Topics in the syntax-phonology interface: day 4

Distinctness

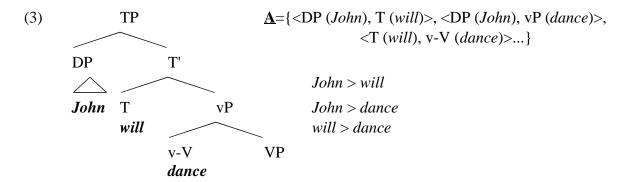
I. Introduction: Bans on Overcrowding

English Quotative Inversion (Alexiadou and Anagnostopoulou 2001)

- (1) a. "It's raining," said the weatherman
 - b. "It's raining," said [the weatherman] [to the anchorwoman]
 - c. * "It's raining," told [the weatherman] [the anchorwoman]

Doubl-ing (Ross 1972)

- (2) a. It began raining
 - b. It's beginning to rain
 - c. * It's beginning raining
 - assumption #1: Chomskyan (1998, 2001) phases, multiple spell-out
 - assumption #2: Kaynean (1994) antisymmetry



 $-->\alpha$ precedes β if

something dominating α asymmetrically c-commands something dominating β

- assumption #3: traces aren't linearized
 - ...in fact, no projection of a trace can appear in $\underline{\mathbf{A}}$.
- assumption #3':
 - specifier, adjunct to XP is contained but not dominated by XP (Kayne 1994)
 - image of α includes everything α dominates
 - α c-commands β iff:

every node dominating/containing α dominates/contains β , and neither of α , β contains the other

new proposal: For any $<\alpha$, $\beta>$ in $\underline{\mathbf{A}}$, α and β are just node labels.

$$\underline{\mathbf{A}}$$
 for (3)={, , ,...} [so what?]

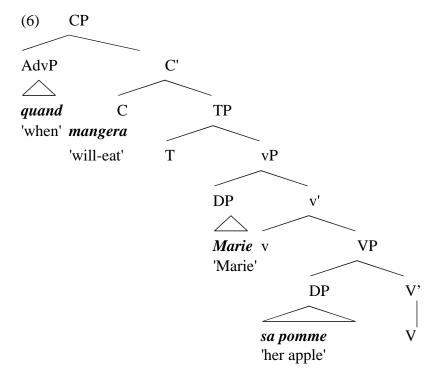
<DP, DP> is not very helpful: either self-contradictory (DP precedes itself) or uninformative (a DP precedes a DP), depending on how you read it.

-->useless for linearization. If linearization can't succeed without this, it fails.

II. Some failures of Distinctness

French Stylistic Inversion (Kayne and Pollock 1979, Valois and Dupuis 1992)

- (5) a. Je me demande quand partira ton ami
 - I me ask when will-leave your friend
 - 'I wonder when your friend will leave'
 - b. *Je me demande quand mangera [Marie] [sa pomme]
 - I me ask when will-eat Marie her apple
 - 'I wonder when Marie will eat her apple'



how to linearize DP (Marie) with DP (sa pomme)?

not <DP, VP>: DP (*sa pomme*) isn't dominated by VP, so not in its image not <DP, v>, <v, DP>, for reasons we'll come back to not <DP, DP>: unhelpful

-->linearization fails

(now all we have to worry about is phase boundaries....)

English Quotative Inversion

- (7) a. "It's raining," said the weatherman
 - b. "It's raining," said [the weatherman] [to the anchorwoman]
 - c. * "It's raining," told [the weatherman] [the anchorwoman]

Gerunds

- (8) a. the singing of the children
 - b. the singing of songs
 - c. *the singing [of the children] [of songs]

Multiple sluicing, multiple exceptives, even (Sauerland 1995, Moltmann 1995)

- (9) a. Every man danced with every woman, except [John] [with Mary]
 - b. Every man danced with every woman, even [John] [with Mary]
 - c. I know somebody was dancing with somebody, but I don't know [who] [with whom]
- (10) a. *Every man admired every woman, except [John] [Mary]
 - b. *Every man admired every woman, even [John] [Mary]
 - c. *I know somebody insulted somebody, but I don't know [who] [whom]

not a universal condition:

