

1 Introduction

The broad question: What are subjects syntactically?

The narrow questions: What does it mean to be a subject in a syntactically ergative language? And what is the role of subjecthood in anaphor binding?

Many languages display varying degrees of *syntactic ergativity*, i.e. syntactic operations that treat absolutive-marked nominals (intransitive subjects and direct objects) as structurally prominent (\approx subject-like) (Bittner and Hale 1996; Coon et al. 2014; Polinsky 2017, a.o.).

A = transitive subject	O = direct object	S = intransitive subject
Syntactic ergativity	vs.	“Syntactic accusativity”
S/O > A		S/A > O

In **West Circassian** (or Adyghe; Northwest Caucasian), restrictions on parasitic gap licensing provide evidence for a *high absolutive analysis of syntactic ergativity* (Ershova 2018b,a), per Bittner and Hale (1996); Manning (1996); Baker (1997); Coon et al. (2014); Yuan (2018), a.o.

+ functionalist and descriptive work on West Circassian suggests special, subject-like status for absolutive (Lander 2009; Letuchiy 2010)

The puzzle: In these languages, some operations still single out the highest argument in the argument hierarchy (S/A), i.e. follow a *syntactically accusative* pattern.

Case study – West Circassian anaphors:

- **reflexives** follow a syntactically accusative pattern: the ergative DP binds the absolutive DP (Letuchiy 2010; Caponigro and Polinsky 2011; Lander and Testeleis 2017)
- **reciprocals** follow a syntactically ergative pattern: the absolutive DP binds the ergative DP (Letuchiy 2010)

In a transitive verb (ERG-ABS), reflexive and reciprocal prefixes replace ϕ -agreement morphemes of opposite arguments.

(1)	Theme(ABS)-	Agent(ERG)-			
a.	zə-	t-	$\lambda eB^wə$	-B	ABS→REFL
	REFL.ABS-	1PL.ERG-	see	-PST	‘We saw ourselves.’
b.	te-	zere-	$\lambda eB^wə$	-B	ERG→REC
	1PL.ABS-	REC.ERG-	see	-PST	‘We saw each other.’

Glosses: ABSolutive; ADverbial; BENefactive; CAUSative; COMitative; DATive; DIRective; ERGative; FUTure; IO-indirect object; IPF-imperfect; LOCative; MODal future; NEGation; OBLique; PL-plural; POSSessive; POTential; PR-possessor; PRS-present tense; PST-past tense; REfactive; RECiprocal; REFLexive; SG-singular; TRANSlocative.

The solution:

- In a syntactically ergative language, the high position of the absolutive argument is **derived via A-movement** to Spec,TP. – In West Circassian, this high position is confirmed by the behavior of reciprocals.
- **Both reflexives and reciprocals** are standard anaphors that must be bound by a **higher** argument in the **A-domain (TP)**.
- Due to an **additional condition on reflexive licensing**, the set of possible antecedents for reflexives is reduced to the **highest** argument in the **θ -domain (vP)**.

Implications:

Syntactic ergativity:

- The analysis provides support for the idea that **syntactic ergativity is derived**, as proposed e.g. by Bittner and Hale (1996); Manning (1996); Baker (1997); Aldridge (2008); Coon et al. (2014); Yuan (2018).
- Through discussion of an unusual diagnostic for syntactic ergativity (reciprocal binding), I show that **syntactic ergativity must be derived via A-movement**.

⇒ the absolutive DP occupies the highest A-position in the clause (\approx surface subject)

Anaphors and subjecthood:

- West Circassian reflexives fall into a typologically common class of anaphors: **local subject oriented reflexives** – their presence is conditioned by Voice_{REFL} (Labelle 2008; Ahn 2015; Bhatia and Poole 2016).
- As a syntactically ergative language, West Circassian presents novel evidence that “local subject orientation” is due to **conditions on locality**, and not subjecthood per se.

⇒ subjecthood is not relevant for anaphor binding, i.e. “subject” is not a primitive.

Subjecthood properties (= properties associated with structural prominence) can be dispersed across several positions (see e.g. Harley 1995; Bobaljik and Jonas 1996; McCloskey 1997).

In a syntactically ergative language, these positions may be occupied by distinct arguments (e.g. absolutive and ergative), rendering **conflicting results for diagnostics of structural prominence**.¹

Roadmap: 2 Background on clause structure and anaphor expression; 3 Reciprocals and syntactic ergativity; 4 Locality conditions on reflexive binding; 5 Conclusion.

¹ See Guilfoyle et al. (1992) for similar proposal on Austronesian mixed-pivot systems.

2 Background on West Circassian

Data: Unless otherwise indicated, from the Temirgoy dialect (the basis of the literary standard); collected by the author in the Khatazhukay rural settlement and Maykop (Republic of Adygea, Russia) during two trips in fall 2017 and summer 2018.

2.1 Polysynthesis

- complex words with agglutinating prefixal and suffixal morphology:

(2) *wəqəzerešhapəzəwəkwəreječ'əž'əšwəwəwə*

wə- qə- zere- šha- pə- rə- z- wəkwəreje -č'ə -ž'ə
2SG.ABS- DIR- FACT- head- LOC- TRANS- 1SG.ERG- CAUS- fall -go.out -RE
-šwə -wə -wə -r
-POT -PST -PST -ABS

'that I was able to make you turn a somersault' (Lander and Testelefs 2017:952)

- head marking and pro-drop:

(3) *səqəpfarjəwələwəwə*

sə- qə- p-f- a-r- jə- wə- wələwəwə -wə
1SG.ABS- DIR- 2SG.IO+BEN- 3PL.IO+DAT- 3SG.ERG- CAUS- see -PST

'He showed me to them for your sake.' (Korotkova and Lander 2010:301)

- free word order:

(4) a. [mə č'ale-m](IO) zəwəwə [ə-š-xe-r](ABS) jewex
this boy-OBL sometimes 3SG.PR-brother-PL-OBL 3ABS.PL+3SG.IO.hit
b. [ə-š-xe-r](ABS) zəwəwə [mə č'ale-m](IO) jewex
3SG.PR-brother-PL-ABS sometimes this boy-OBL 3ABS.PL+3SG.IO.hit
'His_{i/j} brothers sometimes hit this boy_i.'

2.2 Case and agreement

- Agreement morphology follows ergative pattern

(5) a. **ABS(O)- APPL- ERG(A)-**
w- a-de- s- š'aw
1SG.ABS- 3PL.IO+COM- 1SG.ERG- bring.PST
'I brought you with them' (Rogava and Keraševa 1966:160)

b. **ABS(S)- APPL-**
wə- q- a-fe- k'aw
2SG.ABS- DIR- 3PL.IO+BEN- go.PST
'You went for them.' (Rogava and Keraševa 1966:138)

- IO agreement is bundled with an applicative prefix, e.g. *de-* 'COM', *fe-* 'BEN'

- Two core cases:

-r (absolutive) = subject of intransitive verb (6a), theme of transitive verb (6b)

-m (oblique) = agents of transitive verbs (6b), applied objects (6c), possessors (6d), complements of postpositions (6e)

(6) a. mə pšaše-r dax-ew Ø-qa-šwe
this girl-ABS beautiful-ADV 3ABS-DIR-dance
'This girl(S) dances well.'

b. sabəj-xe-m ha-xe-r Ø-q-a-wəwəwə
child-PL-OBL(=ERG) dog-PL-ABS 3ABS-DIR-3PL.ERG-see-PST
'The children(A) saw the dogs(O).'

c. žegwə-m sə-qə-Ø-š'ə-šwə-a-w-ep
wedding-OBL(=IO) 1SG.ABS-DIR-3SG.IO-LOC-dance-PST-NEG
'I didn't dance at the wedding.'

d. mə šwəzə-m Ø-jə-pšaše
this woman-OBL(=POSS) 3SG.PR-POSS-girl
'this woman's daughter'

e. mə šwəzə-m paje
this woman-OBL(=PP) for
'for this woman'

- Indefinite nouns (7a), personal pronouns (7a), possessed nominals in the singular (7b), and proper names (7c) are usually unmarked for case (Arkadiev and Testelefs 2015)

- (7) a. **we** mə pšaše-m **txəλ** Ø-Ø-je-p-tə-ɸ
you(ERG) this girl-OBL **book(ABS)** 3ABS-3SG.IO-DAT-2SG.ERG-give-PST
 ‘You gave this girl a book.’
- b. mə sabəjə-r ə-šəpχ^w Ø-q-ə-š’a-ɸ
 this child-OBL **3SG.PR-sister(ERG)** 3ABS-DIR-3SG.ERG-bring-PST
 ‘Her sister brought this child.’
- c. **zarjəne** Ø-Ø-faj **asje** Ø-qe-š^we-n-ew
Zarina(ABS) 3ABS-3SG.IO-want **Asya(ABS)** 3ABS-DIR-dance-MOD-ADV
 ‘Zarina wants Asya to dance.’

