperature-dependent effects on the growth rate by incorporating an Arrhenius-type expression for the prefactor in the growth rate term (Myerson et al., 2019).

A variety of modifications that include size-dependency to the growth rate expression have been proposed (e.g., see (Szilágyi et al., 2022) and citations therein) that have mostly enabled the PBM to better fit experimental data. Size-dependent effects on growth can physically arise in situations such as when size-dependent solubility (i.e., the Gibbs-Thomson effect) is significant (typically at nano-scales) which results in smaller particles growing slower or even dissolving at the expense of larger particles (Madras and McCoy, 2004; Igglund and Mazzotti, 2012; Szilágyi et al., 2022) or with growth rate dispersion (Srisanga et al., 2015). Another situation relevant to nanoparticles where size-dependent effects may need to be addressed is when growth is mass transfer-limited which can also result in slower growth rates for smaller particles (Mullin, 2001).

4.3.3. Agglomeration/aggregation

Particle-particle interactions between smaller particles that lead to the formation of a larger particle (i.e., agglomeration/aggregation) are highly relevant in LNP formation both in the rapid mixing and buffer exchange steps. During the rapid mixing step, it is likely that in addition to nucleation and growth processes described previously, agglomeration will also be a relevant phenomena that drives increases in particle size. In the buffer exchange step where the pH of the system is increased to physiological pH, it has been reported that agglomeration (often referred to as “fusion” in the context of the LNP literature) of smaller initial nanoparticles formed during the rapid mixing step into the larger final LNP (Kamanzi et al., 2024; Kulkarni et al., 2019). It is likely that two separate PBMs would need to be formulated for the rapid mixing and buffer exchange steps considering the different phenomena and physics involved (e.g., during the buffer exchange step, no nucleation and growth are occurring). However, these two separate PBMs can be easily coupled to provide a model for the whole formation process as

the results from the PBM for the rapid mixing step can be used as the input for the PBM for the buffer exchange step.

Much of the PBM literature defines agglomeration and aggregation as distinct phenomena, with one phenomenon being where the merged particle is strongly integrated and the other phenomenon being where the merged particle is less tightly integrated. The literature is inconsistent, however, in terms of which word is applied to which type of merged particle. Given that the mechanistic modeling equations are the same from the perspective of the PBM, this review does not distinguish between the two phenomena.

We consider the case of binary aggregation where a larger particle is formed by the collision and subsequent merging of two smaller particles. For LNP production, considering that the system is both dilute and that the difference in particle size is not very large, the binary agglomeration assumption is reasonably justifiable (Ramkrishna, 2000; Baba et al., 2021). For conceptualizing how the aggregation and breakage terms are developed, it can be helpful to think in terms of the particle volume V , but they can be readily expressed in terms of the particle size L through the assumption of a relationship between V and L (e.g., $V \propto L^3$ for simple particles or $V \propto L^{D_f}$, where D_f is the mass-based fractal dimension, for ramified aggregates) (Marchisio et al., 2003; Jeldres et al., 2018).

To illustrate how the birth, B_a , and death, D_a , terms due to agglomeration are formulated, consider a particle of volume V . Particles of volume V (with corresponding length L) can be formed by the collision of two smaller particles of volumes V' (with corresponding length λ) and $V - V' (L - \lambda)$. Simultaneously, particles of volume V can form larger particles by colliding with particles of any size (Ramkrishna, 2000; Håkansson et al., 2009). Considering that LNPs have reasonably defined shapes (i.e., spherical to first approximation and not complex ramified aggregated structures), the assumption of $V \propto L^3$ is reasonable, enabling B_a and D_a , expressed in terms of L , to be written as (Marchisio et al., 2003)

$$B_a = \frac{L^2}{2} \int_{L_0}^L \frac{\beta((L^3 - \lambda^3)^{1/3}, \lambda)}{(L^3 - \lambda^3)^{2/3}} n((L^3 - \lambda^3)^{1/3}, t) n(\lambda, t) d\lambda, \\ D_a = n(L, t) \int_{L_0}^{\infty} \beta((L, \lambda) n(\lambda, t)) d\lambda, \quad (54)$$

where β is a proportionality constant and is referred to as the agglomeration kernel or collision rate. The agglomeration kernel captures important information about the mechanism(s) by which collisions between particles occur that subsequently lead to agglomeration. Examples of various aggregation kernels can be found in (Vanni, 2000; Marchisio et al., 2003; Peña et al., 2017; Myerson et al., 2019). For systems containing nanoparticles in turbulent flows, the collision mechanisms (and consequent functional forms for β) commonly considered are due to Brownian motion and/or turbulence (Schwarzer et al., 2006; Marchisio, 2009; Raponi et al., 2023). The contribution from the various mechanisms can be captured additively in the expression for β (e.g., see (Marchisio, 2009; Jeldres et al., 2018; Raponi et al., 2023)). As changes to the external environment i.e., the solvent composition, pH, and ionic strength, have a significant influence on LNPs in both the rapid mixing and buffer exchange steps, the effect of these changes on agglomeration can be captured by introducing a collision efficiency factor to the agglomeration kernel e.g., see (Ahmad et al., 2008). For modeling flash nanoprecipitation, a process closely related to LNP production, Cheng et al. (2010), Cheng and Fox (2010) developed an aggregation model that incorporates significant mechanistic insight of the agglomeration process specific to polymeric nanoparticles containing a diblock copolymer.

4.3.4. Breakage

Breakage is the process by which larger particles fragment into smaller particles. Physically, breakage occurs when the forces acting on the particle exceeds the forces holding it together (e.g., interfacial

tension). External stress on the particle can be introduced through a variety of mechanisms such exposure to a turbulent flow field (which results in turbulent inertial and viscous stresses) (Håkansson, 2019), or impact on a surface (e.g., during milling). The interested reader is directed to the review by Liao and Lucas (2009) for a detailed discussion on the breakage mechanism. For LNP systems, external stresses on the particles are likely to arise through exposure to the turbulent flow field in the mixer. However, considering the small particle size, comparatively low turbulent intensities (even for fully turbulent mixers), and the short residence time in the mixer, breakage is unlikely to play a significant role during LNP formation. Its inclusion in this review is for completeness.

To illustrate how the birth B_b and death D_b terms due to breakage are formulated, consider a particle of volume V (with corresponding length L) breaking into two daughter particles, which is the most common assumption in PBMs (Wang et al., 2003) and is supported by experimental evidence for the fragmentation of small particles ($< 500 \mu\text{m}$) (Maaß et al., 2007; Rasche et al., 2018). Particles of volume V can fragment to yield smaller particles of volume V' (with corresponding length λ) and $V - V'$. More generally, particles can break into multiple daughter particles, in which B_b and D_b are given by (Marchisio et al., 2003)

$$B_b = \int_L^\infty b(\lambda) s(L, \lambda) n(\lambda, t) d\lambda, \quad D_b = b(L) n(L, t), \quad (55)$$

where $b(L)$ is the breakage kernel/frequency and $s(L, \lambda)$ is daughter size distribution function that describes the size of the daughter particles that are formed during breakage. The physics of the breakage process is captured in both these functions and the interested reader is referred to (Wang et al., 2003; Liao and Lucas, 2009) and citations therein for examples and additional information for both functions.

4.3.5. Next steps

Developing a PBM for tracking the particle size distribution of LNPs will likely be an iterative exercise requiring testing of various aspects of the PBM such as the presence/absence of specific terms and their functional forms. Simplifications where possible should also be considered. For instance, the time derivative could be neglected to consider the steady-state population distribution (e.g., see (Schall et al., 2018)). However, this approach may pose numerical issues due to the solution of the resultant boundary-value problem often requiring a good initial guess for convergence. For a PBM-only model, it is also possible to reformulate the advective and diffusive terms in terms of residence time/outflow term. A helpful rule-of-thumb is to employ the simplest possible model that sufficiently captures the physics of the LNP formation process and is able to qualitatively and quantitatively explain experimental data. A range of efficient computational methods are available for the solution of PBMs (e.g., moment methods (Marchisio et al., 2003; Marchisio and Fox, 2005) and finite differences (Inguva et al., 2022; Inguva and Braatz, 2023)).

Thus far, this section has extensively discussed the application of PBMs to model the LNP particle size distribution. While this use case is important, the descriptive capabilities of PBMs motivates further development. As elaborated in Section 4.4, the PBM can be coupled to CFD models, thus providing a framework to resolve the spatio-temporal dynamics of LNP formation. Extensions to multidimensional PBMs, incorporating additional relevant intrinsic variables such as mRNA loading can be considered, but require novel methods to formulate expressions for the growth rates and source/sink terms.

4.4. Coupled CFD-PBM models

Coupling the CFD model (which provides detailed information on the flow field and transport of the various species in the mixer) with PBMs (which provides a framework to model key particulate processes) yields a powerful approach that can be used to model the evolution

Table 6Exemplar functional forms for the various terms in a PBM: Nucleation rate B_0 , Growth rate G , Aggregation kernel $\beta(L, \lambda)$, breakage kernel $b(L)$, and selection function $s(L, \lambda)$.

Function	Expression	Comments
B_0	$k_1 \prod_{i=1}^n (C_i)^{\alpha_i}$	Mass-action kinetics (e.g., see Sajjadi, 2009; Liu et al., 2014; Pico et al., 2023) C_i = concentration of reactant i , α_i = stoichiometric coefficient
	$k_1 S^{n_b}$	Power law expression (e.g., see Omar and Rohani, 2017) S = supersaturation ratio, n_b = fitted parameter
	$k_1 \exp\left(\frac{-B}{\ln^2 S}\right)$	Classical nucleation theory (e.g., see Schwarzer and Peukert, 2004; Roelands et al., 2006; Myerson et al., 2019) B = fitted parameter, S = supersaturation ratio
G	$k_2 \prod_{i=1}^n (C_i)^{\alpha_i}$	Mass-action kinetics (e.g., see Sajjadi, 2009; Liu et al., 2014; Thanh et al., 2014) C_i = concentration of reactant i , α_i = stoichiometric coefficient
	$k_2 \exp\left(-\frac{E_g}{RT}\right) S^{n_g}$	Power law with temperature dependence (Myerson et al., 2019) R = ideal gas constant, E_g = activation energy, n_g = fitted parameter
	$2 \frac{\text{Sh} D C^*}{\rho_m} \frac{S-1}{L}$	Diffusion-controlled growth (e.g., see Schwarzer and Peukert, 2004; Di Pasquale et al., 2012) Sh = Sherwood number, D = diffusion coefficient, C^* = equilibrium concentration, ρ_m = particle molar density
$\beta(L, \lambda)$	$\frac{2kT}{3\mu} \frac{(L+\lambda)^2}{L\lambda}$	Collision due to Brownian motion (e.g., see Smoluchowski, 1918; Schwarzer and Peukert, 2004; Di Pasquale et al., 2012)
	$1.294 \left(\frac{\varepsilon}{\nu}\right)^{1/2} (L + \lambda)^3$	Collision due to turbulence (e.g., see Di Pasquale et al., 2012)
$b(L)$	b_0	Constant (e.g., see Marchisio et al., 2003)
	$b_0 L^m$	Power law, m = fitted parameter (e.g., see Hounslow et al., 2005; Jeldres et al., 2018)
$s(L, \lambda)$	$\begin{cases} 2, & \text{if } L = \frac{\lambda}{2^{1/3}} \\ 0, & \text{otherwise} \end{cases}$	Symmetric fragmentation (e.g., see Marchisio et al., 2003; Hounslow et al., 2005)

of the particle size distribution with increased predictive capability. The governing equations of a CFD-PBM model can be represented by combining the one of the multiphase models based on the Eulerian representation outlined in Section 4.2.5 with the PBM outlined in Section 4.3.

The coupled CFD-PBM model necessitates numerical methods for its solution and it can be computationally costly (the model is often high dimensional, typically involving 2–3 spatial dimensions, 1 or more intrinsic coordinates such as particle size, and time). Advances in algorithms have been pursued and currently available methods enable the efficient solution of CFD-PBM problems. The CFD-PDF-PBM method uses the cost savings from the PDF approach outlined in Section 4.2.3 to speed up computations (Woo et al., 2006). The MP-PIC-PBM method, based on a Eulerian–Lagrangian using the parcel assumption, decouples the PBM in 3-dimensional space and has been demonstrated to significantly decrease the computational costs of CFD-PBM models (Kim et al., 2020, 2021, 2024).

4.5. Phase-field models

Phase-field models (PFMs) are a powerful class of continuum-scale models for studying microstructure evolution in multiphase systems and interfacial phenomena (Lamorgese et al., 2011; Chen, 2002; Anderson et al., 1998). PFMs introduce and track the evolution of one or more auxiliary fields (the phase field(s)) which specify which phase is in each point in space in the system. It should be noted that PFMs typically resolve the physics at the length- and time-scales of a handful of LNPs ($\mathcal{O}(1 \mu\text{m}, 1 \mu\text{s})$). Phase-field variables (also known as order parameters) can be categorized as either conserved (e.g., composition/density) or non-conserved (e.g., grain orientation/structural variants) with the Cahn–Hilliard equation being used to describe the former and the Allen–Cahn equation being used for the latter (Chen and Zhao, 2022; Hohenberg and Halperin, 1977). The driving force for evolution in the system is the reduction in the total free energy with the PFMs themselves capturing contributions from factors such as bulk chemical free energy and interfacial energy (Chen, 2002). PFMs are readily extensible to account for additional factors contributing to the total

free energy of the system such as electrostatics and also for external influences such as shear stresses and temperature effects (Chen, 2002). This would typically involve modifying the PFM and/or coupling it to other equations such as species, charge, momentum, and energy conservation equations (e.g., see (Takaki, 2014; Chiu and Lin, 2011; Guyer et al., 2004)), resulting in a set of nonlinear coupled partial differential equations that require numerical methods for solution. Considering the types of species present in typical LNP formulations and the rapid mixing manufacturing process, PFMs are positioned as an excellent technique to study the formation of LNPs during the precipitation step and subsequent evolution of internal structures during downstream processes.

To illustrate how PFMs are constructed and some of the nuances in their use, we start with the classic Cahn–Hilliard equation for a binary mixture. The starting point for any PFM is an expression for the total free energy of the system (Rowlinson, 1979; Cahn and Hilliard, 1958),

$$\frac{F}{k_B T} = \int_V \left(f(c_1) + \frac{\kappa}{2} (\nabla c_1)^2 \right) dV, \quad (56)$$

where $f(c_1)$ is the homogeneous free energy, κ is the gradient energy parameter, c_1 is the concentration of species 1 in the system, and V is the volume of the system. Note that concentration of species 2 is inferred by a mass balance e.g., $c_1 + c_2 = 1$. Eq. (56) is often referred to as the Landau–Ginzburg free energy functional (Nauman and He, 2001; Gurtin, 1996). The chemical potential, μ , is the variational derivative of (56),

$$\mu = \frac{\delta F}{\delta c_1} = \frac{\partial F}{\partial c_1} - \nabla \cdot \frac{\partial F}{\partial \nabla c_1} = \frac{\partial f}{\partial c_1} - \kappa \nabla^2 c_1. \quad (57)$$

The Cahn–Hilliard equation is obtained by incorporating a constitutive relation for the flux of species 1, $\mathbf{J}_1$, based on linear irreversible thermodynamics (Chen and Zhao, 2022),

$$\frac{\partial c_1}{\partial t} = -\nabla \cdot \mathbf{J}_1 = \nabla \cdot (L \nabla \mu) = \nabla \cdot \left[L \nabla \left(\frac{\partial f}{\partial c_1} - \kappa \nabla^2 c_1 \right) \right], \quad (58)$$

where L is the Onsager coefficient relating the diffusive flux to the gradient in chemical potential and is given by

$$L = \frac{D(c_1)c_1}{k_B T}, \quad (59)$$

where $D(c_1)$ is the diffusivity. The inclusion of the concentration c_1 in (59) is necessary to recover classical Fickian diffusion in the limit of an ideal mixture (Nauman and He, 2001). Many PFM models consider the difference in chemical potential (i.e., $\mu_{ij} = \mu_i - \mu_j$) when formulating the constitutive equation and PFM (e.g., see (Petrishcheva and Abart, 2012; Nauman and He, 1994; Cahn and Hilliard, 1958)). This has no substantial impact in the PFM formulation due to the requirements from the Onsager reciprocal relationships on L (Petrishcheva and Abart, 2012), though it can be convenient for model development.

An inspection of (58) indicates there are three terms that need to be specified for a complete model: the diffusivity D , the homogeneous free energy f , and the gradient energy parameter κ . Note that κ is intimately related to f (Inguva et al., 2021; Nauman and He, 2001), which emphasizes the need for supplying a suitable thermodynamic model of the system to accurately model the physics of the process. A good thermodynamic model of the system should be able to capture the phase behavior (e.g., liquid–liquid equilibria and critical points). For the purposes of brevity, we direct the interested reader to the following references (Inguva et al., 2021; Manzanarez et al., 2017; Teichert et al., 2017; Nauman and He, 2001; Ariyapadi and Nauman, 1990) and citations therein for a detailed discussion on how to incorporate physically-appropriate methods for the various terms in the Cahn–Hilliard equation.