- (11) a. Jede Frau sah jeden Mann ausser [diese Frau] [diesen Mann] every woman saw every man except this woman this man
 - b. Ich habe jedem Freund ein Buch gegeben, aber ich weiß nicht mehr wem welches I have every friend a book given but I know not more who which 'I gave every friend a book, but I don't remember anymore who which'
- (12) Watashi-wa dono otokonoko-ni-mo hoshigatteita subete-no hon-o ageta ga,
 - I TOP every boy DAT wanted every book gave but dare-ni nani -o ka wasureta.
 who DAT what ACC Q forgot

'I gave every boy all the books he wanted, but I've forgotten who what'

linked to rich Case marking in German and Japanese? cf. conditions on Case-drop in Japanese:

- (13) Dareka -ga kita to kiita kedo, <u>dare (ga)</u> ka wakaranai someone NOM came that heard but who NOM Q know-NEG 'I heard that someone came, but I don't know who'
- (14) Dareka -ga nanika -o katta to kiita kedo, someone NOM something ACC bought that heard but dare*(ga) nani*(o) ka wakaranai who NOM what ACC Q know-NEG

'I know that somebody bought something, but I don't know who what'

	Tagalog predication		
(15)	a. Umuwi si Juan	a'.	<u>Si Juan</u> ay umuwi
	went-home Juan		\uparrow
	'Juan went home'		
	b. Mataas si Juan	b'.	<u>Si Juan</u> ay mataas
	tall Juan		\uparrow
	'Juan is tall'		
	c. Tungkol sa balarila ang libro	c'.	Ang libro ay tungkol sa balarila
	about grammar the book		\uparrow
	'The book is about grammar'		
	d. Guro si Maria	d'.	Si Maria ay guro
	teacher Maria		\uparrow
	'Maria is a teacher'		
	e. * Ang guro si Maria	e'. √	Si Maria ay ang guro
	the teacher Maria		<u> </u>
	'Maria is the teacher'		
	Maria is the teacher		

III. The relevance of phase boundaries

The Boring Alternative Hypothesis (BAH)

Syntactic objects with identical labels cannot be <u>linearly adjacent</u> (sometimes)

Distinctness is not about linear adjacency, part I:

linear adjacency with no distinctness effect

Assume multiple spell-out, with strong phases CP, transitive vP, and maybe DP

new assumption: the "edge" of a phase is spelled out with the higher phase (Nissenbaum 2000)

prediction: if a strong phase boundary intervenes, adjacent objects with identical labels should be OK

Chaha, Hindi, Miskitu, Spanish

Chaha object shift:

(16) a. C'am^wit nimam <u>ambir</u> tičəkir C'. normally cabbage cooks

'C'am^wit normally cooks cabbage'

b.*C'am^wit <u>ambir</u> nimam tičəkir C'. cabbage normally cooks

(17) a. *C'am^wit nimam <u>ambir x^wita</u> tičək^winn C'. normally cabbage the cooks b. C'am^wit ambir x^wita nimam tičək^winn

C'. cabbage the normally cooks

'C'am wit normally cooks the cabbage'

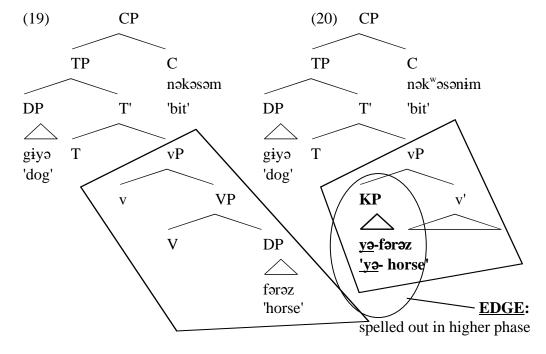
Chaha ya: marks DPs that are "too close" to other DPs; K(ase) head.

ya on specific animate DOs:

(18) a. Gɨyə fərəz nəkəsəm dog horse bit 'A dog bit a (non-specific) horse'

b. Giyə yə-fərəz nək^wəsənim

dog **ya** horse bit 'A dog bit a (specific) horse'



