Phosphorylation of $I\kappa B$ - α Inhibits Its Cleavage by Caspase CPP32 in Vitro*

(Received for publication, May 29, 1997, and in revised form, September 12, 1997)

Margaret Barkett‡\$, Ding Xue¶||, H. Robert Horvitz¶**, and Thomas D. Gilmore‡ ‡‡

From the ‡Department of Biology, Boston University, Boston, Massachusetts 02215 and the ¶Howard Hughes Medical Institute, Department of Biology, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139

IkB proteins function as direct regulators of Rel/ NF-κB transcription complexes. We show that the celldeath protease CPP32 (caspase-3) in vitro specifically cleaved chicken and human $I\kappa B$ - α at a conserved Asp-Ser sequence. This cleavage site appears to be identical to the site at which chicken $I\kappa B-\alpha$ is cleaved in vivo in temperature-sensitive v-Rel-transformed chicken spleen cells undergoing apoptosis. Other caspases, namely interleukin-1 β converting enzyme (caspase-1) and Ich-1 (caspase-2), did not cleave IκB-α. CPP32 also cleaved mammalian IκB-β in vitro at the analogous Asp-Ser sequence. Cleavage of $I\kappa B-\alpha$ by CPP32 was blocked by serine phosphorylation of $I\kappa B-\alpha$. Cleavage of $I\kappa B-\alpha$ by a CPP32-like protease could generate a constitutive inhibitor of Rel transcription complexes. This report provides evidence for a direct biochemical interaction between the NF-kB signaling pathway and a celldeath protease signaling pathway.

Apoptosis is a form of regulated programmed cell death that is involved in normal development and organ homeostasis (reviewed in Ref. 1). One cellular pathway leading to apoptosis involves a family of related cysteine proteases (caspases) first defined by the *Caenorhabditis elegans* cell-death protease CED-3 and the interleukin-1 β -converting enzyme (ICE)¹ (reviewed in Refs. 2 and 3). Although all caspases cleave C-terminal to Asp residues, individual proteases show distinct substrate specificities *in vitro* and can be divided into subfamilies based on substrate preference (3, 4). The caspase CPP32 shows a substrate specificity similar to that of CED-3, and

*This work was supported by National Cancer Institute Grant CA47763 (to T. D. G.), the Council for Tobacco Research, Inc. (to T. D. G.), National Institutes of Health Shared Instrumentation Grant RR11397 (to T. D. G.), and the Howard Hughes Medical Institute (to H. R. H.). The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

§ Partially supported by National Institutes of Health Molecular Biophysics Training Grant GM08291.

|| Supported by Fellowships from the Anna Fuller Fund and the Helen Hay Whitney Foundation.

** Investigator of the Howard Hughes Medical Institute.

‡‡ Partially supported by an American Cancer Society Faculty Research Award. To whom correspondence should be addressed: Boston University, Biology Dept., 5 Cummington St., Boston, MA 02215–2406. Tel.: 617-353-5444; Fax: 617-353-6340; E-mail: gilmore@bio.bu.edu.

¹ The abbreviations used are: ICE, interleukin-1β-converting enzyme; aa, amino acid(s); PAGE, polyacrylamide gel electrophoresis.

CPP32 appears to be an important cell-death protease in vertebrates. For example, mice with a disruption of the gene encoding CPP32 have reduced neural cell death (5). It is likely that in many cell types specific cell-death proteases are activated in a sequential manner to lead to cell death (6). Thus, one class of *in vivo* substrates for the cell-death proteases includes the caspases themselves. In addition, several other proteins have been identified as substrates for caspases (reviewed in Ref. 3).

The IkB proteins comprise a conserved family of proteins that act as regulators of the Rel/NF-kB family of transcription factors (reviewed in Ref. 7). IkB proteins are structurally related in that they all have a central core of ankyrin repeats that are essential for interaction with Rel complexes. Interaction of an IkB protein with a Rel complex usually results in retention of the Rel complex in the cytoplasm and inhibition of the DNA binding activity of the Rel complex.

