Synthesis of LiCoO$_2$ by Decomposition and Intercalation of Hydroxides

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ABSTRACT

A low-temperature, solid-state process for the synthesis of high-quality intercalation oxides has been developed. Finely divided cobalt hydroxide–lithium hydroxide mixtures, prepared by precipitation and freeze-drying, transform directly to highly ordered LiCoO$_2$ of the α-NaFeO$_2$ structure type (high-temperature LiCoO$_2$) upon firing in air at temperatures of 200–300 °C. The mechanism of transformation has been determined using transmission electron microscopy and X-ray diffraction. Crystallites of Co(OH)$_2$ decompose and intercalate with Li to form LiCoO$_2$ without changing particle shape, orientation, or crystallinity. The absence of a CoOOH intermediate phase indicates that the intercalation step is rapid compared to the Co(OH)$_2$ → CoOOH decomposition. Although the product obtained at temperatures as low as 100 °C is electrochemically active, firing at higher temperatures further improves capacity and cyclability.

Introduction

Intercalation compounds of the layered rocksalt structure such as LiCoO$_2$ and LiNiO$_2$, and spinels such as LiMn$_2$O$_4$, are widely studied and used as electrodes in advanced lithium batteries.$^{1,4}$ The composition, structure, and cation ordering of these compounds determine the intercalation voltage$^{5,6}$ and theoretical charge capacity, whereas extrinsic factors such as particle size and microstructure have important influence on characteristics such as cycle life and power capability. A wide variety of methods has been employed in the synthesis of intercalation oxides.$^{7-12}$ Reactions using lithium carbonate or lithium hydroxide and various transition metal salts are the most widely practiced. A typical “solid-state reaction” process involves firing the metal salt mixtures at 900–1000 °C for 24 h, sometimes with intermediate grindings, in order to achieve homogeneity. When Li$_2$CO$_3$, is used, the reaction may involve molten carbonate because the firing temperatures lie above its melting point (723 °C). In the most widely studied compound, LiCoO$_2$, high-temperature firing for many hours is necessary to obtain the α-NaFeO$_2$ cation ordering (so-called HT LiCoO$_2$)$^{13,14}$ when starting with physically mixed metal salts. Lower temperature firing has been observed to result in the “low-temperature (LT LiCoO$_2$)” structure, which is a partially disordered rocksalt solid solution with inferior electrochemical performance.$^{11,12}$

The fact that lithium intercalation oxides exhibit high mobility at room temperature for not only Li$^+$ but also H$^+$ suggests that the intercalation of hydroxides can be a synthesis approach. Amatucci et al. have used a hydrothermal method to synthesize LiCoO$_2$ and LiNiO$_2$ from CoOOH and NiOOH precursors, recognizing that the oxyhydroxide is isomorphous with the oxide, differing primarily in the substitution of H$^+$ ions for Li$^+$. They first decomposed Co(OH)$_2$ to CoOOH and then equilibrated the oxyhydroxide with highly basic lithium hydroxide solution in an autoclave to promote liquid-phase ion exchange between H$^+$ and Li$^+$, thereby obtaining well-ordered HT LiCoO$_2$.

Larcher et al.$^{15}$ have studied the hydrothermal synthesis in additional detail. We reasoned that because these transition-metal hydroxides, as well as lithium hydroxide, are unstable with respect to their oxides at modest temperatures in gaseous ambients of low water activity, hydrothermal synthesis should not be necessary to effect the same kind of Li$^+$–H$^+$ ion exchange. If the hydroxide could be decomposed in the presence of a lithium source, then the layered rocksalt structure might form directly through Li$^+$ intercalation. Crystallization of the Li$^+$ phase or other intermediate phases (e.g., CoOOH$^{16}$) might thereby be avoided. Compared to other solid-state precursors, hydroxide precursors yield only water as the decomposition product, giving a much “cleaner” decomposition than is possible with metallic precursors such as acetates$^{17}$ or citrates (the Pechini process).$^{8}$ The latter process in particular produces large amounts of volatile organics upon pyrolysis.