2.3 Reflexive and reciprocal agreement

Anaphor binding is expressed morphologically via the replacement of one of the ϕ -agreement prefixes with $zə$ ‘REFL’ or $ze(re)$ ‘REC’.²

- (8) a. š^wə- t- λeɸ^wə-ɸ ‘We saw you(pl).’
2PL.ABS- 1PL.ERG- see -PST
- b. **zə-** t- λeɸ^wə-ɸ ‘We saw ourselves.’
REFL.ABS- 1PL.ERG- see -PST

In a polysynthetic language like West Circassian, syntactic analysis requires establishing correlations between complex morphological forms and syntactic structure.

In West Circassian, reflexive and reciprocal morphology marks agreement with a syntactically active bound anaphor.

Contrast with:

- (i) de-transitivizing reflexive/reciprocal morphology in e.g. Hebrew (Reinhart and Siloni 2005), Passamaquoddy, Japanese and Chichewa (Bruening 2004)
- (ii) free-standing reflexive/reciprocal pronouns in e.g. English

2.3.1 The morphological position changes to reflect bound argument

(9) ABS(S) > IO

- a. wə- **zə-** f- je- že -ž’ə-ɸ
 2SG.ABS- **REFL.IO-** BEN- DAT- read -RE -PST
 ‘You studied for yourself.’ IO→REFL
- b. te λešə tə- **ze-** fe- χ^wə -ɸ
 we strong 1PL.ABS- **REC.IO-** BEN- become -PST
 ‘We became strong for each other.’ IO→REC

(10) ERG > IO

- a. Ø- qə- **z-** e- t- tə -ž’ə-ɸ
 3ABS- DIR- **REC.IO-** DAT- 1PL.ERG- give -RE -PST
 ‘We gave it to ourselves.’ IO→REFL
- b. te(ERG) wəne-xe-r Ø- **ze-** fe- t- šə -ɸ
 we house-PL-ABS 3ABS- **REC.IO-** BEN- 1PL.ERG- do -PST
 ‘We built houses for each other.’ (Arkadiev et al. 2009:67) IO→REC

(11) REFL: ERG > ABS | REC: ABS > ERG

- a. **zə-** š^w-e- s- š’e -n s-λeč’ə-š’t
REFL.ABS- 2PL.IO+DAT- 1SG.ERG- sell -MOD 1SG.ERG-can-FUT
 ‘I could sell myself to you (there’s nothing else).’ (A salesperson joking about their store running out of goods.) ABS→REFL
- b. Ø- Ø-š’ə- **zere-** ɸe- čefə -x
 3ABS- 3SG.IO+LOC- **REC.ERG-** CAUS- rejoice -PL
 ‘They enjoyed themselves with each other (lit. made each other rejoice) [at the weddings].’ (AC)³ ERG→REC

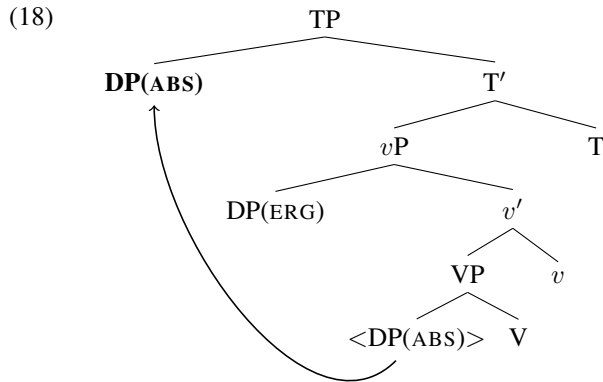
² $ze(re)$ - for ergative DPs and causees of a transitive verb; ze - for all other arguments.

³Adyghe corpus: http://adyghe.web-corpora.net/index_en.html

Syntactic evidence:

Ershova (2018b,a): restrictions on parasitic gap licensing provide evidence for absolutive c-commanding clausemate DPs – for *structural syntactic ergativity*.

Proposed clause structure for a transitive (ERG-ABS) verb:



Components of the analysis:

- DP_{ABS} is merged in various positions based on theta-role, but moves to Spec,TP for licensing.
- DP_{ERG} and DP_{IO} are licensed in-situ.
- details in Appendix A.

Previous proposals for high absolutive: Bittner and Hale (1996); Manning (1996); Baker (1997); Aldridge (2008); Coon et al. (2014); Yuan (2018).

3 Reciprocals and syntactic ergativity

Main claim: Reciprocal binding patterns provide evidence for structural syntactic ergativity, i.e. for **A-movement of the absolutive DP to a position c-commanding other arguments.**

In contexts not involving absolutive themes, reciprocal binding follows vP-internal c-command relations:

- (19) a. te wəne-xe-r Ø- ze- fe- t- šə -ž'ə -B
 we house-PL-ABS 3ABS- REC.IO- BEN- 1PL.ERG- do -RE -PST
 'We built houses for each other.' ERG>IO
- b. *te wəne-xe-r Ø- t- fe- ze- šə -ž'ə -B
 we house-PL-ABS 3ABS- 1PL.IO- BEN- REC.ERG- do -RE -PST
 Intended: 'We built houses for each other.' *IO>ERG
- c. [TP ... [vP DP_i(ERG) ... [AppIP REC_i(IO) ...]
- (20) a. te λešə tə- ze- fe- χ^{wə}ə -B
 we strong 1PL.ABS- REC.IO- BEN- become -PST
 'We became strong for each other.' ABS>IO
- b. *te λešə ze- t- fe- χ^{wə}ə -B
 we strong REC.ABS- 1PL.IO- BEN- become -PST
 Intended: 'We became strong for each other.' *IO>ABS
- c. [TP DP_i(ABS) ... [vP <DP_i(ABS)> [AppIP REC_i(IO) ...]

A structure with high absolutive correctly predicts that an absolutive theme binds an ergative agent or applied object:

- (21) a. **Theme(ABS)- Agent(ERG)-**
 te- zere- λeB^{wə}ə -B
 1PL.ABS- REC.ERG- see -PST
 'We saw each other' ABS>ERG
- b. [TP DP_i(ABS) ... [vP REC_i(ERG) ... [VP DP_i(ABS) ...]
 ↑
- (22) a. **Theme(ABS)- IO- Agent(ERG)-**
 tə- ze- f- jə- š'a -B
 1PL.ABS- REC.IO- BEN- 3SG.ERG- bring -PST
 'S/he brought us together (lit. to each other).' ABS>IO
- b. [TP DP_i(ABS) ... [vP ... [AppIP REC_i(IO) ... [VP DP_i(ABS) ...]
 ↑

Summary: Reciprocal binding patterns provide support for syntactically ergative clause structure: the absolutive DP raises to Spec,TP – a position c-commanding both the ergative agent and any applied objects.

Implications:

- Previous proposals for high absolutive (Bittner and Hale 1996; Coon et al. 2014; Yuan 2018, a.o.) are based on A'-extraction and scope phenomena and are compatible with A'-movement of the absolutive argument.
- Reciprocal binding in West Circassian shows that syntactic ergativity **must be derived via A-movement**, i.e. the absolutive occupies the 'surface subject' position.

4 Locality conditions on reflexive binding

The puzzle: *If West Circassian is syntactically ergative, why do reflexives follow a syntactically accusative pattern?*

+ The morphosyntax of the reflexive marker has been used as evidence for subjecthood of the ergative DP (Caponigro and Polinsky 2011; Lander and Testelefs 2017).