In the best characterized case, $I\kappa B-\alpha$ interacts with and inhibits the activity of NF- κB . In response to a variety of signals, $I\kappa B-\alpha$ becomes phosphorylated on two Ser residues in its N-terminal regulatory domain (8–10). This N-terminal phosphorylation leads to ubiquitination of $I\kappa B-\alpha$ at nearby Lys residues, thereby targeting $I\kappa B-\alpha$ for cleavage by the proteasome (11–13). The free NF- κB complex can then enter the nucleus and affect gene transcription.

We have previously shown that $I\kappa B-\alpha$ undergoes a specific N-terminal cleavage in chicken spleen cells transformed by a temperature-sensitive mutant of the retroviral oncoprotein v-Rel when these cells are induced to undergo apoptosis by a shift to the nonpermissive temperature (14). This observation led us to suggest that $I\kappa B-\alpha$ might be a direct substrate for a cell-death protease, which could cleave $I\kappa B-\alpha$ at a conserved Asp near the N terminus (Fig. 1A; Ref. 15).

In this report, we show that $I\kappa B-\alpha$ is a substrate for CPP32 in vitro. Cleavage of $I\kappa B-\alpha$ by CPP32 could create a dominant inhibitor of Rel transcription complexes.

EXPERIMENTAL PROCEDURES

 $\label{eq:cells-continuous} \begin{tabular}{ll} \it Cells-Chicken spleen cell lines transformed by ts mutant v-G37E \\ \it were cultured in Temin's modified Eagle's medium containing 20% fetal bovine serum as described previously (14). \\ \end{tabular}$

Plasmids and in Vitro Mutagenesis—Site-directed mutagenesis of p40 was performed using the method of Kunkel (16), as described previously (17). An EcoRI to HincII fragment from an IκB-α/p40 cDNA was first subcloned into M13mp19. The following oligonucleotides were used on single-stranded DNA templates to create the indicated IκΒ-α/p40 mutants: D35A, 5'-GACGACCGCCACGCCAGCGCAGCGGGCTGGAC-3'; D39A, 5'-GACCGCCACGACGCCGGGCTGGCCTCCATG-3'; S36A-/S40A, 5'-CGCCACGACGCCGGGCTGGACGCCATGAAG-3'; S36-E/S40E, 5'-GACCGCACGACGAGAGGGCTGGACGAACTGAAG-3'. The D35A mutation introduced a BstXI site that was used in screening for other mutations. All mutations were confirmed by DNA sequencing.

To create $in\ vitro$ expression vectors for $I\kappa B$ - $\alpha/p40$, human $I\kappa B$ - α , and mouse $I\kappa B$ - β , cDNAs were subcloned into pGEM4. An EcoRI to HincII fragment containing wild-type p40 sequences was subcloned into pGEM4 digested with EcoRI and HincII; expression vectors for mutant $I\kappa B$ - $\alpha/p40$ proteins were made by replacing wild-type sequences with appropriate mutant fragments. Wild-type and D31A human $I\kappa B$ - α inserts were subcloned as KpnI to NotI/Klenow-treated fragments into pGEM4 digested with KpnI and HincII. An EcoRI to XhoI fragment from a mouse $I\kappa B$ - β cDNA was subcloned into pGEM4 digested with EcoRI and SaII

In Vitro Cleavage by Caspases—All in vitro translations were performed in the TNT-coupled wheat germ extract (Promega) using SP6 polymerase in the presence of Tran 36 S-label (Amersham Corp.). Cleav-

