Building a Culture of Compliance. Together.

DLCs: Meghan Devaney (Chemistry), Magdalena Rieb (SHASS), Kariuki Thande (Physics) | VPF: Deborah Leitch, Basil Stewart | IS&T: Scott Thorne, Mary Weisse

VPF
Policy Setting

DLC
Policy in Action

IS&T
Tool Creation

Sandbox
“Playing” together to develop innovative solutions which focus on creating processes that ensure compliance with policy

Sandbox in Action: Financial Review and Control (FRC)

Pain Points
- Time Consuming
- Paper Intensive
- Inconsistent Processes
- Misguided Focus: “WHAT”

Solution
- Automated, Risk-Based Approach
- Electronic Sign-Off Sheets
- Enabling Transparent, Standardized Administrative Processes
- Focus on “WHY”

Top 3 Cognos Financial Reports*

<table>
<thead>
<tr>
<th>Report</th>
<th># Times Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>Balances for Current Month</td>
<td>3,205</td>
</tr>
<tr>
<td>DTR</td>
<td>3,325</td>
</tr>
<tr>
<td>FRC Monthly Review Report</td>
<td>9,609</td>
</tr>
</tbody>
</table>

*FRC is 2nd most used of all Institute Cognos reports