

**MITEI PROJECT PROPOSAL QUESTIONNAIRE
CO-FUNDING & BACKGROUND IP**

[Please complete a separate form for each PI and Co-I listed on the proposal]

MIT INTERNAL USE ONLY

NOT TO BE SUBMITTED TO SPONSOR

Project Title:

Principal Investigator:

Co-Investigator(s):

MITEI Sponsor:

Proposed Project Period: Start Date End Date

The terms of our MITEI Agreement require that MIT identify in advance any third party(ies) that is anticipated to be a source of support for the proposed project and to negotiate any necessary special terms and conditions to be applied to the project in order to accommodate this support and to eliminate any potential conflict in MIT IP and other contractual obligations to the MITEI Sponsor and to any other funding source(s). [These steps must be completed before a final funding decision can be made by the MITEI Sponsor and the project can begin.](#)

[This form must be completed, signed and returned to MITEI's Administrative Office, E19-326, before MITEI forwards your proposal to OSP.](#)

[PI and Co-Investigator\(s\), please respond to the following questions.](#)

1. Do you (Principal Investigator, Co-Investigator(s)) have or anticipate any other source of external funding for this project? (Note that this includes U.S. government funding.)

No

Yes **If yes, please complete the following, describing those current or proposed funding sources.**

Source(s) of funding for this project other than the MITEI Sponsor:

MITEI Co-Sponsor:

Non-MITEI Co-Sponsor (include any U.S. Government support of this project):

Include (MIT) account number(s):

2. Do you (Principal Investigator, Co-Investigator(s)) have or anticipate any other obligations (obligations associated with use of incoming software, data, materials, equipment or fellowship support) that might require any intellectual property rights to be given to that third party source or that might limit the use of materials or intellectual property rights arising from this project?

No

Yes **If yes, what are those obligations?**

3. **Output:** What is the anticipated output of this project and what form will it take, i.e., knowledge, a model, software, a design, other?

Deliverables:

4. OTHER POTENTIAL AREAS FOR CONFLICT

Please check one:

I do **not** have any other sponsors, startups, consulting relationships, or other relationships that the Project Sponsor could reasonably consider to be competitive or in conflict with the research described in this proposal.

I **do** have other sponsors, startups, consulting relationships, or other relationships that the Project Sponsor could reasonably consider to be competitive or in conflict with the research described in this proposal.

Please describe briefly:

Note: You must advise TLO of any changes to this as they arise.

5. INTELLECTUAL PROPERTY

Please list any IP that you are in the process of disclosing to MIT's TLO (from any of your research) that is related to the proposed project.

PI and Co-Investigator(s):

Please list any of your relevant "Background Intellectual Property" (patents, copyrights, software, etc.) on which you are an inventor/author, whether owned by MIT or owned by you or by a company with which you are affiliated, that this research may build upon:

Note: You must advise TLO of any additions to this list as they arise.

PI, Co-Investigator(s), please acknowledge by signing below and return to MITEI, E19-326: (Completed, signed form may be emailed to MITEI: jfob@mit.edu; nliang@mit.edu)

To the best of my knowledge, the responses to items 1 through 5 are accurate and complete.

Are you PI or Co-Investigator? (Circle one)

Signature _____

Printed Name:

Date

The following section will be completed by the TLO in consultation with PI and Co-I(s).

PI and Co-I(s), please review the TLO review results for your MITEI Proposal and SIGN on page 4.

TLO BACKGROUND IP REVIEW

Project Background IP:

- Any intellectual property, i.e., inventions, patentable algorithms, software, copyrightable material, etc., owned by MIT and developed by the PI, Co-PI(s), and any other named investigators outside of this project funding, which may be necessary for the Project Sponsor(s) to practice new inventions made in performance of this project

TLO Background IP Review at Proposal Stage:

- Checks PI(s), Co-I(s) and other named investigators against TLO database
- If inventions are found in database, compare those inventions with research plan in this project SOW.
- If potential overlap, check whether inventions are available for background licensing to Project Sponsor.
 - If available, TLO will “encumber” the invention(s) for the Project Sponsor.
- If a problem, notify PI (and/or Co-I and/or other named investigators) and try to resolve. If not resolved, notify MITEI and notify Project Sponsor before funding is committed.

TLO BIP Review Ratings and Implications

A Level I Project: No relevant background IP from named investigators

B1 Level II Project: Background IP from named investigators exists, that does not read directly on project described in proposal, but may possibly be relevant, depending on the course of the research and direction the project takes

The TLO will "encumber" this Background IP [invention(s)], such that the TLO will not offer an exclusive license to others without consulting with the PI, Co-I(s) and other named investigators and with the Project Sponsor if the relevance of the IP is evident at the time of licensing.

B2R Level III Project: Definitely relevant background IP that reads directly on project described in proposal - Will be encumbered for the Project Sponsor for the duration of the project

B2 Level IV Project: Highly relevant background IP licensed to others - Discuss with PI, Co-I(s), MITEI and Sponsor and decide whether/how to proceed with proposed project

RESULTS OF TLO BACKGROUND IP REVIEW OF THIS MITEI PROJECT PROPOSAL

The following **unlicensed** cases are relevant BIP, are available for licensing and should be encumbered for the MITEI Sponsor of this proposed research:

The following **non-exclusively licensed** cases are relevant BIP, are available for licensing and should be encumbered for the Project Sponsor of this proposed research:

The following **exclusively licensed** cases are relevant BIP and are **not available** for licensing. The Project Sponsor should be informed of these cases:

Upon request of the Project Sponsor, the TLO will contact the exclusive licensee to explore the possibility of a sublicense to the Project Sponsor of this proposed research.

This Proposal is rated:

___Level I Project (A) ___Level II Project (B1) ___Level III Project (B2R) ___Level IV Project (B2)

TLO BIP review results were discussed with PI and Co-Investigator(s) of this proposed research:

Yes ___ No ___

TLO Reviewer

Name	Date
Jack Turner Associate Director, TLO	Date

**TLO, please email a scanned PDF of this signed form to PI(s) and/or Co-I(s), with copy to MITEI and OSP
Email MITEI: jfob@mit.edu; Email OSP: hudak@mit.edu, ehall@mit.edu**

PI and Co-Investigator(s), please acknowledge by signing below and return to TLO, MITEI, & OSP:

I have read the TLO BIP review results and to the best of my knowledge, the review results are accurate and complete.

Principal Investigator	Co-Investigator
Signature_____	Signature_____
Printed Name:_____Date_____	Printed Name:_____Date_____

**Email scanned PDF of signed form to the TLO, with email copy to MITEI and OSP as follows:
(1) TLO: tlo@mit.edu (2) MITEI: jfob@mit.edu (3) OSP: hudak@mit.edu & ehall@mit.edu**

Hard copy of signed form may be mailed to:
MIT Technology Licensing Office, Room NE25-230, Five Cambridge Center, Cambridge, MA 02142
with copy to MITEI, E19-326, & copy to OSP, E19-750