(19): fərəz 'horse' is linearized in vP

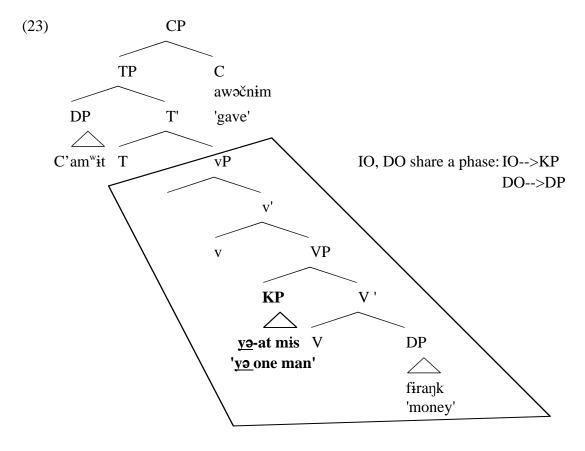
(20): $y - f \Rightarrow r \Rightarrow y - f \Rightarrow y - f$

yə on all IOs:

(21) C'am^wit yə-at mis firaŋk awəčnim C'am^wit yə one man money gave 'C'am^wit gave money to a (specific or non-specific) man'

ya always absent on DOs of ditransitives:

- (22) a. C'am^wit yə-tkə x^wita giyə awəčnim C'am^wit **yə** child the dog gave 'C'am^wit gave the child a/the dog'
 - b. *C'am^wit yə-tkə x^wita yə-gyə awəčnim C'am^wit **yə** child the **yə** dog gave



Hindi -ko, Miskitu -ra, Spanish a...

...appear on animate specific direct objects (of monotransitives)

(24) a. Ravii (ek) gaay k^hariidnaa caahtaa hai [*Hindi*: Mohanan 1994a]

Ravi one cow to-buy wish AUX 'Ravi wishes to buy a (non-specific) cow'

- b. Ravii ek gaay-ko k^hariidnaa caahtaa hai Ravi one cow *KO* to-buy wish AUX 'Ravi wishes to buy a (specific) cow'
- (25) a. Yang aaras (kum) atkri [*Miskitu*: Ken Hale, p.c.]

I horse a bought 'I bought a horse'

b. Yang aaras-ra atkri I horse **RA** bought

'I bought a/the (specific) horse'

- (26) a. Laura escondió un prisionero durante dos años [Spanish: Torrego 1998] Laura hid a prisoner for two years
 - b. Laura escondió a un prisionero durante dos años Laura hid *A* a prisoner for two years 'Laura hid a (specific) prisoner for two years'

'Laura hid a (non-specific) prisoner for two years'

...appear on indirect objects

(27) Ilaa-ne mãã -ko baccaa diyaa [*Hindi*]

Ila ERG mother *KO* child gave 'Ila gave a/the child to the mother'

(28) Yang tuktan ai yaptika-ra brihbalri [Miskitu]

I child his mother *RA* brought 'I brought the child to his mother'

(29) Describieron un maestro de Zen al papa [Spanish]

they-described a master of Zen A-the pope 'They described a Zen master to the pope'

...don't (usually *) appear on direct objects of ditransitives

(30) *ilaa-ne mãã -ko bacce-ko diyaa [Hindi]

Ila ERG mother KO child KO gave

'Ila gave a/the child to the mother'

(31) *Yang tuktan -ra ai yaptika-ra brihbalri [Miskitu]

I child **RA** his mother **RA** brought

'I brought the child to his mother'

(32) *Describieron a un maestro de Zen al papa [Spanish] they-described A a master of Zen A-the pope 'They described a Zen master to the pope'

(i) ?Mostré/ presenté al alumno al profesor I-showed/I-introduced *A*-the student *A*-the teacher 'I showed/introduced the student to the teacher'

Torrego (1998) offers arguments that the direct objects of such verbs are structurally higher than those of verbs like the one in (32); she suggests a general "exclusion of structures that have [two DPs marked with a] in the same Casechecking domain" (Torrego 1998, 134), which is certainly compatible with the approach developed here.

Case more generally

<u>Dependent Case</u> (Massam (1985), Yip et al (1987), Marantz (1991), Harley (1995))

<u>Case-competitors</u> (Bittner and Hale (1996))

Burzio's Generalization

Case marking appears when more than one DP is present.

*DP DP

 \sqrt{DP} **<u>KP</u>** [Nom Acc]

 $\sqrt{\mathbf{KP}}$ $\overline{\mathrm{DP}}$ [Erg Abs]

 \sqrt{DP} NP [Incorporation?]