To extend (58) to account for multiple species ($n \geq 3$), we first extend the free energy functional to incorporate additional species,

$$\frac{F}{k_b T} = \int_V \left(f(c_1, c_2, \dots, c_n) + \sum_i^{N-1} \frac{\kappa_i}{2} (\nabla c_i)^2 + \sum_{j>i}^{N-1} \sum_i \kappa_{ij} (\nabla c_i)(\nabla c_j) \right) dV, \quad (60)$$

where κ_i and κ_{ij} are the self- and cross-gradient energy parameters. Note that with the presence of three or more species, cross-gradient terms appear in the free energy functional. κ_{ij} can be understood as a tensor capturing a description of the interfacial tension between phases i and j . For a ternary system, the chemical potentials μ_{ij} are (Inguva et al., 2020; Petrishcheva and Abart, 2012; Nauman and He, 1994),

$$\begin{aligned} \mu_{12} &= \mu_1 - \mu_2 = \frac{\partial f}{\partial c_1} - \frac{\partial f}{\partial c_2} - (\kappa_1 - \kappa_{12}) \nabla^2 c_1 + (\kappa_2 - \kappa_{12}) \nabla^2 c_2, \\ \mu_{13} &= \mu_1 - \mu_3 = \frac{\partial f}{\partial c_1} - \frac{\partial f}{\partial c_3} - \kappa_1 \nabla^2 c_1 - \kappa_{12} \nabla^2 c_2, \\ \mu_{23} &= \mu_2 - \mu_3 = \frac{\partial f}{\partial c_2} - \frac{\partial f}{\partial c_3} - \kappa_2 \nabla^2 c_2 - \kappa_{12} \nabla^2 c_1, \end{aligned} \quad (61)$$

which when combined with a flux expression $\mathbf{J}_i = \sum_j L_{ij} \nabla \mu_{ij}$, gives the transport equations

$$\begin{aligned} \frac{\partial c_1}{\partial t} &= \nabla \cdot (L_{12} \nabla \mu_{12} + L_{13} \nabla \mu_{13}), \\ \frac{\partial c_2}{\partial t} &= \nabla \cdot (-L_{12} \nabla \mu_{12} + L_{23} \nabla \mu_{23}), \end{aligned} \quad (62)$$

with c_3 being inferred from a mass balance constraint. Further extensions to the PFM can be pursued either by accounting for additional species following a similar procedure outlined above or by incorporating additional physics. The incorporation of additional physics can be achieved by either modifying the free energy functional in (56) (as is the case with classical Density Functional Theory (Zhang and Wang, 2021)) or modifying the transport equations. In the case of the latter, consider the formulation of the Cahn–Hilliard Navier–Stokes equation system for a binary system. The Cahn–Hilliard equation is modified with the addition of a convective term,

$$\frac{\partial c_1}{\partial t} + \mathbf{u} \cdot \nabla c_1 = \nabla \cdot \left[L \nabla \left(\frac{\partial f}{\partial c_1} - \kappa \nabla^2 c_1 \right) \right], \quad (63)$$

where $\mathbf{u}$ is the velocity vector and is obtained from the solution of the corresponding modified incompressible Navier–Stokes equations,

$$\nabla \cdot \mathbf{u} = 0, \quad (64)$$

$$\rho \frac{\partial \mathbf{u}}{\partial t} + \rho \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \nabla \cdot [\eta (\nabla \mathbf{u} + \nabla \mathbf{u}^T)] + \mathbf{F}_b, \quad (65)$$

where ρ is the fluid density, η is the fluid viscosity, and $\mathbf{F}_b$ is the coupling body force which is described as a diffuse surface tension force and is given by $\mathbf{F}_b = -c_1 \nabla \mu$ (Nauman and He, 2001; Jacqmin, 1999). The modification of $\mathbf{F}_b$ to account for multiple species is straightforward (Zhou and Powell, 2006) and can be further expressed in terms of differences in chemical potential via a Gibbs–Duhem relationship. For LNP systems, the viscosity of the various species can be significantly different. This can be accounted for by modifying η to introduce a composition dependence e.g., $\eta = c_1 \eta_1 + (1 - c_1) \eta_2$ where η_1 and η_2 are the viscosities of species 1 and 2 respectively, following common methods in multiphase CFD e.g., see (Deshpande et al., 2012). Variations in the interfacial tension arising due to temperature and composition effects that may occur for example when one or more species behave as a surfactant can be captured by modifying κ to incorporate such dependencies (Lamorgese and Mauri, 2016).

Both the binary (58) and ternary (62) PFM models with/without convection can form the basis of meaningful modeling of LNP formation with careful use. For example, the binary PFM can be used to approximate a ternary system and analyze the precipitation of a single lipid/polymer in the presence of a nonsolvent (e.g., see (Hopp-Hirschler and Nieken, 2018; Keßler et al., 2016)). Similarly, the ternary PFM, which is capable of demonstrating a diverse range of morphologies/patterns (Inguva et al., 2020; Nauman and He, 1994), can characterize the precipitation of two species with the addition of a nonsolvent. Strategic choices of the initial and boundary conditions can also be considered to better describe the actual process. Exemplar simulations of the binary and ternary Cahn–Hilliard equations are shown in Fig. 9.

To fully describe the physics of a modern LNP formulation, the PFM would need to account for 4 or more species (i.e., lipids + RNA + Water + Ethanol), the complex thermodynamic and electrostatic interactions between some of the species, and shearing effects from the mixing process among other effects. It may also be useful to incorporate reactive terms into the PFM which can be used to describe the formation of colloidal aggregates (Petersen et al., 2018; Bazant, 2013). The process is also inherently multi-scale as reactor-scale conditions such as solvent composition from mixing and fluid flow properties have an impact on the LNP structure formation. To our knowledge, the development and use of such a complex PFM in a multi-scale manner has yet to be undertaken in the literature. This is not surprising as requisite developments e.g., extensions to multiple components, coupling to other conservation equations, and numerical methods are still active areas of research. Consequently, a progressive approach where complexity is sequentially added to the PFM, with corresponding model benchmarking and validation at each step, will help in model development. Advances in adjacent fields can be leveraged to guide model formulation and solution. Particularly noteworthy areas include polymer precipitation (Inguva et al., 2021; Keßler et al., 2016; Vonka and Kosek, 2012), polymeric membrane formation (Hopp-Hirschler and Nieken, 2018; Zhou and Powell, 2006), protein–RNA complex formation (Natarajan et al., 2023; Grasselli et al., 2023; Gasior et al., 2020), and lipid membrane structure formation (Arnold et al., 2023; Zhiliakov et al., 2021).

4.6. Meso- and molecular-scale methods

Most of the approaches discussed above might be considered under the umbrella of continuum approaches where many of the degrees of freedom involved in the LNP system, such as bond vibrations and molecular orientation, have either been neglected or averaged over. When studying certain phenomena, such as particle diffusion or macro-scale structures, these degrees of freedom can have a significant impact. Solving the equations which represent the LNP system analytically is intractable. This motivates the use of meso- or molecular scale methods

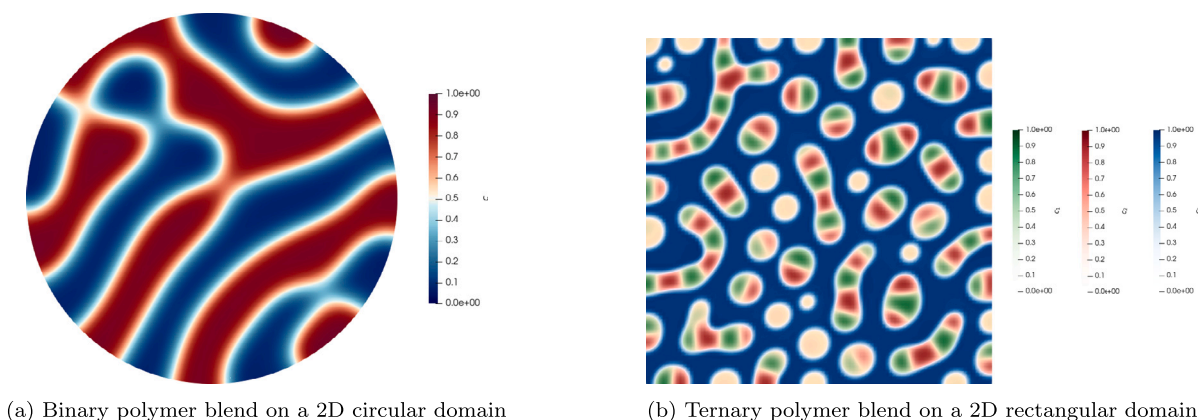


Fig. 9. Exemplar phase-field model simulations of binary and ternary polymer blends using a Cahn–Hilliard model with degenerate mobility and logarithmic free potential on different geometries. Details of the simulation conditions can be found in the code repository. The color bars indicate the fraction of a species at a given point in space.

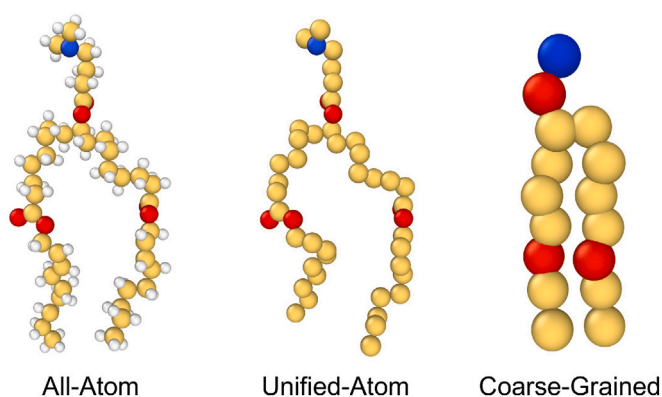


Fig. 10. Different levels of representations of lipid-319 within molecular dynamics simulations: all-atom (left), unified-atom (center) and coarse-grained (right). Orange beads represent carbon atoms/alkyl groups, red beads represent oxygen atoms/carboxylic groups, blue beads represent nitrogen atoms/amine groups and white beads represent hydrogen atoms.

such as Molecular Dynamics (MD) simulations to explicitly account for all these degrees of freedom.

The most rigorous approach (with the exception of hybrid *ab initio* methods) would use an all-atom (AA) representation of the species (left-most representation in Fig. 10) where all atoms are accounted for explicitly. The parameters required to represent these species can be obtained from various standardized force fields (OPLS (Jorgensen et al., 1996), AMBER (Case et al., 2023), CHARMM (Brooks et al., 2009), etc.), which are compatible with most of the popular MD simulation packages (LAMMPS (Thompson et al., 2022), GROMACS (Abraham et al., 2015), OpenMM (Eastman et al., 2017), etc.). The challenge with this level of representation is the computational cost in simulating large systems. The smallest length (≥ 100 nm) and time (≥ 1 ms) scales of relevance for simulating LNP production is infeasible with any reasonably sized high-performance computing resources. As such, while all-atom resolution is desired, it is often more practical to simulate subsystems of the larger LNP system. For example, Trollmann and Böckmann (2022) used the CHARMM36 forcefield within GROMACS to study the pH-driven phase transition of the Comirnaty vaccine LNP by examining a lipid bilayer (19.1 nm thick) over a 4 ms simulation composed of the same components present in the full LNP. Indeed, this is the typical scale for performing all-atom simulations of lipid membranes (Feller, 2000; Gumbart et al., 2005; Lindahl and Sansom, 2008; Settanni et al., 2022) which is still capable of extracting information relevant to the full system. Attempting to simulate larger

systems would be computationally challenging, not only due to the increased number of atoms being simulated, but the time required to equilibrate the system before even performing production simulations would dramatically increase as the magnitude of the slowest relaxation time will surely increase. Trollmann and Böckmann (2022) managed to simulate a 35-nm diameter LNP by restricting their system to maintain a spherical shape, at the cost of no longer being able to study the dynamics of the system.

The more common approach to simulate large-scale systems is to simply remove degrees of freedom within the system. For example, hydrogen atoms, due to their small size and rapid vibrations, can often be grouped with the heavy atom with which they are bonded into one larger group, resulting in a united-atom (UA) representation of the molecule (center representation in Fig. 10). As hydrogen atoms can sometimes represent half of all atoms present in a system, this treatment can augment the length and time scales accessible within MD simulations. For such representations, force fields such as CHARMM (Lee et al., 2014) and OPLS (Jorgensen et al., 1984) both provide UA variants, compatible with the various MD simulation packages. In the case of the CHARMM forcefield, a GUI has been developed where users can easily generate lipid bilayers to perform the simulations, bypassing much of the difficulty associated with initializing the simulations. While such approaches have not been used to study LNPs specifically as of yet, they have been used to study lipid bilayers (Lee et al., 2014; Das et al., 2019) and small micelles (Jorge, 2008; Roussel et al., 2014), with structural properties proving to be very similar to those obtained from all-atom simulations. Unfortunately, even with this simpler representation, the computational cost is still too great to simulate large-scale systems.

Reaching the desired length scales requires dramatically simplifying the representation of species within the system such that multiple functional groups are represented by a single bead. Such a representation is often referred to as coarse-grained (CG); an example of such a representation is shown on the right in Fig. 10. The objective of this representation is to maintain some structural information about the molecule, as well as some information regarding the types of interactions between functional groups. In principle, the force field parameter is adjusted to maintain some accurate representation of true system. In the case of the MARTINI force fields (Souza et al., 2021), numerous studies have been conducted to study LNPs (and similar systems) (Lindahl and Sansom, 2008; Boichichio et al., 2017) where, through much benchmarking (Roussel et al., 2014; Das et al., 2019), they have demonstrated being capable of reproducing results from all-atom representations. Another example of a CG approach would be dissipative particle dynamics (DPD) simulations where molecules are now represented by a few (sometimes a single) bead, practically losing the chemical identity of the molecule. In both of these approaches,

the simplified approach allows researchers to study larger systems over larger time scales. In the case of DPD specifically, the improved representation of hydrodynamic interactions allows for a more accurate prediction of dynamic properties, as has been demonstrated in the case of lipid membranes (Yang and Ma, 2010; Angioletti-Uberti, 2017; Yong and Du, 2023), which would be almost inaccessible for all-atom approaches.

Regardless of which resolution is chosen when performing MD simulations, the subsequent analysis of trajectories and evaluation of specific properties require the use of tools such as MDAnalysis (Michaud-Agrawal et al., 2011), mdcraft (Ye et al., 2024), or TRAVIS (Brehm et al., 2020). In the case of the former, the package has been developed to enable user customization, as many of the studies cited in this section have done. However, an additional aspect to be aware of when performing MD simulations is that only one particular mode of interaction (for example, binding between ionizable lipids and mRNA, or the interaction between a lipid and the LNP interface) may be of interest. It is possible to use sampling methods to modify the simulations to study these interactions specifically. Such techniques can also be used to study interactions whose relaxation times are particularly slow and would require lengthy simulations to properly sample (an example of these techniques includes Replica-Exchange Molecular Dynamics). In recent years, these sampling techniques have been supplemented with Machine Learning, such as OPES, to significantly accelerate the sampling process. Packages such as PLUMED (Bonomi et al., 2009) and PySAGES (Rico et al., 2023) allow users to apply such techniques to their simulations and are compatible with multiple standard MD packages.

5. Model-based systems engineering

The Quality-by-Design (QbD) framework, as articulated in the relevant regulatory guidance (U.S. Food and Drug Administration, 2009; Yu et al., 2014) highlights the importance of establishing relationships (i.e., models) between the critical process parameters (CPPs) in pharmaceutical manufacturing processes and the critical quality attributes (CQAs). It is highly desired that the established models can provide insight into the underlying physicochemical phenomena occurring within the process while enabling the interpretation of conditions beyond experimentation. These high-quality models, along with quality risk assessment, can further support the design of effective controllers for process regulation.

Section 4 describes modeling strategies for LNP production. Facilitated by the proposed process models, experiments can be optimally designed and planned to refine and validate the models, particularly focusing on identifying unspecified parameters in the proposed models. With the accessible CPPs and CQAs of interest in Fig. 2, the models in Section 4 can be employed for the design of controls and soft sensors.

5.1. Model-based experiment design

Statistical experiment design is a widely adopted approach for strategically planning experiments. Employing first-principles models in the experimental design can yield the most informative data in terms of minimizing uncertainty in the estimated model parameters (Franceschini and Macchietto, 2008; Abt et al., 2018; Shahmohammadi and McAuley, 2020).

As discussed in Section 4, the LNP formation process can be modeled by a set of integropartial differential-algebraic equations. These models can be represented as

$$\begin{aligned} f(\mathbf{x}(r, t), \mathbf{x}_r(r, t), \mathbf{x}_{rr}(r, t), \mathbf{x}_{rrr}(r, t), \mathbf{u}^c(r, t); \theta_1, \theta_2, t) &= 0 \\ y(t) &= h(\mathbf{x}(r, t)) \end{aligned} \quad (66)$$

where $f(\cdot)$ is the function comprising state variables $\mathbf{x}(r, t)$, their derivatives with respect to position vector r and time t , and manipulated

variables $\mathbf{u}^c(r, t)$; θ_1 and θ_2 are sets of specified and unspecified parameters, respectively; and $h(\cdot)$ is the function relating the state and output variables $y(t)$. The system properties of interest are selected as the state variables, such as the mass M in (9), velocity $\mathbf{u}$ in (14), and species density n in (51). Based on these equations, the corresponding manipulated variables $\mathbf{u}^c(r, t)$ can be mass flowrates F^i and species concentrations of the inlet flowrates. Usually the spatial derivatives and any integrals are approximated, which is known as the numerical method of lines (Schiesser, 1991). This procedure results in a set of differential-algebraic equations of the same general form as (66) but without having an explicit dependency on the spatial derivatives. To simplify the nomenclature, the rest of this section assumes that the numerical method of lines or an alternative method for removing the spatial derivatives and integrals has been applied. This assumption can be removed with small adjustments, although with more complex nomenclature.

Given the parametric model (66) and experimental data, methods to estimate the unspecified parameters include maximum likelihood estimation (Bogaerts and Wouwer, 2004; Canova et al., 2023; Destro et al., 2023), ordinary least squares (Souza and Junqueira, 2005), and Bayesian estimation (Hermanto et al., 2008; Candy, 2016). The aim of these approaches is to identify values of θ_2 that minimize the prediction error $\epsilon(\theta_2, t)$ between the predicted model outputs $\hat{y}(t)$ and the observed data $y_{\text{meas}}(t)$ (Ljung, 1998), i.e.,

$$\hat{\theta}_2 = \arg \min_{\theta_2} V_L(\epsilon(\theta_2, t)) \quad (67)$$

where V_L is a scalar-valued function of the prediction error, with a widely used choice being a quadratic function, $V_L = \frac{1}{2} \epsilon^\top(\theta_2, t) \Sigma_v^{-1} \epsilon(\theta_2, t)$, where Σ_v is the covariance matrix of the measurement errors.

By evaluating the shape of the parameter likelihood function, the uncertainty of the parameter estimates can be quantified by a multivariate probability distribution function (pdf), which can be represented equivalently in terms of $100(1 - \alpha)\%$ confidence regions with $\alpha \in (0, 1)$. Integrals of the multivariate pdf can be taken to construct the single-parameter pdfs for each parameter, which can be represented equivalently in terms of a confidential interval (Beck and Arnold, 1977). Any estimated parameter for which the confidence interval is unbounded is not structurally or practically identifiable (Raue et al., 2009; Wieland et al., 2021; Canova et al., 2023), in which case some of the predicted states may be inaccurate. Whether the parameter uncertainties result in poor accuracy of the predictions of interest can be assessed by propagating the model uncertainties through the process model to calculate prediction intervals for the model outputs of interest, e.g., the CQAs (e.g., (Nagy and Braatz, 2003b, 2007)). The process model and its uncertainties can be directly incorporated into the experimental design procedure, for a variety of objective functions, including minimization of the uncertainty in the model parameters or the prediction errors, and distinguishing between alternative hypothesized mechanisms (Cho et al., 2003; Togkalidou et al., 2004; Bandara et al., 2009; Kutalik et al., 2004; Donckels et al., 2009).

5.2. Model-based control design

The CQAs for well-designed continuous processes are often controllable using proportional-integral-derivative (PID) controllers (Lakerveld et al., 2015). Model-based control can provide better product quality for processes that have strong multivariable interactions and/or often operate near constraints. The process models introduced in Section 4 can be incorporated into such control designs, either directly or indirectly through construction of a reduced-order model (Paulson et al., 2018). Model-based controllers can be desired to adapt to system changes (Gutierrez et al., 2014; Oravec et al., 2018; Hong and Braatz, 2021). Model-based control strategies that have been used in manufacturing processes include feedforward control (Ohkubo et al.,

2023), linear quadratic regulation (Kanwar et al., 2022), model reference adaptive control (Dochain and Perrier, 1998; Quo et al., 2011) and model predictive control (MPC) (Mesbah et al., 2017; Hong and Braatz, 2021). An optimal control formulation associated with the MPC strategy is

$$\min_{u^c(t)} J = \int_0^{T_f} \Phi(x(t), u^c(t), t) dt$$

subject to:

model equations in (66)

$$u_{LB}^c \leq u^c(t) \leq u_{UB}^c$$

$$x_{LB} \leq x(t) \leq x_{UB}$$
(68)

In this optimization, the manipulated variables $u^c(t)$ are determined to minimize the control objective J , representing the objective function Φ integrated over the time horizon $[0, T_f]$. The objective function for a continuous process is typically the mean-squared error of the difference between the measured and desired CQAs over a prediction horizon while penalizing sharp moves in the manipulated variables over a control horizon.¹ In this optimization formulation, the future manipulated variables are required to satisfy the model equation as well as input and state bounds, $u^c(t) \in [u_{LB}^c, u_{UB}^c]$ and $x(t) \in [x_{LB}, x_{UB}]$.