The results in this paper show that homogeneous dispersed hydroxide precursors can be decomposed and intercalated in the solid state at temperatures as low as 100 °C, with highly ordered LiCoO$_2$ being obtained by 200–300 °C. Transmission electron microscopy (TEM) and X-ray diffraction (XRD) have clarified the mechanism by which this occurs.

Precipitation and Freeze-Drying

In order to obtain a homogenous and finely dispersed mixture of the hydroxides, we freeze-dried a suspension of Co(OH)$_2$ in aqueous lithium hydroxide solution. A suspension was necessary because all well-known Li salts are soluble in water and cannot be precipitated in the same pH range at which Co(OH)$_2$ is insoluble.$^{18}$ Co(OH)$_2$ was precipitated by adding a 0.1 M solution of Co(NO$_3$)$_2$ (Johnson Matthey Catalog Company, 99.5%) in deionized water to a stirred aqueous solution of LiOH·H$_2$O in deionized water continuously monitored and titrated to maintain the pH at 11, near the minimum solubility point for Co(OH)$_2$. After precipitation the suspension was equilibrated at room temperature without stirring for 12 h and then settled by centrifugation. A critical step was the removal of nitrate ions.

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from the suspension, which otherwise form low-melting nitrate compounds upon drying. Upon subsequent firing, melting of these salts can cause compositional segregation. The supernatant liquid from the precipitation was first decanted, and the Co(OH)₂ ultrasonically dispersed in a buffer solution of LiOH·H₂O in water at pH 11. The precipitate was settled by centrifugation and the supernatant again decanted. For complete rinsing, this cycle of dispersion in buffer solution, settling by centrifugation, and decanting was conducted a total of five times. Because the precipitation and rinsing are conducted at room temperature, the amount of Li⁺—H⁺ exchange between the hydroxide and the LiOH solution is likely insignificant. This is clear upon comparing the present synthesis conditions with the temperatures and pressures necessary to achieve ion exchange in hydrothermal experiments.

To obtain a highly homogeneous physical mixture of LiOH and Co(OH)₂, the rinsed precipitate was dispersed a final time in an aqueous solution containing dissolved LiOH·H₂O at a concentration sufficient to yield an overall composition with a 1:1 Li:Co ratio, assuming there was no prior Li ion exchange with the hydroxide during the synthesis. This suspension was then atomized into liquid nitrogen and the frozen droplets freeze-dried (Consol 12LL, The Virtis Company, Gardiner, NY) to obtain a uniform and fine dispersion of crystalline Co(OH)₂ and LiOH (partially hydrated). Figure 1 shows the microstructure of this precursor. Hexagonal platelets of Co(OH)₂ are observed of 0.2–0.5 μm diam. The thickness was typically 1/10 the platelet width. The freeze-dried lithium hydroxide formed a lacy solid surrounding each platelet and is amorphous by XRD.

To compare the decomposition characteristics of this carefully prepared, highly homogeneous precursor with a simpler preparation of the same components, limited experiments were also conducted using commercially available Co(OH)₂ and LiOH·H₂O, which were mixed by ball-milling in a polypropylene container using alumina milling media and then calcined in air.