^{*} Spanish does allow examples like (32) with some verbs:

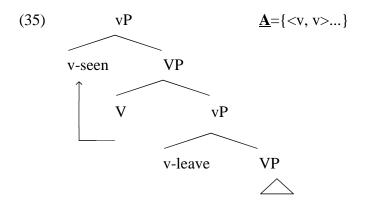
Perception verb passives, doubl-ing, doubl-inf

English perception verbs with bare-verb complements...

- (33) a. We saw John leave
 - b. We let John leave
 - c. We made John leave

...cannot be passivized

- (34) a. *John was seen __ leave
 - b. *John was let __ leave
 - c. *John was made __ leave



...can be linearly adjacent to complement verb

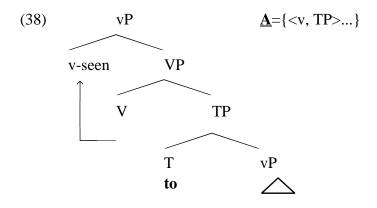
- (36) a. [how many prisoners] did you see __ leave?
 - b. [how many prisoners] did you let __ leave?
 - c. [how many prisoners] did you make __ leave?

allow higher verb in English to raise out of vP:

- higher vP in (36) is **transitive**, hence a strong phase; verbs linearized in different phases
- higher vP in (34) is **intransitive**, hence not a strong phase; verbs linearized together

...can be saved by an intervening functional head

- (37) a. John was seen __ to leave
 - b. John was made __ to leave



b.*John appears [enjoy movies] English doubl-ing (Ross 1972), Italian double infinitives (Longobardi 1980) (40) a. It continued to rain b. It continued raining	<u></u>
(40) a. It continued to rainb. It continued raining	<u></u>
c. It's continuing to raind. *It's continuing raining	
(41) *Paolo potrebbe sembr <u>are</u> dorm <u>ire</u> tranquillamente Paolo could seem-INF sleep-INF quietly	
can be linearly adjacent: (42) a. the children [that I was watching [playing] b. Ecco l'uomo [che puoi vedere portare ogni giorno dei fio here's the-man that you-can see-INF take-INF every day some flor	
can (sometimes) be saved by an intervening functional head: (43) a. *Claudio potrebbe desiderare finire il suo lavoro Claudio could want-INF finish-INF the his work b. Claudio potrebbe desiderare di finire il suo lavoro	
and cf. English wanna-contraction:	
 a. Who do you want [PRO to beat]?>wanna b. Who do you want [_{vP} to leave]>*wanna c. I don't want [[PRO_{arb} to flagellate oneself in public] to become standa 	ard]>*wanna
OC vs. NOC: Landau: OC involves T-to-C Hornstein, Manzini & Roussou: OC is really Raising	
either way: wanna-contraction is possible just when want and to are in the same	e phase
Linear adjacency isn't always sufficient to get a Distinctness effect:	
(i) Giyə horse bit	
'A dog bit a horse' (ii) Who did you see [vP leave]?	

-->Distinctness is about structure, not linear order

- <u>strike one</u> for the Boring Alternative Hypothesis (BAH): linear adjacency without Distinctness (this section)
- **strike two** for the BAH:

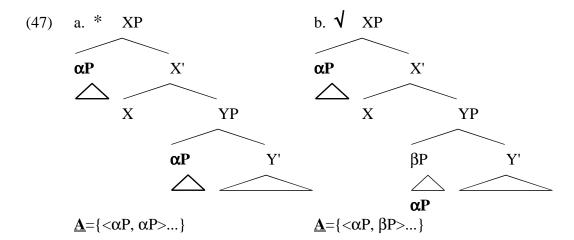
Distinctness without linear adjacency (next section)

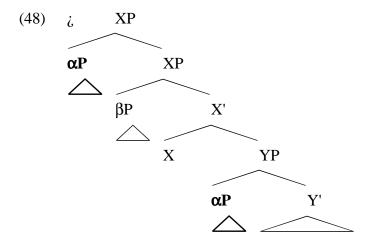
IV. How to become distinct: embedding

Distinctness is not about linear adjacency, part II:

distinctness effects without linear adjacency

- (45) a. *John was seen leave
 - b. John was seen to leave
- (46) a. *Gɨyə fərəz x^wɨta nək^wəsənɨm dog horse the bit
 - 'A dog bit the horse'
 - b. Giyə **yə**-fərəz x^wita nək^wəsənim





$$\underline{\mathbf{A}} = \{ \langle \alpha P, \beta P \rangle, \langle \beta P, \alpha P \rangle ... \} \quad \text{-->} *$$

Adverbs? What adverbs?