As discussed in the previous section, the model equations, (68), are typically represented as ordinary differential equations (ODEs) or differential-algebraic equations (DAEs), which can be derived from the governing PDEs using such methods as polynomial approximation, the method of moments, the method of weighted residuals, and the finite difference, volume, or element methods applied to the spatial variables. Such methods have been applied for MPC design for the regulation of particle size distribution (e.g., (Nagy and Braatz, 2012)), which is one of the critical attributes in LNP production.

5.3. Model-based sensing strategy

When direct measurement of a physical property of interest becomes time-consuming, infeasible, or challenging, as is the case for many LNP properties and quality attributes (especially in a production setting), the integration of process models with analytical measurement data can be employed to implement a soft sensors (Golabgir et al., 2015; Mears et al., 2017; Jiang et al., 2021). Based on state estimation algorithms, these soft sensors can leverage the inherent relationships between accessible variables to estimate physical quantities that are either unmeasurable or challenging to measure. State estimators include Luenberger observers (Duan et al., 2020), extended Kalman filters (de Assis and Filho, 2000), and Bayesian estimators (Mesbah et al., 2011). Most state estimators can be written in the form,

$$\dot{\hat{x}}(r, t) = f(\hat{x}(r, t), u^c(r, t); \theta_1, \theta_2) + L(y(t) - \hat{y}(t)),$$

$$\hat{y}(t) = h(\hat{x}(r, t)),$$
(69)

where values of θ_2 have been credibly identified and specified, L is the observer gain, and $\hat{x}(r, t)$ is the state estimate or the physical quantity of interest to be estimated.

The literature on soft sensors for bioprocesses is well established. For instance, consider the estimation of the specific growth rate of a recombinant *Escherichia coli* strain by using the measured heat flow produced by the cells and applying balance equations of biomass and heat (Biener et al., 2010). An alternative state estimator based on measurable ammonia titration can achieve the same purpose (Sundström and Enfors, 2008). Given such state estimates, regulation of the specific growth rate becomes straightforward while ensuring the minimization of growth-inhibitory byproducts. State estimator designs

¹ For batch and fed-batch processes, the objective function is typically defined as the closeness of the CQAs to their desired values at the end of the process (Nagy and Braatz, 2003a).

of similar depth have been developed for the estimation of many other properties (Komives and Parker, 2003). State estimators have also been demonstrated for bioprocess operations modeled by PDEs, including simulated moving bed chromatography (Küpper et al., 2009) and lyophilization (Srisuma et al., 2023).

Given that rich literature on state estimation for bioprocessing, it is reasonable to expect that similar state estimation design strategies will be applicable to the continuous production of LNPs, which can be used in a real-time monitoring system for promptly identifying and addressing variations in the specified CQAs outlined in Fig. 2 and Table 3. Similar to other biotherapeutic manufacturing applications (Krämer and King, 2019; Golabgir and Herwig, 2016; Narayanan et al., 2020a) – by leveraging online and offline analytical techniques as highlighted in Table 3 and the models proposed in Section 4 – real-time sensing strategies can be developed for the measurable and unmeasurable specifics of LNP production.

6. Outlook

LNPs are and will likely continue to remain an important delivery platform for nucleic acid therapeutics which are currently seeing intense levels of research and development, both from a drug discovery and manufacturing perspective. To that end, advances in the fundamental understanding of LNP formation will be essential to support both product and process development. In this article, we have outlined how various classes of mathematical modeling tools can be employed to study LNPs and improve their manufacturing. Such an endeavor will require adopting a multiscale approach where information from mixer-scale models (e.g., mass balances/CFD) need to be cascaded down to smaller-scale models (e.g., phase-field models) while insights from more detailed methods can be used to refine simpler models. We advocate for interested readers to adopt a progressive approach when developing models, whereby model development and experimental validation are first carried out on simpler systems (e.g., liposomal systems or LNPs with simpler formulations) prior to embarking on modeling a novel NAT-LNP system.

While the development and use of such modeling strategies in the context of NAT-LNPs is nascent, this state of affairs should be viewed as an exciting opportunity for impactful fundamental and translational research. For some classes of models (e.g., CFD/population balance modeling), the basic know-how and tools are already well-established in the literature and adapting these methods to study LNP formation should be comparatively straightforward. Other classes of models (e.g., predictive thermodynamic modeling and phase-field modeling) likely require significant advances in the state of the art to be sufficient for describing NAT-LNP systems. However, simplified versions of these methods (e.g., phase-field models for binary/ternary mixtures) can still have utility if employed judiciously. For all classes of models, rigorous validation with experimental data is necessary, but can be challenging to do so considering the complexity and/or cost of measuring specific aspects of the LNPs such as its internal structure. Concomitant advances in analytical methods and sensor technology will be invaluable for supporting model development and deepening product/process understanding.

Nomenclature

Symbol	Description	Units
<i>Transport Properties</i>		
r	Radius of gyration	m
μ	Viscosity	Pa s
k	Boltzmann constant	J K ⁻¹
T	Temperature	K

N_n	Number of nucleotides	m	ρ_m	Density of mixture	kg m ⁻³
D_{AB}	Diffusivity coefficient	m ² s ⁻¹	μ_w	Dynamic viscosity of water	kg m ⁻¹ s ⁻¹
D_0	Diffusion of solvent	m ² s ⁻¹	μ_e	Dynamic viscosity of ethanol	kg m ⁻¹ s ⁻¹
D_r	Rotational diffusivity	rad ² s ⁻¹	μ_m	Dynamic viscosity of mixture	kg m ⁻¹ s ⁻¹
D_t	Translational diffusivity	m ² s ⁻¹	λ_K	Kolmogorov length scale	m
ξ	Correlation length	m	λ_B	Batchelor length scale	m
k^{hydro}	Hydrodynamic interaction parameter	–	[mRNA]	Concentration of mRNA	per convention
N_p	Degree of polymerization	–	[L _i]	Concentration of ionic lipid	per convention
M_r	Molecular weight	g mol ⁻¹	[L _n]	Concentration of neutral lipid	per convention
a	Length of a monomer	m	[LNP]	Concentration of LNP	per convention
<i>Thermodynamic Properties</i>			V	Electric potential in the fluid	kg m ² s ⁻³ A ⁻¹
A	Helmholtz free energy	J	e	Elementary charge	A s
μ	Chemical potential	J mol ⁻¹	k_B	Boltzmann constant	J K ⁻¹
n	Moles	mol	$\epsilon\epsilon_r$	Dielectric constant of fluid	A ² s ⁴ kg ⁻¹ m ⁻³
V	Volume	m ³	D_{mRNA}	Molecular diffusivity of mRNA	m ² s ⁻¹
T	Temperature	K	D_{L_i}	Molecular diffusivity of ionic lipid	m ² s ⁻¹
γ	Activity coefficient	–	D_{L_n}	Molecular diffusivity of neutral lipid	m ² s ⁻¹
x	Molar fraction	mol mol ⁻¹	D_{LNP}	Molecular diffusivity of LNP	m ² s ⁻¹
R	Universal gas constant	J K ⁻¹ mol ⁻¹	$D_{t,\text{mRNA}}$	Total diffusivity of mRNA	m ² s ⁻¹
K	Partition coefficient	–	D_{t,L_i}	Total diffusivity of ionic lipid	m ² s ⁻¹
G	Gibbs free energy	J	D_{t,L_n}	Total diffusivity of neutral lipid	m ² s ⁻¹
p	Pressure	Pa	$D_{t,\text{LNP}}$	Total diffusivity of LNP	m ² s ⁻¹
Z	Charge	–	p_1	Probability of environment containing pure water	–
ϕ	Phase fraction	–	p_2	Probability of environment containing pure ethanol	–
ψ	Electrochemical potential difference	J mol ⁻¹	p_3	Probability of environment containing a mixture of water and ethanol	–
<i>Mass and Energy Balances</i>			$G_1, G_{s,1}$	Micromixing function in environment 1	s ⁻¹
M	Total mass holdup in the mixer	g	$G_2, G_{s,2}$	Micromixing function in environment 2	s ⁻¹
M_j	Mass holdup of species j in the mixer	g	$M^{(3)}, M_s^{(3)}$	Micromixing functions for scalar transport in environment 3	–
F^i	Mass flowrate of stream i	g s ⁻¹	γ, γ_s	Micromixing constants	s ⁻¹
x_j^i	Mass fraction of species j in stream i	–	$\langle \xi \rangle_3$	Fraction of ethanol in environment 3	–
$K_{D,j}$	Partition coefficient of species j between LNP and raffinate phases	–	$\mathbf{F}_{\text{st}}$	Interfacial force per unit volume 3	kg s ⁻² m ⁻²
H	Total enthalpy holdup in the mixer	J	α_q	Volume fraction of phase q	–
h^i	specific enthalpy of stream i	J g ⁻¹	ρ_q	Density of phase q	kg m ⁻³
<i>Computational Fluid Dynamics</i>			$\mathbf{u}_q$	Velocity of phase q	m s ⁻¹
$\mathbf{u}$	Velocity of the fluid	m s ⁻¹	$\mathbf{u}_{\text{dr}}$	Slip velocity between phases	m s ⁻¹
ρ	Density of fluid	kg m ⁻³	τ_q	Stress tensor for phase q	kg m ⁻¹ s ⁻²
p	Pressure of fluid	kg m ⁻¹ s ⁻²	$\mathbf{M}_q$	Rate of momentum exchange per unit volume between phases	kg m ⁻² s ⁻²
T	Temperature of fluid	K	m_p	Mass of particle p	kg
$\boldsymbol{\tau}$	Viscous stress tensor	kg m ⁻¹ s ⁻²	$\mathbf{u}_p$	Velocity of particle p	m s ⁻¹
$\mathbf{b}$	Body force per unit mass	m ² s ⁻¹	$\mathbf{f}_d$	Drag force on particle p	kg m s ⁻²
$\langle \mathbf{u} \rangle$	Ensemble-averaged velocity of fluid	m s ⁻¹	$\mathbf{f}_g$	Gravitational force on particle p	kg m s ⁻²
$\langle p \rangle$	Ensemble-averaged pressure of fluid	kg m ⁻¹ s ⁻²	$\mathbf{f}_c$	Collision force on particle p	kg m s ⁻²
$\langle \boldsymbol{\tau} \rangle$	Ensemble-averaged viscous stress tensor	kg m ⁻¹ s ⁻²	<i>Population Balance Models</i>		
$\langle \boldsymbol{\tau}' \rangle$	Reynolds stress	kg m ⁻¹ s ⁻²	$n(L, t)$	Number density	m ⁻⁴
$\bar{\mathbf{u}}$	Filtered velocity of fluid	m s ⁻¹	V	Volume of LNP	m ³
$\bar{p}$	Filtered pressure of fluid	kg m ⁻¹ s ⁻²	L	Size of LNP	m
$\bar{\boldsymbol{\tau}}$	Filtered viscous stress tensor	kg m ⁻¹ s ⁻²	λ	Size of LNP	m
$\tilde{\boldsymbol{\tau}}$	Sub-grid scale (SGS) stress	kg m ⁻¹ s ⁻²	G	Growth rate	m s ⁻¹
$\tilde{\mathbf{J}}$	Sub-grid scale (SGS) scalar flux	–	k_1	Nucleation kinetic constants	s ⁻¹
μ	Viscosity of fluid	kg m ⁻¹ s ⁻¹	k_2	Growth kinetic constants	m ³ s ⁻¹
D_{we}	Mass diffusivity of water–ethanol mixture	m ² s ⁻¹	L_0	Size of nucleated LNP	m
C_{pm}	Specific heat capacity of water–ethanol mixture	J kg ⁻¹ K ⁻¹	S	Source term	m ⁻⁴ s ⁻¹
κ_m	Thermal diffusivity of water–ethanol mixture	m ² s ⁻¹	B_n	Birth rate due to nucleation	m ⁻⁴ s ⁻¹
S_h	Heat of water–ethanol mixing	J s ⁻¹	B_a	Birth rate due to agglomeration	m ⁻⁴ s ⁻¹
k	Turbulent kinetic energy	m ² s ⁻²	D_a	Death rate due to agglomeration	m ⁻⁴ s ⁻¹
μ_t	Turbulent viscosity	kg m ⁻¹ s ⁻¹	B_b	Birth rate due to breakage	m ⁻⁴ s ⁻¹
S_k	Source of turbulent kinetic energy	kg m ⁻¹ s ⁻³	D_b	Death rate due to breakage	m ⁻⁴ s ⁻¹
ϵ	Turbulent dissipation rate	m ² s ⁻³	β	Aggregation kernel	m ³ s ⁻¹
κ	von Kármán constant	–	b	Breakage kernel	s ⁻¹
S_ϵ	Source of turbulent dissipation	kg m ⁻¹ s ⁻⁴			
φ	Volume fraction of ethanol	–			
ρ_w	Density of water	kg m ⁻³			
ρ_e	Density of ethanol	kg m ⁻³			

s	Selection function	–
S	Supersaturation ratio	–
α_i	Stoichiometric coefficient	–
a, m, B, n_b, n_g	Fitted parameter	–
<i>Phase-Field Models</i>		
$\mathcal{F}$	Total free energy of the system	J
f	Homogeneous free energy	J m ⁻³
c_i	Concentration of species i	–
κ_i	Self-gradient energy parameter	J m ⁻¹
κ_{ij}	Cross-gradient energy parameter	J m ⁻¹
V	Volume of system	m ³
μ_i	Chemical potential of species i	J mol ⁻¹
μ_{ij}	Difference in chemical potential between species i and j	J mol ⁻¹
$\mathbf{J}_i$	Flux of species i	mol m ⁻² s ⁻¹
L	Mobility coefficient	mol ² s ⁻¹ m ⁻¹ J ⁻¹
$\mathbf{u}$	Velocity	m s ⁻¹
ρ	Fluid density	kg m ⁻³
p	Fluid pressure	Pa
η	Fluid viscosity	Pa s
$\mathbf{F}_b$	Coupling surface tension body force	J m ⁻²
<i>Model-Based Systems Engineering</i>		
$\mathbf{x}$	State variable	–
$\hat{\mathbf{x}}$	State estimate	–
$\mathbf{u}^c$	Controllable variable	–
$\mathbf{r}$	Position vector in continuous phase	–
θ_1	Specified parameter	–
θ_2	Unspecified parameter	–
$\hat{\theta}_2$	Unspecified parameter estimate	–
$f(\cdot)$	System function	–
$h(\cdot)$	System output function	–
ϵ	Prediction error	–
$\mathbf{y}$	Model output	–
$\hat{\mathbf{y}}$	Model predicted output	–
$\mathbf{y}_{meas}$	Observed output	–
V_L	Scalar-valued function	–
Σ_v	Covariance matrix of measurement errors	–
J	Control objective	–
Φ	Objective function	–
T_f	Time horizon	s
L	Observer gain	–

Availability of code

Example codes for implementing the various models and techniques discussed in the manuscript can be found at https://github.com/pavaninguva/LNP_Models.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgments

This research was supported by the U.S. Food and Drug Administration under the FDA BAA-22-00123 program, Award Number 75F4012 2C00200. Financial support is also acknowledged from the Agency for Science, Technology and Research (A*STAR), Singapore.

References

Abegg, C.F., Stevens, J.D., Larson, M.A., 1968. Crystal size distributions in continuous crystallizers when growth rate is size dependent. *AIChE J.* 14 (1), 118–122.

Abraham, Mark James, Murtola, Teemu, Schulz, Roland, Páll, Szilárd, Smith, Jeremy C., Hess, Berk, Lindahl, Erik, 2015. GROMACS: High performance molecular simulations through multi-level parallelism from laptops to supercomputers. *SoftwareX* 1–2, 19–25.

Abt, Vinzenz, Barz, Tilman, Cruz-Bournazou, Mariano Nicolas, Herwig, Christoph, Kroll, Paul, Möller, Johannes, Pörtner, Ralf, Schenkendorf, René, 2018. Model-based tools for optimal experiments in bioprocess engineering. *Curr. Opin. Chem. Eng.* 22, 244–252.

Ahmad, A.L., Chong, M.F., Bhatia, S., 2008. Population balance model (PBM) for flocculation process: Simulation and experimental studies of palm oil mill effluent (POME) pretreatment. *Chem. Eng. J.* 140 (1–3), 86–100.

Aliakbarinodehi, Nima, Gallud, Audrey, Mapar, Mokhtar, Wesén, Emelie, Heydari, Sahar, Jing, Yujia, Emilsson, Gustav, Liu, Kai, Sabirsh, Alan, Zhdanov, Vladimir P., Lindfors, Lennart, Esbjörner, Elin K., Höök, Fredrik, 2022. Interaction kinetics of individual mRNA-containing lipid nanoparticles with an endosomal membrane mimic: Dependence on pH, protein corona formation, and lipoprotein depletion. *ACS Nano* 16 (12), 20163–20173.

Alobaid, Falah, Almohammed, Naser, Massoudi Farid, Massoud, May, Jan, Rößger, Philip, Richter, Andreas, Epple, Bernd, 2022. Progress in CFD simulations of fluidized beds for chemical and energy process engineering. *Prog. Energy Combust. Sci.* 91, 100930.

Anderson, D.M., McFadden, G.B., Wheeler, A.A., 1998. Diffuse-interface methods in fluid mechanics. *Annu. Rev. Fluid Mech.* 30 (1), 139–165.

Andreoli, Thomas E., Hoffman, Joseph F., Fanestil, Darrell D. (Eds.), 1980. *Membrane Physiology*. Springer US, Boston, MA.

Angioletti-Uberti, Stefano, 2017. Theory, simulations and the design of functionalized nanoparticles for biomedical applications: A soft matter perspective. *npj Comput. Mater.* 3 (1), 1–15.

ANSYS, Inc., 2021. *Ansys Fluent Theory Guide*, 2021 R2 ANSYS, Inc., Canonsburg, PA.

Ariyapadi, M.V., Nauman, E.B., 1990. Gradient energy parameters for polymer-polymer-solvent systems and their application to spinodal decomposition in true ternary systems. *J. Polym. Sci. Part B: Polym. Phys.* 28 (12), 2395–2409.

Armstrong, Clare L., Häußler, Wolfgang, Seydel, Tilo, Katsaras, John, Rheinstädter, Maikel C., 2014. Nanosecond lipid dynamics in membranes containing cholesterol. *Soft Matter* 10 (15), 2600.

Arnold, Daniel P., Gubbala, Aakanksha, Takatori, Sho C., 2023. Active surface flows accelerate the coarsening of lipid membrane domains. *Phys. Rev. Lett.* 131 (12), 128402.

Baba, Tomoya, Nakamura, Hideya, Takimoto, Hiroharu, Ohsaki, Shuji, Watano, Satoru, Takehara, Kenta, Higuchi, Takahide, Hirosawa, Toshiyuki, Yamamoto, Tetsuya, 2021. DEM-PBM coupling method for the layering granulation of iron ore. *Powder Technol.* 378, 40–50.