### Decomposition and Intercalation

Figure 2 shows XRD scans of the powder for isochronal firings (2 h) in air at temperatures ranging from 100 to 600 °C. The precursor contains Co(OH)₂ as the predominant crystalline phase, with minor amounts of Li₂CO₃ and possibly some CoOOH/LiCoO₂. The trace Li₂CO₃ results from exposure to residual CO₂ in the air; conducting the synthesis in CO₂-free ambient reduced the amount of this phase to below X-ray detection limits. The LiOH phase was not detectable in the XRD pattern but was determined by TEM to be amorphous. Upon firing at 100 °C for 2 h the strongest lines for Co(OH)₂ ([100], [101], and [102]) are greatly diminished, whereas those for LiCoO₂ appear. With increasing firing temperature, the residual Li₂CO₃ disappears and increasingly sharper lines appear with position and relative intensities indicating single-phase well-crystallized HT LiCoO₂. Gumnow et al.² have discussed the structural distinctions between LT LiCoO₂ and HT LiCoO₂. In powder XRD, HT LiCoO₂ is distinguished by the high intensity of the (003) line and clear splitting between the (006)/(012) and (100)/(110) lines. In Fig. 2, all these features are clearly evident in samples fired at 200 °C and above. Some peak broadening does remain up to 500 °C. CoOOH and LiCoO₂ are distinguishable from one another by the relative intensities of the (101)/(012) and (104)/(015) lines. In Fig. 2, where the peak positions for CoOOH are labeled, the relative intensities of these lines indicate that it is LiCoO₂ and not CoOOH which is the predominant phase, although some solid solution of the two cannot be ruled out at the lowest calcining temperatures (100 and 200 °C).

TEM observations of the powders at various stages of calcining (corresponding to the XRD results in Fig. 2) are shown in Fig. 3 and 4. Selected-area electron diffraction (Fig. 3a) showed that each hexagonal platelet of Co(OH)₂ (space group P₃₃₁, a₀ = 0.3183 nm, c₀ = 0.4652 nm) in the precursor is a single crystal, with the platelet face being normal to (001) and the plate edges normal to [100]. This single-crystallite morphology was preserved throughout the transformation to LiCoO₂. After calcining at 100 °C for 2 h (Fig. 3b), the platelet remains single crystalline, while XRD (Fig. 2) shows that Co(OH)₂ has largely transformed to LiCoO₂. Upon firing at 300 °C, all the lithium salt disappeared from the TEM image (Fig. 3c). The same is observed in samples fired at 400 and 500 °C (Fig. 3d and e), indicating that only the LiCoO₂ phase is present. Notice that each particle remains throughout an oriented single crystal, the platelet normal now being [0001] of the LiCoO₂ structure (space group R₃c, a₀ = 0.2816 nm, c₀ = 0.461 nm in the hexagonal setting). No evidence for nucleation of new LiCoO₂ phase particles is seen in any of the samples. These results show that Co(OH)₂ transforms to LiCoO₂ by Li⁺ intercalation, which for charge neutrality must be accompanied by H⁺ diffusion outward.

Figure 3a–e also shows a striking mosaic submicrostructure appearing within each particle during the transformation to LiCoO₂. This may result from local stresses forming upon substituting Li⁺ for H⁺. The submicrostructure, initially of nanometer scale, coarsens systematically with increasing temperature. Throughout, electron diffraction

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**Fig. 1.** Precipitated and freeze-dried mixture of cobalt hydroxide and lithium hydroxide, showing hexagonal plate morphology of the former, finely dispersed with the latter.
shows that each particle remains a single crystal. The sharpness of the reflections indicate that the mosaic submicrostructure giving diffraction contrast in the bright-field image results primarily from tilts of the subgrains rather than from significant rotational misorientations. With increasing firing temperature, the size of the subgrains increases systematically. The platelet shape of the particles is retained until about 600 °C, (Fig. 4), when the particles begin to spheroidize and the mosaic submicrostructure largely disappears. After firing at 800 °C for 2 h, individual grains are equiaxed and have an average diameter of ~0.3 μm, but the grains are sintered into larger aggregates (Fig. 5).

The specific surface areas of the powders, as measured by the Brunauer–Emmett–Teller (BET) method, remains relatively constant until firing temperatures at which particle coarsening is also seen by TEM are reached. As shown in Fig. 6, the specific surface area is 22–24 m²/g for the precursor and for samples fired below 400 °C but decreases sharply with increasing firing temperature above that temperature. If it is assumed that the particles have the bulk density of LiCoO₂ (5.08 g/cm³), the measured specific surface areas are consistent with dense particles of the average platelet size and aspect ratio observed by TEM. That is, the mosaic domains of the platelets are a submicrostructure of a dense particle, rather than being free-standing nanoparticles.

