

# *Race and Pregnancy Outcomes in the Twentieth Century: A Long-Term Comparison*

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Untreated syphilis explained one-third of the higher prematurity rates of black relative to white babies born at Johns Hopkins in the early twentieth century. Differences in prematurity rates explained 41 percent of the black-white still-birth gap and one-quarter of the black-white birth weight gap. Black babies had lower mortality and higher weight gain than white babies during the first ten days of life spent in the hospital because of higher black breast-feeding rates. Historically low birth weights may have a long reach: in 1988 maternal birth weight accounted for 5–8 percent of the gap in black-white birth weights.

Relatively little is known about black health at the beginning of the twentieth century. Mortality data tell us that African-Americans faced a large urban mortality penalty because they lived in the worse parts of cities, had neither the knowledge nor the income to protect themselves against a severe disease environment, and were generally the last to receive sewage connections and clean water supplies.<sup>1</sup> Data from urban hospitals suggest that in late-nineteenth-century Boston blacks babies were roughly 200 grams smaller than white babies, but that their weights were comparable to those of babies born in Europe. Their weights were also much higher than those inferred for babies who half a century earlier had been born in slavery.<sup>2</sup>

This article uses records from Johns Hopkins Hospital during the first third of the twentieth century to examine not only birth weights in the past, but also prematurity and stillbirth rates, the probability of surviving the first ten days of life, and weight gain in the first ten days of life. Examining pregnancy outcomes by race is important because it provides evidence on the distribution of well-being. Examining pregnancy outcomes is also important because health differentials in early life may

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<sup>1</sup> Preston and Haines, "Fatal Years"; and Troesken, "Water."

<sup>2</sup> Steckel, "Birth Weights."

have a long reach. D. J. P. Barker argued that measures of fetal and maternal malnutrition are related to such adult chronic conditions as ischaemic heart disease, adult-onset diabetes, and thyroid conditions.<sup>3</sup> Gabriele Doblhammer and James Vaupel found that month of birth, a proxy for the disease and nutritional environment faced by the mother, influences adult life expectancy at ages greater than 49.<sup>4</sup> Samuel Preston, Mark Hill, and Greg Drevenstedt found that among African-Americans survival to age 85 is best predicted by a farm background, having literate parents, and being from a two-parent household.<sup>5</sup> Irvin Emanuel et al. found that among all ethnic and racial groups studied in Washington state, low maternal birth weight predicts low infant birth weight.<sup>6</sup> They noted that the birth weights of black mothers were markedly lower than those of white mothers and suggested that racial differences in pregnancy outcomes may be related to maternal prenatal factors. Thus a first-generation college-educated woman may still be carrying the risks of generations of poverty and this risk may be preprogrammed in utero in her children.

This article also uses a 1988 national survey to examine whether black-white differences in maternal birth weight can account for any of the black-white birth weight gap today. This survey, in conjunction with the Johns Hopkins data, enables me to compare what socioeconomic and maternal health factors explained black-white pregnancy outcome differentials in recent times compared to the past. Although the gap in black and white mortality rates had fallen by 1940, in the United States today African-Americans at all ages are still in worse health than whites.<sup>7</sup> They are more likely to be born premature and with lower birth weights for gestational age. They are more likely to die in infancy, in large part because they are born prematurely.<sup>8</sup> At older ages a greater proportion of African-Americans are likely to report themselves in fair or poor health than whites in the same age group; a greater proportion report limits on activities of daily living; and a greater proportion report having specific chronic conditions, particularly hypertension, diabetes, and arthritis.<sup>9</sup>

<sup>3</sup> Barker, "Mothers."

<sup>4</sup> Doblhammer and Vaupel, "Lifespan."

<sup>5</sup> Preston, Hill, and Drevenstedt, "Childhood Conditions."

<sup>6</sup> Emanuel et al., "Washington State Intergenerational Study."

<sup>7</sup> Collins and Thomasson, "Declining Contribution."

<sup>8</sup> Copper, "Risk Factors."

<sup>9</sup> Manton and Stallard, "Health"; and Smith and Kingston, "Race."

## PRODUCING HEALTHY BABIES

Birth weight depends upon maternal nutrition and health. Women with low prepregnancy weights, women with inadequate weight gain, women who work in hard physical labor, women suffering from infectious disease, and women abusing alcohol and drugs all tend to have smaller babies. Many of these same factors predict prematurity and stillbirths. Preterm births and intrauterine deaths are associated with genital infections, especially syphilis, and smoking. Mother's health may also play a role in stillbirths through placental insufficiency and intrauterine growth restriction.<sup>10</sup> In addition, factors such as the age of the mother, parity (the number of previous births), the sex of the child, and the number of births predict pregnancy outcomes. Birth weight rises at a decreasing rate with the age of the mother, parity, the spacing interval, and gestational age. Taller mothers have larger babies and male newborns tend to weigh about one hundred grams more than females. A high maternal age is associated with prematurity and with intrauterine deaths.

The effects of maternal nutrition and health may extend across generations. Mother's birth weight predicts children's birth weight. Using all births from the 1958 British birth cohort, Irvin Emanuel, Haroulla Alberman, and Stephen Evans find that each 100 grams of maternal birth weight increases the birth weight of singleton births by 12 grams.<sup>11</sup> Studies of maternal and paternal half-siblings find that the intrauterine environment accounted for more of the variance of birth weight than genetics.<sup>12</sup> Emanuel et al. argue that the lower birth weights of African-American compared to white mothers may explain the persistence of black-white birth weight differentials, but they never estimated what proportion of the gap is explained by intergenerational factors.<sup>13</sup>

In the United States of the first third of the twentieth century, high disease rates, including those from sexually transmitted disease, were probably important determinants of birth weights, prematurity rates, and stillbirths. During World War I the annual rate of men infected with syphilis entering the army was 5 percent and that of men infected with gonorrhea was 23 percent.<sup>14</sup> A study of six southern rural counties by the Julius Rosenwald Fund and by the United States Public Health Service just before the Great Depression reported that in the richest county and the one with the best medical care (provided by the University of

<sup>10</sup> Petersson et al., "Diagnostic Evaluation"; and Copper et al., "Risk Factors."

<sup>11</sup> Emanuel, Alberman, and Evans, "Intergenerational studies."

<sup>12</sup> Morton, "Inheritance."

<sup>13</sup> Emanuel et al., "Washington State Intergenerational Study."

<sup>14</sup> Brandt, *No Magic Bullet*, p. 231.

Virginia Hospital), syphilis rates among African-Americans were 8.9 percent whereas in the poorest county (which was also home of the later notorious Tuskegee Institute) syphilis rates were 39.8 percent.<sup>15</sup> A widely advertised public health campaign carried out in Chicago between 1937 and 1940, which provided free syphilis tests and free treatment, showed that syphilis rates could be sharply lowered with treatment. More than 60 percent of all cases treated under the Chicago program came from the city's black wards where health facilities were grossly inadequate and infection rates were high.<sup>16</sup> Infection rates of sexually transmitted diseases were higher among blacks than among whites probably because treatment was expensive and because a higher proportion of married couples were living apart.<sup>17</sup>

Another important determinant of infant mortality in the past was breast feeding. Cities' milk and water supplies were sources of typhoid, dysentery, and diarrhea.<sup>18</sup> Robert Woodbury estimated that during the first month of life the mortality rate of babies fed only artificially was 55 percent whereas it was 17 percent for those who were only breast fed and 36 percent for those who were given a combination of breast milk and formula.<sup>19</sup> Black mothers in the eight cities studied by Robert Woodbury were less likely than white mothers to feed their babies only formula from birth to nine months. Whereas 20 percent of black mothers did so, 24 percent of white mothers did so. Among some ethnic groups such as the French-Canadians and the Portuguese the proportions were an even higher 44 and 32 percent, respectively. These two ethnic groups had higher infant mortality rates than blacks, particularly from gastro-intestinal ailments.<sup>20</sup> Despite Robert Woodbury's findings, physicians in the first third of the century were recommending that women shift away from breast feeding.<sup>21</sup> Unfortunately, the records used in this research provide no indication as to whether physicians

<sup>15</sup> Parran, *Shadow on the Land*, pp. 161–74.

<sup>16</sup> Brandt, *No Magic Bullet*, p. 152. Differential syphilis rates by race may explain the high rates of childlessness among ever-married black women observed in metropolitan areas. In Baltimore in 1910, 17 percent of ever-married black women age 40–49 were childless whereas only 8 percent of their white counterparts were. In all metropolitan areas of the United States the respective percentages were 18 and 12. In contrast, in nonmetropolitan areas the proportion of ever-married women age 40–49 who were childless was 9 percent among whites and 8 percent among blacks (estimated from the 1910 integrated public use micro census sample).