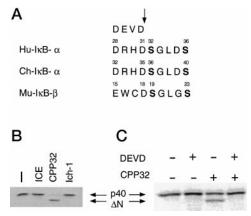


Fig. 1. Cleavage of chicken IkB- α (p40) by CPP32. A, shown is the conserved region of signal-induced serine (bold S) phosphorylation in the indicated IkB proteins (Hu, human; Ch, chicken; Mu, murine); relevant amino acid residues are indicated above each sequence. DEVD is the sequence of a potent inhibitor of CPP32, and the predicted cleavage site C-terminal to the aspartate residue is indicated by the arrow. B, specific cleavage of p40 by CPP32. In vitro translated, radiolabeled p40 was incubated without (–) or with (+) the indicated proteases. C, p40 was incubated without (–) or with (+) CPP32 and in the absence (–) or presence (+) of the tetrapeptide inhibitor Ac-Asp-Glu-Val-Asp-aldehyde (DEVD). In B and C, samples were analyzed by SDS-PAGE followed by autoradiography and phosphorimaging, respectively. The positions of full-length p40 and cleaved p40 (ΔN) are indicated by arrows.

age of $in\ vitro$ translated proteins by individual caspases was performed as described previously (4). Briefly, $in\ vitro$ translated substrate proteins were incubated in CED-3 buffer (50 mm Tris-HCl, pH 8.0, 0.5 mm EDTA, 0.5 mm sucrose, 5% glycerol) with approximately 40 ng of a given bacterially produced and purified enzyme for 2–6 h at 30 or 37°C. Where indicated, the CPP32 inhibitor Ac-Asp-Glu-Val-Asp-aldehyde (DEVD; Bachem) was included at a concentration of 50 μ m. Phosphorylation of $in\ vitro$ translated p40 was carried out with purified IkB kinase as described previously (18). Phosphorylated p40 was dephosphorylated by treatment with calf intestinal phosphatase (Boehringer Mannheim) for 45 min at 30°C. Samples were separated on SDS-PAGE, and 35 S-labeled proteins were detected by autoradiography or using a phosphorimager (Bio-Rad).

Western Blotting—Western blotting was performed as described previously (14) using anti-Rel primary antiserum (1:500) (19) or an anti-p40 monoclonal antibody (anti-ANK; HY95) (1:2500) (14). The appropriate secondary antiserum was added, and complexes were detected by enhanced chemiluminescence (Amersham) and autoradiography.

RESULTS

Chicken I κ B- α (p40) Is a Substrate for CPP32 in Vitro—To determine whether chicken I κ B- α (called p40 hereafter) could serve as a direct substrate of a caspase, in vitro translated p40 was incubated with bacterially expressed and purified ICE, CPP32, and Ich-1, which represent apparently distinct classes of enzymes within the caspase family (2, 4). p40 was specifically cleaved by CPP32, but not by ICE or Ich-1 (Fig. 1B). Cleavage of p40 in vitro by CPP32 was inhibited by Ac-Asp-Glu-Val-Asp-aldehyde (DEVD), a specific peptide inhibitor of CPP32-like proteases (Fig. 1, A and C). Thus, p40 is a substrate of CPP32 in vitro.

CPP32 Cleaves p40 between Asp-35 and Ser-36—CPP32-like proteases cleave C-terminal to Asp residues that are frequently followed by Gly, Ser, or Ala and that are often in the consensus sequence Asp-X-X-Asp-Gly/Ser/Ala (3). There is a potential CPP32 cleavage site (Asp-Arg-His-Asp-Ser-Gly-Leu-Asp-Ser, aa 32–40; Fig. 1A) between aa 35 and 36 of p40. To determine if this was the site of CPP32 cleavage in p40, mutants with site-directed changes in p40 were incubated with CPP32 (Fig. 2, A and B). Mutant D35A, in which the predicted Asp cleavage site was changed to an Ala, was not detectably cleaved by CPP32. In contrast p40 mutant D39A was cleaved by CPP32 to

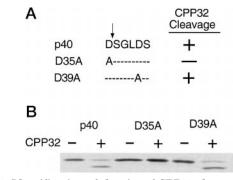


Fig. 2. Identification of the site of CPP32 cleavage in p40. A, sequences of site-directed mutants in p40. B, the indicated p40 proteins were translated *in vitro* and incubated without (-) and with (+) CPP32. Samples were separated by SDS-PAGE, and proteins were detected by autoradiography.

a similar extent as wild-type p40. The cleaved form of p40 is not recognized by a monoclonal antibody directed against the N terminus of p40, indicating that CPP32 cleaved near the N terminus of p40 *in vitro* (data not shown; Ref. 14). These results indicate that CPP32 cleaved p40 between Asp-35 and Ser-36.