CoOOH (space group R₃m, a₀ = 0.2855 nm, c₀ = 1.3198 nm) is conceptually a likely intermediate in the
between their experiments and ours can be traced to the fact that they did not remove nitrate species from the precipitated material; they instead dried the precipitate and the solution together. Thus, upon firing, they report decomposition of ammonium nitrate at 212 °C followed by melting of LiNO₃ at 364 °C, leaving Co₃O₄ as the Co-rich phase. At 400 °C, Li₂LiCoO₂ subsequently crystallizes. In the present process, removal of the nitrate ions from the precipitated Co(OH)₂ suspension allows the hydroxide crystallites to be preserved and to act as templates from which HT LiCoO₂ crystallizes.

**Electrochemical testing.**—The powders prepared by the new precipitation/freeze-drying method were electrochemically tested. Composite cathodes were fabricated in which the oxide powder was combined with graphite and poly(vinylidene) difluoride (PVDF) as a binder and tested in a coin cell against Li metal anodes using 1 M LiPF₆ in ethylene carbonate–diethylene carbonate as the electrolyte. The first charge/discharge cycle for powders calcined at 100, 300, 600, and 800 °C, using a current density of 0.4 mA/cm² and voltage limits of 4.25–2.5 V, is shown in Fig. 8. Although the charging curves for all four powders are similar, the first-discharge capacity increased significantly as the firing temperature increased, being 91, 106, 116, and 140 mAh/g for the four respective calcining temperatures. Cycling tests were also conducted on some samples over a range of current densities. The charge and discharge capacities for three samples, cycled between 2.5 and 4.25 V, are plotted vs against cycle number in Fig. 9. The sample calcined at 100 °C shows rapid capacity fade at a current density of 0.4 mA/cm². However, the samples calcined at 600 and 800 °C showed good resistance to capacity fade, both at 0.4 mA/cm² (not shown) and at higher current densities of 1.2 and 0.8 mA/cm², respectively, shown in Fig. 9. More detailed electrochemical tests of these materials will be reported elsewhere.

Comparing the samples fired at 100 and 300 °C, the latter has much improved capacity despite a similar BET surface area (Fig. 6), which may be related to more complete decomposition and intercalation. For the samples fired at higher temperatures, we continue to see a trend of increasing capacity with decreasing specific surface area, as has also been reported by others. The differences may not be intrinsic to the powders. TEM (Fig. 3–5) and XRD (Fig. 2) shows that the powders fired at or above 300 °C are well-crystallized as the α-NaFeO₂ structure. Our tests, like most, use a three-phase composite cathode flooded with electrolyte in which the electrolyte provides ionic conductivity and the carbon phase provides electronic conductivity. Since the powder with the highest capacity and best cycle life (fired at 800 °C) is also the most aggregated (Fig. 5), it may be that the coarser powder aggregates simply allow a
Fig. 9. Specific capacity vs cycle number for selected powders cycled between 2.5 and 4.25 V tested at the indicated current densities.

greater fraction of the powder to be electrochemically accessed. The powders calcined at lower temperature may have equivalent intrinsic performance but are not fully used in the present composite structures. Further study is necessary to confirm whether this is the case.

Conclusions

A synthesis method using finely divided Co(OH)₂ and LiOH precursors is shown to yield LiCoO₂ of well-ordered α-NaFeO₂ structure upon firing in air at temperatures as low as 200–300 °C. TEM and XRD observations show that the Co(OH)₂ precursor decomposes and intercalates Li to form LiCoO₂ while retaining the crystal structure and particle morphology of the parent hydroxide. Firing of simple physical mixtures of the hydroxides also results in synthesis of well-ordered LiCoO₂ at reduced temperatures and times compared to conventional solid-state reactions. This mechanism allows the low-temperature synthesis of LiCoO₂ with excellent electrochemical performance from convenient solid-state hydroxide precursors and may be useful for other intercalation oxides as well.

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