<sup>17</sup> In urban areas of the United States in 1920, 11 percent of married black women age 18 to 44 were living with nonfamily members and 13 percent of married black men in the same age group were living with nonfamily members. In contrast, the same figures for whites were 3 and 6 percent, respectively. (Estimated from the 1920 integrated public use census sample.)

<sup>18</sup> Troesken, "Water."

<sup>19</sup> Woodbury, "Causal Factors."

<sup>20</sup> Woodbury, "Causal Factors."

<sup>21</sup> Apple, *Mothers and Medicine*.

were more likely to encourage bottle-feeding among white rather than black mothers.

Race plays a role in the determination of pregnancy outcomes as a proxy for income, acting as an enabling variable, that is one which permits the purchase of better nutrition, a better disease environment, less work by the mother, or better medical care. It may also be a proxy for health habits, for familial support, for exposure to stress, or for maternal health endowments. Researchers who regress pregnancy outcomes on race and omit these or other inputs will overestimate the impact of race.

Although race may partially proxy for unobservable income characteristics or for maternal nutrition and health, it is still of interest to establish the long-term trend in birth weight by race. In addition, I also examine whether once I control for all observable factors, differences in pregnancy outcomes by race still persist. This enables me to determine what some of the sources of pregnancy outcome inequality by race are.

#### THE RECORDS OF JOHNS HOPKINS

The proportion of births attended by physicians was rising rapidly in the United States at the beginning of the twentieth century, with most deliveries in major cities attended by a physician even before 1920. In Baltimore in 1915, 74 percent of births to black married mothers were attended by a physician as were 73 percent of births to white, native-born married mothers.<sup>22</sup> In contrast, in selected rural areas of Mississippi studied by the Children's Bureau only 8 percent of black births in 1918 were attended by a physician compared to 79 percent of white births.<sup>23</sup> By 1935 for the country as a whole only 6 percent of white births were not attended by physicians. However, among blacks 55 percent of births were not attended by physicians, mainly because most births to blacks in rural areas (places with less than 2,500 in population) were not physician attended. Thus in 1940, 71 percent of rural black births were not attended by a physician compared to 19 percent of urban black births. Virtually all white births in 1940 in urban areas were physician attended. In cities of 250,000 or more, such as Baltimore, differences between black and white rates of physician attendance by 1940 and 1950 were small and most births were overseen by a physician.<sup>24</sup>

Johns Hopkins Hospital was one of the foremost teaching hospitals in the country. It ministered to a wide population within Baltimore and the

<sup>22</sup> Rochester, *Infant Mortality*. According to Anna Rochester, rates among the foreign-born were lower, ranging from a high of 65 percent among Jews to a low of 22 percent among Poles.

<sup>23</sup> Dart, "Maternity."

<sup>24</sup> *Vital Statistics of the United States*, 1950.

surrounding area, drawing from the nearby neighborhoods for within-hospital births and from a wider area for home births supervised by Johns Hopkins physicians. The records of both the indoor and outdoor departments have been preserved in the hospital archives and the construction of the sample used in this research is described in the Appendix.

The sample used in this research spans the years 1897 to 1935. Fifty-three percent of the sample consists of births to black mothers, at a time when roughly 17 percent of all births in the city of Baltimore were to black women.<sup>25</sup> Black and white births were roughly proportionately divided between the indoor and outdoor departments. However, babies born in the indoor department were almost 300 grams lighter, were more likely to be premature, and had stillbirth rates that were more than twice as high. It was common practice for hospitals to bring abnormal or complicated cases into the hospital, even when they occurred in the outdoor service.<sup>26</sup> As discussed in the Data Appendix, hospital births are undersampled. However, because the undersampling is too small to affect the results, unweighted results are presented.

The clientele of Johns Hopkins was predominately working class. In the late 1910s and 1920s, a period for which some socio-economic data are available, 22 percent of white fathers and 63 percent of black fathers were laborers. No father held a professional occupation. Weekly earnings (in current dollars) were \$20 for white fathers and \$16 for black fathers. In contrast, in the United States as a whole 20 percent of all non-farm male workers were laborers and average weekly earnings in manufacturing were \$22.<sup>27</sup> In urban areas of the United States in 1920, 13 percent of white fathers with a child younger than age one listed themselves as laborers as did 45 percent of black fathers.<sup>28</sup>

The black and white mothers using Johns Hopkins were more closely matched than random samples of the urban population in terms of their labor force participation. In the late 1910s and in the 1920s roughly 12 percent of black mothers using Johns Hopkins worked and 10 percent of white mothers worked. In urban areas of the United States in 1920, 3 percent of white mothers with a child under age one worked compared to 20 percent of black mothers.<sup>29</sup> Because working mothers were less likely to breast-feed, infant mortality among the children of working mothers was very high, suggesting that once these children left the hos-

<sup>25</sup> See the 1922 edition of *Birth, Stillbirths, and Infant Mortality Statistics*.

<sup>26</sup> Loudon, *Death*.

<sup>27</sup> United States Bureau of the Census, Series D 182–238: 139 and Series D 802–810: 170.

<sup>28</sup> Estimated from the 1920 integrated public use census sample.

<sup>29</sup> Estimated from the 1920 integrated public use census sample.

pital the racial gap in infant mortality rates was probably lower than for the population as a whole.<sup>30</sup>

The clientele of Johns Hopkins was somewhat less likely to be married than the U.S. population as a whole and was also heavily foreign-born. Forty-eight percent of white births were to foreign-born mothers and 12 percent of black births were to mothers born abroad in the Caribbean or West Indies. In urban areas of the United States in 1920, 35 percent of white women with a child under age one were foreign-born compared to 4 percent of black women.<sup>31</sup> Birth weights and stillbirth rates were similar among black native and foreign-born mothers, but foreign-born black mothers had slightly higher prematurity rates. (The inclusion of foreign-born black mothers does not affect the regression estimates.) Eighty-eight percent of the white mothers at Johns Hopkins were married compared to 77 percent of the black mothers. Although the 1920 census does not tell us how many mothers in urban areas were married, it is possible to calculate the percentage of children under age one living with both parents. For white children this figure was 96 percent whereas for black children it was 81 percent.<sup>32</sup>

The records of Johns Hopkins show no evidence of unequal surgical treatment by race, even though all wards were segregated and served by the white staff. Despite the trend in American obstetrics towards prophylactic forceps operation, the employment of version (manual turning of the fetus) as a routine method of delivery, routine episiotomy (surgical enlargement of the vulval orifice), induction of labor, and Caesarian sections, interference in the labor process at Johns Hopkins was not the norm.<sup>33</sup> A negligible portion of mothers of either race were given any type of pain relief such as chloroform or ether. Forceps were used in roughly 10 percent of all births, regardless of race. Caesarian section rates were also comparable across races: roughly 1 percent of white births and 2 percent of black births.

The Johns Hopkins records provide information on pregnancies beginning in roughly the seventh month, when it was common for the first prenatal care visit to occur. Prenatal care in this period consisted largely of patient instruction in hygiene, diet, and exercise and of making arrangements for the actual confinement, but there were also checks for

<sup>30</sup> Woodbury, "Causal Factors."

<sup>31</sup> Estimated from the 1920 integrated public use census sample.

<sup>32</sup> Estimated from the 1920 public use micro census data. The figure for rural areas was 97 percent for white children and 86 percent for black children.

<sup>33</sup> J. Whitridge Williams, a member of the Johns Hopkins faculty since 1896 who later became chairman of the obstetrics department, denounced this trend at the annual meeting of the Medical Society of the State of New York in 1922. See Loudon, *Death*, p. 352.

pregnancy risks such as toxemia and eclampsia.<sup>34</sup> In the Johns Hopkins records there is an increase over time in the prevalence of prenatal exams, particularly in the 1920s and later. Although the mean number of prenatal visits was greater among blacks than among whites, the median number of visits for both races was two.

Like all available hospital data from this period (such as that from New York Lying-In), the Johns Hopkins data provide a glimpse of the health of the urban working class. A potential drawback is that under half of the population lived in urban areas and that blacks were much less likely to live in urban areas. In 1920, 49 percent of white mothers with a child under age one were living in an urban area and 19 percent were living in a city with a population greater than 250,000. Among black mothers 25 percent were living in an urban area and 8 percent were living in a city with a population greater than 250,000.<sup>35</sup> We know that in this period there was a substantial urban mortality penalty and we know that it was higher for blacks than for whites.<sup>36</sup> Among women observed in 1910 who had been married for less than 15 years, the mortality penalty for blacks was 1.9 times that of whites in rural areas. In urban areas the mortality penalty was 2.5 times that of whites and in cities with a population of 250,000 or more, the urban mortality penalty was three times that of whites.<sup>37</sup> Thus an advantage of examining urban areas is that these were precisely the places where health differentials between blacks and whites might be most extreme.<sup>38</sup>

An advantage of examining a working class sample is that it is more comparable on socioeconomic dimensions. A disadvantage is that we cannot infer population means. Because a greater proportion of white than black families were middle class, the gap between black and white urban birth weights may be larger. However, it seems unlikely that mean birth weights of the working class differed so much from those of the middle class. Compared to such earlier samples as those of Boston Lying-In, the clientele was financially better off (coincident with the growing use of hospitals by the middle class), but mean birth weights at Boston Lying-In were similar to those at Johns Hopkins.