Reflexives vs reciprocals: in a transitive verb (ERG-ABS), reflexive and reciprocal prefixes replace ϕ -agreement morphemes of opposite arguments.

(23)	Theme(ABS)-	Agent(ERG)-			
a.	š ^w ə-	t-	λeβ ^w ə	-β	Baseline ERG-ABS
	2PL.ABS-	1PL.ERG-	see	-PST	'We saw you(pl).'
b.	zə-	t-	λeβ ^w ə	-β	ABS→REFL
	REFL.ABS-	1PL.ERG-	see	-PST	'We saw ourselves.'
c.	te-	zere-	λeβ ^w ə	-β	ERG→REC
	1PL.ABS-	REC.ERG-	see	-PST	'We saw each other.'

The analysis:

- Both reflexives and reciprocals are general anaphors, bound by a **higher nominal** in the **A-domain (TP)**.
- Reflexives are **local subject oriented**, i.e. must be licensed by a specialized Voice_{REFL} per Labelle (2008); Ahn (2015); Bhatia and Poole (2016)
⇒ due to the syntactic properties of Voice_{REFL}, the set of possible antecedents for reflexives is reduced to the **highest nominal** in the **θ-domain (νP)**.

Implications:

- **Explains the puzzle:** reflexives do not follow syntactically ergative pattern, because high absolutive position is derived.
- As a syntactically ergative language, West Circassian presents novel evidence for Ahn's (2015) locality-driven account of local subject orientation.
- The analysis reduces local subject orientation to **conditions on locality**, without reference to subjecthood.

4.1 Local subject orientation and Voice_{REFL}

Local subject oriented reflexives are cross-linguistically common: e.g. *se/si* in French and Italian (Rizzi 1986; Labelle 2008; Sportiche 2014, a.o.); *-koL* in Kannada (Lidz 1996, 2001); see also Ahn (2015) and references therein.

E.g. French *se* (examples adapted from Sportiche 2014:104-107):

1. can only be bound by a deep subject:

(24) Jean_i **se_i** présente Pierre
Jean **to-himself** introduces Pierre
'Jean introduces Pierre to himself.'

2. cannot be bound by a non-subject:

(25) * Jean **se_i** présente les enfants;
Jean **to-themselves** introduces the children
Intended: 'Jean introduces the children to themselves.'

3. cannot be bound by a derived subject in a passive or raising construction:

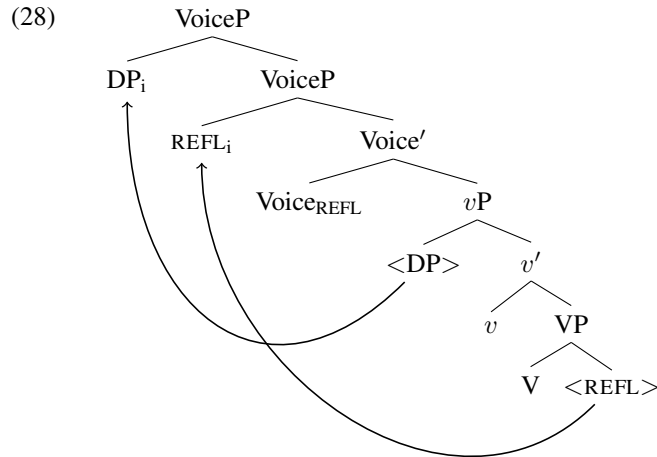
- (26) * Pierre_i se_i sera présenté (par Jean)
 Pierre **to-himself** will-be introduced by Jean
 Intended: ‘Pierre will be introduced to himself by Jean.’
- (27) * Jean_i se_i semble déprimé
 Jean **to-himself** seems depressed
 Intended: ‘Jean seems to himself to be depressed.’

Building on Ahn (2015); Bhatia and Poole (2016), local subject oriented reflexives must be licensed by Voice_{REFL}; cf. Sportiche’s (2014) projection HS.

Voice_{REFL} selects for *v*P and attracts two arguments to its specifier:

- the highest DP in *v*P → local subject orientation⁵
- the reflexive pronoun → syntactically active anaphor

Semantically, Voice_{REFL} imposes co-identity on the two arguments in its specifiers (Appendix B).



- The high absolutive in Spec,TP is not an eligible antecedent because Voice_{REFL} merges immediately above *v*P while DP(ABS) is in its base position.
- This analysis makes no reference to subjecthood, i.e. any nominal that is highest in the *v*P can function as an antecedent.

⁵Cf. Ahn (2015), where the highest DP in *v*P moves to Spec.PredP immediately above VoiceP.

4.2 West Circassian reflexives are local subject oriented

Main claim:

Reflexives in West Circassian are local subject oriented, i.e. may only be bound the highest DP in *v*P (≈ a non-derived deep subject).

(29) Reflexive versus reciprocal distribution:

Predicate type	Binding directionality	
	Reflexives	Reciprocals
3-place transitive	ERG>IO	ERG>IO
Unaccusative w/applied object	*IO>ABS/*ABS>IO	ABS>IO
	ERG>ABS	ABS>ERG
Unergative w/applied object	ABS>IO	ABS>IO

GENERALIZATION #1: A reflexive cannot be bound by a DP that is not highest in *v*P.

Reflexive binding possibilities in three-place predicate:

- a. [_{*v*P} DP(ERG) ... [_{AppIP} DP(IO) ... [_{VP} REFL(ABS) ...
 ✓antecedent *antecedent
- b. [_{*v*P} DP(ERG) ... [_{AppIP} REFL(IO) ... [_{VP} DP(ABS) ...
 ✓antecedent *antecedent

- (30) Theme- IO- Agent-
 z_i/*j- a_j- fe- s_i- thač'ə -B
 REFL.ABS- 3PL.IO- BEN- 1SG.ERG- wash -PST

- a. ‘I washed myself for them.’
 b. * ‘I washed them for themselves.’

ERG > ABS

*IO > ABS

- (31) Theme- IO- Agent-
 Ø_j- zə_v/*j- fe- s_i- thač'ə -Bə -x
 3ABS- REFL.IO- BEN- 1SG.ERG- wash -PST -PL

- a. ‘I washed them for myself.’
 b. * ‘I washed them for themselves.’

ERG > IO

*ABS > IO

Cf. reciprocals can be bound by an ABS theme in three-place predicate:

[_{TP} DP(ABS) ... [_{vP} DP(ERG) ... [_{AppIP} REC(IO) ... [_{VP} <DP(ABS)> ...
✓antecedent ✓antecedent

(32) a. **Theme- IO- Agent-**
tə- ze- f- jə- š'a -ɸ
1PL.ABS- REC.IO- BEN- 3SG.ERG- bring -PST

b. *ze- t- f- jə- š'a -ɸ
REC.ABS- 1PL.IO- BEN- 3SG.ERG- bring -PST

'S/he brought us together (lit. to each other)'

REC: ABS > IO

GENERALIZATION #2: A reflexive can be bound by a "non-subject" DP, if it is highest in vP.

In an unaccusative verb with a high applicative, the applied object can bind a reflexive in absolutive theme position.

Two structures available for applicative unaccusatives:

a. [_{vP} [_{AppIP} DP(IO) ... [_{VP} REFL(ABS) ... **IO > ABS**
✓antecedent

b. [_{AppIP} DP(ABS) [_{AppIP} REFL(IO) ... [_{VP} ... **ABS > IO**
✓antecedent

Unaccusative verbs do not productively combine with high applicatives – only possible for a small set of so-called 'inverse' predicates.

(33) A transparent example: jə- 'LOC' + ?e 'be' = jə-?e 'have'

a. zə- s- jə- ?e -ž' zepət
REFL.ABS- 1SG.IO- LOC- be -RE always

b. sə- z- jə- ?e -ž' zepət
1SG.ABS- REFL.IO- LOC- be -RE always

'I always have myself'

ABS>IO|IO>ABS

(34) A lexicalized example: š'ə- 'LOC' + B^wəpšə '??' = š'ə-B^wəpšə 'forget'

a. zə- s- š'ə- B^wəpšə -ž'ə -ɸ
REFL.ABS- 1SG.IO- LOC- forget -RE -PST

b. sə- z- š'ə- B^wəpšə -ž'ə -ɸ
1SG.ABS- REFL.IO- LOC- forget -RE -PST

'I forgot about myself (e.g. when serving food).'