Baker, Michelle K., Abrams, Cameron F., 2014. Dynamics of lipids, cholesterol, and transmembrane α -helices from microsecond molecular dynamics simulations. *J. Phys. Chem. B* 118 (47), 13590–13600.

Ball, Rebecca, Bajaj, Palak, Whitehead, Kathryn, 2016. Achieving long-term stability of lipid nanoparticles: examining the effect of pH, temperature, and lyophilization. *Int. J. Nanomedicine* 12, 305–315.

Bandara, Samuel, Schlöder, Johannes P., Eils, Roland, Bock, Hans Georg, Meyer, Tobias, 2009. Optimal experimental design for parameter estimation of a cell signaling model. *PLoS Comput. Biol.* 5 (11), 1–12.

Barba, Anna Angela, Bochicchio, Sabrina, Dalmoro, Annalisa, Caccavo, Diego, Cascone, Sara, Lamberti, Gaetano, 2019. Polymeric and lipid-based systems for controlled drug release: an engineering point of view. In: Grumzeacu, Alexandru M. (Ed.), *Nanomaterials for Drug Delivery and Therapy*. Elsevier, Amsterdam, pp. 267–304.

Barriga, Hanna M.G., Pence, Isaac J., Holme, Margaret N., Douth, James J., Penders, Jelle, Nele, Valeria, Thomas, Michael R., Carroni, Marta, Stevens, Molly M., 2022. Coupling lipid nanoparticle structure and automated single-particle composition analysis to design phospholipase-responsive nanocarriers. *Adv. Mater.* 34 (26), 2200839.

Bäumler, Erica R., Carrín, María E., Carelli, Amalia A., 2017. Diffusion of tocopherols, phospholipids and sugars during oil extraction from sunflower collets using ethanol as solvent. *J. Food Eng.* 194, 1–8.

Bazant, Martin Z., 2013. Theory of chemical kinetics and charge transfer based on nonequilibrium thermodynamics. *Acc. Chem. Res.* 46 (5), 1144–1160.

Beck, James V., Arnold, Kenneth J., 1977. *Parameter Estimation in Engineering and Science*. John Wiley & Sons, Inc, New York.

Bell, Ian H., Messerly, Richard, Thol, Monika, Costigliola, Lorenzo, Dyre, Jeppe C., 2019. Modified entropy scaling of the transport properties of the Lennard-Jones fluid. *J. Phys. Chem. B* 123 (29), 6345–6363.

Bernard, Olivier, Turq, Pierre, Blum, Lesser, 1991. *Electrophoretic mobility in polyelectrolyte solutions*. *J. Phys. Chem.* 95 (23), 9508–9513, Publisher: American Chemical Society.

- Bernet, Thomas, Wehbe, Malak, Febra, Sara A., Haslam, Andrew J., Adjiman, Claire S., Jackson, George, Galindo, Amparo, 2024. Modeling the thermodynamic properties of saturated lactones in nonideal mixtures with the SAFT- γ Mie approach. *J. Chem. Eng. Data* 69, 650–678.
- Besseling, R., Damen, M., Wijgergangs, J., Hermes, M., Wynia, G., Gerich, A., 2019. New unique PAT method and instrument for real-time inline size characterization of concentrated, flowing nanosuspensions. *Eur. J. Pharm. Sci.* 133, 205–213.
- Biener, Richard, Steinkämper, Anne, Hofmann, Johannes, 2010. Calorimetric control for high cell density cultivation of a recombinant *Escherichia coli* strain. *J. Biotech.* 146 (1), 45–53.
- Bochicchio, D., Panizon, E., Monticelli, L., Rossi, G., 2017. Interaction of hydrophobic polymers with model lipid bilayers. *Sci. Rep.* 7 (1), 6357.
- Boedtker, Helga, Simmons, Norman S., 1958. The preparation and characterization of essentially uniform tobacco mosaic virus particles. *J. Am. Chem. Soc.* 80 (10), 2550–2556.
- Bogaerts, Ph, Wouwer, A. Vande, 2004. Parameter identification for state estimation—application to bioprocess software sensors. *Chem. Eng. Sci.* 59 (12), 2465–2476.
- Bonomi, Massimiliano, Branduardi, Davide, Bussi, Giovanni, Camilloni, Carlo, Provasi, Davide, Raiteri, Paolo, Donadio, Davide, Marinelli, Fabrizio, Pietrucci, Fabio, Broglia, Ricardo A., Parrinello, Michele, 2009. PLUMED: A portable plugin for free-energy calculations with molecular dynamics. *Comput. Phys. Comm.* 180 (10), 1961–1972.
- Boyne, J.A., Williamson, Arthur Gordon, 1967. Enthalpies of mixing of ethanol and water at 25°C. *J. Chem. Eng. Data* 12 (3), 318–318.
- Brader, Mark L., Williams, Sean J., Banks, Jessica M., Hui, Wong H., Zhou, Z. Hong, Jin, Lin, 2021. Encapsulation state of messenger RNA inside lipid nanoparticles. *Biophys. J.* 120 (14), 2766–2770.
- Brake, Jeffrey M., Daschner, Maren K., Abbott, Nicholas L., 2005. Formation and characterization of phospholipid monolayers spontaneously assembled at interfaces between aqueous phases and thermotropic liquid crystals. *Langmuir* 21 (6), 2218–2228.
- Brehm, M., Thomas, M., Gehrke, S., Kirchner, B., 2020. TRAVIS—A free analyzer for trajectories from molecular simulation. *J. Chem. Phys.* 152 (16), 164105.
- Brooks, B.R., Brooks, III, C.L., Mackerell, Jr., A.D., Nilsson, L., Petrella, R.J., Roux, B., Won, Y., Archontis, G., Bartels, C., Boresch, S., Caflisch, A., Caves, L., Cui, Q., Dinner, A.R., Feig, M., Fischer, S., Gao, J., Hodoscek, M., Im, W., Kucera, K., Lazaridis, T., Ma, J., Ovchinnikov, V., Paci, E., Pastor, R.W., Post, C.B., Pu, J.Z., Schaefer, M., Tidor, B., Venable, R.M., Woodcock, H.L., Wu, X., Yang, W., York, D.M., Karplus, M., 2009. CHARMM: The biomolecular simulation program. *J. Comput. Chem.* 30 (10), 1545–1614.
- Buck, Jonas, Grossen, Philip, Cullis, Pieter R., Huwyler, Jörg, Witzigmann, Dominik, 2019. Lipid-based DNA therapeutics: Hallmarks of non-viral gene delivery. *ACS Nano* 13 (4), 3754–3782.
- Bulcha, Jote T., Wang, Yi, Ma, Hong, Tai, Phillip W.L., Gao, Guangping, 2021. Viral vector platforms within the gene therapy landscape. *Signal Transduct. Target. Ther.* 6 (1), 53.
- Buongiorno, J., 2006. Convective transport in nanofluids. *J. Heat Transf.* 128 (3), 240–250.
- Byun, Min Ji, Lim, Jaesung, Kim, Se-Na, Park, Dae-Hwan, Kim, Tae-Hyung, Park, Wooram, Park, Chun Gwon, 2022. Advances in nanoparticles for effective delivery of RNA therapeutics. *BioChip J.* 16 (2), 128–145.
- Cafiero, Gioacchino, Discetti, Stefano, Astarita, Tommaso, 2014. Heat transfer enhancement of impinging jets with fractal-generated turbulence. *Int. J. Heat Mass Transfer* 75, 173–183.
- Cahn, John W., Hilliard, John E., 1958. Free energy of a nonuniform system. I. Interfacial free energy. *J. Chem. Phys.* 28 (2), 258–267.
- Candy, James, 2016. Bayesian Signal Processing. John Wiley & Sons, Ltd, Hoboken, NJ.
- Canova, Christopher T., Inguva, Pavan K., Braatz, Richard D., 2023. Mechanistic modeling of viral particle production. *Biotechnol. Bioeng.* 120 (3), 629–641.
- Cardellini, Annalisa, Fasano, Matteo, Bozorg Bigdeli, Masoud, Chiavazzo, Eliodoro, Asinari, Pietro, 2016. Thermal transport phenomena in nanoparticle suspensions. *J. Phys.: Condens. Matter.* 28 (48), 483003.
- Cárdenas, Marité, Campbell, Richard A., Yanez Arteta, Marianna, Lawrence, M. Jayne, Sebastiani, Federica, 2023. Review of structural design guiding the development of lipid nanoparticles for nucleic acid delivery. *Curr. Opin. Colloid & Interface Sci.* 66, 101705.
- Case, David A., Aktulga, Hasan Metin, Belfon, Kellon, Cerutti, David S., Cisneros, G. Andrés, Cruzeiro, Vinícius Wilian D., Forouzesh, Negin, Giese, Timothy J., Götz, Andreas W., Gohlke, Holger, Izadi, Saeed, Kasavajhala, Koushik, Kaymak, Mehmet C., King, Edward, Kurtzman, Tom, Lee, Tai-Sung, Li, Pengfei, Liu, Jian, Luchko, Tyler, Luo, Ray, Manathunga, Madushanka, Machado, Matias R., Nguyen, Hai Minh, O'Hearn, Kurt A., Onufriev, Alexey V., Pan, Feng, Pantano, Sergio, Qi, Ruxi, Rahnamoun, Ali, Risheh, Ali, Schott-Verdugo, Stephan, Shajan, Akhil, Swails, Jason, Wang, Junmei, Wei, Haixin, Wu, Xiongwu, Wu, Yongxian, Zhang, Shi, Zhao, Shiji, Zhu, Qiang, Cheatham, III, Thomas E., Roe, Daniel R., Roitberg, Adrian, Simmerling, Carlos, York, Darrin M., Nagan, Maria C., Merz, Jr., Kenneth M., 2023. AmberTools. *J. Chem. Inf. Model.* 63 (20), 6183–6191.
- Chapman, W.G., Gubbins, K.E., Jackson, G., Radosz, M., 1989. SAFT: Equation-of-state solution model for associating fluids. *Fluid Phase Equilib.* 52, 31–38.
- Chapman, Walter G., Gubbins, Keith E., Jackson, George, Radosz, Maciej, 1990. New reference equation of state for associating liquids. *Ind. Eng. Chem. Res.* 29 (8), 1709–1721.
- Chen, Long-Qing, 2002. Phase-field models for microstructure evolution. *Annu. Rev. Mater. Res.* 32 (1), 113–140.
- Chen, Wenjun, Bai, Xiyue, Xue, Zhimin, Mou, Hongyu, Chen, Jiangang, Liu, Zhaotie, Mu, Tiancheng, 2019. The formation and physicochemical properties of PEGylated deep eutectic solvents. *New J. Chem.* 43 (22), 8804–8810.
- Chen, K., Goh, L., He, G., Kenis, P.J.A., Zukoski, C.F., Braatz, R.D., 2012a. Identification of nucleation rates in droplet-based microfluidic systems. *Chem. Eng. Sci.* 77, 235–241.
- Chen, Delai, Love, Kevin T., Chen, Yi, Eltoukhy, Ahmed A., Kastrop, Christian, Sahay, Gaurav, Jeon, Alvin, Dong, Yizhou, Whitehead, Kathryn A., Anderson, Daniel G., 2012b. Rapid discovery of potent siRNA-containing lipid nanoparticles enabled by controlled microfluidic formulation. *J. Am. Chem. Soc.* 134 (16), 6948–6951.
- Chen, Long-Qing, Zhao, Yuhong, 2022. From classical thermodynamics to phase-field method. *Prog. Mater. Sci.* 124, 100868.
- Cheng, Janine Chungyin, Fox, Rodney O., 2010. Kinetic modeling of nanoprecipitation using CFD coupled with a population balance. *Ind. Eng. Chem. Res.* 49 (21), 10651–10662.
- Cheng, Xinwei, Lee, Robert J., 2016. The role of helper lipids in lipid nanoparticles (LNPs) designed for oligonucleotide delivery. *Adv. Drug Deliv. Rev.* 99, 129–137.
- Cheng, Miffy Hok Yan, Leung, Jerry, Zhang, Yao, Strong, Colton, Basha, Genc, Momeni, Arash, Chen, Yihang, Jan, Eric, Abdolhazadeh, Amir, Wang, Xinying, Kulkarni, Jayesh A., Witzigmann, Dominik, Cullis, Pieter R., 2023. Induction of bleb structures in lipid nanoparticle formulations of mRNA leads to improved transfection potency. *Adv. Mater.* 35 (31), 2303370.
- Cheng, Janine Chungyin, Vigil, R.D., Fox, R.O., 2010. A competitive aggregation model for flash nanoprecipitation. *J. Colloid Interface Sci.* 351 (2), 330–342.
- Chiu, Pao-Hsiung, Lin, Yan-Ting, 2011. A conservative phase field method for solving incompressible two-phase flows. *J. Comput. Phys.* 230 (1), 185–204.
- Cho, Kwang-Hyun, Shin, Sung-Young, Kolch, Walter, Wolkenhauer, Olaf, 2003. Experimental design in systems biology, based on parameter sensitivity analysis using a monte carlo method: A case study for the TNF α -mediated NF- κ B signal transduction pathway. *Simulation* 79 (12), 726–739.
- Cicuta, Pietro, Keller, Sarah L., Veatch, Sarah L., 2007. Diffusion of liquid domains in lipid bilayer membranes. *J. Phys. Chem. B* 111 (13), 3328–3331.
- Cui, Zhong-Kai, Edwards, Katarina, Orellana, Alejandro Nieto, Bastiat, Guillaume, Benoit, Jean-Pierre, Lafleur, Michel, 2014. Impact of interfacial cholesterol-anchored polyethylene glycol on sterol-rich non-phospholipid liposomes. *J. Colloid Interface Sci.* 428, 111–120.
- Cullis, Pieter R., Hope, Michael J., 2017. Lipid nanoparticle systems for enabling gene therapies. *Mol. Ther.* 25 (7), 1467–1475.
- da Rosa, Cezar A., Braatz, Richard D., 2018. Multiscale modeling and simulation of macromixing, micromixing, and crystal size distribution in radial mixers/crystallizers. *Ind. Eng. Chem. Res.* 57 (15), 5433–5441.
- Damase, Tulsi Ram, Sukhovshin, Roman, Boada, Christian, Taraballi, Francesca, Petigrew, Roderic I., Cooke, John P., 2021. The limitless future of RNA therapeutics. *Front. Bioeng. Biotechnol.* 9, 628137.
- Daniel, Simon, Kis, Zoltán, Kontoravdi, Cleo, Shah, Nilay, 2022. Quality by design for enabling RNA platform production processes. *Trends Biotechnol.* 40 (10), 1213–1228.
- Das, Mitradip, Dahal, Udaya, Mesele, Oluwaseun, Liang, Dongyue, Cui, Qiang, 2019. Molecular dynamics simulation of interaction between functionalized nanoparticles with lipid membranes: Analysis of coarse-grained models. *J. Phys. Chem. B* 123 (49), 10547–10561.
- David, René, Villiermaux, Jacques, 1987. Interpretation of micromixing effects on fast consecutive-competing reactions in semi-batch stirred tanks by a simple interaction model. *Chem. Eng. Commun.* 54 (1–6), 333–352.
- de Assis, Adilson José, Filho, Rubens Maciel, 2000. Soft sensors development for on-line bioreactor state estimation. *Comput. Chem. Eng.* 24 (2), 1099–1103.
- de Jesus, Marcelo B., Zuhorn, Inge S., 2015. Solid lipid nanoparticles as nucleic acid delivery system: Properties and molecular mechanisms. *J. Control. Release* 201, 1–13.
- Del Río, J.A., Whitaker, S., 2016. Diffusion of charged species in liquids. *Sci. Rep.* 6 (1), 35211.
- Deshpande, Suraj S., Anumolu, Lakshman, Trujillo, Mario F., 2012. Evaluating the performance of the two-phase flow solver interFoam. *Comput. Sci. Discov.* 5 (1), 014016.
- Destro, Francesco, Inguva, Pavan K., Srisuma, Prakrit, Braatz, Richard D., 2024. Advanced methodologies for model-based optimization and control of pharmaceutical processes. *Curr. Opin. Chem. Eng.* 45, 101035.
- Destro, Francesco, Joseph, John, Srinivasan, Prasanna, Kanter, Joshua M., Neufeld, Caleb, Wolfrum, Jacqueline M., Barone, Paul W., Springs, Stacy L., Sinskey, Anthony J., Cecchini, Sylvain, Kotin, Robert M., Braatz, Richard D., 2023. Mechanistic modeling explains the production dynamics of recombinant adeno-associated virus with the baculovirus expression vector system. *Mol. Therapy- Methods & Clin. Dev.* 30, 122–146.