<sup>34</sup> Speert, *Obstetrics*.

<sup>35</sup> Estimated from the 1920 integrated public use census sample.

<sup>36</sup> Preston and Haines, *Fatal Years*.

<sup>37</sup> Estimated from the 1910 integrated public use census sample for currently married women. Results are based upon a mortality index constructed like that described in Preston and Haines, *Fatal Years*.

<sup>38</sup> Of course, an urban mortality penalty does not necessarily imply low birth weight because while in the womb many children might have been protected from infectious disease. Claudia Goldin and Robert Margo found relatively high birth rates by modern standards in the Philadelphia Almshouse (Goldin and Margo, "Poor").



The 1988 National Maternal and Infant Health Survey (NMIHS) is used to investigate black-white differentials in a modern population.<sup>39</sup> These data provide benchmark estimates under very different environmental conditions and estimates of the effect of maternal birth weight on the black-white birth weight gap. This survey is a random sample of births in 1988 and contains both birth and death certificate and interview information. It oversamples low-birth-weight babies and all population means estimated from this survey are adjusted using the sampling weights. In the regressions (but not the sample means), only those observations for which maternal birth weight is known are used. Mothers who did not know their own birth weight tended to be older, unmarried, of higher parity, shorter, and less educated. Child characteristics did not predict the mother's knowledge of her own birth weight controlling for the mother's characteristics.

The NMIHS is a national survey whereas the Johns Hopkins data are urban data. However, the entire national survey can be used. Full-term live birth weights were higher for both blacks and whites in metropolitan counties but the differences were small and statistically insignificant and of the same order of magnitude for both blacks and whites. Prematurity and stillbirth rates were lower for both blacks and whites in metropolitan counties but the differences were small and statistically insignificant.

#### PREGNANCY OUTCOME TRENDS

Table 1 illustrates that although the birth weights of both black and white babies born in urban hospitals in the early 1900s compare favorably to those of modern populations, the gap in black and white birth weights is persistent.<sup>40</sup> There was roughly a 200-gram difference in the mean birth weights of black and white babies born at Johns Hopkins and at Boston Lying-In. There was a 240 gram difference in the median birth weights of black and white babies born at Johns Hopkins, compared to a 210 gram difference in 1998 for the United States as a

<sup>39</sup> Maureen Sanderson, Irvin Emanuel, and Victoria Holt used this survey to examine the effect of maternal birth weight on child birth weight among blacks and whites separately. But, they do not estimate the percentage of the black-white birth weight gap accounted for by maternal birth weight (Sanderson, Emanuel, and Holt, "Intergenerational Relationship").

<sup>40</sup> Table 1 also includes Richard Steckel's estimate of the birth weight of slave children inferred from height at young ages (Steckel, "Birth Weights"). Note that the mean is very low, suggesting either that there was very large catch-up growth in birth weights in a short period of time, that hospital physicians attended the births of larger babies, or that slave babies were heavier at birth than Richard Steckel's estimate.

TABLE 1  
BIRTH WEIGHTS (IN GRAMS) BY RACE (LIVE BIRTHS), UNITED STATES

Sample	Year	White			Black		
		Mean	Median	≤2,500 gm (%)	Mean	Median	≤2,500 gm (%)
U.S. slaves (inferred)	1807–1864				2,330		
Philadelphia Almshouse	1848–1873	3,375	3,453	8.1			
Boston New England	1872–1900	3,480		6.5			
Boston Lying-In (indoors)	1886–1900	3,330		6.9	3,126		12.3
Boston Lying-In (outdoors)	1884–1900	3,479		4.7			
New York Lying-In (singletons)	1910–1931	3,463	3,467	5.5			
Johns Hopkins (singletons)	1897–1935	3,423	3,443	6.0	3,183	3,175	11.4
John Hopkins Weighted		3,398	3,415	6.4	3,160	3,175	11.9
United States	1950		3,320	7.2		3,250	10.4
United States	1960		3,340	6.8		3,150	12.8
United States	1970		3,330	6.9		3,120	13.9
United States	1980		3,410	5.7		3,170	12.5
United States	1990		3,410	5.7		3,170	13.3
United States	1998		3,390	6.5		3,180	13.0
National Maternal and Infant Health Survey (singletons)	1988	3,426	3,430	5.1	3,132	3,203	12.3

Notes: Race is determined by the race of the mother. The weighted Johns Hopkins birth weights are more representative of the Johns Hopkins clientele (see the Appendix for details).

Sources: Slave birth weights are from Steckel, "Birth Weights," and are inferred from height at young ages. The data for the Philadelphia Almshouse are from Goldin and Margo, "Poor at Birth." The data from Boston are from Ward, *Birth Weight*, pp. 148–49. The data for New York Lying-In are from Costa, "Unequal at Birth." The data for the United States are from various issues of *Vital Statistics of the United States*.

whole.<sup>41</sup> The proportion of babies weighing less than 2,500 grams at birth has historically been roughly double among blacks.<sup>42</sup>

Since 1918, when data on fetal deaths by race became available for the death registration states, fetal death rates have been falling for both races, but the black rate has been roughly twice that of whites (see

<sup>41</sup> In 1950 the black-white birth weight difference was only 70 grams and black births were up to 100 grams higher than in subsequent years. Why black babies fared so much better in 1950 is unclear.

<sup>42</sup> The weight of black babies was similar to that of babies born in Europe. For example, the mean weight of babies born at the Allgemeines Krankenhaus in Vienna between 1910 and 1930 was 3,143 grams (Ward, *Birth Weight*).

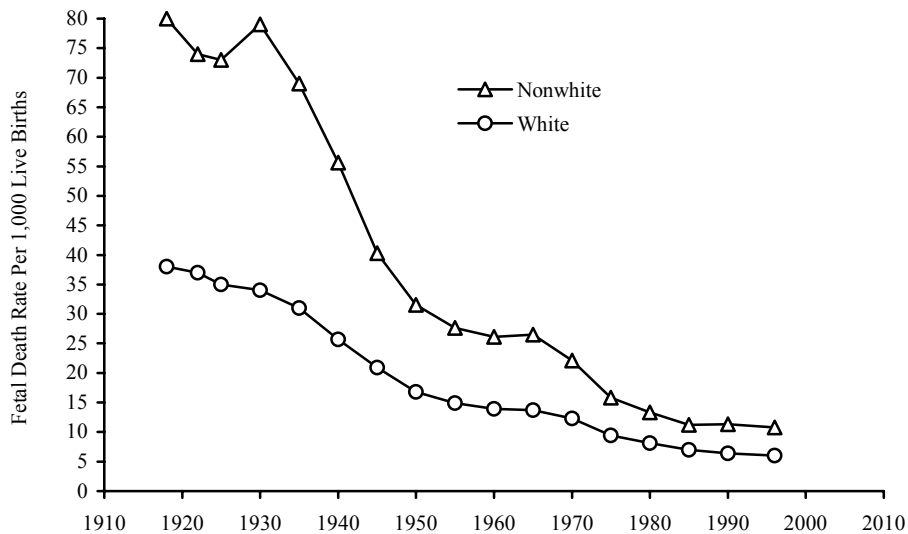


FIGURE 1  
FETAL DEATH RATES BY RACE, 1918-1996

Source: Various issues of *Birth Statistics for the Birth Registration Areas of the United States; Birth, Stillbirth, Infant Mortality Statistics; Birth, Stillbirth, and Infant Mortality Statistics for the Continental United States, the Territory of Hawaii, the Virgin Islands; and Vital Statistics of the United States*. Fetal death rates are for the death registration states only. The period of gestation required for the registration of a stillbirth varied by year and by state.

Figure 1).<sup>43</sup> In 1918 for every 1,000 live births there were 80 stillbirths among blacks and 38 among whites. By 1950 the number of stillbirths per 1,000 live births had fallen to 32 among blacks and 17 among whites. By 1996 fetal deaths per 1,000 live births were 11 among blacks and 6 among whites.