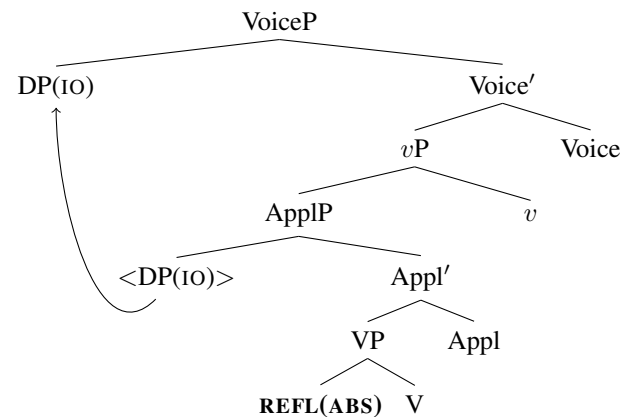
ABS>IO|IO>ABS

Why two possible structures?

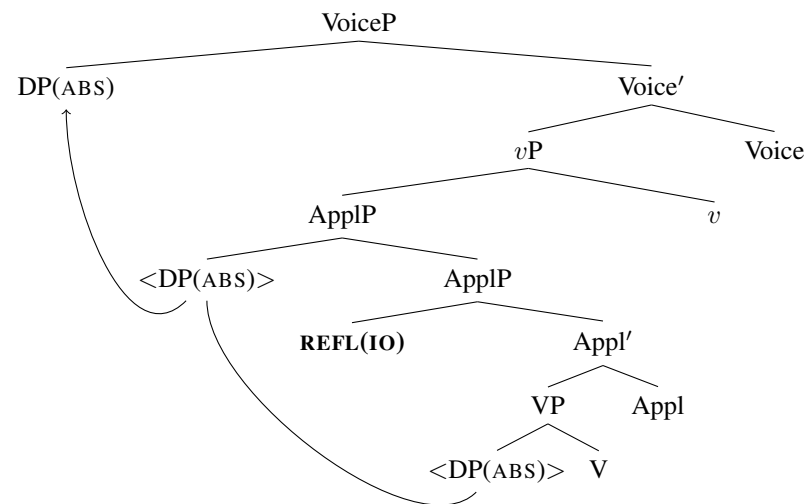
Based on McGinnis (2000, 2001):

- The theme may undergo movement to Spec,AppIP.
- ⇒ The theme and applied object are equidistant for further movement operations.

(35) a. Applied argument moves to Spec, VoiceP **IO>ABS**:



b. Absolutive theme moves to Spec, VoiceP **ABS>IO**:



Cf. reciprocals show same binding pattern:

- a. [TP DP(ABS) ... [_vP DP(ERG) ... [_{AppIP} REC(IO) ...]] **REC: ABS > IO**
 ✓antecedent
- b. [TP DP(ABS) ... [_vP REC(ERG) ... [_{AppIP} DP(IO) ...]] **REC: *IO > ABS**
 *antecedent

- (40) a.

		IO-		ERG-	
te	wəne-xe-r	∅-	ze-	fe-	t- ꞑə -ž'ə -ɪ
we	house-PL-ABS	3ABS-	REC.IO-	BEN-	1PL.ERG- do -RE -PST

 b. *te wəne-xe-r ∅- t- fe- ze- ꞑə -ž'ə -ɪ
 we house-PL-ABS 3ABS- 1PL.IO- BEN- REC.ERG- do -RE -PST
 'We built houses for each other.' **REC:ERG>IO|*IO>ERG**

Summary of distribution:

- Reflexive *zə-* is local subject oriented – can only be bound by highest DP in *v*P.
- Reciprocal *ze(re)-* is not local subject oriented – can be bound by any c-commanding DP in TP.

Implications:

- High position of absolutive DP is derived + reflexives can only be bound by a non-derived deep subject
 ⇒ reflexives cannot be used as evidence against structural syntactic ergativity.
- In contrast, the distribution of reciprocals provides support for a syntactically ergative clause structure – the absolutive DP undergoes A-movement to the surface subject position.
- The apparently contradictory behavior of reflexives and reciprocals is due to differences in licensing conditions:
 - Reciprocals must be bound by a **higher** nominal in the **A-domain (TP)**.
 - Reflexives are licensed by Voice_{REFL}, which limits possible antecedents to the **highest** nominal in the **θ-domain (vP)**.

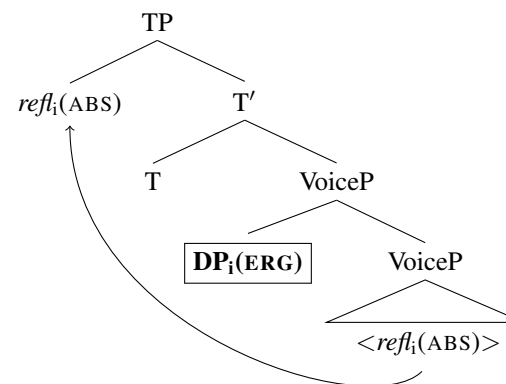
- In previous literature on local subject oriented anaphors, the antecedent must be both the deep and surface subject (see e.g. discussion in Ahn 2015:200-217).
- West Circassian shows that the antecedent need not be the surface subject, confirming an implicit prediction of Ahn’s (2015) and Bhatia and Poole’s (2016) locality-based analysis.

A question not addressed here:

After reflexive binding is established between e.g. ERG and ABS, the bound reflexive pronoun must undergo A-movement to Spec,TP c-commanding the ergative.

Why doesn't the movement of a reflexive – DP(ABS) – over its antecedent – DP(ERG) – trigger a Condition B/C violation?

(41) **Reflexive: ERG>ABS**



4.3 Voice_{REFL} in West Circassian

The analysis: Reflexive binding is mediated via Voice_{REFL}, per Ahn (2015); Bhatia and Poole (2016).

Desiderata:

1. Local subject orientation.
2. The presence of a syntactically active bound pronoun; cf. analysis of French *se* as the external argument (Pesetsky 1995) or Voice⁰ (Reinhart and Siloni 2005; Labelle 2008).
3. Productivity: not limited to particular class of verbs; cf. Russian *-sja* only with naturally reflexive verbs (Schäfer 2008) or French *se* only with intrinsically transitive verbs (Sportiche 2014).

Implementation:

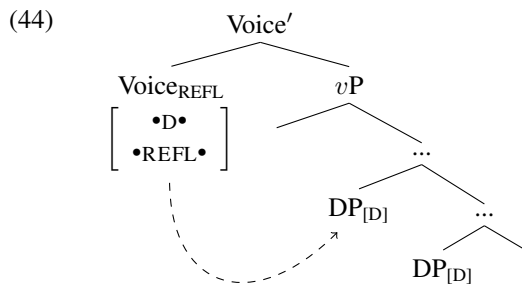
- Movement is triggered by structure-building probe features per Heck and Müller (2007); Müller (2010): $\bullet F \bullet$
- Per Georgi and Müller (2010); Müller (2010); Martinović (2015), probe features are hierarchically ordered, e.g.: $[\bullet F \bullet \gg \bullet G \bullet]$
- In a hierarchical feature ordering, only the leftmost/highest unchecked feature is visible for syntactic operations.
- Minimal Link Condition / Attract Closest (Chomsky 1995): A probe with feature $\bullet F \bullet$ must agree with the highest goal in its c-command domain with feature $F / +F+$.
- Two types of goal features:
 - (i) Standard goal: F
 - (ii) Licensee: $+F+$
- All probe ($\bullet F \bullet$) and licensee ($+F+$) features must be checked via Merge/Move.