- (49) a. "It's raining," said the weatherman
 - b. "It's raining," said [the weatherman] [to the anchorwoman]
 - c. * "It's raining," told [the weatherman] [the anchorwoman]
- (50) a. "It's raining," said the weatherman **sadly**
 - b. "It's raining," said [the weatherman] **sadly** [to the anchorwoman]
 - c. * "It's raining," told [the weatherman] **sadly** [the anchorwoman]
- (51) a. Je me demande [où Marie mange **habituellement** sa pomme]
 - I wonder where M. eats usually her apple
 - b. *Je me demande [où mange Marie (habituellement) sa pomme]

(and see also the conditions on "PF adjacency" in Bobaljik (1995))

Polish (Szczegielniak (1999))

travelling inflection in Polish:

- (52) *Polish* (Adam Szczegielniak, p.c.)
 - a. On wie z (e poszedes do kina he knows that went-2SG to movies
 - 'He knows that you went to the movies'
 - b. On wie z (es poszeddo kina he knows that-2SG went to movies

complementizer repetition: inflection must land on second complementizer:

- (53) *Polish* (Adam Szczegielniak, p.c.)
 - a. On wie z (e z (es poszedło kina he knows that that-2SG went to movies
 - b. *On wie z(e z(e posz**eś** do kina he knows that that went-2SG to movies

...even if a topic intervenes between the complementizers:

- (54) a. On mysla: z(e Janowi z(e**s** da ksia7z(ke7 he thought that John that-2SG gave book 'He thought that you gave the book to John'
 - b. *On myśla: z(e Janowi z(e **44** ksia7z(ke7 he thought that John that gave-2SG book

V. Functional and lexical heads

All of the above cases have (potentially) involved interactions between functional heads.

Chomsky (2001), Alec Marantz (p.c.): Late Insertion may only apply for functional heads

-->lexical heads might interact differently for Distinctness?

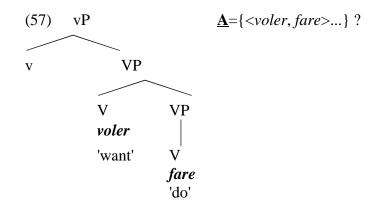
Italian double-infinitive filter, revisited

(55) *Paolo potrebbe sembr<u>are</u> __ dorm<u>ire</u> tranquillamente Paolo could seem-INF sleep-INF quietly

restructuring verbs are an exception:

(56) Giovanni comincia a <u>voler</u>lo <u>fare</u> Giovanni begins to want-INF-it do-INF

Wurmbrand (1998, to appear): restructuring verbs are missing all their functional structure.



Construct state

- (58) a. ha-bayit šel ha-mora [Hebrew] the house of the teacher
 - b. [beyt] ha- mora house the teacher
 - c. *ha-beyt ha-mora
- (59) a.[[hata] fhear] an tí [*Irish*: Bammesberger 1983] hat man the house-GEN

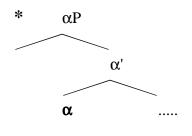
'the hat of the man of the house' b. *hata an fhear an tí

c. *hata (an) fhir an tí

hat the man-GEN the house-GEN

VI. Specifiers

(60)



• $<\alpha$, XP>: XP doesn't dominate lower α P?

• $\langle \alpha, \alpha P \rangle$: don't distinguish bar levels?

or, ordering X with XP=*?

Case resistance, anti-Case resistance, anti-anti-Case resistance

X'

(61) a. *They're talking about [that they should leave]

 αP

[Case resistance]

b. They're talking about [what they should buy]

XP

[anti-Case resistance]

c. *They're talking about [with whom they should dance] [anti-anti-Case resistance]

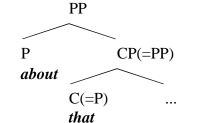
(and evidence that this is all an output constraint, maybe on linearization)

- (62) a. *We talked about [that he was sick] for days
 - b. [That he was sick], we talked about ___ for days

(Bresnan 2001, 17)

If declarative C=P, then (61a) is a Distinctness case:

(63)

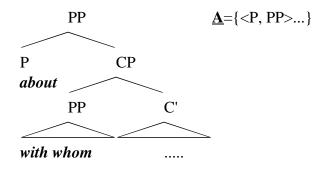


 $\underline{\mathbf{A}} = \{ < P, C(=P) > \dots \}$

The well-formedness of (61b) is a mystery.