Less is known about long-run racial trends in prematurity rates. In 1960, 6 percent of white births were premature (less than 37 weeks gestation) compared to 12 percent of black births. By 1998, 10 percent of white births and 17 percent of black births were premature.<sup>44</sup>

Table 2 uses the Johns Hopkins data and the 1988 NMIHS to illustrate differences in pregnancy outcomes over a span of almost 70 years. The tables show a persistent gap in black and white prematurity rates. The tables also show that intrauterine deaths are rare today, but that in the past they averaged 12 percent of all births (both live and stillborn)

<sup>43</sup> The period of gestation required for a stillbirth varied by year and by state so the data are not strictly comparable over time and place. However, this is unlikely to have a large effect on the time series. The NMIHS shows that most stillbirths do not occur at the very lowest gestational weeks.

<sup>44</sup> *Vital Statistics of the United States*, various issues.

TABLE 2  
DIFFERENCES IN OUTCOMES AT JOHNS HOPKINS AND IN THE 1988 NATIONAL  
MATERNAL AND INFANT HEALTH SURVEY (NMIHS) BY RACE

	Johns Hopkins		NMIHS	
	White	Black	White	Black
Fraction premature	0.065	0.130	0.066	0.172
Fraction stillborn	0.062	0.117	0.003	0.006
Birth weight (gm), live and stillbirths	3,395.611 (649.637)	3,097.299 (708.683)	3,422.462 (581.535)	3,126.461 (671.314)
Live births:				
Birth weight (gm)	3,422.516 (621.017)	3,182.896 (599.366)	3,425.943 (575.873)	3,132.148 (664.111)
Fraction weighing less than 2,500 gm	0.060	0.114	0.051	0.123
Fraction hospital births dead by day ten	0.076	0.046		
Fraction dead by day ten			0.004	0.008
Weight by day ten if born in hospital (gm)	3,305.695 (508.536)	3,102.520 (536.166)		
Weight gain by day ten if born in hospital (gm)	-48.303 (185.881)	-31.516 (198.729)		
Live births, full term				
Birth weight (gm)	3,482.963 (536.784)	3,270.997 (491.470)	3,480.418 (500.972)	3,256.185 (532.651)
Fraction weighing less than 2,500 gm	0.028	0.059	0.028	0.067
Fraction live hospital births dead by day ten	0.041	0.012		
Fraction dead by day ten			0.002	0.003
Weight by day ten if born in hospital (gm)	3,324.894 (489.175)	3,140.677 (491.249)		
Weight gain by day ten if born in hospital (gm)	-68.012 (160.869)	12.398 (167.325)		

Notes: The samples were restricted to singleton births. Sample weights are used for the NMIHS. Standard errors are in parentheses.

among blacks and 6 percent of all births among whites.<sup>45</sup> Per 1,000 live births these represent 132 fetal deaths among blacks and 66 fetal deaths among whites, higher than the national averages but similar to fetal death rates for the city of Baltimore as a whole.<sup>46</sup> Note that when birth weight is calculated for both stillbirths and live births, the data show a rising birth weight trend, suggesting that declining stillbirth rates may

<sup>45</sup> In the Johns Hopkins records the earliest gestation for a recorded stillbirth is 17.8 weeks. In the NMIHS it is ten weeks but even if stillbirths less than 17.8 weeks are excluded from the NMIHS it does not affect the results because these stillbirths constituted less than 1 percent of the sample.

<sup>46</sup> In 1922 the fetal death rate in Baltimore was 126 per 1,000 live births among blacks and 58 per 1,000 live births among whites (see the 1922 edition of *Birth, Stillbirth, Infant Mortality Statistics*).

explain the constancy of live birth weight. Including stillbirths magnifies black-white birth weight differentials, particularly in the Johns Hopkins data, and implies a narrowing of the black-white birth weight gap.

Table 2 also shows that death in the first ten days of life is rare today. In the past, these early deaths were more common among whites than among blacks. Eight percent of white babies were dead by day 10 of life, compared to 5 percent of black babies. In addition, weight loss in the first ten days of life was greater among whites than among blacks. Among full-term babies, black babies gained 12.4 gm by day ten whereas white babies lost 68.0 gm.

#### EMPIRICAL FRAMEWORK

I examine the factors that mediate the effects of race on birth outcomes by running regressions of the form

$$y_i = \alpha + \delta W_i + u_i \quad (1)$$

$$y_i = \alpha + \delta W_i + \beta X_i + u_i \quad (2)$$

where  $y_i$  is the dependent variable,  $W$  is a dummy equal to one if the child was white,  $X$  is a vector of control variables, and  $u$  is an error term. For the Johns Hopkins sample, I estimate probit regressions where the dependent variables are indicator variables equal to one if the child was born premature, was born stillborn, or died within ten days of birth and I estimate OLS regressions where the dependent variables are equal to birth weight or weight gain during the ten days spent in the hospital.<sup>47</sup> For the 1988 NMIHS, I estimate a probit regression where the dependent variable is an indicator variable equal to one if the child was born premature and I estimate OLS regressions where the dependent variable is birth weight. All regressions using the NMIHS are weighted.

I will examine how  $\delta$ , the coefficient on the white dummy, changes as I control for additional characteristics. Because the order in which additional characteristics are controlled for will determine whether controlling for one specific characteristic has a large effect, I will test for robustness by varying the order in which characteristics are controlled for. Note that this methodology, while visually appealing, assumes that the black and white birth weight production functions are the same. For

<sup>47</sup> In the past almost all babies and mothers remained in the hospital for ten days. I cannot observe the mortality experience of babies in the Johns Hopkins sample who were not born in the hospital.

TABLE 3  
DIFFERENCES IN CHARACTERISTICS AT JOHNS HOPKINS BY RACE

	All Births		Full-Term Births	
	White	Black	White	Black
Gestational age (weeks)	39.452	37.804	39.869	38.605
Parity	2.097	2.046	2.099	2.136
Dummy = 1 if				
child male	0.503	0.507	0.506	0.506
home birth	0.480	0.531	0.489	0.548
mother married	0.875	0.766	0.880	0.782
Number of prenatal visits	2.622	3.252	2.669	3.412
Mother's age	26.179	24.356	26.216	24.485
Dummy = 1 if mother foreign-born	0.480	0.117	0.488	0.114
Dummy = 1 if maternal syphilis	0.019	0.127	0.014	0.090
Dummy = 1 if birth in				
summer	0.252	0.259	0.253	0.266
fall	0.204	0.253	0.207	0.255
winter	0.275	0.262	0.276	0.263
spring	0.269	0.226	0.264	0.216
Dummy = 1 if birth				
before 1910	0.029	0.061	0.027	0.066
in 1910s	0.573	0.409	0.585	0.402
in 1920s or later	0.398	0.530	0.388	0.532
If hospital birth:				
Dummy = 1 if forceps used	0.090	0.100	0.099	0.108
Dummy = 1 if extraction	0.086	0.090	0.089	0.084
Observed length labor	13.610	15.575	13.687	15.626
Dummy = 1 if fed				
breast-milk	0.704	0.774	0.714	0.806
breast-milk and formula	0.006	0.012	0.003	0.006
formula only	0.290	0.214	0.283	0.188

Note: The sample was restricted to singleton births.

the birth weight production functions I will therefore also run separate regressions for blacks and for whites and report the contribution of differences in specific characteristics to the black-white birth weight gap using the Blinder-Oaxaca decomposition.<sup>48</sup> As I will show, the results from the decomposition yield similar results with two exceptions—the effects of marital status and of income on birth weight differ by race in the NMIHS.

Table 3 lists the control variables in the Johns Hopkins sample used in the regressions and shows how they differ by race. Black births were of lower gestational age, were more likely to be home births, were somewhat more likely to be unmarried mothers, were to younger

<sup>48</sup> Thus if the equation of interest is  $y_{ri} = \alpha_r + \delta_r B_{ri} + \beta_r X_{ri} + u_{ri}$  where  $r$  indicates race ( $w = \text{white}$ ,  $b = \text{black}$ ), the contribution of differences in the variable  $B$  to the difference in the dependent variable between the two races would be  $\delta_w B_{wi} - \delta_w B_{bi}$  using the white regression and  $\delta_b B_{wi} - \delta_b B_{bi}$  using the black regression.)