- Devos, Cedric, Mukherjee, Saikat, Inguva, Pavan, Singh, Shalini, Wei, Yi, Mondal, Sandip, Yu, Huiwen, Barbastathis, George, Stelzer, Torsten, Braatz, Richard D., Myerson, Allan S., 2025. Impinging jet mixers: A review of their mixing characteristics, performance considerations, and applications. *AIChE J.* 71 (1), e18595.
- Di Carlo, Dino, Irimia, Daniel, Tompkins, Ronald G., Toner, Mehmet, 2007. Continuous inertial focusing, ordering, and separation of particles in microchannels. *Proc. Natl. Acad. Sci.* 104 (48), 18892–18897.
- Di Pasquale, N., Marchisio, D.L., Barresi, A.A., 2012. Model validation for precipitation in solvent-displacement processes. *Chem. Eng. Sci.* 84, 671–683.
- Di Pasquale, N., Marchisio, D.L., Carbone, P., Barresi, A.A., 2013. Identification of nucleation rate parameters with MD and validation of the CFD model for polymer particle precipitation. *Chem. Eng. Res. Des.* 91 (11), 2275–2290.
- Dochain, D., Perrier, M., 1998. Monitoring and adaptive control of bioprocesses. In: Van Impe, Jan F.M., Vanrolleghem, Peter A., Iserentant, Dirk M. (Eds.), *Advanced Instrumentation, Data Interpretation, and Control of Biotechnological Processes*. Springer Netherlands, Dordrecht, pp. 347–400.
- Donckels, Brecht M.R., De Pauw, Dirk J.W., Vanrolleghem, Peter A., De Baets, Bernard, 2009. A kernel-based method to determine optimal sampling times for the simultaneous estimation of the parameters of rival mathematical models. *J. Comput. Chem.* 30 (13), 2064–2077.
- Dragovic, Rebecca A., Gardiner, Christopher, Brooks, Alexandra S., Tannetta, Dionne S., Ferguson, David J.P., Hole, Patrick, Carr, Bob, Redman, Christopher W.G., Harris, Adrian L., Dobson, Peter J., Harrison, Paul, Sargent, Ian L., 2011. Sizing and phenotyping of cellular vesicles using Nanoparticle Tracking Analysis. *Nanomedicine: Nanotechnol. Biology Med.* 7 (6), 780–788.
- Du, Wenbo, Gupta, Amit, Zhang, Xiangchun, Sastry, Ann Marie, Shyy, Wei, 2010. Effect of cycling rate, particle size and transport properties on lithium-ion cathode performance. *Int. J. Heat Mass Transfer* 53 (17–18), 3552–3561.
- Duan, Zhaoyang, Wilms, Terrance, Neubauer, Peter, Kravaris, Costas, Cruz Bournazou, Mariano Nicolas, 2020. Model reduction of aerobic bioprocess models for efficient simulation. *Chem. Eng. Sci.* 217, 115512.
- Eastman, Peter, Swails, Jason, Chodera, John D., McGibbon, Robert T., Zhao, Yutong, Beauchamp, Kyle A., Wang, Lee-Ping, Simmonett, Andrew C., Harrigan, Matthew P., Stern, Chaya D., Wiewiora, Rafal P., Brooks, Bernard R., Pande, Vijay S., 2017. OpenMM 7: Rapid development of high performance algorithms for molecular dynamics. *PLoS Comput. Biol.* 13 (7), e1005659.
- Erdemir, Deniz, Lee, Alfred Y., Myerson, Allan S., 2009. Nucleation of crystals from solution: Classical and two-step models. *Acc. Chem. Res.* 42 (5), 621–629.
- Erfle, Peer, Riewe, Juliane, Bunjes, Heike, Dietzel, Andreas, 2019. Stabilized production of lipid nanoparticles of tunable size in Taylor flow glass devices with high-surface-quality 3D microchannels. *Micromachines* 10 (4), 220.
- Ermilova, Inna, Swenson, Jan, 2023. Ionizable lipids penetrate phospholipid bilayers with high phase transition temperatures: perspectives from free energy calculations. *Chem. Phys. Lipids* 253, 105294.
- Evans, R.W., Williams, M.A., Tinoco, J., 1980. Surface viscosities of phospholipids alone and with cholesterol in monolayers at the air-water interface. *Lipids* 15 (7), 524–533.
- Evers, Martijn J.W., Kulkarni, Jayesh A., van der Meel, Roy, Cullis, Pieter R., Vader, Pieter, Schiffelers, Raymond M., 2018. State-of-the-art design and rapid-mixing production techniques of lipid nanoparticles for nucleic acid delivery. *Small Methods* 2 (9), 1700375.
- Eygeris, Yulia, Gupta, Mohit, Kim, Jeonghwan, Sahay, Gaurav, 2022. Chemistry of lipid nanoparticles for RNA delivery. *Acc. Chem. Res.* 55 (1), 2–12.
- Eygeris, Yulia, Patel, Siddharth, Jozic, Antony, Sahay, Gaurav, 2020. Deconvoluting lipid nanoparticle structure for messenger RNA delivery. *Nano Lett.* 20 (6), 4543–4549.
- Fan, Yuchen, Marioli, Maria, Zhang, Kelly, 2021. Analytical characterization of liposomes and other lipid nanoparticles for drug delivery. *J. Pharm. Biomed. Anal.* 192, 113642.
- Fee, Conan J., Van Alstine, James M., 2004. Prediction of the viscosity radius and the size exclusion chromatography behavior of PEGylated proteins. *Bioconjug. Chem.* 15 (6), 1304–1313.
- Feller, Scott E., 2000. Molecular dynamics simulations of lipid bilayers. *Curr. Opin. Colloid & Interface Sci.* 5 (3), 217–223.
- Felton, Kobi, Rasßpe-Lange, Lukas, Rittig, Jan, Leonhard, Kai, Mitsos, Alexander, Meyer-Kirschner, Julian, Knösche, Carsten, Lapkin, Alexei, 2024. ML-SAFT: A machine learning framework for PCP-SAFT parameter prediction. *Chem. Eng. J.* 492, 151999.
- Fiuzza, G.C.C., Rezende, A.L.T., 2018. Comparison of K-E turbulence model wall functions applied on a T-junction channel flow. *Int. J. Eng. Res. Sci.* 4 (1), 60–70.
- Fong, Kara D., Self, Julian, McCloskey, Bryan D., Persson, Kristin A., 2020. Onsager transport coefficients and transference numbers in polyelectrolyte solutions and polymerized ionic liquids. *Macromolecules* 53 (21), 9503–9512, Publisher: American Chemical Society.
- Fong, Kara D., Self, Julian, McCloskey, Bryan D., Persson, Kristin A., 2021. Ion correlations and their impact on transport in polymer-based electrolytes. *Macromolecules* 54 (6), 2575–2591.
- Fox, Rodney O., 2003. *Computational Models for Turbulent Reacting Flows*, first ed. Cambridge University Press, Cambridge, United Kingdom.
- Franceschini, Gaia, Macchietto, Sandro, 2008. Model-based design of experiments for parameter precision: State of the art. *Chem. Eng. Sci.* 63 (19), 4846–4872.
- Frank, Th., Lifante, C., Prasser, H.-M., Menter, F., 2010. Simulation of turbulent and thermal mixing in T-junctions using URANS and scale-resolving turbulence models in ANSYS CFX. *Nucl. Eng. Des.* 240 (9), 2313–2328.
- Franzen, Ulrik, Vermehren, Charlotte, Jensen, Henrik, Østergaard, Jesper, 2011. Physicochemical characterization of a PEGylated liposomal drug formulation using capillary electrophoresis. *Electrophoresis* 32 (6–7), 738–748.
- Gallud, A., Munson, M.J., Liu, K., Idström, A., Barriga, H.M.G., Tabaei, S.R., Aliakbarinodhi, N., Ojansivu, M., Lubart, Q., Douth, J.J., Holme, M.N., Evenäs, L., Lindfors, L., Stevens, M.M., Collén, A., Sabirsh, A., Höök, F., Esbjörner, E.K., 2021. Time evolution of PEG-shedding and serum protein coronation determines the cell uptake kinetics and delivery of lipid nanoparticle formulated mRNA. preprint, Biophysics.
- Gasior, K., Forest, M.G., Gladfelter, A.S., Newby, J.M., 2020. Modeling the mechanisms by which coexisting biomolecular RNA-protein condensates form. *Bull. Math. Biol.* 82 (12), 153.
- Gatski, T.B., Bonnet, Jean-Paul, 2009. *Compressibility, Turbulence and High Speed Flow*. Elsevier, Amsterdam.
- Germano, M., 1992. Turbulence: The filtering approach. *J. Fluid Mech.* 238, 325–336.
- Ghorbani, M., Giljarhus, K.E.T., Skadsem, H.J., Time, R.W., 2021. Computational fluid dynamics simulation of buoyant mixing of miscible fluids in a tilted tube. *IOP Conf. Ser.: Mater. Sci. Eng.* 1201 (1), 012021.
- Gilbert, Jennifer, Sebastiani, Federica, Arteta, Marianna Yanez, Terry, Ann, Fornell, Anna, Russell, Robert, Mahmoudi, Najet, Nylander, Tommy, 2024. Evolution of the structure of lipid nanoparticles for nucleic acid delivery: From in situ studies of formulation to colloidal stability. *J. Colloid Interface Sci.* 660, 66–76.
- Golabgir, Aydin, Herwig, Christoph, 2016. Combining mechanistic modeling and Raman spectroscopy for real-time monitoring of fed-batch Penicillin production. *Chem. Ing. Tech.* 88 (6), 764–776.
- Golabgir, Aydin, Hoch, Thomas, Zhariy, Mariya, Herwig, Christoph, 2015. Observability analysis of biochemical process models as a valuable tool for the development of mechanistic soft sensors. *Biotechnol. Prog.* 31 (6), 1703–1715.
- Gonçalves, F.A.M.M., Trindade, A.R., Costa, C.S.M.F., Bernardo, J.C.S., Johnson, I., Fonseca, I.M.A., Ferreira, A.G.M., 2010. PVT, viscosity, and surface tension of ethanol: New measurements and literature data evaluation. *J. Chem. Thermodyn.* 42 (8), 1039–1049.
- Grasselli, Maurizio, Scarpa, Luca, Signori, Andrea, 2023. On a phase field model for RNA-protein dynamics. *SIAM J. Math. Anal.* 55 (1), 405–457.
- Gross, Joachim, Sadowski, Gabriele, 2001. Perturbed-chain SAFT: An equation of state based on a perturbation theory for chain molecules. *Ind. Eng. Chem. Res.* 40 (4), 1244–1260.
- Guéguen, Claire, Ben Chimol, Thibaut, Briand, Margaux, Renaud, Kassandra, Seiler, Mélodie, Ziesel, Morgane, Erbacher, Patrick, Hellal, Malik, 2024. Evaluating how cationic lipid affects mRNA-LNP physical properties and biodistribution. *Eur. J. Pharmaceut. Biopharmaceut.* 195, 114077.
- Güldenpfennig, Andreas, Pflug, Lukas, Peukert, Wolfgang, 2019. How to estimate material parameters for multiphase, multicomponent precipitation modeling. *Cryst. Growth & Des.* 19 (5), 2785–2793.
- Gumbart, James, Wang, Yi, Aksimentiev, Aleksei, Tajkhorshid, Emad, Schulten, Klaus, 2005. Molecular dynamics simulations of proteins in lipid bilayers. *Curr. Opin. Struct. Biol.* 15 (4), 423–431.
- Gupta, Akash, Andresen, Jason L., Manan, Rajith S., Langer, Robert, 2021. Nucleic acid delivery for therapeutic applications. *Adv. Drug Deliv. Rev.* 178, 113834.
- Gurtin, Morton E., 1996. Generalized Ginzburg-Landau and Cahn-Hilliard equations based on a microforce balance. *Phys. D: Nonlinear Phenom.* 92 (3–4), 178–192.
- Gutierrez, G., Ricardez-Sandoval, L.A., Budman, H., Prada, C., 2014. An MPC-based control structure selection approach for simultaneous process and control design. *Comput. Chem. Eng.* 70, 11–21.
- Guyer, J.E., Boettinger, W.J., Warren, J.A., McFadden, G.B., 2004. Phase field modeling of electrochemistry. I. Equilibrium. *Phys. Rev. E* 69 (2), 021603.
- Habicht, Jonas, Brandenbusch, Christoph, Sadowski, Gabriele, 2023. Predicting PC-SAFT pure-component parameters by machine learning using a molecular fingerprint as key input. *Fluid Phase Equilib.* 565, 113657.
- Haji, Khalid A., Whitehead, Kathryn A., 2017. Tools for translation: non-viral materials for therapeutic mRNA delivery. *Nat. Rev. Mater.* 2 (10), 1–17, Publisher: Nature Publishing Group.
- Håkansson, Andreas, 2019. Emulsion formation by homogenization: Current understanding and future perspectives. *Annu. Rev. Food Sci. Technol.* 10 (1), 239–258.
- Håkansson, Andreas, Trägårdh, Christian, Bergenstål, Björn, 2009. Dynamic simulation of emulsion formation in a high pressure homogenizer. *Chem. Eng. Sci.* 64 (12), 2915–2925.
- Hald Albertsen, Camilla, Kulkarni, Jayesh A., Witzigmann, Dominik, Lind, Marianne, Petersson, Karsten, Simonsen, Jens B., 2022. The role of lipid components in lipid nanoparticles for vaccines and gene therapy. *Adv. Drug Deliv. Rev.* 188, 114416.
- Hamilton, Alex G., Swingle, Kelsey L., Mitchell, Michael J., 2023. Biotechnology: Overcoming biological barriers to nucleic acid delivery using lipid nanoparticles. *PLOS Biology* 21 (4), e3002105.

- Handwerk, Derek R., Shipman, Patrick D., Whitehead, Christopher B., Özkaz, Saim, Finke, Richard G., 2019. Mechanism-enabled population balance modeling of particle formation en route to particle average size and size distribution understanding and control. *J. Am. Chem. Soc.* 141 (40), 15827–15839.
- Hardianto, Ari, Muscifa, Zahra Silmi, Widayat, Wahyu, Yusuf, Muhammad, Sub-
roto, Toto, 2023. The effect of ethanol on lipid nanoparticle stabilization from a molecular dynamics simulation perspective. *Molecules* 28 (12), 4836.
- Hassett, Kimberly J., Higgins, Jaclyn, Woods, Angela, Levy, Becca, Xia, Yan, Hsiao, Chiaowen Joyce, Acosta, Edward, Almarsson, Örn, Moore, Melissa J., Brito, Luis A., 2021. Impact of lipid nanoparticle size on mRNA vaccine immunogenicity. *J. Control. Release* 335, 237–246.
- Hayashi, Makoto, Muramatsu, Toshio, Hara, Ichiro, Seimiya, Tsutomu, 1975. Phase transitions of phospholipids in monolayers and surface viscosity. *Chem. Phys. Lipids* 15 (2), 209–215.
- He, Zhiyu, Hu, Yizong, Nie, Tianqi, Tang, Haoyu, Zhu, Jinchang, Chen, Kuntao, Liu, Lixin, Leong, Kam W., Chen, Yongming, Mao, Hai-Quan, 2018. Size-controlled lipid nanoparticle production using turbulent mixing to enhance oral DNA delivery. *Acta Biomater.* 81, 195–207.
- Hermanto, Martin Wijaya, Kee, Nicholas C., Tan, Reginald B.H., Chiu, Min-Sen, Braatz, Richard D., 2008. Robust Bayesian estimation of kinetics for the polymorphic transformation of L-glutamic acid crystals. *AIChE J.* 54 (12), 3248–3259.
- Hohenberg, P.C., Halperin, B.I., 1977. Theory of dynamic critical phenomena. *Rev. Modern Phys.* 49 (3), 435–479.
- Hong, Moo Sun, Braatz, Richard D., 2021. Mechanistic modeling and parameter-adaptive nonlinear model predictive control of a microbioreactor. *Comput. Chem. Eng.* 147, 107255.
- Hong, Moo Sun, Lu, Amos E., Bae, Jaehan, Lee, Jong Min, Braatz, Richard D., 2021. Droplet-based evaporative system for the estimation of protein crystallization kinetics. *Cryst. Growth & Des.* 21 (11), 6064–6075.
- Hong, Moo Sun, Severson, Kristen A., Jiang, Mo, Lu, Amos E., Love, J. Christopher, Braatz, Richard D., 2018. Challenges and opportunities in biopharmaceutical manufacturing control. *Comput. Chem. Eng.* 110, 106–114.
- Hopp-Hirschler, Manuel, Nieken, Ulrich, 2018. Modeling of pore formation in phase inversion processes: Model and numerical results. *J. Membr. Sci.* 564, 820–831.
- Hounslow, M.J., Lewis, A.E., Sanders, S.J., Bondy, R., 2005. Generic crystallizer model: I. A model framework for a well-mixed compartment. *AIChE J.* 51 (11), 2942–2955.
- Hu, Yizong, He, Zhiyu, Hao, Yue, Gong, Like, Pang, Marion, Howard, Gregory P., Ahn, Hye-Hyun, Brummet, Mary, Chen, Kuntao, Liu, Heng-wen, Ke, Xiyu, Zhu, Jinchang, Anderson, Caleb F., Cui, Honggang, Ullman, Christopher G., Carrington, Christine A., Pomper, Martin G., Seo, Jung-Hee, Mittal, Rajat, Minn, Il, Mao, Hai-Quan, 2019. Kinetic control in assembly of plasmid DNA/polycation complex nanoparticles. *ACS Nano* 13 (9), 10161–10178.
- Ibraheem, D., Elaissari, A., Fessi, H., 2014. Gene therapy and DNA delivery systems. *Int. J. Pharm.* 459 (1–2), 70–83.
- Ickenstein, Ludger M., Garidel, Patrick, 2019. Lipid-based nanoparticle formulations for small molecules and RNA drugs. *Expert. Opin. Drug Deliv.* 16 (11), 1205–1226.
- Iggland, Martin, Mazzotti, Marco, 2012. Population balance modeling with size-dependent solubility: Ostwald ripening. *Cryst. Growth & Des.* 12 (3), 1489–1500.
- Inguva, Pavan K., Braatz, Richard D., 2023. Efficient numerical schemes for multidimensional population balance models. *Comput. Chem. Eng.* 170, 108095.
- Inguva, Venkatesh, Kathuria, Sagar V., Bilsel, Osman, Perot, Blair James, 2018. Computer design of microfluidic mixers for protein/RNA folding studies. *PLoS ONE* 13 (6), e0198534.
- Inguva, Pavan, Mason, Lachlan R., Pan, Indranil, Hengardi, Miselle, Matar, Omar K., 2020. Numerical simulation, clustering, and prediction of multicomponent polymer precipitation. *Data-Centric Eng.* 1, e13.
- Inguva, Pavan K., Schickel, Kaylee C., Braatz, Richard D., 2022. Efficient numerical schemes for population balance models. *Comput. Chem. Eng.* 162, 107808.
- Inguva, Pavan K., Walker, Pierre J., Yew, Hon Wa, Zhu, Kezheng, Haslam, Andrew J., Matar, Omar K., 2021. Continuum-scale modelling of polymer blends using the Cahn–Hilliard equation: transport and thermodynamics. *Soft Matter* 17 (23), 5645–5665.
- Jacquin, David, 1999. Calculation of two-phase Navier–Stokes flows using phase-field modeling. *J. Comput. Phys.* 155 (1), 96–127.
- Jäger, A., Steinberg, L., Mickoleit, E., Thol, M., 2023. Residual entropy scaling for long-chain linear alkanes and isomers of alkanes. *Ind. Eng. Chem. Res.* 62 (8), 3767–3791.
- Jeldres, Ricardo I., Fawell, Phillip D., Florio, Brendan J., 2018. Population balance modelling to describe the particle aggregation process: A review. *Powder Technol.* 326, 190–207.
- Jeon, Jae-Hyung, Monne, Hector Martinez-Seara, Javanainen, Matti, Metzler, Ralf, 2012. Anomalous diffusion of phospholipids and cholesterol in a lipid bilayer and its origins. *Phys. Rev. Lett.* 109 (18), 188103.
- Jiang, Y., Yin, S., Dong, J., Kaynak, O., 2021. A review on soft sensors for monitoring, control, and optimization of industrial processes. *IEEE Sensors J.* 21 (11), 12868–12881.
- Jorge, Miguel, 2008. Molecular dynamics simulation of self-assembly of n-decyltrimethylammonium bromide micelles. *Langmuir* 24 (11), 5714–5725.
- Jorgensen, William L., Madura, Jeffery D., Swenson, Carol J., 1984. Optimized inter-molecular potential functions for liquid hydrocarbons. *J. Am. Chem. Soc.* 106 (22), 6638–6646.
- Jorgensen, William L., Maxwell, David S., Tirado-Rives, Julian, 1996. Development and testing of the OPLS all-atom force field on conformational energetics and properties of organic liquids. *J. Am. Chem. Soc.* 118 (45), 11225–11236.
- Kalathi, Jagannathan T., Yamamoto, Umi, Schweizer, Kenneth S., Grest, Gary S., Kumar, Sanat K., 2014. Nanoparticle diffusion in polymer nanocomposites. *Phys. Rev. Lett.* 112 (10), 108301.
- Kamanzi, Albert, Gu, Yifei, Tahvildari, Radin, Friedenberger, Zachary, Zhu, Xingqi, Berti, Romain, Kurylowicz, Marty, Witzigmann, Dominik, Kulkarni, Jayesh A., Leung, Jerry, Andersson, John, Dahlin, Andreas, Höök, Fredrik, Sutton, Mark, Cullis, Pieter R., Leslie, Sabrina, 2021. Simultaneous, single-particle measurements of size and loading give insights into the structure of drug-delivery nanoparticles. *ACS Nano* 15 (12), 19244–19255.
- Kamanzi, Albert, Zhang, Yao, Gu, Yifei, Liu, Faith, Berti, Romain, Wang, Benjamin, Saadati, Fariba, Ciufolini, Marco A., Kulkarni, Jayesh, Cullis, Pieter, Leslie, Sabrina, 2024. Quantitative visualization of lipid nanoparticle fusion as a function of formulation and process parameters. *ACS Nano* 18 (28), 18191–18201.
- Kaminski, Sebastian, Leonhard, Kai, 2020. SEPP: Segment-based equation of state parameter prediction. *J. Chem. Eng. Data* 65 (12), 5830–5843.
- Kanso, Mona, Giacomini, Alan Jeffrey, 2022. General rigid bead-rigid macromolecular theory. In: De Kee, Daniel, Ramachandran, Arun (Eds.), *Recent Advances in Rheology*. AIP Publishing LLC, Melville, New York, 2–1–2–32.
- Kanso, M.A., Giacomini, A.J., Saengow, C., Piette, J.H., 2019. Macromolecular architecture and complex viscosity. *Phys. Fluids* 31 (8), 087107.
- Kanso, M.A., Piette, J.H., Hanna, J.A., Giacomini, A.J., 2020. Coronavirus rotational diffusivity. *Phys. Fluids* 32 (11), 113101.
- Kanwar, B., Balakirsky, S., Mazumdar, A., 2022. Modeling and controller design for enhanced hollow-fiber bioreactor performance. *IEEE Control. Syst. Lett.* 6, 115–120.
- Karantatos, Argyrios, Composto, Russell J., Winey, Karen I., Clarke, Nigel, 2017. Polymer and spherical nanoparticle diffusion in nanocomposites. *J. Chem. Phys.* 146 (20), 203331.
- Kessel, Amit, Ben-Tal, Nir, May, Sylvio, 2001. Interactions of cholesterol with lipid bilayers: The preferred configuration and fluctuations. *Biophys. J.* 81 (2), 643–658.
- Keßler, Simon, Schmid, Friederike, Drese, Klaus, 2016. Modeling size controlled nanoparticle precipitation with the co-solvency method by spinodal decomposition. *Soft Matter* 12 (34), 7231–7240.
- Kim, S.E., Choudhury, D., 1995. A near-wall treatment using wall functions sensitized to pressure gradient. 217, *ASME*, pp. 273–279.
- Kim, Shin Hyuk, Hong, Moo Sun, Braatz, Richard D., 2024. Investigation of particle flow effects in slug flow crystallization using the multiscale computational fluid dynamics simulation. *Chem. Eng. Sci.* 297, 120238.
- Kim, Jeonghwan, Jozic, Antony, Lin, Yuxin, Eygeris, Yulia, Bloom, Elissa, Tan, Xiaochen, Acosta, Christopher, MacDonald, Kelvin D., Welscher, Kevin D., Sahay, Gaurav, 2022a. Engineering lipid nanoparticles for enhanced intracellular delivery of mRNA through inhalation. *ACS Nano* 16 (9), 14792–14806.
- Kim, Shin Hyuk, Lee, Jay H., Braatz, Richard D., 2020. Multi-phase particle-in-cell coupled with population balance equation (MP-PIC-PBE) method for multiscale computational fluid dynamics simulation. *Comput. Chem. Eng.* 134, 106686.
- Kim, Shin Hyuk, Lee, Jay H., Braatz, Richard D., 2021. Multi-scale fluid dynamics simulation based on MP-PIC-PBE method for PMMA suspension polymerization. *Comput. Chem. Eng.* 152, 107391.
- Kim, Sun Chang, Sekhon, Simranjeet Singh, Shin, Woo-Ri, Ahn, Gna, Cho, Byung-Kwan, Ahn, Ji-Young, Kim, Yang-Hoon, 2022b. Modifications of mRNA vaccine structural elements for improving mRNA stability and translation efficiency. *Mol. Cell. Toxicol.* 18 (1), 1–8.
- Kimura, Niko, Maeki, Masatoshi, Sato, Yusuke, Ishida, Akihiko, Tani, Hirofumi, Harashima, Hideyoshi, Tokeshi, Manabu, 2020. Development of a microfluidic-based post-treatment process for size-controlled lipid nanoparticles and application to siRNA delivery. *ACS Appl. Mater. & Interfaces* 12 (30), 34011–34020.
- Kimura, Niko, Maeki, Masatoshi, Sato, Yusuke, Note, Yusuke, Ishida, Akihiko, Tani, Hirofumi, Harashima, Hideyoshi, Tokeshi, Manabu, 2018. Development of the iLiNP device: Fine tuning the lipid nanoparticle size within 10 nm for drug delivery. *ACS Omega* 3 (5), 5044–5051.
- King, T.A., Knox, A., McAdam, J.D.G., 1973. Translational and rotational diffusion of tobacco mosaic virus from polarized and depolarized light scattering. *Biopolymers* 12 (8), 1917–1926.
- Kinsey, Caleb, Lu, Tian, Deiss, Alyssa, Vuolo, Kim, Klein, Lee, Rustandi, Richard R., Loughney, John W., 2022. Determination of lipid content and stability in lipid nanoparticles using ultra high-performance liquid chromatography in combination with a Corona Charged Aerosol Detector. *ELECTROPHORESIS* 43 (9–10), 1091–1100.
- Kise, Drew P., Magana, Donny, Reddish, Michael J., Dyer, R. Brian, 2014. Submillisecond mixing in a continuous-flow, microfluidic mixer utilizing mid-infrared hyperspectral imaging detection. *Lab Chip* 14 (3), 584–591.
- Kittel, Charles, 2005. *Introduction to Solid State Physics*, 8th ed. Wiley, Hoboken, NJ.
- Kloczewiak, Marek, Banks, Jessica M., Jin, Lin, Brader, Mark L., 2022. A biopharmaceutical perspective on higher-order structure and thermal stability of mRNA vaccines. *Mol. Pharm.* 19 (7), 2022–2031.