(61c)=Distinctness again, assuming (64) is ruled out:

(64)



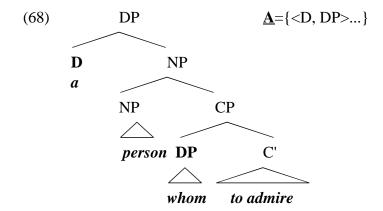
Relativization

- (65) a. a person [with whom to dance]
 - b. *a person [whom to admire]
 - c. a person [to admire]
- (66) a. l'homme [avec qui j'ai dansé] [French: Pesetsky 1998, 341] the-man with whom I-have danced
 - b. *l'homme [qui je connais] the-man whom I know
 - c. l'homme [que je connais] the-man that I know

Classic story: "deletion up to recoverability" (Chomsky 1977) Problem for classic story:

- (67) a. *a person [whose uncle to admire]
 - b. *l'homme [la femme de qui tu as insultée] [French: Pesetsky 1998, 343] the-man the wife of whom you have insulted

New story: with this kind of relative clause, you can't use a DP relative operator. Why a DP?



DP-internal syntax

Chaha

(69) yə-βet wəka yə house roof-beam 'the house's roof-beams'

Wampanoag

(70) a. Washkeetôp nâw-âw mashq-ah man see DIR bear **OBV**

'The (previously discussed) man sees a bear'

b. Washkeetôpâ-ah nâw-uq mashq man **OBV** see INV bear

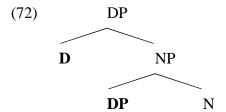
'A man sees the (previously discussed) bear'

c. washkeetôp wu-hshum -ah man 3 daughter-in-law **OBV**

'the man's daughter-in-law (previously discussed or not)'

English

- (71) a. they destroyed the city
 - b. the destruction [of the city]



VII. Deletion

- (73) <u>Koj kakvo</u> kupi? [*B ulgarian*] who what bought?
- (74) <u>John-ga nihongo-ga</u> wakaranai [*Japanese*] John NOM Japanese NOM understand-NEG 'John doesn't know Japanese'
- (75) Gianni me lo dice [Italian]
 G. me it says
 'Gianni says it to me'

me-lui constraint

(76) a. Tha **su ton** stilune [Greek: Anagnostopoulou to appear] will you-GEN him-ACC send-3PL 'They will send him to you' b. *Tha **tu se** stilune will him-GEN you-ACC send-3PL 'They will send you to him'

"spurious se" (Perlmutter 1971, Bonet 1995...)

- (77) a. El premio, **lo** dieron a Pedro ayer. [Spanish: Bonet 1995, 632] the prize it-ACC they-gave to Pedro yesterday 'The prize, they gave it to Pedro yesterday'
 - b. A Pedro, **le** dieron el premio ayer. to Pedro him-DAT they-gave the prize yesterday 'To Pedro, they gave him the prize yesterday'
 - c. A Pedro, el premio, **se lo** dieron ayer to Pedro the prize REFL it-ACC they-gave yesterday 'To Pedro, the prize, they gave it to him yesterday'
- (78) D D me-lui

 [Gender] [Gender]

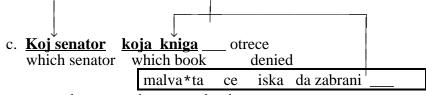
 [Number] [Number]

 [Person] [Person]

 [....]
- (79) D D spurious se [Gender] [Number]

[and cf. person-animacy hierarchies.....]

• me-lui constraint for (e.g.) wh-phrases.....=Principle of Minimal Compliance? (80)Bulgarian (Roumyana Pancheva, Ani Petkova, Roumyana Slabakova... p.c.) a. *Koja kniga otrece senatora*t which book denied the-senator malva*ta ce iska da zabrani the-rumor that wanted to ban 'Which book did the senator deny [the rumor that he wanted to ban t]? b. **Koj senator** ___ otrece which senator denied malva*ta iska da zabrani Vojna i Mir ce the-rumor that wanted to ban war and peace 'Which senator denied the rumor that he wanted to ban War and Peace?'



the-rumor that wanted to ban

'Which senator denied the rumor that he wanted to ban which book?'

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