TABLE 4  
DIFFERENCES IN CHARACTERISTICS IN NATIONAL MATERNAL AND INFANT  
HEALTH SURVEY BY RACE

	All Births		Full-Term Births	
	White	Black	White	Black
Gestational age (weeks)	39.523	38.462	39.977	39.764
Parity	2.245	2.562	2.249	2.552
Dummy = 1 if				
child male	0.527	0.503	0.527	0.499
mother married	0.820	0.368	0.826	0.382
ever had prenatal visit	0.989	0.966	0.989	0.970
Mother's age	26.543	24.369	26.596	24.478
Dummy = 1 if mother foreign-born	0.102	0.078	0.100	0.081
Dummy = 1 if mother				
smoker	0.322	0.258	0.321	0.251
high alcohol user	0.053	0.064	0.051	0.061
Dummy = 1 if mother's				
education				
less than high school	0.120	0.259	0.117	0.250
high school	0.301	0.367	0.300	0.367
some college	0.175	0.143	0.177	0.150
college	0.135	0.064	0.136	0.069
Mother's height (cm)	188.967	194.872	189.586	194.711
Mother's weight gain during pregnancy (gm)	14,428.63	13,254.81	14,596.10	13,722.94
Mother's birth weight	3,223.095	3,088.193	3,227.358	3,100.966
Child ever breast-fed	0.581	0.268	0.590	0.283

Notes: Sample weights are used in all calculations. The sample was restricted to singleton births.

mothers, and were to mothers who were more likely to have syphilis. Black mothers were in labor longer and were more likely to breast-feed their children. The higher propensity of black mothers to breast-feed their children relative to white, native-born mothers in Baltimore was noted in the Children's Bureau study of infant mortality among babies born in 1915.<sup>49</sup>

Table 4 shows how black and white mothers differ in terms of their characteristics in the 1988 NMIHS. Black mothers are of higher parity, are much less likely to be married, are younger, are less likely to be smokers, are less well-educated, are taller, gain less weight during their pregnancies, were born with a lower birth weight, and are less likely to breast-feed their children. Breast-feeding is less common among black mothers even controlling for such characteristics as education and income, suggesting that different social norms may be at work in the two groups.

<sup>49</sup> Rochester, *Infant Mortality*.

The two samples show a large increase in the proportion of unmarried black mothers, mirroring the trend for the nation as a whole. Steven Ruggles reports that in 1910, 95 percent of white children and 80 percent of black children age 0–4 were living with both parents.<sup>50</sup> In 1980, 87 percent of white children in that age group were living with both parents, but only 46 percent of their black counterparts were doing so.

In the Johns Hopkins sample, control variables in the preterm, stillbirth, and birth weight regressions include parity, parity squared, dummy variables equal to one if the child was male, if it was a home birth, and if the mother was married, the number of prenatal visits, the mother's age, a dummy equal to one if the mother was foreign-born, a dummy equal to one if the mother had syphilis, dummies indicating whether the birth was in the summer (June–August), fall (September–November), winter (December–February), and spring (March–May), and dummies indicating the decade of birth (before 1910, in the 1910s, and in the 1920s or later). The stillbirth and birth-weight regressions also control for prematurity using a dummy variable or gestational age in weeks. Control variables in the death-by-day-ten regressions include birth weight, either a dummy variable for prematurity or gestational age in weeks, a dummy equal to one if the child was breast-fed, parity, parity squared, a dummy equal to one if the child was male, a dummy equal to one if the mother was married, the number of prenatal visits, the mother's age, dummy variables equal to one if the mother was foreign-born, if the mother had syphilis, if forceps were used, or if extraction was used, the observed length of labor in hours, seasonal dummies, and a dummy equal to one if the child was born in the 1920s or later. Control variables in the weight gain regressions include dummy variables indicating if the child was fed breast-milk only, breast-milk and formula, or formula only, gestational age in weeks, parity, parity squared, a dummy equal to one if the child was male, a dummy equal to one if the mother was married, the number of prenatal visits, the mother's age, a dummy equal to one if the mother was foreign-born, a dummy equal to one if the mother had syphilis, seasonal dummies, and a dummy equal to one if the birth was in the 1920s or later.

In the NMIHS, control variables in the prematurity regressions are parity, parity squared, dummies equal to one if the child was male or the mother was married, the mothers' age, dummies equal to one if the mother ever had a prenatal visit, was foreign-born, was a smoker, had high alcohol use (more than 8 drinks a week in the 3 months before finding out about the pregnancy), dummies for educational level (less

<sup>50</sup> Ruggles, "Origins."



TABLE 5  
CORRELATES OF PREMATUREITY AND STILLBIRTHS

	Prematurity			Stillbirths		
	$\frac{\partial P}{\partial x}$	$\frac{\partial P}{\partial x}$	$\frac{\partial P}{\partial x}$	$\frac{\partial P}{\partial x}$	$\frac{\partial P}{\partial x}$	$\frac{\partial P}{\partial x}$
Dummy = 1 if premature						0.248‡ (0.038)
Dummy = 1 if white	-0.063‡ (0.014)	-0.064‡ (0.014)	-0.042‡ (0.014)	-0.049‡ (0.013)	-0.043‡ (0.014)	-0.029† (0.013)
Parity		-0.020‡ (0.008)	-0.019‡ (0.007)		-0.010 (0.008)	-0.006 (0.007)
Parity squared		0.002† (0.001)	0.002† (0.001)		0.000 (0.001)	0.000 (0.001)
Dummy=1 if child male		-0.005 (0.013)	-0.008 (0.012)		0.003 (0.011)	0.005 (0.011)
home birth		-0.026* (0.014)	-0.026* (0.014)		-0.067‡ (0.014)	-0.059‡ (0.013)
mother married		-0.028 (0.019)	-0.020 (0.018)		-0.003 (0.015)	0.001 (0.014)
Number of prenatal visits		-0.025‡ (0.003)	-0.023‡ (0.003)		-0.009‡ (0.003)	-0.004* (0.002)
Mother's age		0.002 (0.001)	0.001 (0.001)		0.005 (0.001)	0.004‡ (0.001)
Dummy = 1 if mother foreign-born		-0.004 (0.018)	0.002 (0.018)		0.021 (0.019)	0.018 (0.017)
Dummy = 1 if maternal syphilis			0.226‡ (0.042)		0.232‡ (0.042)	0.137‡ (0.036)
Dummy = 1 if birth in summer						
fall		-0.004 (0.018)	-0.007 (0.017)		0.013 (0.018)	0.011 (0.017)
winter		0.003 (0.018)	-0.001 (0.017)		-0.004 (0.016)	-0.005 (0.014)
spring		0.026 (0.020)	0.024 (0.019)		0.027 (0.019)	0.014 (0.016)
Dummy = 1 if birth before 1910						
in 1910s	0.016 (0.035)	-0.004 (0.033)	-0.016 (0.031)	0.058 (0.043)	-0.002 (0.036)	0.002 (0.034)
in 1920s or later	0.026 (0.035)	0.041 (0.036)	0.019 (0.033)	0.101† (0.045)	0.040 (0.039)	0.037 (0.037)
Pseudo $R^2$	0.019	0.093	0.144	0.027	0.161	0.249

\* = significant at the 10-percent level.

† = significant at the 5-percent level.

‡ = significant at the 1-percent level.

Notes: There are 1,729 observations. The sample was restricted to singleton births. The dependent variable for the regressions labeled prematurity is a dummy equal to one if the child was born prematurely. The dependent variable for the regressions labeled stillbirths is a dummy variable equal to one if the child was stillborn. Standard errors are in parentheses. Derivatives are from a probit model. Derivatives for dummy variables give the change from zero to one.

than high school, high school, some college, and college), mother's height, and mother's birth weight. The birth weight regressions control for these factors as well as prematurity, gestational age, mother's weight gain, and mother's birth weight.

#### BIRTH OUTCOMES AND RACE AT JOHNS HOPKINS

Table 5 shows that maternal syphilis explains 33 percent of the difference in prematurity rates between black and white babies. Although maternal syphilis significantly predicts stillbirths, mechanically it does not explain differences in black-white stillbirth rates. However, because differences in black-white prematurity rates explain 41 percent of the difference in black-white stillbirth rates, the effects of differential syphilis rates probably operate through differential prematurity rates. No other observable factors explained differences in black-white prematurity or stillbirth rates.<sup>51</sup>

The only observable characteristic that predicted differences in black-white birth weights was prematurity or gestational age (see Table 6). For all births, black-white differences in prematurity rates explained 18 percent of the difference in birth weights controlling for all other observables. Using the Oaxaca-Blinder decomposition suggests that the impact of differences in prematurity rates was even larger, explaining 26 percent of the black-white birth weight gap using the white regression and 24 percent of the black-white birth weight gap using the black regression. Once prematurity was controlled for, maternal syphilis no longer had a statistically significant effect on birth weight, but of course, was still an important determinant of birth weight because of its effect on differential prematurity rates. For full-term births, controlling for gestational age explained 9 percent of the difference between black-white birth weights controlling for all other observables. Using the Oaxaca-Blinder decomposition shows that gestational age explains 3 percent of the black-white birth weight gap using the white function and 9 percent of the gap using the black function.