The two components of reflexive syntax:

(42) $\text{Voice}_{\text{REFL}}$: $[\bullet D \bullet \gg \bullet \text{REFL} \bullet]$

(43) Syntactically active reflexive pronoun: $[D; +\text{REFL}+]$

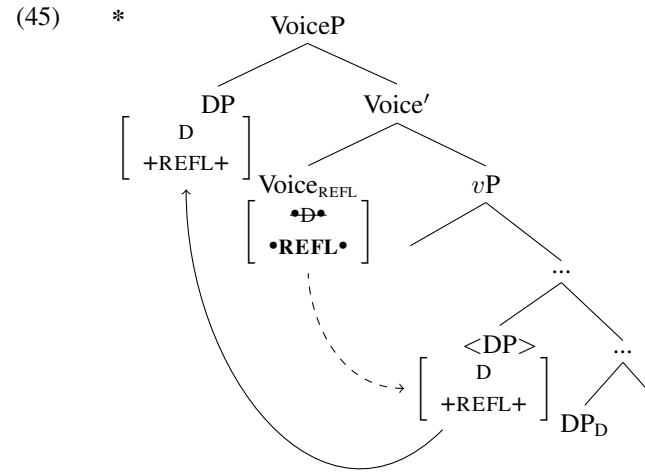
Deriving local subject orientation: only the highest DP in vP can be an antecedent per standard locality constraints:



⇒ subject orientation is reduced to locality conditions on movement.

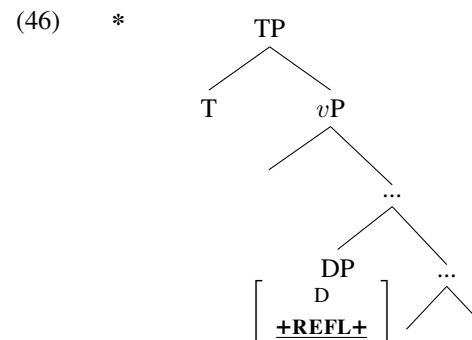
Ensuring c-command between antecedent and reflexive before movement:

The antecedent DP must c-command the anaphor to satisfy ordered feature checking. Otherwise, $[\bullet \text{REFL} \bullet]$ on $\text{Voice}_{\text{REFL}}$ remains unchecked.



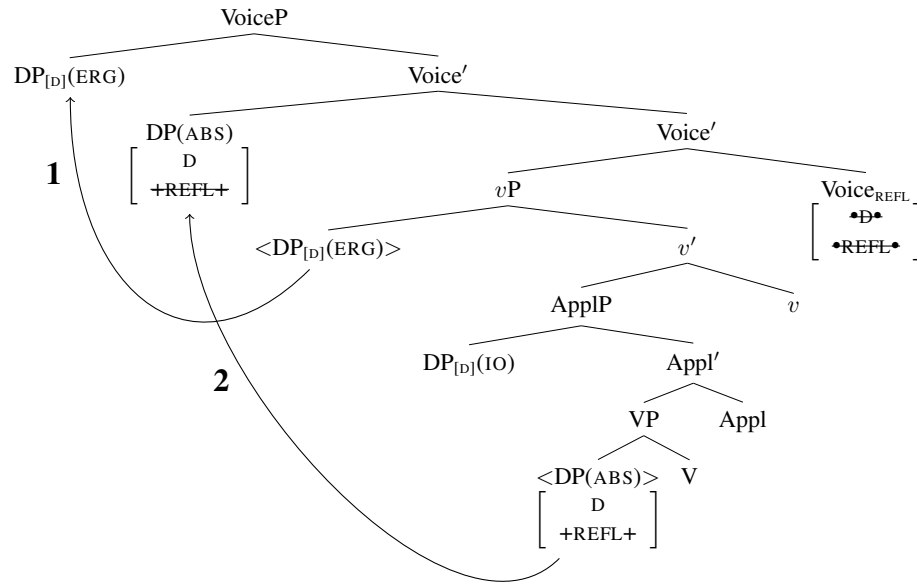
Ensuring co-occurrence of $\text{Voice}_{\text{REFL}}$ and reflexive pronoun, i.e. that the reflexive is local subject oriented: both $[\bullet \text{REFL} \bullet]$ on $\text{Voice}_{\text{REFL}}$ and $[\text{+REFL}+]$ on the reflexive pronoun must be checked.

⇒ a reflexive pronoun without $\text{Voice}_{\text{REFL}}$ is ungrammatical:

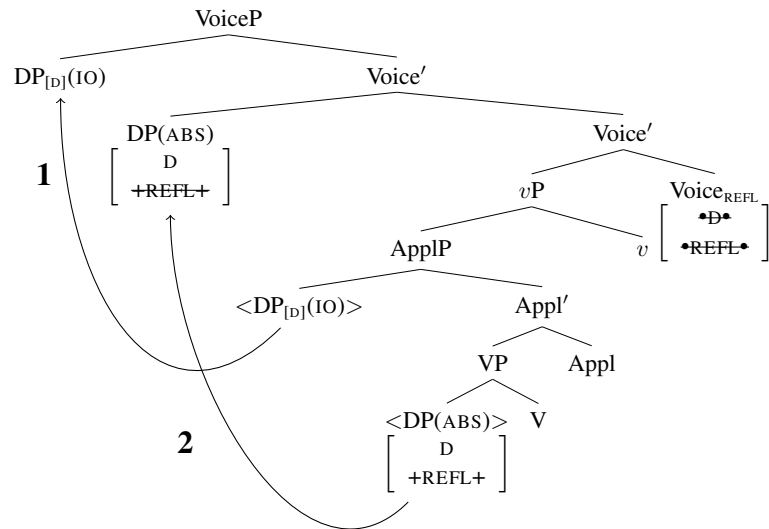


Sample derivations:

(47) **Three-place predicate (ERG-IO-ABS):** ERG > ABS; *IO > ABS



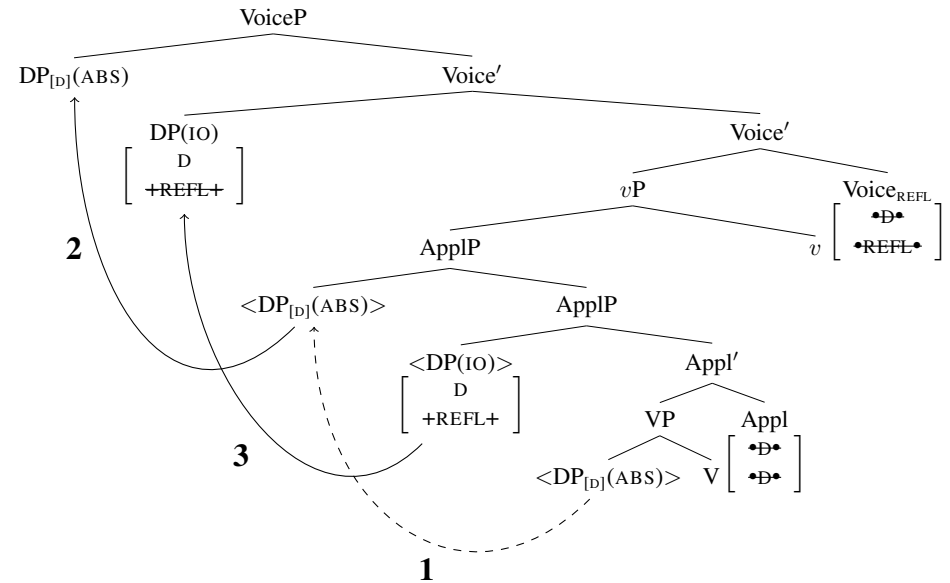
(48) **Unaccusative with applied object:** IO > ABS



Building on McGinnis (2000, 2001): absolutive theme may raise to Spec,AppIP.

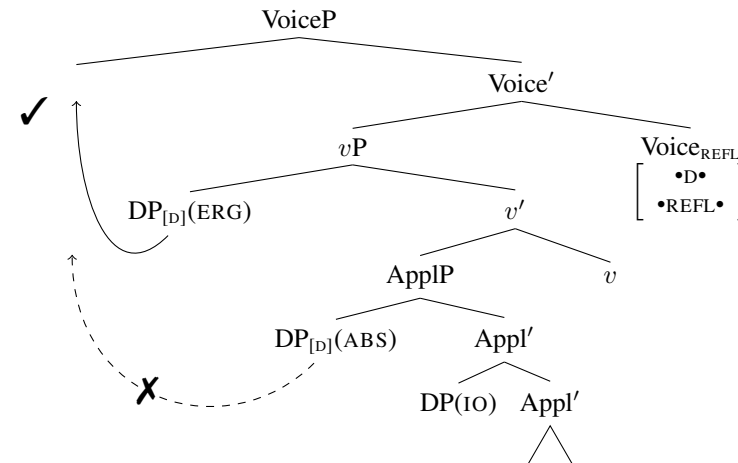
AppI⁰: [\bullet D \bullet (\gg) \bullet D \bullet] – selects for a DP and (optionally) attracts another DP to its specifier.