- Komives, Claire, Parker, Robert S., 2003. Bioreactor state estimation and control. *Curr. Opin. Biotechnol.* 14 (5), 468–474.
- Kon, Edo, Elia, Uri, Peer, Dan, 2022. Principles for designing an optimal mRNA lipid nanoparticle vaccine. *Curr. Opin. Biotechnol.* 73, 329–336.
- Kowalski, Piotr S., Rudra, Arnab, Miao, Lei, Anderson, Daniel G., 2019. Delivering the messenger: Advances in technologies for therapeutic mRNA delivery. *Mol. Ther.* 27 (4), 710–728, Publisher: Elsevier.
- Krämer, D., King, R., 2019. A hybrid approach for bioprocess state estimation using NIR spectroscopy and a sigma-point Kalman filter. *J. Process Control* 82, 91–104.
- Kügler, Ricco T., Beißert, Katharina, Kind, Matthias, 2016. On heterogeneous nucleation during the precipitation of barium sulfate. *Chem. Eng. Res. Des.* 114, 30–38.
- Kulkarni, Jayesh A., Cullis, Pieter R., van der Meel, Roy, 2018. Lipid nanoparticles enabling gene therapies: From concepts to clinical utility. *Nucleic Acid Ther.* 28 (3), 146–157.
- Kulkarni, Jayesh A., Witzigmann, Dominik, Leung, Jerry, Van Der Meel, Roy, Zaifman, Josh, Darjuan, Maria M., Grisch-Chan, Hiu Man, Thöny, Beat, Tam, Yuen Yi C., Cullis, Pieter R., 2019. Fusion-dependent formation of lipid nanoparticles containing macromolecular payloads. *Nanoscale* 11 (18), 9023–9031.
- Kulkarni, Jayesh A., Witzigmann, Dominik, Thomson, Sarah B., Chen, Sam, Leavitt, Blair R., Cullis, Pieter R., van der Meel, Roy, 2021. The current landscape of nucleic acid therapeutics. *Nature Nanotechnology* 16 (6), 630–643.
- Kumar, Jitendra, Peglow, Mirko, Warnecke, Gerald, Heinrich, Stefan, 2008. An efficient numerical technique for solving population balance equation involving aggregation, breakage, growth and nucleation. *Powder Technol.* 182 (1), 81–104.
- Küpper, Achim, Diehl, Moritz, Schlöder, Johannes P., Bock, Hans Georg, Engell, Sebastian, 2009. Efficient moving horizon state and parameter estimation for SMB processes. *J. Process Control* 19 (5), 785–802.
- Kutalik, Zoltán, Cho, Kwang-Hyun, Wolkenhauer, Olaf, 2004. Optimal sampling time selection for parameter estimation in dynamic pathway modeling. *Biosystems* 75 (1), 43–55.
- Lafitte, Thomas, Apostolou, Anastasia, Avendaño, Carlos, Galindo, Amparo, Adjiman, Claire S., Müller, Erich A., Jackson, George, 2013. Accurate statistical associating fluid theory for chain molecules formed from Mie segments. *J. Chem. Phys.* 139 (15), 154504.
- Lakerveld, Richard, Benyahia, Ibrahim, Heider, Patrick L., Zhang, Haitao, Wolfe, Aaron, Testa, Christopher J., Ogden, Sean, Hersey, Devin R., Mascia, Salvatore, Evans, James M.B., Braatz, Richard D., Barton, Paul I., 2015. The application of an automated control strategy for an integrated continuous pharmaceutical pilot plant. *Org. Process. Res. Dev.* 19 (9), 1088–1100.
- Lamorgese, A., Mauri, R., 2016. Phase-field modeling of interfacial dynamics in emulsion flows: Nonequilibrium surface tension. *Int. J. Multiph. Flow* 85, 164–172.
- Lamorgese, Andrea G., Molin, Dafne, Mauri, Roberto, 2011. Phase field approach to multiphase flow modeling. *Milan J. Math.* 79 (2), 597–642.
- Lapidot, Tomer, Matar, Omar K., Heng, Jerry Y.Y., 2019. Calcium sulphate crystallisation in the presence of mesoporous silica particles: Experiments and population balance modelling. *Chem. Eng. Sci.* 202, 238–249.
- Larson, Nicholas R., Hu, Gang, Wei, Yangjie, Tiesca, Anthony D., Forrest, M. Laird, Middaugh, C. Russell, 2022. pH-dependent phase behavior and stability of cationic lipid-mRNA nanoparticles. *J. Pharm. Sci.* 111 (3), 690–698.
- Lauder, B.E., Spalding, D.B., 1974. The numerical computation of turbulent flows. *Comput. Methods Appl. Mech. Engrg.* 3 (2), 269–289.
- Lauder, B.E., Spalding, D.B., 1983. The numerical computation of turbulent flows. In: *Numerical Prediction of Flow, Heat Transfer, Turbulence and Combustion*. Pergamon, New York, pp. 96–116.
- Lee, Sarah, Tran, Alan, Allsopp, Matthew, Lim, Joseph B., Hénin, Jérôme, Klauda, Jeffery B., 2014. CHARMM36 united atom chain model for lipids and surfactants. *J. Phys. Chem. B* 118 (2), 547–556.
- Lehner, Dieter, Lindner, Helmut, Glatter, Otto, 2000. Determination of the translational and rotational diffusion coefficients of rodlike particles using depolarized dynamic light scattering. *Langmuir* 16 (4), 1689–1695.
- Leung, Alex K.K., Tam, Yuen Yi C., Chen, Sam, Hafez, Ismail M., Cullis, Pieter R., 2015. Microfluidic mixing: A general method for encapsulating macromolecules in lipid nanoparticle systems. *J. Phys. Chem. B* 119 (28), 8698–8706.
- Li, Sixuan, Hu, Yizong, Li, Andrew, Lin, Jinghan, Hsieh, Kuangwen, Schneiderman, Zachary, Zhang, Pengfei, Zhu, Yining, Qiu, Chenhu, Kokkoli, Efrosini, Wang, Tza-Huei, Mao, Hai-Quan, 2022. Payload distribution and capacity of mRNA lipid nanoparticles. *Nat. Commun.* 13 (1), 5561.
- Li, Yuan, Yu, Yue, Chen, Chau-Chyun, 2021. Modeling aqueous multivalent polyelectrolytes systems with polyelectrolyte NRTL model. *J. Mol. Liq.* 336, 116237.
- Liao, Yixiang, Lucas, Dirk, 2009. A literature review of theoretical models for drop and bubble breakup in turbulent dispersions. *Chem. Eng. Sci.* 64 (15), 3389–3406.
- Lifland, Aaron W., Zurla, Chiara, Yu, Joana, Santangelo, Philip J., 2011. Dynamics of native β -actin mRNA transport in the cytoplasm. *Traffic* 12 (8), 1000–1011.
- Lindahl, Erik, Sansom, Mark S.P., 2008. Membrane proteins: molecular dynamics simulations. *Curr. Opin. Struct. Biol.* 18 (4), 425–431.
- Liu, Hongyu, Li, Jun, Sun, Daohua, Odoom-Wubah, Tareque, Huang, Jiale, Li, Qingbiao, 2014. Modeling of silver nanoparticle formation in a microreactor: Reaction kinetics coupled with population balance model and fluid dynamics. *Ind. Eng. Chem. Res.* 53 (11), 4263–4270.
- Liu, Hui, Skibinska, Lidia, Gapinski, Jacek, Patkowski, Adam, Fischer, Erhard W., Pecora, R., 1998. Effect of electrostatic interactions on the structure and dynamics of a model polyelectrolyte. I. Diffusion. *J. Chem. Phys.* 109 (17), 7556–7566.
- Ljung, Lennart, 1998. System Identification. In: Procházka, Ales, Uhlíř, Jan, Rayner, P.W.J., Kingsbury, N.G. (Eds.), *Signal Analysis and Prediction*. Birkhäuser, Boston, MA, pp. 163–173.
- Lopez, Carlos G., Linders, Jürgen, Mayer, Christian, Richterling, Walter, 2021. Diffusion and viscosity of unentangled polyelectrolytes. *Macromolecules* 54 (17), 8088–8103.
- Lötgering-Lin, Oliver, Fischer, Matthias, Hopp, Madlen, Gross, Joachim, 2018. Pure substance and mixture viscosities based on entropy scaling and an analytic equation of state. *Ind. Eng. Chem. Res.* 57 (11), 4095–4114.
- Lovegrove, Jordan Thomas, Kent, Ben, Förster, Stephan, Garvey, Christopher J., Stenzel, Martina H., 2023. The flow of anisotropic nanoparticles in solution and in blood. *Exploration* 3 (6), 20220075.
- Maaß, S., Gäbler, A., Zaccane, A., Paschedag, A.R., Kraume, M., 2007. Experimental investigations and modelling of breakage phenomena in stirred liquid/liquid systems. *Chem. Eng. Res. Des.* 85 (5), 703–709.
- Madras, Giridhar, McCoy, Benjamin J., 2004. Temperature effects on the transition from nucleation and growth to Ostwald ripening. *Chem. Eng. Sci.* 59 (13), 2753–2765.
- Maeki, Masatoshi, Kimura, Niko, Sato, Yusuke, Harashima, Hideyoshi, Tokeshi, Manabu, 2018. Advances in microfluidics for lipid nanoparticles and extracellular vesicles and applications in drug delivery systems. *Adv. Drug Deliv. Rev.* 128, 84–100.
- Mahmoud, B.H., Mortimer, L.F., Fairweather, M., Rice, H.P., Peakall, J., Harbottle, D., 2019. Nanoparticle behaviour in multiphase turbulent channel flow. In: *Computer Aided Chemical Engineering*, vol. 46, Elsevier, Amsterdam, pp. 607–612.
- Malburet, Camille, Leclercq, Laurent, Cotte, Jean-François, Thiebaud, Jérôme, Bazin, Emilie, Garinot, Marie, Cottet, Hervé, 2022. Size and charge characterization of lipid nanoparticles for mRNA vaccines. *Anal. Chem.* 94 (11), 4677–4685.
- Malburet, Camille, Leclercq, Laurent, Cotte, Jean-François, Thiebaud, Jérôme, Bazin, Emilie, Garinot, Marie, Cottet, Hervé, 2023. Taylor Dispersion Analysis to support lipid-nanoparticle formulations for mRNA vaccines. *Gene Ther.* 30 (5), 421–428.
- Maldonado-Camargo, Lorena, Yang, Chuncheng, Rinaldi, Carlos, 2017. Scale-dependent rotational diffusion of nanoparticles in polymer solutions. *Nanoscale* 9 (33), 12039–12050.
- Manzanarez, H., Mericq, J.P., Guenoun, P., Chikina, J., Bouyer, D., 2017. Modeling phase inversion using Cahn-Hilliard equations – Influence of the mobility on the pattern formation dynamics. *Chem. Eng. Sci.* 173, 411–427.
- Marchisio, Daniele L., 2009. On the use of bi-variate population balance equations for modelling barium titanate nanoparticle precipitation. *Chem. Eng. Sci.* 64 (4), 697–708.
- Marchisio, D.L., Barresi, A.A., Fox, R.O., 2001. Simulation of turbulent precipitation in a semi-batch Taylor-Couette reactor using CFD. *AIChE J.* 47 (3), 664–676.
- Marchisio, Daniele L., Fox, Rodney O., 2005. Solution of population balance equations using the direct quadrature method of moments. *J. Aerosol Sci.* 36 (1), 43–73.
- Marchisio, Daniele L., Vigil, R.Dennis, Fox, Rodney O., 2003. Quadrature method of moments for aggregation-breakage processes. *J. Colloid Interface Sci.* 258 (2), 322–334.
- Marié, J.L., Moursali, E., Tran-Cong, S., 1997. Similarity law and turbulence intensity profiles in a bubbly boundary layer at low void fractions. *Int. J. Multiph. Flow* 23 (2), 227–247.
- McKenzie, Luke K., El-Khoury, Roberto, Thorpe, James D., Damha, Masad J., Holenstein, Marcel, 2021. Recent progress in non-native nucleic acid modifications. *Chem. Soc. Rev.* 50 (8), 5126–5164.
- Mears, Lisa, Stocks, Stuart M., Albaek, Mads O., Sin, Gürkan, Gernaey, Krist V., 2017. Application of a mechanistic model as a tool for on-line monitoring of pilot scale filamentous fungal fermentation processes—The importance of evaporation effects. *Biotechnol. Bioeng.* 114 (3), 589–599.
- Melfi, Diego Trevisan, Scurto, Aaron M., 2024. Viscosity of imidazolium ionic liquids and mixtures of ILs from entropy scaling using the PC-SAFT and ePC-SAFT equations of state. *J. Mol. Liq.* 401, 124500.
- Mendonça, Monique C.P., Kont, Ayse, Kowalski, Piotr S., O'Driscoll, Cairtriona M., 2023. Design of lipid-based nanoparticles for delivery of therapeutic nucleic acids. *Drug Discov. Today* 28 (3), 103505.
- Meng, Chaoyang, Chen, Zhe, Li, Gang, Welte, Thomas, Shen, Haifa, 2021. Nanoplatforams for mRNA therapeutics. *Adv. Ther.* 4 (1), 2000099.
- Menter, F.R., 1994. Two-equation eddy-viscosity turbulence models for engineering applications. *AIAA J.* 32 (8), 1598–1605.
- Mesbah, Ali, Huesman, Adrie E.M., Kramer, Herman J.M., Van den Hof, Paul M.J., 2011. A comparison of nonlinear observers for output feedback model-based control of seeded batch crystallization processes. *J. Process Control* 21 (4), 652–666.
- Mesbah, Ali, Paulson, Joel A., Lakerveld, Richard, Braatz, Richard D., 2017. Model predictive control of an integrated continuous pharmaceutical manufacturing pilot plant. *Org. Process. Res. Dev.* 21 (6), 844–854.
- Meyer, Daniel W., Jenny, Patrick, 2009. Micromixing models for turbulent flows. *J. Comput. Phys.* 228 (4), 1275–1293.
- Michaud-Agrawal, Naveen, Denning, Elizabeth J., Woolf, Thomas B., Beckstein, Oliver, 2011. MDAnalysis: A toolkit for the analysis of molecular dynamics simulations. *J. Comput. Chem.* 32 (10), 2319–2327.
- Michelsen, Michael L., 1982. The isothermal flash problem. Part II. Phase-split calculation. *Fluid Phase Equilib.* 9 (1), 21–40.