A strongly significant predictor of birth weight was season of birth (see Table 6). Babies born in the spring (March–May) weighed 73 to 81 grams less than babies born in the summer (June–August), perhaps because of the nutritional stress their mothers experienced during the winter months. A study of a rural North Carolina mill town begun in 1939 found that in spring vitamin levels were at their lowest point.<sup>52</sup> When

<sup>51</sup> Note that maternal age is entered in linearly in the specification. A quadratic term in age was not a statistically significant predictor of either prematurity, stillbirths, or birth weight.

<sup>52</sup> Beardsley, *History*, p. 204.

TABLE 6  
CORRELATES OF BIRTH WEIGHT (LIVE BIRTHS), JOHNS HOPKINS

	All Births	All Births	All Births	Full-Term Births	Full-Term Births
Dummy = 1 if premature			-1,225.459‡		
			(54.637)		
Gestational age (weeks)					21.518‡
					(3.659)
Dummy = 1 if white	238.725‡	247.692‡	204.102‡	187.386‡	170.758‡
	(31.346)	(33.789)	(29.383)	(28.420)	(30.501)
Parity		108.169‡	87.502‡		87.272‡
		(17.891)	(15.552)		(15.902)
Parity squared		-6.951‡	-5.115‡		-4.744‡
		(1.655)	(1.439)		(1.482)
Dummy = 1 if child male		99.454‡	96.134‡		102.291‡
		(29.252)	(25.383)		(26.187)
home birth		145.409‡	121.731‡		119.939‡
		(33.523)	(29.108)		(30.047)
mother married		35.115	-2.985		17.912
		(42.934)	(37.293)		(38.617)
Number of prenatal visits		29.067‡	7.541		-2.544
		(6.940)	(6.098)		(6.323)
Mother's age		-1.204	0.157		0.333
		(3.364)	(2.920)		(3.030)
Dummy = 1 if mother foreign-born		-16.819	0.565		-1.877
		(39.228)	(34.048)		(35.235)
Dummy = 1 if maternal syphilis		-195.136‡	-79.993		-66.098
		(65.745)	(57.279)		(61.259)
Dummy=1 if birth in summer					
fall		-19.592	-49.699		-10.459
		(42.129)	(36.581)		(37.462)
winter		-51.752	-58.759*		-25.957
		(40.217)	(34.898)		(36.096)
spring		-86.381†	-80.751†		-73.102‡
		(41.542)	(36.047)		(37.779)
Dummy = 1 if birth before 1910					
in 1910s	-40.271	63.658	63.411	-50.857	73.861
	(75.139)	(73.365)	(63.660)	(68.504)	(66.076)
in 1920s or later	-84.438	-4.870	41.706	-53.755	132.464*
	(74.986)	(77.897)	(67.624)	(68.365)	(70.664)
Constant	3,243.942‡	2,840.130‡	3,009.661‡	3,327.021‡	2,111.418‡
	(72.030)	(105.889)	(92.192)	(65.730)	(175.892)
Adjusted R <sup>2</sup>	0.039	0.138	0.351	0.031	0.156

\* = significant at the 10-percent level.

† = significant at the 5-percent level.

‡ = significant at the 1-percent level.

Notes: The entire sample contains 1,546 observations and the sample of full-term births 1,324 observations. The sample was restricted to singleton births. The dependent variable is birth weight. Coefficients are from an ordinary least squares regression. Standard errors are in parentheses.

TABLE 7  
CORRELATES OF DEATH BY DAY 10 IN JOHNS HOPKINS HOSPITAL SAMPLE

	All Births		Full-Term Births			
	$\frac{\partial P}{\partial x}$	Std Err	$\frac{\partial P}{\partial x}$	Std Err	$\frac{\partial P}{\partial x}$	Std Err
Dummy = 1 if white	0.0336	0.0285	0.0046*	0.0045	0.0021	0.0029
Birth weight (kg)	-0.4273‡	0.1293	-0.0051†	0.0047	-0.0031†	0.0036
Birth weight (kg) squared	0.0581‡	0.0199				
Dummy = 1 if premature	0.2733‡	0.0883				
Gestational age (weeks)			-0.0002	0.0003	-0.0001	0.0002
Dummy = 1 if breast-fed					-0.0048†	0.0061
Parity	0.0006	0.0151				
Parity squared	-0.0002	0.0016				
Dummy=1 if						
child male	0.0387	0.0244	0.0017	0.0025	0.0012	0.0019
mother married	-0.0340	0.0316	-0.0005	0.0023	0.0000	0.0013
Number of prenatal visits	-0.0004	0.0051	0.0003	0.0005	0.0002	0.0004
Mother's age	0.0059†	0.0024	-0.0001	0.0002	-0.0001	0.0001
Dummy = 1 if						
mother foreign-born	0.0042	0.0384				
maternal syphilis	0.1256‡	0.0607	0.0187	0.0286	0.0165	0.0265
forceps used	0.0341	0.0465	0.0154*	0.0181	0.0146†	0.0176
extraction	0.2824‡	0.0704	-0.0001	0.0024	-0.0006	0.0010
Observed length labor (hours)	0.0033‡	0.0009	0.0000	0.0001	0.0000	0.0000
Dummy=1 if birth in						
summer						
fall	-0.0142	0.0339	0.0008	0.0038	0.0013	0.0039
winter	-0.0111	0.0325	0.0017	0.0042	0.0025	0.0047
spring	0.0182	0.0343	0.0014	0.0038	0.0019	0.0040
Dummy=1 if birth in 1920s or later	0.0985‡	0.0250	0.0012	0.0025	0.0002	0.0015
Pseudo $R^2$	0.366		0.278		0.321	

\* = significant at the 10-percent level.

† = significant at the 5-percent level.

‡ = significant at the 1-percent level.

Notes: The sample of all births contains 789 observations and the sample of full-term births contains 577 observations. The sample was restricted to live, singleton births born in the hospital. The dependent variable is a dummy equal to one if the child died by day ten. Derivatives are from a probit model. Derivatives for dummy variables give the change from zero to one.

season of birth was defined by quarter, then babies born during the second quarter (April–June) were significantly more likely to be born prematurely than babies born in other quarters. Season of birth did not predict stillbirth.<sup>53</sup>

<sup>53</sup> Claudia Goldin and Robert Margo did not find a statistically significant effect of season of birth on birth weight in the Philadelphia Almshouse (Goldin and Margo, "Poor"). Peter Ward found that babies born in Vienna in the fall during the crisis years of 1916–1922 had higher birth weights than those born in other months (Ward, *Birth Weight*, p. 62). In the Johns Hopkins data the proportion of full-term births occurring in the spring was the lowest among blacks, but

Low birth weight babies were more likely to die within ten days of birth (see Table 7). Each additional kilogram decreased the probability of death of full-term babies by 0.003, a 21 percent decrease from the baseline mortality probability of 0.010.<sup>54</sup> But, despite their higher birth weights, full-term white babies were significantly more likely to die within ten days of birth than black babies (see Table 7). Being white lowered a full-term baby's probability of survival by 0.005 controlling for birth weight, gestational age, and other characteristics. However, once I control for breast-feeding, being white lowered a baby's probability of survival by the statistically insignificant amount of 0.002, suggesting that differences in breast-feeding practices explain at least 60 percent of the difference in black-white survival rates.

The relationship between birth weight and death was not linear for babies of all gestational age even though it was for full-term births. The regression results in Table 7 show that the optimal birth weight was 3,677 grams. Using dummy variables rather than a quadratic term in birth weight (not shown) reveals that compared to babies weighing over 2,500 but less than 4,500 grams, babies weighing more than 4,500 grams had a probability of dying within the first ten days of life that was greater by 0.29. Babies weighing less than 2,500 grams had a probability of death that was greater by 0.14. The use of forceps was associated with a higher risk of death of the child, raising the probability of death by 0.01. Although not using forceps may have raised the risk of death to the child by even more (and also increased the mother's risk of death), the results suggest that birth injury was an important determinant of surviving the first ten days of life, consistent with evidence from New York Lying-In and from the Philadelphia Almshouse.<sup>55</sup>

The effects of feeding practices are evident in weight gain in the first ten days of life (see Table 8). White babies gained less weight than black babies, even controlling for birth weight (not shown). But, controlling for feeding practices explains 21 percent of the difference in black-white weight gain. Babies fed only formula lost 247 grams relative to babies fed only breast-milk. Babies fed a combination of breast-milk and formula lost 130 grams relative to babies fed breast-milk only. At the beginning of the century, the prevalence of breast-feeding was falling both in the Johns Hopkins sample and in the United States as a

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among whites the lowest proportion occurred in the fall. Either preferences or seasonality across occupations are potential explanations.