(49) **Unaccusative with applied object:** ABS > IO

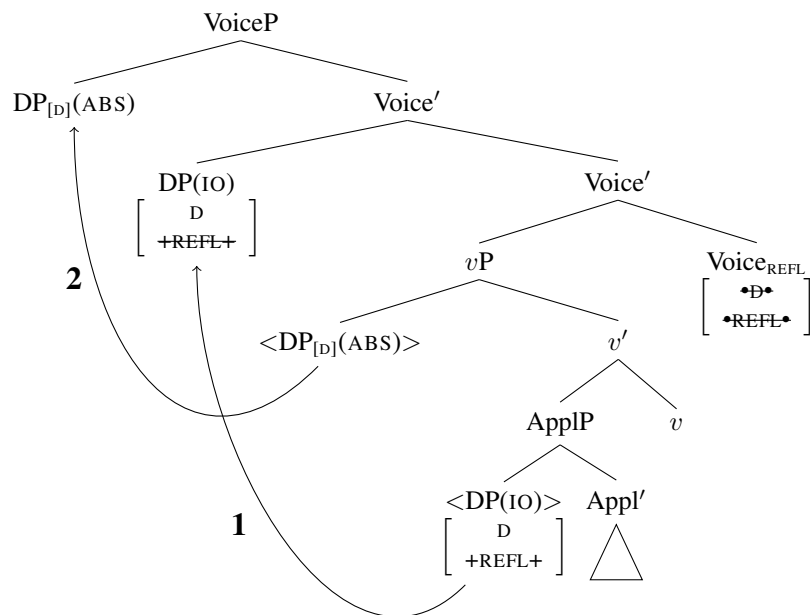


**Note that for a three-place predicate (ERG-IO-ABS), even if the theme moves to Spec,AppIP, it still cannot bind a reflexive due to intervening DP(ERG):

(50) DP(ABS) in Spec,AppIP cannot raise to Spec, VoiceP:



(51) Unergative w/applied object: ABS(S) > IO:



Summary:

- Reflexives differ in distribution from reciprocals in two cases:
 1. Reciprocals may be bound by a higher non-subject DP, reflexives may not.
 2. The highest DP in vP (≈ deep subject) does not coincide with the surface subject in Spec,TP – in this case, reflexives are bound by the deep subject, while reciprocals are bound by the surface subject.
- The distribution of reflexives is conditioned by Voice_{REFL}, which merges immediately above vP, reducing possible antecedents to the **highest DP in vP**.
- Locality conditions on Voice_{REFL} predict that reflexives must be bound by the highest nominal in vP, but that nominal need not be a surface subject.

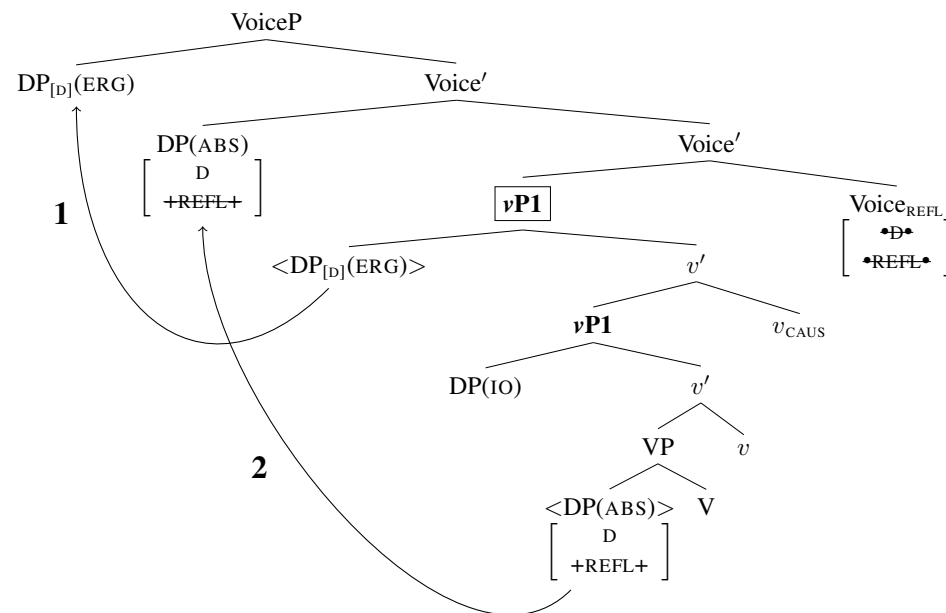
4.4 Further prediction: antecedents in synthetic causatives

Prediction: In a synthetic causative construction, which involves recursive embedding of vP's, both the causer and causee can be an antecedent, depending on which vP is selected by Voice_{REFL}.

[_{vP1} DP-Causer(ERG) ... [_{vP2} DP-Causee(IO) ... [_{vP} REFL(ABS) ...
 ✓antecedent ✓antecedent

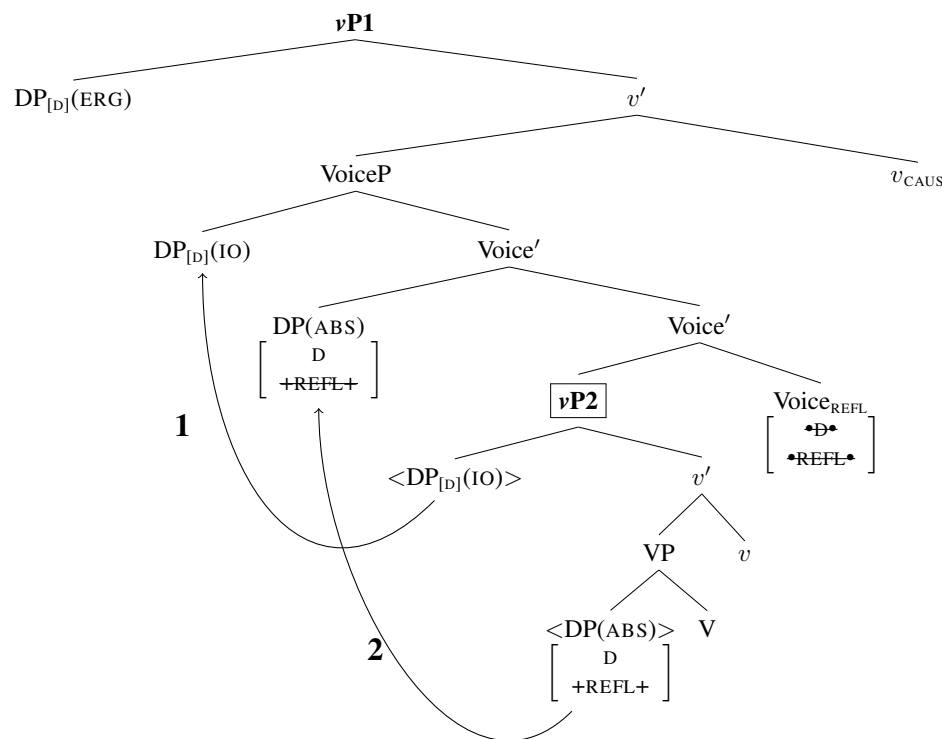
- (52) a. §^{wə} ze- s- e- λe^{wə} -ž'ə
 good REFL.ABS- 1SG.ERG- PRS- see -RE
 'I love (lit. see good in) myself.' **Baseline: ERG > ABS**⁶
- b. §^{wə} zə- s- e- b- ɛe- λe^{wə} -ɪ
 good REFL.ABS- 1SG.IO- DAT- 2SG.ERG- CAUS- see -PST
 'You_i made me_j love myself_j/yourself_i.' **CAUS: ERG > ABS | IO > ABS**

(53) Causative: ERG(CAUSER) > ABS – Voice_{REFL} selects for vP1



⁶Reflexive marker surfaces as ze- due to regular phonological rule; see Appendix C for details.