- Mullin, J.W., 2001. Crystallization, fourth ed. Butterworth-Heinemann, Oxford.
- Muthukumar, M., 1997. Dynamics of polyelectrolyte solutions. *J. Chem. Phys.* 107 (7), 2619–2635.
- Myerson, Allan S., Erdemir, Deniz, Lee, Alfred Y. (Eds.), 2019. Handbook of Industrial Crystallization, third ed. Cambridge University Press.
- Nagy, Zoltan K., Braatz, Richard D., 2003a. Robust nonlinear model predictive control of batch processes. *AIChE J.* 49 (7), 1776–1786.
- Nagy, Z.K., Braatz, R.D., 2003b. Worst-case and distributional robustness analysis of finite-time control trajectories for nonlinear distributed parameter systems. *IEEE Trans. Control Syst. Technol.* 11 (5), 694–704.
- Nagy, Z.K., Braatz, R.D., 2007. Distributional uncertainty analysis using power series and polynomial chaos expansions. *J. Process Control* 17 (3), 229–240.
- Nagy, Zoltan K., Braatz, Richard D., 2012. Advances and new directions in crystallization control. *Annu. Rev. Chem. Biomol. Eng.* 3 (1), 55–75.
- Narasimha, M., Brennan, M.S., Holtham, P.N., 2012. CFD modeling of hydrocyclones: Prediction of particle size segregation. *Miner. Eng.* 39, 173–183.
- Narayanan, Harini, Behle, Lars, Luna, Martin F., Sokolov, Michael, Guillén-Gosálbez, Gonzalo, Morbidelli, Massimo, Butté, Alessandro, 2020a. Hybrid-EKF: Hybrid model coupled with extended Kalman filter for real-time monitoring and control of mammalian cell culture. *Biotechnol. Bioeng.* 117 (9), 2703–2714.
- Narayanan, Harini, Luna, Martin F., von Stosch, Moritz, Cruz Bournazou, Mariano Nicolas, Polotti, Gianmarco, Morbidelli, Massimo, Butté, Alessandro, Sokolov, Michael, 2020b. Bioprocessing in the digital age: The role of process models. *Biotechnol. J.* 15 (1), 1900172.
- Natarajan, Pradeep, Shrinivas, Krishna, Chakraborty, Arup K., 2023. A model for cis-regulation of transcriptional condensates and gene expression by proximal lncRNAs. *Biophys. J.* 122 (13), 2757–2772.
- Nauman, E.Bruce, He, David Qiwei, 1994. Morphology predictions for ternary polymer blends undergoing spinodal decomposition. *Polymer* 35 (11), 2243–2255.
- Nauman, E.Bruce, He, David Qiwei, 2001. Nonlinear diffusion and phase separation. *Chem. Eng. Sci.* 56 (6), 1999–2018.
- Nguyen, T.T., Laurent, F., Fox, R.O., Massot, M., 2016. Solution of population balance equations in applications with fine particles: Mathematical modeling and numerical schemes. *J. Comput. Phys.* 325, 129–156.
- Nikolaïdis, Ilias K., Novak, Nefeli, Kontogeorgis, Georgios M., Economou, Ioannis G., 2022. Rigorous phase equilibrium calculation methods for strong electrolyte solutions: The isothermal flash. *Fluid Phase Equilib.* 558, 113441.
- Nogueira, Sara S., Samaridou, Eleni, Simon, Johanna, Frank, Simon, Beck-Broichstetter, Moritz, Mehta, Aditi, 2024. Analytical techniques for the characterization of nanoparticles for mRNA delivery. *Eur. J. Pharmaceut. Biopharmaceut.* 198, 114235.
- O'Brien Laramy, Matthew N., Costa, Antonio P., Cebrero, Yareli Maciel, Joseph, Johnson, Sarode, Apoorva, Zang, Nanzhi, Kim, Lee Joon, Hofmann, Kate, Wang, Shirley, Goyon, Alexandre, Koenig, Stefan G., Hammel, Michal, Hura, Greg L., 2023. Process robustness in lipid nanoparticle production: A comparison of microfluidic and turbulent jet mixing. *Mol. Pharm.* 20 (8), 4285–4296.
- Ohkubo, Tomoki, Soma, Yuki, Sakumura, Yuichi, Hanai, Taizo, Kunida, Katsuyuki, 2023. A hybrid in silico/in-cell controller for microbial bioprocesses with process-model mismatch. *Sci. Rep.* 13 (1), 13608.
- O'Konski, Chester T., Haltner, Arthur J., 1956. Characterization of the monomer and dimer of tobacco mosaic virus by transient electric birefringence. *J. Am. Chem. Soc.* 78 (15), 3604–3610.
- Oliver, Christopher J., Shortridge, Kennedy F., Belyanin, George, 1976. Diffusion coefficient and molecular weight of type 5 adenovirus by photon-correlation spectroscopy. *Biochim. et Biophys. Acta (BBA) - Gen. Subj.* 437 (2), 589–598.
- Omar, Hecham M., Rohani, Sohrab, 2017. Crystal population balance formulation and solution methods: A review. *Cryst. Growth & Des.* 17 (7), 4028–4041.
- Onsager, Lars, 1926. Zur theorie der electrolyte. I. *Phys. Z.* 27, 388–392.
- Onsager, Lars, 1927. Zur theorie der electrolyte. II. *Phys. Z.* 28, 277–298.
- Oravec, Juraj, Bakošová, Monika, Trafczynski, Marian, Vasičkaninová, Anna, Mészáros, Alajos, Markowski, Mariusz, 2018. Robust model predictive control and PID control of shell-and-tube heat exchangers. *Energy* 159, 1–10.
- Orsi, Gianni, Roudgar, Mina, Brunazzi, Elisabetta, Galletti, Chiara, Mauri, Roberto, 2013. Water-ethanol mixing in T-shaped microdevices. *Chem. Eng. Sci.* 95, 174–183.
- Papaioannou, Vasileios, Lafitte, Thomas, Avendaño, Carlos, Adjiman, Claire S., Jackson, George, Müller, Erich A., Galindo, Amparo, 2014. Group contribution methodology based on the statistical associating fluid theory for heteronuclear molecules formed from Mie segments. *J. Chem. Phys.* 140 (5), 054107.
- Parke, Sneha A., Birch, Gordon G., 1999. Solution properties of ethanol in water. *Food Chem.* 67 (3), 241–246.
- Parot, Jeremie, Mehn, Dora, Jankevics, Hanna, Markova, Natalia, Carboni, Michele, Olaisen, Camilla, Hoel, Andrea D., Sigfúsdóttir, Margrét S., Meier, Florian, Drexel, Roland, Vella, Gabriele, McDonagh, Birgitte, Hansen, Terkel, Bui, Huong, Klinkenberg, Geir, Visnes, Torkild, Gioria, Sabrina, Urban-Lopez, Patricia, Prina-Mello, Adriele, Borgos, Sven Even, Caputo, Fanny, Calzolari, Luigi, 2024. Quality assessment of LNP-RNA therapeutics with orthogonal analytical techniques. *J. Control. Release* 367, 385–401.
- Paulson, Joel A., Streif, S., Findeisen, R., Braatz, R.D., 2018. Fast stochastic model predictive control of end-to-end continuous pharmaceutical manufacturing. In: Singh, Ravendra, Yuan, Zhihong (Eds.), *Process Systems Engineering for Pharmaceutical Manufacturing*. Elsevier, Amsterdam.
- Peeters, D., Huyskens, P., 1993. Endothermicity or exothermicity of water/alcohol mixtures. *J. Mol. Struct.* 300, 539–550.
- Peña, Ramon, Burcham, Christopher L., Jarmer, Daniel J., Ramkrishna, Doraiswami, Nagy, Zoltan K., 2017. Modeling and optimization of spherical agglomeration in suspension through a coupled population balance model. *Chem. Eng. Sci.* 167, 66–77.
- Peng, You, Zhu, Zhilong, Braatz, Richard D., Myerson, Allan S., 2015. Gypsum crystallization during phosphoric acid production: Modeling and experiments using the mixed-solvent-electrolyte thermodynamic model. *Ind. Eng. Chem. Res.* 54 (32), 7914–7924.
- Perala, Siva Rama Krishna, Kumar, Sanjeev, 2014. On the two-step mechanism for synthesis of transition-metal nanoparticles. *Langmuir* 30 (42), 12703–12711.
- Perdomo, Felipe A., Khalit, Siti H., Graham, Edward J., Tzirakis, Fragkiskos, Papadopoulos, Athanasios I., Tsvintzelis, Ioannis, Seferlis, Panos, Adjiman, Claire S., Jackson, George, Galindo, Amparo, 2023. A predictive group-contribution framework for the thermodynamic modelling of CO₂ absorption in cyclic amines, alkyl polyamines, alkanolamines and phase-change amines: New data and SAFT- γ mie parameters. *Fluid Phase Equilib.* 566, 113635.
- Pereira, Frances E., Jackson, George, Galindo, Amparo, Adjiman, Claire S., 2012. The HELD algorithm for multicomponent, multiphase equilibrium calculations with generic equations of state. *Comput. Chem. Eng.* 36, 99–118.
- Perelman, Rebecca T., Schmidt, Andreas, Khan, Umar, Walter, Nils G., 2023. Spontaneous confinement of mRNA molecules at biomolecular condensate boundaries. *Cells* 12 (18), 2250.
- Pérez Cisneros, E.S., Gani, R., Michelsen, M.L., 1997. Reactive separation systems—I. Computation of physical and chemical equilibrium. *Chem. Eng. Sci.* 52 (4), 527–543.
- Petersen, Thomas, Bazant, Martin Z., Pellenq, Roland J.M., Ulm, Franz-Josef, 2018. Phase separation of stable colloidal clusters. *Phys. Rev. Mater.* 2 (9), 095602.
- Petrishcheva, E., Abart, R., 2012. Exsolution by spinodal decomposition in multicomponent mineral solutions. *Acta Mater.* 60 (15), 5481–5493.
- Pico, Paula, Nathanael, Konstantia, Lavino, Alessio D., Kovalchuk, Nina M., Simmons, Mark J.H., Matar, Omar K., 2023. Silver nanoparticles synthesis in microfluidic and well-mixed reactors: A combined experimental and PBM-CFD study. *Chem. Eng. J.* 474, 145692.
- Pilz, Ingrid, Kratky, Otto, Rabussay, Dietmar, 1972. Studies on the conformation of DNA-dependent RNA polymerase in solution by small-angle X-ray measurements. *Eur. J. Biochem.* 28 (2), 205–220.
- Pirkle, Carl, Foguth, Lucas C., Brenek, Steven J., Girard, Kevin, Braatz, Richard D., 2015. Computational fluid dynamics modeling of mixing effects for crystallization in coaxial nozzles. *Chem. Eng. Process.: Process. Intensif.* 97, 213–232.
- Pope, Stephen B., 2001. Turbulent flows. *Meas. Sci. Technol.* 12 (11), 2020–2021.
- Prosperetti, A., Tryggvason, G., 2007. Computational Methods for Multiphase Flow. Cambridge University Press, Cambridge, United Kingdom.
- Quo, Chang F., Moffitt, Richard A., Merrill, Alfred H., Wang, May D., 2011. Adaptive control model reveals systematic feedback and key molecules in metabolic pathway regulation. *J. Comput. Biol.* 18 (2), 169–182.
- Raïkar, Neha B., Bhatia, Surita R., Malone, Michael F., Henson, Michael A., 2009. Experimental studies and population balance equation models for breakage prediction of emulsion drop size distributions. *Chem. Eng. Sci.* 64 (10), 2433–2447.
- Ramkrishna, Doraiswami, 2000. Population Balances: Theory and Applications to Particulate Systems in Engineering. Academic Press, London.
- Ramkrishna, Doraiswami, Singh, Meenesh R., 2014. Population balance modeling: Current status and future prospects. *Annu. Rev. Chem. Biomol. Eng.* 5 (1), 123–146.
- Rantanen, Jukka, Khinast, Johannes, 2015. The future of pharmaceutical manufacturing sciences. *J. Pharm. Sci.* 104 (11), 3612–3638.
- Raponi, Antonello, Achermann, Ramona, Romano, Salvatore, Trespi, Silvio, Mazzotti, Marco, Cipollina, Andrea, Buffo, Antonio, Vanni, Marco, Marchisio, Daniele, 2023. Population balance modelling of magnesium hydroxide precipitation: Full validation on different reactor configurations. *Chem. Eng. J.* 477, 146540.
- Rasche, Michael L., Zeiger, Brad W., Suslick, Kenneth S., Braatz, Richard D., 2018. Mathematical modelling of the evolution of the particle size distribution during ultrasound-induced breakage of aspirin crystals. *Chem. Eng. Res. Des.* 132, 170–177.
- Raue, A., Kreutz, C., Maiwald, T., Bachmann, J., Schilling, M., Klingmüller, U., Timmer, J., 2009. Structural and practical identifiability analysis of partially observed dynamical models by exploiting the profile likelihood. *Bioinformatics* 25 (15), 1923–1929.
- Reschke, Thomas, Brandenbusch, Christoph, Sadowski, Gabriele, 2014. Modeling aqueous two-phase systems: II. Inorganic salts and polyether homo- and copolymers as ATPS former. *Fluid Phase Equilib.* 375, 306–315.
- Reschke, Thomas, Brandenbusch, Christoph, Sadowski, Gabriele, 2015. Modeling aqueous two-phase systems: III. Polymers and organic salts as ATPS former. *Fluid Phase Equilib.* 387, 178–189.