In Vitro Cleaved p40 Co-migrates on SDS-Polyacrylamide Gels with in Vivo Cleaved p40—We previously showed that p40 is cleaved near its N terminus in chicken spleen cells transformed by ts v-Rel mutant v-G37E when these cells are induced to undergo apoptosis by a shift to the nonpermissive temperature (14, 15). As shown in Fig. 3, A and B, the proteolyzed form of p40 generated by in vitro cleavage with CPP32 co-migrates on SDS-polyacrylamide gels with the major N-terminally truncated form of p40 seen in ts v-Rel-transformed cells undergoing apoptosis. This result suggests that the N-terminal cleavage of p40 in vitro by CPP32 is identical to the cleavage of p40 that occurs in ts v-G37E-transformed chicken spleen cells undergoing apoptosis.

Phosphorylation at Sites of Signal-induced Phosphorylation Blocks the Ability of Chicken p40 to Serve as a Substrate for CPP32—Ser-36 and Ser-40, which are located just beyond Asp-35 (the site of CPP32 cleavage) in p40, are sites of signalinduced phosphorylation (8-10). Phosphorylation at these Ser residues can be mimicked by Glu substitutions at these sites (8), and $I\kappa B-\alpha$ can be phosphorylated in vitro at these Ser residues by a purified MEKK1-activated kinase from HeLa cells (18). To determine whether phosphorylation at these Ser residues affects the ability of p40 to serve as a substrate for CPP32, we tested whether p40 double mutant S36E/S40E as well as in vitro phosphorylated p40 could be cleaved by CPP32 in vitro (Fig. 4). Neither the S36E/S40E mutant nor wild-type p40 phosphorylated at Ser-36 and Ser-40 in vitro was cleaved by CPP32. In contrast, p40 mutant S36A/S40A (Ser \rightarrow Ala) was cleaved by CPP32 to the same extent as wild-type nonphosphorylated p40. Treatment of phosphorylated p40 with calf intestinal phosphatase rendered p40 susceptible to cleavage by CPP32. Taken together, these results suggest that signal-induced phosphorylation of $I_{\kappa}B$ - α in vivo would block its ability to be cleaved by a CPP32-like protease(s).

Other Vertebrate IkB Proteins Can Also Be Cleaved by CPP32—The Asp-Ser sequence at aa 35–36 of chicken IkB- α /p40 is conserved in mammalian IkB- α and IkB- β (aa 31–32 in human IkB- α and aa 19–20 in mouse IkB- β ; Fig. 1A). To determine whether these mammalian IkB proteins could also serve as substrates for CPP32, in vitro translated human IkB- α and mouse IkB- β were incubated with CPP32 (Fig. 5). Each mammalian IkB protein was cleaved by CPP32 to a size consistent with cleavage at this Asp-Ser sequence. Furthermore, human IkB- α mutant D31A, containing a mutation at the pre-

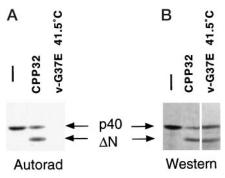
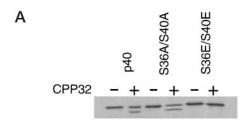


Fig. 3. p40 cleaved with CPP32 in vitro co-migrates with p40 cleaved in ts v-Rel-transformed cells undergoing apoptosis. In vitro translated, radiolabeled p40 (first two lanes) was incubated without (-) or with (+) CPP32. The third lane of this gel contains an unlabeled lysate from ts v-G37E-transformed spleen cells that had been shifted to the nonpermissive temperature for 48 h. Samples were transferred to a filter that was exposed to film directly (A) or probed by Western blotting with an anti-p40 monoclonal antibody (B). To equalize the images, the *first two lanes* in B are from a longer exposure than in the third lane. p40 indicates full-length p40; ΔN indicates the N-terminally cleaved form of p40.