(54) Causative: IO(CAUSEE) > ABS – Voice_{REFL} selects for vP2



Summary:

- Reflexives are licensed by Voice_{REFL}, which selects for vP and attracts the highest DP within vP and the reflexive to its specifier.
- This analysis reduces local subject orientation to locality constraints on movement.
- As a language where the ‘surface subject’ in Spec,TP need not match the ‘deep subject’ in vP, West Circassian presents novel evidence that subjecthood does not play a role in anaphor binding.

5 Conclusion

Returning to the broad question: *What are subjects syntactically?*

- **Subjecthood properties** can be dispersed over multiple positions (Harley 1995; Bobaljik and Jonas 1996; McCloskey 1997).
- In **syntactically accusative languages**, these positions are generally occupied by the same nominal, which can thus be identified as the ‘subject’.
- In contrast, in a **syntactically ergative language** these positions are systematically occupied by distinct nominals, rendering **conflicting results for subjecthood tests**.
- If subjecthood properties do not converge on a single nominal, **the notion of ‘subjecthood’ becomes theoretically vacuous**.

What does it mean to be a subject in a syntactically ergative language?

- Previous proposals for high absolutive (e.g. Bittner and Hale 1996; Aldridge 2008; Coon et al. 2014) are compatible with A'-movement of the absolutive, meaning that the highest nominal in vP may correspond to the highest A-position in the clause.
- The distribution of anaphors in West Circassian provides evidence for the **absolutive DP occupying the highest A-position** in the clause, i.e. the ‘surface subject’ position.

What is the role of subjecthood in anaphor binding?

- As a syntactically ergative language, West Circassian presents novel evidence that ‘local subject orientation’ of reflexives is due to **constraints on locality of movement**.
- Subjecthood thus does not play a role in defining conditions on anaphor binding.

Broader implications:

For West Circassian:

The language is **structurally syntactically ergative**, with the absolutive DP undergoing A-movement to a position c-commanding other arguments.

For typology and methodology:

Languages may display **mixed subjecthood properties** due to these properties being dispersed across several positions and the possibility of distinct nominals occupying these positions.

⇒ An established diagnostic cannot be blindly applied to a language without attention to other aspects of that language.

For theory:

- A-movement of the absolutive to Spec,TP has implications for **locality, intervention and features that drive A-movement** – DP(ERG) and DP(IO) must be inactive when T⁰ probes.
- In West Circassian, a reflexive pronoun can raise to c-command its antecedent without triggering a Condition B/C violation ⇒ implications for **understanding of binding conditions**.

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Appendices

A Deriving syntactic ergativity via nominal licensing

See Ershova (2019) for details.

A.1 Theoretical assumptions

Merge and Agree triggered by probe features (following Heck and Müller 2007; Müller 2010):

(55) **Probe features:**

- a. Structure-building: $\bullet F \bullet$
- b. Agree: $*F*$ and $*F_x:V*$

Following work in Minimalist Grammars (Stabler 1997, 2010; Keenan and Stabler 2003; Lecomte and Retoré 1999, 2001), two types of goal features:

(56) **Goal features:**

- a. Non-licensee: $F, F:V$
- b. Licensee: $+F+$

Features are hierarchically ordered (Georgi and Müller 2010; Müller 2010; Martinović 2015),:

- (57) a. $[\bullet F \bullet \gg *G* \gg \bullet H \bullet]$
 b. $\left[\begin{array}{c} \bullet F \bullet \\ *G* \\ \bullet H \bullet \end{array} \right]$

Definitions for Agree, Merge, and Move (Internal Merge):

(58) **AGREE**

For any two syntactic objects α and β , such that:

- a. the head of α bears the visible Agree feature $*F*$, and the label of β includes the matching goal feature F or licensee feature $+F+$, and there is no γ bearing F or $+F+$ such that it c -commands β and is c -commanded by α ,
 α agrees with β , resulting in the checking and deletion of the Agree feature on α , and, if present, the licensee feature $+F+$ on β ; or
- b. the head of α bears the visible Agree feature $*F_x:V*$, and the label of β includes the matching goal feature $F:Y$ such that $x \subset Y$, and there is no γ bearing $F:W$ such that it c -commands β and is c -commanded by α and $x \subset W$,
 α agrees with β , resulting in the checking and valuation of the Agree feature on α as $F:Z$, where $Z = V \cup Y$.

(59) **MERGE**

For any two syntactic objects α and β , such that the head of α is the feature set \mathcal{F} which includes the visible structure-building feature $\bullet F \bullet$, and the label of β is the feature set \mathcal{G} which includes the matching goal feature F or licensee feature $+F+$:

Merge(α, β) = $\{\alpha', \{\alpha'', \beta'\}\}$,

- a. where $\alpha' = \alpha$ with all the probe features of α (if any) removed (i.e. probe features don't project),
- b. and $\alpha'' = \alpha$, except the head of α'' is $\mathcal{G} - \bullet F \bullet$ (i.e. $\bullet F \bullet$ is checked and deleted on the head),
- c. and $\beta' = \beta$ except the label of β' is $\mathcal{G} - +F+$ if \mathcal{G} has $+F+$.

(60) **MOVE**

Move(α, β) is Merge(α, β), where α c-commands β and there is no γ bearing F or +F+ such that it c-commands β and is c-commanded by α .

Features are checked in their hierarchical order and must be visible to trigger Agree or Merge:

(61) **Feature Visibility Condition (Martinović 2015:67):**

A feature F on a head X is visible if F is the highest feature in the hierarchy.

A.2 Implementation: syntactic ergativity as licensing

- Nominals must be syntactically licensed in the course of the derivation \Rightarrow DPs carry the licensee feature +K+ (analogous to -k or \bar{k} in Minimalist Grammars, Lecomte and Retoré 1999; Keenan and Stabler 2003; Stabler and Keenan 2003).

(62) All DPs (additional features may be present):

- Category: D
- Licensee: +K+

- Ergative agents and applied objects are licensed in-situ; cf. inherent case accounts (Woolford 2006; Legate 2008; Pyllkkänen 2008).
- Licensed nominals are rendered inactive for further licensing-related operations; cf. McGinnis's (1998) inert case, Legate's (2008) discussion of eligibility for absolutive case assignment to a theme over an ergative external argument, and Kalin and van Urk 2015 for a similar idea regarding ϕ -agreement.

- (63) a. Transitive v^0 (v_{TR}): [$*K* \gg \bullet K \bullet$]
Agrees with the theme in VP and merges and licenses the ergative agent.
- b. Appl⁰: $\bullet K \bullet$
Merges and licenses an applied object.
- c. T⁰: $\bullet K \bullet$
Licenses a moved argument – the absolutive DP.

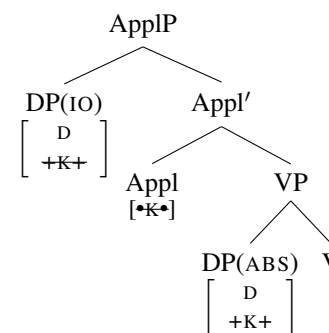
- (64) a. Unergative v^0 (v_{UNERG}): $\bullet D \bullet$
Merges an external argument, but does not license it.
- b. Unaccusative v^0 (v_{UNACC}): \emptyset
Does not select for an external argument.

Sample derivation: three-place transitive verb

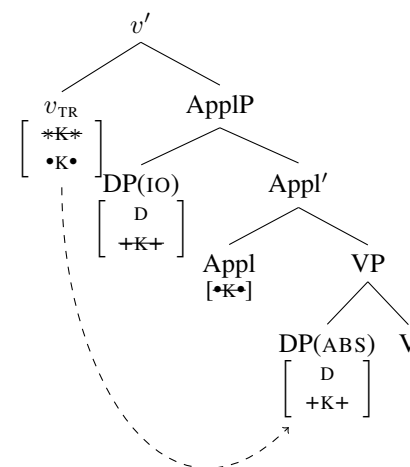
(65) te(ERG) pro(IO) mə txələ-r(ABS)
we this book-ABS
Ø-qə-w-e-t-tə-ž'ə-ɪ
3ABS-DIR-2SG.IO-DAT-1PL.ERG-give-RE-PST
'We gave this book to you.'