<sup>54</sup> This baseline probability is higher than that in the hospital birth sample as a whole because this subsample excludes observations where the type of feeding is unknown.

<sup>55</sup> Costa, "Unequal at Birth"; and Goldin and Margo, "Poor."

TABLE 8  
CORRELATES OF WEIGHT GAIN BY DAY TEN IN JOHNS HOPKINS HOSPITAL  
SAMPLE

	Coefficient	Std Err	Coefficient	Std Err	Coefficient	Std Err
Dummy = 1 if white	-86.541‡	14.767	-76.183‡	16.566	-60.032‡	15.659
Dummy=1 if fed breast-milk and formula only					-130.278‡	16.200
Gestational age (weeks)			2.566	2.236	1.375	2.101
Parity			18.309*	9.555	12.810	9.076
Parity squared			-2.232†	1.075	-1.374	1.019
Dummy=1 if child male			-4.334	14.477	-7.093	13.613
mother married			-2.919	17.589	-7.065	16.512
Number of prenatal visits			2.272	3.253	1.276	3.053
Mother's age			-2.100	1.676	-1.065	1.585
Dummy=1 if mother foreign-born			-24.637	22.180	-36.125*	20.832
maternal syphilis			10.972	34.013	7.789	31.895
Dummy = 1 if birth in summer						
fall			-4.022	20.150	-9.617	18.901
winter			-38.351*	19.913	-40.879†	18.682
spring			-4.022	20.577	-16.166	19.339
Dummy = 1 if birth in 1920s or later	-32.732†	14.852	-34.781*	18.133	-14.898	17.158
Constant	34.613†	14.566	-20.612	96.878	32.907	91.017
Adjusted R <sup>2</sup>	0.061		0.066		0.180	

\* = significant at the 10-percent level.

† = significant at the 5-percent level.

‡ = significant at the 1-percent level.

Note: There are 511 observations. The sample was restricted to live, singleton births born in the hospital. The dependent variable is weight gain (gm) during the first ten days. Coefficients are from an ordinary least squares regression.

whole, thereby leading to less weight gain among babies born in the 1920s or later relative to babies born earlier.<sup>56</sup>

The data do not allow me to determine whether it was breast-feeding per se that was beneficial to children or whether breast-feeding was associated with more frequent feeding. At New York Lying-In insufficient feeding, not the type of feeding, predicted poor weight gain.<sup>57</sup> Babies

<sup>56</sup> Apple, *Mothers and Medicine*.

<sup>57</sup> Costa, "Unequal at Birth."

born in the winter experienced poorer weight gain compared to babies born in the summer. When the seasons are divided into quarters, babies born in the second and third quarters (April–September) fare better than babies born in the fourth quarter (October–December).

The relatively good fortune of black babies in the first ten days of life spent in the hospital probably did not outlast their stay. The Children's Bureau study of infant mortality in Baltimore in 1915 revealed a steep gradient between infant mortality and family income, because a higher income enabled families to buy lower room congestion, sanitary equipment, and less work away from home for the pregnant mother.<sup>58</sup>

A relatively large proportion of the black-white gap in prematurity rates, stillbirth rates, and birth weights still remains unexplained—two-thirds of the black-white prematurity gap, 59 percent of the black-white stillbirth gap, and 91 percent of the black-white full-term birth weight gap. These differences cannot be explained by socioeconomic differentials. Using the small subsample that contains socioeconomic information shows that controlling for either father's occupational status or income does not affect differences in prematurity or stillbirth rates. Controlling for father's occupational status or income increases the unexplained birth weight advantage of full-term white babies.

#### BIRTH OUTCOMES AND RACE IN 1988

Recall that although the medical literature has hypothesized that factors such as maternal birth weight can explain the black-white birth weight gap, there has not been an explicit decomposition in the literature. This section calculates this decomposition to assess the importance of maternal birth weight relative to other factors. For ease of comparison, the regressions are very similar to those for the Johns Hopkins sample.

Prematurity was the most important predictor of birth weight for all births (see Table 9). Differences in prematurity rates explain roughly 32 percent of the black-white weight gap for all births. Using the Oaxaca-Blinder decomposition it accounts for 37 percent of the difference in black-white birth weights using the white function and 32 percent of the difference using the black function. For full-term births, the effects of differential gestational age are much smaller. Using the Blinder-Oaxaca decomposition I can explain 4 percent of the black-white birth weight gap using the white function and 3 percent of the gap using the black function. What in turn explains black-white differences in prematurity

<sup>58</sup> Rochester, *Infant Mortality*.

TABLE 9  
CORRELATES OF BIRTH WEIGHT (LIVE BIRTHS), 1988 NATIONAL MATERNAL AND INFANT HEALTH SURVEY

	Full-Term Births								
	1	2	3	4	5	6	7	8	
Dummy = 1 if premature			-900.7555‡ (60.494)	-889.006‡ (58.958)					
Gestational age (weeks)									
Dummy = 1 if white	307.050‡ (24.469)	280.038‡ (28.609)	180.561‡ (29.907)	165.917‡ (29.227)	210.326‡ (25.113)	187.798‡ (28.829)	155.963‡ (27.716)	51.994‡ (6.026)	52.157‡ (6.042)
Parity		104.488‡ (23.702)	110.856‡ (22.635)	108.322‡ (22.381)		107.043‡ (22.078)	138.127‡ (21.749)		134.811‡ (21.477)
Parity squared		-9.765‡ (3.317)	-10.346‡ (2.991)	-9.929‡ (2.976)		-8.917‡ (2.841)	-13.356‡ (2.911)		-12.818‡ (2.894)
Dummy = 1 if child male		114.425‡ (22.809)	116.863‡ (22.988)	115.176‡ (22.544)		125.227‡ (23.216)	127.758‡ (22.210)		126.244‡ (21.815)
mother married		71.677 (32.986)	44.670 (33.621)	34.621 (33.140)		59.945* (33.833)	81.101‡ (33.062)		68.874‡ (32.789)
Mother's age		-3.873 (2.476)	-2.241 (2.525)	-1.771 (2.501)		-2.146 (2.549)	-0.375 (2.449)		-0.315 (2.433)
Dummy = 1 if mother ever had prenatal visit		254.459* (137.545)	175.035* (116.299)	201.455 (113.637)		56.161 (127.928)	70.926 (112.359)		106.807 (111.502)
foreign-born		-21.031 (49.133)	-61.255 (48.447)	-83.718 (46.958)		-44.219 (49.331)	-65.482 (50.187)		-87.285* (48.936)
smoker		-177.385‡ (25.488)	-172.325‡ (26.182)	-167.710‡ (25.662)		-173.963‡ (26.388)	-177.863‡ (25.614)		-174.571‡ (25.195)



TABLE 9 — continued

	All Births				Full-Term Births			
	1	2	3	4	5	6	7	8
Dummy = 1 if mother high alcohol use		-57.050 (58.573)	-38.380 (58.015)	-53.090 (57.256)		-24.549 (60.306)	-37.984 (54.772)	-51.259 (53.805)
Dummy = 1 if mother's education < high school								
high school		-47.371 (30.280)	-44.355 (30.929)	-43.938 (30.286)		-50.488 (31.066)	-49.916* (29.959)	-50.626* (29.412)
some college		42.584 (32.542)	37.042 (32.462)	35.597 (31.981)		33.072 (33.029)	18.749 (31.863)	16.685 (31.424)
college		7.537 (34.368)	-5.641 (33.764)	-9.770 (32.945)		-1.718 (34.499)	10.719 (33.095)	8.202 (32.264)
Mother's height (cm)		0.992‡ (0.128)	0.943‡ (0.129)	0.744‡ (0.744)		0.936‡ (0.131)	0.850‡ (0.124)	0.670‡ (0.127)
Mother's weight gain (gm)							0.015‡ (0.002)	0.014‡ (0.002)
Mother's birth weight (gm)				0.159‡ (0.022)				0.144‡ (0.021)
Constant	3,125.260‡ (20.804)	2,604.668‡ (151.923)	2,812.499‡ (135.029)	2,326.617‡ (147.278)	3,275.256‡ (21.467)	2,906.299‡ (144.462)	532.710‡ (273.513)	95.951‡ (282.485)
R <sup>2</sup>	0.021	0.101	0.249	0.273	0.012	0.120	0.202	0.229

\* = significant at the 10-percent level. † = significant at the 5-percent level. ‡ = significant at the 1-percent level. Standard errors are in parentheses.  
Notes: There are 4,203 observations in the all births sample and 2365 observations in the full-term births sample. The samples were restricted to live, single-ton births. The dependent variable is birth weight. Coefficients are from an ordinary least squares regression. Sample weights used in all calculations.

rates? Probit regressions (not shown) imply that differences in marital status explain 16 percent of the black-white difference in prematurity rates. Marital status could proxy for social support, life-long socioeconomic status (and therefore also health), or such unobservable characteristics as the mother's health habits during pregnancy. Other observable factors have very little effect on prematurity rates.