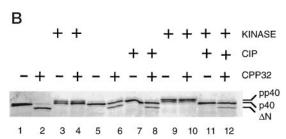


Fig. 4. Phosphorylated p40 is not a substrate for CPP32. A, wild-type p40 and the indicated p40 mutants were incubated without (-) or with (+) CPP32. B, p40 was translated in vitro and, where indicated, treated with MEKK1-activated IkB- α kinase (lanes 3, 4, and 9-12) and/or calf intestinal phosphatase (lanes 7, 8, 11, and 12). The phosphorylated form of p40 (pp40) migrates as a doublet with a slower mobility than nonphosphorylated p40. As indicated, samples were then incubated without (-) or with (+) CPP32. All samples were analyzed as described for Fig. 1.

dicted Asp cleavage site, was not cleaved by CPP32. Thus, mammalian IκB proteins can also be cleaved by CPP32 in vitro.

DISCUSSION

In this report, we describe biochemical evidence for a link between an apoptosis pathway and the Rel/NF-κB signal transduction pathway. Specifically, we have shown that $I \kappa B$ proteins can serve in vitro as direct substrates for the cell-death protease CPP32. In addition, the chicken p40 cleavage product generated by in vitro cleavage with CPP32 appears to be identical to that generated in vivo in temperature-sensitive v-Rel-transformed cells undergoing apoptosis (14, 15). CPP32 is expressed in these cells,² indicating that CPP32 may be the in vivo cleaving activity; however, we cannot exclude the possibility that a related protease cleaves p40 in these cells.



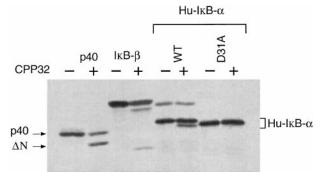


Fig. 5. Cleavage of mammalian IkB proteins by CPP32. The indicated radiolabeled proteins were incubated without (-) or with (+) CPP32, and samples were analyzed as described for Fig. 1.

Three observations indicate that cleavage of p40 is not essential for the apoptosis that occurs in ts v-Rel-transformed cells shifted to the nonpermissive temperature. First, cleavage of p40 is a late event in ts v-Rel-transformed spleen cells undergoing apoptosis (14). Second, Bcl-2 blocks apoptosis in these cells but does not block cleavage of p40 (15). Third, CrmA (a cowpox virus-encoded inhibitor of caspases) blocks N-terminal cleavage of p40 in these cells but does not block apoptosis (15).

Our results indicate that cleavage of $I_{\kappa}B-\alpha$ by a CPP32-like protease is distinct from signal-induced, proteasome-mediated cleavage of $I\kappa B-\alpha$. Namely, signal-induced cleavage of $I\kappa B-\alpha$ requires phosphorylation at two N-terminal Ser residues, whereas phosphorylation or mutations that mimic phosphorylation at these Ser residues block the ability of CPP32 to cleave IκB- α . Therefore, we appear to have identified a novel pathway for proteolysis of $I\kappa B-\alpha$.

Overexpression of an N-terminally deleted form of $I\kappa B-\alpha$, which is resistant to ubiquitination-based degradation, prevents activation of NF- κ B (20). Therefore, cleavage of $I\kappa$ B- α by a CPP32-like protease could create what is sometimes called a super-repressor form of $I\kappa B-\alpha$ (20). That is, cleavage by CPP32 would block the ability of $I\kappa B-\alpha$ to undergo signal-induced degradation by removing the sites of signal-induced ubiquitination and by likely disrupting the ability of $I\kappa B-\alpha$ to become phosphorylated at critical Ser residues. Therefore, cleavage of IκB-α by CPP32 would block activation of Rel/NF-κB complexes and their responsive genes.

Activation of the tumor necrosis factor receptor has recently been shown to induce two conflicting pathways, one leading to CPP32-mediated apoptosis and one leading to activation of NF-κB, which is anti-apoptotic (20–23). Inhibition of NF-κB activation by a noninducible form of $I\kappa B-\alpha$ renders cells more susceptible to tumor necrosis factor-induced apoptosis (20, 21, 23). Therefore, cleavage of $I\kappa B-\alpha$ by a CPP32-like protease may act to facilitate, rather than effect, apoptosis.