(66) Three-place predicate (ERG-IO-ABS):

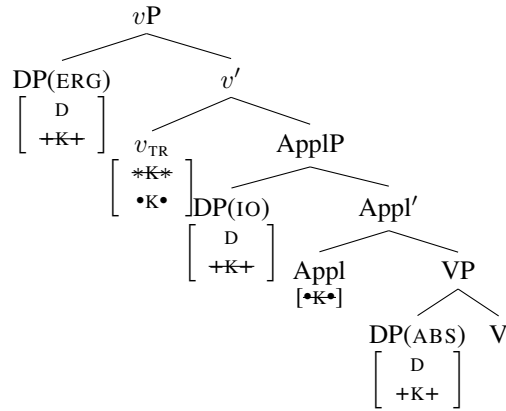
a. Appl⁰ selects for VP and merges DP(IO) in its specifier:



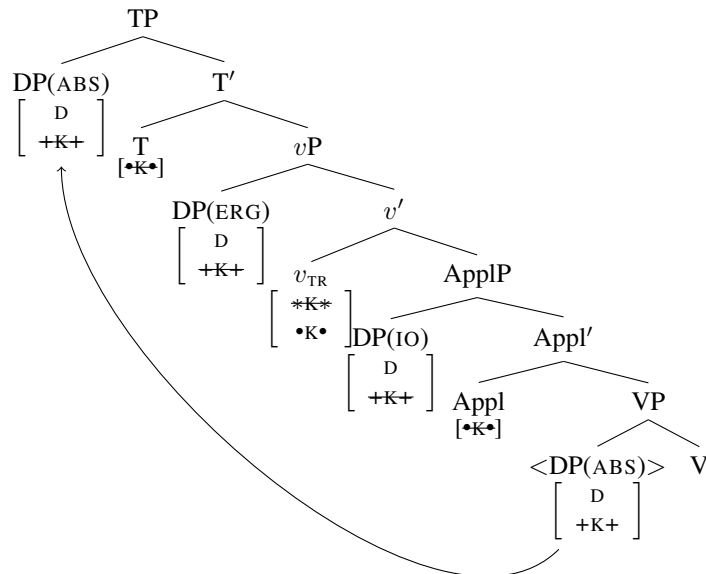
b. v_{TR} selects for ApplP and agrees with DP(ABS):



c. v_{TR} merges with and licenses DP(ERG):



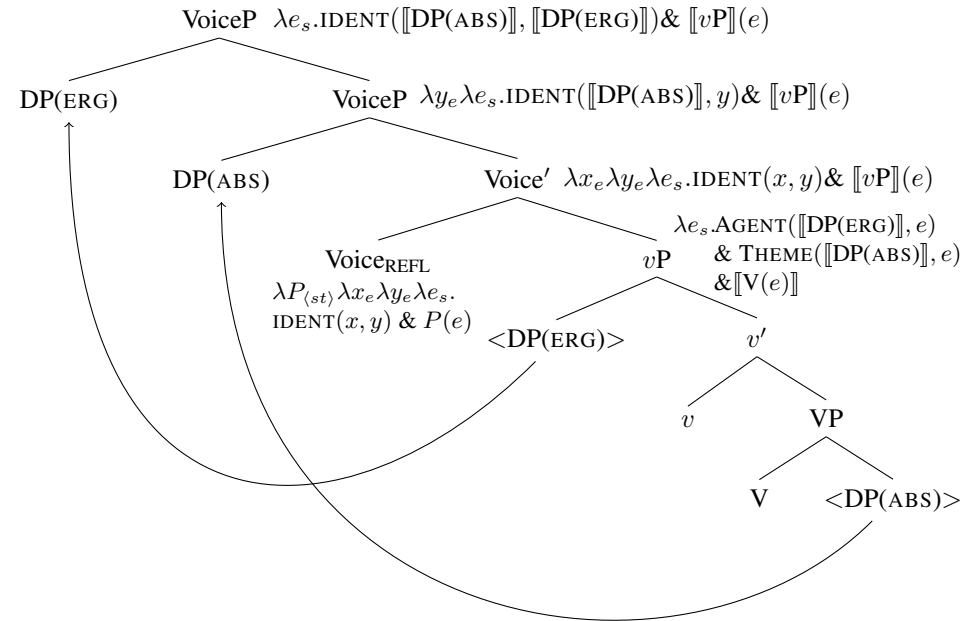
d. T^0 selects for vP ; DP(ABS) moves to be licensed in its specifier:



B Semantics of Voice_{REFL}

(67) $\llbracket \text{Voice}_{\text{REFL}} \rrbracket = \lambda P_{\langle st \rangle} \lambda x_e \lambda y_e \lambda e_s. \text{IDENT}(x, y) \& P(e)$ (adapted from Ahn 2015:223)

(68) Sample derivation: ERG > ABS



- The semantics of the reflexive pronoun is the same as a regular pronoun: “an index (...) and a contextually-specified assignment function” (Ahn 2015:227)
- IDENT constrains the assignment function to force co-identity between the reflexive and its antecedent.

C Allomorphy and morphophonology of reflexive and reciprocal markers

The vowel /ə/ in the reflexive marker zə- undergoes the following regular morphophonological alternations:

1. The vowel /ə/ is dropped prevocally and immediately preceding a glide (Arkadiev et al. 2009:27-28):

(69) /ə/ → Ø / __ [-consonantal]

(70) a. sə- z- e- že -ž'ə {sə+zə+je+e+že+ž'ə}
1SG.ABS- REFL.IO- DAT- call -RE

'I call myself [Zara]'

b. z- a- fe- s- thač'ə -B {zə+a+fe+s+thač'ə+Be}
REFL.ABS- 3PL.IO- BEN- 1SG.ERG- wash -PST

'I washed myself for them.'

c. z- jə- wəč'ə -ž'ə -B {zə+jə+wəč'ə+ž'ə+Be}
REFL.ABS- 3SG.ERG- kill -RE -PST

'S/he killed himself/herself.'

2. The vowel /ə/ is optionally dropped if the reflexive morpheme is preceded by an open syllable (e.g. an absolutive agreement prefix) and followed by an applicative prefix.⁷ For example, the reflexive morpheme surfaces as z- in the following example:

(71) sə- z- fe- g^wəbžə -ž'ə {sə+zə+fe+g^wəbžə+ž'ə}
1SG.ABS- REFL.IO- BEN- angry -RE

'I am angry at myself.'

(72) wə- zə- fe- g^wəbžə -ž' -a
2SG.ABS- REFL.ABS- BEN- angry -RE -Q

'Are you angry at yourself?'

3. The vowel /ə/ undergoes the following assimilation rule which is triggered by the dynamic prefix e-: /ə/ surfaces as /e/ in present tense forms of dynamic verbs, if immediately followed by ergative cross-reference morphology and the dynamic prefix e-:

(73) §^wə ze- s- e- λeB^wə -ž'ə {zə+s+e+λeB^wə+ž'ə}
good REFL.ABS- 1SG.ERG- PRS- see -RE
'I love myself.'

The reciprocal marker has two allomorphs:

1. ze- (74a) for applied object;
2. zere- for ergative (74b) or causee of a transitive verb (74c) (Rogava and Keraševa 1966:271-276; Arkadiev et al. 2009:63-67)

The final vowel /e/ in both allomorphs is dropped if immediately followed by a vowel or glide (74c).

(74) a. Ø- ze- fe- χ^wə -Be -x
3ABS- REC.IO- BEN- become -PST -PL

'they became [strong] for each other'

b. Ø- tje- zere- Be- fe- -ž'ə -Be -x
3ABS- LOC- REC.ERG- CAUS- fall -RE -PST -PL

'they made each other fall over'

c. tə- zer- a- Be- §a -B {tə+zere+a+Be+§e+Be}
1PL.ABS- REC.IO- 3PL.ERG- CAUS- know -PST

'they introduced us to each other (lit. made us know each other)'

⁷This rule is mentioned in Rogava and Keraševa (1966:51) for a number of particular prefix combinations (e.g. zə+de 'WH.IO+LOC-'), but appears to be more general than described there.