- Retamal Marín, R.R., Babick, F., Hillemann, L., 2017. Zeta potential measurements for non-spherical colloidal particles – Practical issues of characterisation of interfacial properties of nanoparticles. *Colloids Surfaces A: Physicochem. Eng. Asp.* 532, 516–521.
- Rico, Pablo F. Zubieta, Schneider, Ludwig, Pérez-Lemus, Gustavo R., Alessandri, Riccardo, Dasetty, Siva, Menéndez, Cintia A., Wu, Yiheng, Jin, Yezhi, Xu, Yanan, Nguyen, Trung D., Parker, John A., Ferguson, Andrew L., Whitmer, Jonathan K., de Pablo, Juan J., 2023. PySAGES: flexible, advanced sampling methods accelerated with GPUs. <http://dx.doi.org/10.48550/arXiv.2301.04835>, arXiv arXiv:2301.04835 [physics].
- Roelands, C. P. Mark, Ter Horst, Joop H., Kramer, Herman J.M., Jansens, Pieter J., 2006. Analysis of nucleation rate measurements in precipitation processes. *Cryst. Growth & Des.* 6 (6), 1380–1392.
- Rogers, Amanda, Ierapetritou, Marianthi, 2015. Challenges and opportunities in modeling pharmaceutical manufacturing processes. *Comput. Chem. Eng.* 81, 32–39.
- Rosenfeld, Yaakov, 1977. Relation between the transport coefficients and the internal entropy of simple systems. *Phys. Rev. A* 15 (6), 2545–2549.
- Roussel, Guillaume, Michaux, Catherine, Perpète, Eric A., 2014. Multiscale molecular dynamics simulations of sodium dodecyl sulfate micelles: from coarse-grained to all-atom resolution. *J. Mol. Model.* 20 (10), 2469.
- Rowlinson, J.S., 1979. Translation of J. D. van der Waals' "The thermodynamik theory of capillarity under the hypothesis of a continuous variation of density". *J. Stat. Phys.* 20 (2), 197–200.
- Rudiyak, Valery Ya., Krasnolutskiy, Sergey L., Ivanov, Denis A., 2011. Molecular dynamics simulation of nanoparticle diffusion in dense fluids. *Microfluid. Nanofluidics* 11 (4), 501–506.
- Sajjadi, Shahriar, 2009. Population balance modeling of particle size distribution in monomer-starved semibatch emulsion polymerization. *AIChE J.* 55 (12), 3191–3205.
- Sauer, Elmar, Stavrou, Marina, Gross, Joachim, 2014. Comparison between a homo- and a heterosegmented group contribution approach based on the perturbed-chain polar statistical associating fluid theory equation of state. *Ind. Eng. Chem. Res.* 53 (38), 14854–14864.
- Schall, Jennifer M., Mandur, Jasdeep S., Braatz, Richard D., Myerson, Allan S., 2018. Nucleation and growth kinetics for combined cooling and antisolvent crystallization in a mixed-suspension, mixed-product removal system: Estimating solvent dependency. *Cryst. Growth & Des.* 18 (3), 1560–1570.
- Schiesser, W.E., 1991. *The Numerical Method of Lines: Integration of Partial Differential Equations*. Academic Press Inc., San Diego, CA.
- Schikarski, Tobias, Peukert, Wolfgang, Avila, Marc, 2017. Direct numerical simulation of water–ethanol flows in a T-mixer. *Chem. Eng. J.* 324, 168–181.
- Schoenmaker, Linde, Witzigmann, Dominik, Kulkarni, Jayesh A., Verbeke, Rein, Kersten, Gideon, Jiskoot, Wim, Crommelin, Daan J.A., 2021. mRNA-lipid nanoparticle COVID-19 vaccines: Structure and stability. *Int. J. Pharm.* 601, 120586.
- Schwarzer, Hans-Christoph, Peukert, Wolfgang, 2004. Combined experimental/numerical study on the precipitation of nanoparticles. *AIChE J.* 50 (12), 3234–3247.
- Schwarzer, Hans-Christoph, Schwertfing, Florian, Manhart, Michael, Schmid, Hans-Joachim, Peukert, Wolfgang, 2006. Predictive simulation of nanoparticle precipitation based on the population balance equation. *Chem. Eng. Sci.* 61 (1), 167–181.
- Settanni, Giovanni, Brill, Wolfgang, Haas, Heinrich, Schmid, Friederike, 2022. pH-dependent behavior of ionizable cationic lipids in mRNA-carrying lipoplexes investigated by molecular dynamics simulations. *Macromol. Rapid Commun.* 43 (12), 2100683.
- Shahmohammadi, Ali, McAuley, Kimberley B., 2020. Using prior parameter knowledge in model-based design of experiments for pharmaceutical production. *AIChE J.* 66 (11), e17021.
- Sharma, Preeti, Hoorn, Daniek, Aitha, Anjaiah, Breier, Dor, Peer, Dan, 2024. The immunostimulatory nature of mRNA lipid nanoparticles. *Adv. Drug Deliv. Rev.* 205, 115175.
- Skibinska, Lidia, Gapinski, Jacek, Liu, Hui, Patkowski, Adam, Fischer, Erhard W., Pecora, R., 1999. Effect of electrostatic interactions on the structure and dynamics of a model polyelectrolyte. II. Intermolecular correlations. *J. Chem. Phys.* 110 (3), 1794–1800.
- Smoluchowski, M.V., 1918. Versuch einer mathematischen Theorie der Koagulationskinetik kolloider Lösungen. *Z. Für Phys. Chem.* 92U (1), 129–168.
- Soong, Ronald, Macdonald, Peter M., 2005. Lateral diffusion of PEG-lipid in magnetically aligned bicelles measured using stimulated echo pulsed field gradient 1H NMR. *Biophys. J.* 88 (1), 255–268.
- Souza, Paulo C.T., Alessandri, Riccardo, Barnoud, Jonathan, Thallmair, Sebastian, Faustino, Ignacio, Grünwald, Fabian, Patmanidis, Ilias, Abdizadeh, Haleh, Bruininks, Bart M.H., Wassenaar, Tsjerk A., Kroon, Peter C., Melcr, Josef, Niëto, Vincent, Corradi, Valentina, Khan, Hanif M., Domański, Jan, Javanainen, Matti, Martinez-Seara, Hector, Reuter, Nathalie, Best, Robert B., Vattulainen, Ilpo, Monticelli, Luca, Periole, Xavier, Tieleman, D. Peter, de Vries, Alex H., Marrink, Siewert J., 2021. Martini 3: a general purpose force field for coarse-grained molecular dynamics. *Nature Methods* 18 (4), 382–388.
- Souza, Scheilla V.C. de, Junqueira, Roberto G., 2005. A procedure to assess linearity by ordinary least squares method. *Anal. Chim. Acta* 552 (1), 25–35.
- Srisanga, Sukanya, Flood, Adrian E., Galbraith, Shaun C., Rugmai, Supagorn, Soon-tanon, Siriawat, Ulrich, Joachim, 2015. Crystal growth rate dispersion versus size-dependent crystal growth: Appropriate modeling for crystallization processes. *Cryst. Growth & Des.* 15 (5), 2330–2336.
- Srisuma, Prakrit, Pandit, Ajinkya, Zhang, Qihang, Hong, Moo Sun, Gamekkanda, Janaka, Fachin, Fabio, Moore, Nathan, Djordjevic, Dragan, Schwaerzler, Michael, Oyetunde, Tolulola, Tang, Wenlong, Myerson, Allan S., Barbastathis, George, Braatz, Richard D., 2023. Thermal imaging-based state estimation of a Stefan problem with application to cell thawing. *Comput. Chem. Eng.* 173, 108179.
- Strelkova Petersen, Daria M., Chaudhary, Namit, Arral, Maria L., Weiss, Ryan M., Whitehead, Kathryn A., 2023. The mixing method used to formulate lipid nanoparticles affects mRNA delivery efficacy and organotropism. *Eur. J. Pharmaceut. Biopharmaceut.* 192, 126–135.
- Subramaniam, Shankar, 2013. Lagrangian–Eulerian methods for multiphase flows. *Prog. Energy Combust. Sci.* 39 (2–3), 215–245.
- Sugiura, Shinji, Ichikawa, Sosaku, Sano, Yoh, Nakajima, Mitsutoshi, Liu, Xin Qi, Seki, Minoru, Furusaki, Shintaro, 2001. Formation and characterization of reversed micelles composed of phospholipids and fatty acids. *J. Colloid Interface Sci.* 240 (2), 566–572.
- Sundström, Hélène, Enfors, Sven-Olof, 2008. Software sensors for fermentation processes. *Bioprocess Biosyst. Eng.* 31 (2), 145–152.
- Swindells, J.F., Coe, J.R., Godfrey, T.B., 1952. Absolute viscosity of water at 20°C. *J. Res. Natl. Bur. Stand.* 48 (1), 1.
- Syamlal, M., Gidaspow, Dimitri, 1985. Hydrodynamics of fluidization: Prediction of wall to bed heat transfer coefficients. *AIChE J.* 31 (1), 127–135.
- Szilágyi, Botond, Eren, Ayşe, Quon, Justin L., Papageorgiou, Charles D., Nagy, Zoltán K., 2022. Digital design of the crystallization of an active pharmaceutical ingredient using a population balance model with a novel size dependent growth rate expression. From development of a digital twin to *in silico* optimization and experimental validation. *Cryst. Growth & Des.* 22 (1), 497–512.
- Tadakuma, Hisashi, Ishihama, Yo, Shibuya, Toshiharu, Tani, Tokio, Funatsu, Takashi, 2006. Imaging of single mRNA molecules moving within a living cell nucleus. *Biochem. Biophys. Res. Commun.* 344 (3), 772–779.
- Takaki, Tomohiro, 2014. Phase-field modeling and simulations of dendrite growth. *ISIJ Int.* 54 (2), 437–444.
- Teichert, Gregory H., Gunda, N.S. Harsha, Rudraraju, Shiva, Natarajan, Anirudh Raju, Puchala, Brian, Garikipati, Krishna, Van Der Ven, Anton, 2017. A comparison of Redlich-Kister polynomial and cubic spline representations of the chemical potential in phase field computations. *Comput. Mater. Sci.* 128, 127–139.
- Thanh, Nguyen T.K., Maclean, N., Mahiddine, S., 2014. Mechanisms of nucleation and growth of nanoparticles in solution. *Chem. Rev.* 114 (15), 7610–7630.
- Thompson, Aidan P., Aktulga, H. Metin, Berger, Richard, Bolintineanu, Dan S., Brown, W. Michael, Crozier, Paul S., in't Veld, Pieter J., Kohlmeyer, Axel, Moore, Stan G., Nguyen, Trung Dac, Shan, Ray, Stevens, Mark J., Tranchida, Julien, Trott, Christian, Plimpton, Steven J., 2022. LAMMPS - a flexible simulation tool for particle-based materials modeling at the atomic, meso, and continuum scales. *Comput. Phys. Comm.* 271, 108171.
- Thorat, Alpna A., Dalvi, Sameer V., 2012. Liquid antisolvent precipitation and stabilization of nanoparticles of poorly water soluble drugs in aqueous suspensions: Recent developments and future perspective. *Chem. Eng. J.* 181–182, 1–34.
- Thorn, Chelsea R., Sharma, Divya, Combs, Rodney, Bhujbal, Sonal, Romine, Jennifer, Zheng, Xiaolu, Sunasara, Khurram, Badkar, Advait, 2022. The journey of a lifetime — development of Pfizer's COVID-19 vaccine. *Curr. Opin. Biotechnol.* 78, 102803.
- Tkatchenko, Igor, Kornev, Nikolai, Jahnke, Steffen, Steffen, Günter, Hassel, Egon, 2007. Performances of LES and RANS models for simulation of complex flows in a coaxial jet mixer. *Flow, Turbul. Combust.* 78 (2), 111–127.
- Togkalidou, Timokleia, Tung, Hsien-Hsin, Sun, Yongkui, Andrews, Arthur T., Braatz, Richard D., 2004. Parameter estimation and optimization of a loosely bound aggregating pharmaceutical crystallization using in situ infrared and laser backscattering measurements. *Ind. Eng. Chem. Res.* 43 (19), 6168–6181.
- Trollmann, Marius F.W., Böckmann, Rainer A., 2022. mRNA lipid nanoparticle phase transition. *Biophys. J.* 121 (20), 3927–3939.
- Uebbing, Lukas, Ziller, Antje, Siewert, Christian, Schroer, Martin A., Blanchet, Clement E., Svergun, Dmitri I., Ramishetti, Srinivas, Peer, Dan, Sahin, Ugur, Haas, Heinrich, Langguth, Peter, 2020. Investigation of pH-responsiveness inside lipid nanoparticles for parenteral mRNA application using small-angle X-ray scattering. *Langmuir* 36 (44), 13331–13341.
- Umer, Muhammad, Albers, Katja, Sadowski, Gabriele, Leonhard, Kai, 2014. PC-SAFT parameters from ab initio calculations. *Fluid Phase Equilib.* 362, 41–50.
- Unni, Mythreyi, Savliwala, Shehaab, Partain, Brittany D., Maldonado-Camargo, Lorena, Zhang, Qingting, Narayanan, Suresh, Dufresne, Eric M., Ilavsky, Jan, Grybos, Pawel, Koziol, Anna, Maj, Piotr, Szczygiel, Robert, Allen, Kyle D., Rinaldi-Ramos, Carlos M., 2021. Fast nanoparticle rotational and translational diffusion in synovial fluid and hyaluronic acid solutions. *Sci. Adv.* 7 (27), eabf8467.
- U.S. Food and Drug Administration, 2009. Q8(R2) Pharmaceutical Development. Tech. Rep., U.S. Food and Drug Administration, Silver Spring, MD.
- U.S. Food and Drug Administration, 2018. *Liposome Drug Products: Chemistry, Manufacturing, and Controls; Human Pharmacokinetics and Bioavailability; and Labeling Documentation*. Tech. rep, Silver Spring, MD.

- Valsecchi, Michele, Galindo, Amparo, Jackson, George, 2024. Modelling the thermodynamic properties of the mixture of water and polyethylene glycol (PEG) with the SAFT- γ Mie group-contribution approach. *Fluid Phase Equilib.* 577, 113952.
- Van Wachem, B.G.M., Almstedt, A.E., 2003. Methods for multiphase computational fluid dynamics. *Chem. Eng. J.* 96 (1–3), 81–98.
- Vanni, Marco, 2000. Approximate population balance equations for aggregation-breakage processes. *J. Colloid Interface Sci.* 221 (2), 143–160.
- Vargas, Diana Y., Raj, Arjun, Marras, Salvatore A.E., Kramer, Fred Russell, Tyagi, Sanjay, 2005. Mechanism of mRNA transport in the nucleus. *Proc. Natl. Acad. Sci.* 102 (47), 17008–17013.
- Vargas, Ronny, Romero, Miquel, Berasategui, Tomás, Narváez-Narváez, David A., Ramirez, Patricia, Nardi-Ricart, Anna, García-Montoya, Encarna, Pérez-Lozano, Pilar, Suñe-Negre, Josep M., Moreno-Castro, Cristina, Hernández-Munain, Cristina, Suñe, Carlos, Suñe-Pou, Marc, 2023. Dialysis is a key factor modulating interactions between critical process parameters during the microfluidic preparation of lipid nanoparticles. *Colloid Interface Sci. Commun.* 54, 100709.
- Vaz, Winchil L.C., Clegg, Robert M., Hallmann, Dieter, 1985. Translational diffusion of lipids in liquid crystalline phase phosphatidylcholine multibilayers. A comparison of experiment with theory. *Biochemistry* 24 (3), 781–786.
- Verma, Malvika, Ozer, Imran, Xie, Wen, Gallagher, Ryan, Teixeira, Alexandra, Choy, Michael, 2023. The landscape for lipid-nanoparticle-based genomic medicines. *Nat. Rev. Drug Discov.* 22 (5), 349–350.
- von Kármán, T.H., 1931. Mechanical Similitude and Turbulence. Tech. Rep. NACA-TM-611, National Advisory Committee on Aeronautics, Washington, USA.
- Vonka, Michal, Kosek, Juraj, 2012. Modelling the morphology evolution of polymer materials undergoing phase separation. *Chem. Eng. J.* 207–208, 895–905.
- Wada, A., Ford, N.C., Karasz, F.E., 1971. Rotational diffusion of tobacco mosaic virus. *J. Chem. Phys.* 55 (4), 1798–1802.
- Walker, Pierre J., Yew, Hon-Wa, Riedemann, Andrés, 2022a. Clapeyron.jl: An extensible, open-source fluid thermodynamics toolkit. *Ind. Eng. Chem. Res.* 61 (20), 7130–7153.
- Walker, Pierre J., Zhao, Tianpu, Haslam, Andrew J., Jackson, George, 2022b. *Ab initio* development of generalized Lennard-Jones (Mie) force fields for predictions of thermodynamic properties in advanced molecular-based SAFT equations of state. *J. Chem. Phys.* 156 (15), 154106.
- Wang, Liguang, Fox, Rodney O., 2014. Comparison of micromixing models for CFD simulation of nanoparticle formation. *AIChE J.* 50 (9), 2217–2232.
- Wang, Tiefeng, Wang, Jinfu, Jin, Yong, 2003. A novel theoretical breakup kernel function for bubbles/droplets in a turbulent flow. *Chem. Eng. Sci.* 58 (20), 4629–4637.
- Wang, Feng, Zuroske, Travis, Watts, Jonathan K., 2020. RNA therapeutics on the rise. *Nat. Rev. Drug Discov.* 19 (7), 441–442.
- Wehbe, Malak, Haslam, Andrew J., Jackson, George, Galindo, Amparo, 2022. Phase behaviour and pH-solubility profile prediction of aqueous buffered solutions of ibuprofen and ketoprofen. *Fluid Phase Equilib.* 560, 113504.
- Widenski, David J., Abbas, Ali, Romagnoli, Jose A., 2011. Use of predictive solubility models for isothermal antisolvent crystallization modeling and optimization. *Ind. Eng. Chem. Res.* 50 (13), 8304–8313.
- Wieland, Franz-Georg, Hauber, Adrian L., Rosenblatt, Marcus, Tönsing, Christian, Timmer, Jens, 2021. On structural and practical identifiability. *Curr. Opin. Syst. Biology* 25, 60–69.
- Wilcox, David C., 1988. Reassessment of the scale-determining equation for advanced turbulence models. *AIAA J.* 26 (11), 1299–1310.
- Winter, Benedikt, Rehner, Philipp, Esper, Timm, Schilling, Johannes, Bardow, André, 2023. Understanding the language of molecules: Predicting pure component parameters for the PC-SAFT equation of state from SMILES. *ArXiv*.
- Winter, Benedikt, Winter, Clemens, Esper, Timm, Schilling, Johannes, Bardow, André, 2022. SPT-NRTL: A physics-guided machine learning model to predict thermodynamically consistent activity coefficients. *Fluid Phase Equilib.* 568, 113731, arXiv:2209.04135[physics].
- Woo, Xing Yi, Tan, Reginald B.H., Chow, Pui Shan, Braatz, Richard D., 2006. Simulation of mixing effects in antisolvent crystallization using a coupled CFD-PDF-PBE approach. *Cryst. Growth & Des.* 6 (6), 1291–1303.
- Wu, Wenjun, Oliveira, Liliam Teixeira, Jain, Aarushi, Karpov, Yury, Olsen, Kirstin, Wu, Yu, Gopalakrishna Panicker, Rajesh Krishnan, 2025. Process development of tangential flow filtration and sterile filtration for manufacturing of mRNA-lipid nanoparticles: A study on membrane performance and filtration modeling. *Int. J. Pharm.* 675, 125520.
- Wysoczanska, Kamila, Nierhauve, Birte, Sadowski, Gabriele, Macedo, Eugénia A., Held, Christoph, 2021. Solubility of DNP-amino acids and their partitioning in biodegradable ATPS: Experimental and ePC-SAFT modeling. *Fluid Phase Equilib.* 527, 112830.
- Xu, Shijie, Hou, Zhongbi, Chuai, Xiaoyu, Wang, Yanfei, 2020. Overview of secondary nucleation: From fundamentals to application. *Ind. Eng. Chem. Res.* 59 (41), 18335–18356.
- Xu, Letao, Wang, Xing, Liu, Yun, Yang, Guangze, Falconer, Robert J., Zhao, Chun-Xia, 2022. Lipid nanoparticles for drug delivery. *Adv. NanoBiomed Res.* 2 (2), 2100109.
- Yakhov, V., Orszag, S.A., Thangam, S., Gatski, T.B., Speziale, C.G., 1992. Development of turbulence models for shear flows by a double expansion technique. *Phys. Fluids A: Fluid Dyn.* 4 (7), 1510–1520.
- Yang, Kai, Ma, Yu-Qiang, 2010. Computer simulation of the translocation of nanoparticles with different shapes across a lipid bilayer. *Nature Nanotechnology* 5 (8), 579–583.
- Ye, Benjamin B., Walker, Pierre J., Wang, Zhen-Gang, 2024. MDCraft: A Python assistant for performing and analyzing molecular dynamics simulations of soft matter systems. *J. Open Source Softw.* 9 (100), 7013.
- Yin, Hao, Kanasty, Rosemary L., Eltoukhy, Ahmed A., Vegas, Arturo J., Dorkin, J. Robert, Anderson, Daniel G., 2014. Non-viral vectors for gene-based therapy. *Nature Rev. Genet.* 15 (8), 541–555.
- Yong, Xin, Du, Ke, 2023. Effects of shape on interaction dynamics of tetrahedral nanoplastics and the cell membrane. *J. Phys. Chem. B* 127 (7), 1652–1663.
- Yu, Lawrence X., Amidon, Gregory, Khan, Mansoor A., Hoag, Stephen W., Polli, James, Raju, G.K., Woodcock, Janet, 2014. Understanding pharmaceutical quality by design. *AAPS J.* 16 (4), 771–783.
- Yu, Yue, Li, Yuan, Hossain, Nazir, Chen, Chau-Chyun, 2019. Nonrandom two-liquid activity coefficient model for aqueous polyelectrolyte solutions. *Fluid Phase Equilib.* 497, 1–9.
- Yuan, Tian, Gao, Ling, Zhan, Wenbo, Dini, Daniele, 2022. Effect of particle size and surface charge on nanoparticles diffusion in the brain white matter. *Pharm. Res.* 39 (4), 767–781.
- Zhang, Pengfei, Alsaifi, Nayef M., Wu, Jianzhong, Wang, Zhen-Gang, 2016. Salting-out and salting-in of polyelectrolyte solutions: A liquid-state theory study. *Macromolecules* 49 (24), 9720–9730.
- Zhang, Pengfei, Wang, Zhen-Gang, 2021. Interfacial structure and tension of polyelectrolyte complex coacervates. *Macromolecules* 54 (23), 10994–11007.
- Zhang, Aokai, Yang, Xiuling, Yang, Feng, Zhang, Chunmei, Zhang, Qixiong, Duan, Gaigai, Jiang, Shaohua, 2023. Research progress of the ion activity coefficient of polyelectrolytes: A review. *Molecules* 28 (5), 2042.
- Zhigaltsev, Igor V., Belliveau, Nathan, Hafez, Ismail, Leung, Alex K.K., Huft, Jens, Hansen, Carl, Cullis, Pieter R., 2012. Bottom-Up design and synthesis of limit size lipid nanoparticle systems with aqueous and triglyceride cores Using millisecond microfluidic mixing. *Langmuir* 28 (7), 3633–3640.
- Zhiliakov, A., Wang, Y., Quaini, A., Olshanskii, M., Majd, S., 2021. Experimental validation of a phase-field model to predict coarsening dynamics of lipid domains in multicomponent membranes. *Biochim. et Biophys. Acta (BBA) - Biomembr.* 1863 (1), 183446.
- Zhou, Bo, Powell, Adam C., 2006. Phase field simulations of early stage structure formation during immersion precipitation of polymeric membranes in 2D and 3D. *J. Membr. Sci.* 268 (2), 150–164.
- Zhu, Zhilong, Peng, You, Hatton, T. Alan, Samrane, Kamal, Myerson, Allan S., Braatz, Richard D., 2016. Crystallization of calcium sulphate during phosphoric acid production: Modeling particle shape and size distribution. *Procedia Eng.* 138, 390–402.