Acknowledgments—We thank G. Pitoc for excellent technical assistance, Drs. D. White and S. Shaham for helpful discussions, K. Lee for help with plasmid constructions, Drs. T. Maniatis and F. Lee for generously providing in vitro phosphorylated p40, Drs. D. Baltimore and X. Yang for IκB- β , Dr. M. Karin for human IκB- α and mutant D31A, Drs. C. Li and J. Celenza for help with figures, and Dr. J.-C. Epinat for help with purification of CPP32.

REFERENCES

- 1. Jacobson, M. D., Weil, M., and Raff, M. (1997) Cell 88, 347–354
- Sacouson, M. D., Wein, M., and Iran, M. (1997) Cerr. Biol. 6, 555-562
 Chinnaiyan, A., and Dixit, V. (1996) Curr. Biol. 6, 555-562
 Nicholson, D. W., and Thornberry, N. A. (1997) Trends Biochem. Sci. 22,
- Xue, D., Shaham, S., and Horvitz, H. R. (1996) Genes Dev. 10, 1073-1083
- Kuida, K., Zheng, T. S., Na, S., Kuan, C., Yang, D., Karauyama, H., Rakic, P., and Flavell, R. A. (1996) Nature 384, 368–372
- 6. Enari, M., Talanian, R. V., Wong, W. W., and Nagata, S. (1996) Nature 380,

723 - 726

- Gilmore, T. D., and Morin, P. J. (1993) Trends Genet. 9, 427–433
 Brockman, J. A., Sherer, D. C., McKinnsey, T. A. Hall, S. A., Qi, X., Lee, W. H., and Ballard, D. W. (1995) Mol. Cell. Biol. 15, 2809–2818
- 9. Brown, K., Gerstburger, S., Carlson, L., Franzoso, G., and Siebenlist, U. (1995) Science **267**, 1485–1488
- Traenckner, E. B.-M., Pahl, H. L., Henkel, T., Schmidt, K. N., Wilk, S., and Baeuerle, P. A. (1995) EMBO J. 14, 2876–2883
 Chen, Z., Hagler, J., Palombella, V. J., Melandri, F., Scherer, D., Ballard, D., and Maniatis, T. (1995) Genes Dev. 9, 1586–1597
 Baldi, L., Brown, K., Franzoso, G., and Siebenlist, U. (1996) J. Biol. Chem. 271, 272

- 13. Rodriguez, M. S., Wright, J., Thompson, J., Thomas, D., Baleux, F., Virelizier,

- J.-L., Hay, R. T., and Arenzana-Seisdedos, F. (1996) $\it Oncogene$ 12, $2425{-}2435$
- 14. White, D. W., Roy, A., and Gilmore, T. D. (1995) Oncogene 10, 857-868
- 15. White, D. W., and Gilmore, T. D. (1996) Oncogene 13, 891-899 16. Kunkel, T. A. (1985) Proc. Natl. Acad. Sci. U. S. A. 82, 488-492

- Kunkel, T. A. (1985) Proc. Natl. Acad. Sci. U. S. A. 82, 488-492
 White, D. W., and Gilmore, T. D. (1993) J. Virol. 67, 6876-6881
 Lee, F. S., Jagler, J., Chen, Z. J., and Maniatis, T. (1997) Cell 88, 213-222
 Gilmore, T. D., and Temin, H. M. (1986) Cell 44, 791-800
 Wang, C.-Y., Mayo, M. W., and Baldwin, A. S., Jr. (1996) Science 274, 784-787
 Van Antwerp, D. J., Martin, S. J., Kafri, T., Green, D. R., and Verma, I. M. (1996) Science 274, 787-789
- 22. Beg, A. A., and Baltimore, D. (1996) Science 274, 782-784
- 23. Liu, Z.-g., Hsu, H., Goeddel, D. V., and Karin, M. (1996) Cell 87, 565–575