Maternal birth weight has a statistically significant effect on the child's birth weight. An extra 100 grams in maternal birth weight increases child birth weight by 16 grams for all births and by 14 grams for full-term births. In a probit in which the dependent variable is whether the full-term child weighed less than 2,500 grams at birth, each kilogram of maternal birth weight lowers the probability of low birth weight by 0.008. In the OLS regressions racial differences in maternal birth weight explain roughly 5 percent of the difference in black-white birth weights both for all births and for full-term births. If I control for maternal birth weight prior to controlling for marital status, prematurity or gestational age, or maternal weight gain, then birth weight accounts for 8 percent of the difference in black-white birth weights. Using the Oaxaca-Blinder decomposition shows that maternal birth weight accounts for 6 percent of the black-white birth weight gap for all births using either the white or the black birth weight function. It accounts for 7 percent of the black-white birth weight gap for full-term births using the white function and 6 percent using the black function. The effects of maternal birth weight are slightly bigger than those of maternal weight gain which accounts for 4 to 6 percent of the black-white birth weight gap. Maternal birth weight was not a statistically significant predictor of prematurity.

The effect of marital status on birth weight differs by race. The coefficient on marital status in the white birth weight regression was 39.55 ( $\hat{\sigma} = 38.03$ ) for all births and 74.61 ( $\hat{\sigma} = 37.10$ ) for full-term births. In the black birth weight regressions the respective coefficients were  $-16.66$  ( $\hat{\sigma} = 43.21$ ) and  $6.94$  ( $\hat{\sigma} = 44.04$ ). Controlling for income, the coefficients on marital status in the black sample are both highly negative, though insignificant. Using the Blinder-Oaxaca decomposition and the white birth weight function implies that differences in marital status explain 5 percent of the birth weight gap for all births and 14 percent of the birth weight gap for full-term births. Using the black birth weight function implies that differences in marital status explain none of the black-white birth weight gap.

Including the logarithm of total household income (not shown) can further explain the black-white birth weight difference, but the effects are small. (Controlling for income in the prematurity regressions yields

a small and insignificant coefficient on income and only a very small reduction in the black-white birth prematurity gap.) For all births, the coefficient on income was insignificant ( $\hat{\beta} = \$19.557$ ,  $\hat{\sigma} = \$14.889$ ) and reduced the coefficient on the white dummy from 165.9 to 159.1, thus suggesting that income differences explain only up to 2 percent of the black-white birth weight difference. For full-term births, the coefficient on income was statistically significant at the 10 percent level ( $\hat{\beta} = \$22.414$ ,  $\hat{\sigma} = \$13.743$ ) and reduced the coefficient on the white dummy from 146.4 to 139.4, thus implying that income differences account for 3 percent of the black-white birth weight differential. However, there were differences in the effect of income between the white and the black sample both for all births and for full-term births. For example, for full-term births in the white sample the coefficient on the logarithm of income was a statistically insignificant 13.18 ( $\hat{\sigma} = \$15.95$ ), whereas in the black sample it was a statistically significant 60.23 ( $\hat{\sigma} = \$17.30$ ), perhaps because income matters more in a lower income sample. When I divided the black sample into high and low income samples, income was a statistically significant predictor of birth weight in the low income black sample, but not in the high income black sample. Using the Blinder-Oaxaca decomposition showed that income differentials explain 5 percent of the black-white birth weight gap for full-term births using the white function and 23 percent of the black-white birth weight gap using the black function.

The richer control variables available in the NMIHS compared to the Johns Hopkins data do not permit me to explain a greater proportion of the black-white gap in prematurity rates.<sup>59</sup> Two-thirds of the gap is still unexplained. However, because I can control for maternal weight gain and maternal birth weight in the NMIHS, I can explain up to 30 percent of the black-white difference in birth weights, leaving only 70 percent unexplained compared to 91 percent unexplained in the Johns Hopkins sample. Future research may need to focus on better measures of the mother's early life and current health status, on her current nutritional status, and on her sources of familial support. For example, among low-income pregnant women, zinc intake is lower among blacks than among whites.<sup>60</sup> Racial differences in vaginal infections may help explain racial differences in premature births.<sup>61</sup>

<sup>59</sup> As an additional test I included state fixed effects in the regressions. Using linear probability models for prematurity showed that the inclusion of state fixed effects left the coefficients virtually unchanged. Including state fixed effects in the birth weight regression lowered the black-white birth weight difference by about 20 grams.

<sup>60</sup> Neggers et al., "Determinants."

<sup>61</sup> Goldenberg et al., "Medical."

## CONCLUSION

Black babies born under the auspices of Johns Hopkins physicians at the beginning of the twentieth century had live birth weights and prematurity rates comparable to those of black babies born today, but they fared worse than white babies. Their birth weights were lower than those of white babies in part because they were more likely to be born prematurely. Black babies were more likely than white babies to be born prematurely and to be stillborn because of high rates of untreated syphilis among their mothers. Syphilis rates may have been higher among black than white mothers not just because of lack of access to treatment, but also because more black married couples were living apart. However, even controlling for gestational age, syphilis, and other observable characteristics, black full-term babies had lower birth weights than white full-term babies. Although black babies were more likely to survive their first ten days of life within the controlled environment of Johns Hopkins Hospital than white babies because black mothers were more likely than white mothers to breast-feed, this mortality advantage probably did not last.

Did the relatively low birth weights of black babies have long-term consequences? In a recent national survey maternal birth weight accounted for 6 to 7 percent of the gap in black-white birth weights in 1988, a substantial fraction compared to other observables. Although maternal birth weight may proxy for unobserved maternal socioeconomic status or health habits, it may also be an indicator of a poor in utero environment. Ill health may thus be transmitted across generations.

The live birth weights of the children of working-class parents attended by Johns Hopkins physicians in the first third of the century, both white and black, were remarkably similar to the live birth weights of children born in the late twentieth century conditional on race. So were prematurity rates. Can we conclude that the children of the urban working class were remarkably well-nourished at birth? Yes and no. Although there is no evidence of severe nutritional deprivation, birth weights in the past may have compared favorably to those today because many low-birth-weight babies who were stillborn in the past would have survived with modern obstetrical knowledge and a modern disease environment. Stillbirth rates (and also infant mortality rates) fell sharply over the twentieth century, coincident with declines in infectious disease in the first half of the twentieth century and improvements in the technology of birth in the second half of the twentieth century. Stillbirth rates fell much more sharply among black than white mothers,

suggesting that differential declines in stillbirths partially explain why the racial gap in live birth weights between black babies born under the auspices of Johns Hopkins physicians at the beginning of the century and babies observed in national samples today has remained constant. Nonetheless, the constancy in live birth weights over the twentieth century suggests that explanations for improvements in health at older ages may need to focus either on other measures of intrauterine growth retardation or on changes in the disease environment, changes that affected all age groups but that were particularly important in the first five years of life.

### *Appendix*

The Johns Hopkins sample consists of 1,911 births, including stillbirths and infant deaths. The first step in the construction of the sample was the creation of indexes of births that occurred at the Johns Hopkins Hospital or through the Johns Hopkins Outdoor Obstetrical Department between 1897 and 1935. Random samples of births were then drawn from the two indexes in proportion to the size of the two indexes. 1,472 hospital births and 990 out-door births were selected for a total sample size of 2,462 births. However, only 1,911 births were then found among the birth records because all births for the same mother at Johns Hopkins Hospital are filed together with that mother's last birth. Thirty-seven percent of births from the hospital sample could not be found and 2 percent of births from the home births sample could not be found. First-born children whose siblings were also born at Johns Hopkins Hospital have a lower probability of being found. Two hundred sibling birth records were also collected for mothers who delivered other children through Johns Hopkins Hospital, but these records were not used in the analysis.

Using sample weights that account for the over-representation of home births in the Johns Hopkins sample does not materially change the results. Mean birth weights are lower by only 20 to 30 grams. Table 1 presented both the unweighted and weighted results. The other tables are all unweighted.

The 1988 National Maternal and Infant Health Survey consists of separate random samples of national live births, fetal deaths, and infant deaths linked to questionnaires mailed to mothers. The response rate on questionnaires was 74 percent for live births, 69 percent for fetal deaths, and 65 percent for infant deaths. Because fetal and infant deaths are over-sampled, sample weights must be used to obtain national estimates.

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