

# Density hysteresis of heavy water confined in a nanoporous silica matrix

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**A neutron scattering technique was developed to measure the density of heavy water confined in a nanoporous silica matrix in a temperature-pressure range, from 300 to 130 K and from 1 to 2,900 bars, where bulk water will crystallize. We observed a prominent hysteresis phenomenon in the measured density profiles between warming and cooling scans above 1,000 bars. We interpret this hysteresis phenomenon as support (although not a proof) of the hypothetical existence of a first-order liquid–liquid phase transition of water that would exist in the macroscopic system if crystallization could be avoided in the relevant phase region. Moreover, the density data we obtained for the confined heavy water under these conditions are valuable to large communities in biology and earth and planetary sciences interested in phenomena in which nanometer-sized water layers are involved.**

confined water | equation of state | liquid–liquid critical phenomenon

In many biological and geological systems, water resides in pores of nanoscopic dimensions, or close to hydrophilic or hydrophobic surfaces, comprising a layer of water, one or two molecules thick, with properties often different from the bulk. Such “confined” or “interfacial” water has attracted considerable attention, due to its fundamental importance in many processes, such as protein folding, concrete curing, corrosion, molecular and ionic transport, etc. (1–3). However, our understanding of the numerous physicochemical anomalies of confined water, and indeed of bulk water, is still incomplete. Basic gaps persist, among which the most interesting one is the origin of the unusual behavior of water in the supercooled region where water remains in the liquid state below the melting point (4–7). Recent studies have aimed at explaining anomalies such as the density maximum and minimum (8–10), and the apparent divergence of the thermodynamic response functions at 228 K at ambient pressure (11). The three major hypothesized scenarios currently under scrutiny are the “singularity-free (SF) scenario” (12, 13), the “liquid–liquid critical point (LLCP) scenario” (14, 15), and the “critical point-free (CPF) scenario” (16). It is hypothesized, by all these three scenarios, that in the low temperature range bulk water is composed of a mixture of two structurally distinct liquids: the low-density liquid (LDL) and the high-density liquid (HDL). They are respectively the thermodynamic continuation of the low-density amorphous ice (LDA) and high-density amorphous ice (HDA) into the liquid state. Evidence of a first-order phase transition between LDA and HDA has been reported since 1985 (17–20). Subsequently, several experimental findings have been interpreted as support of the hypothetical existence of two different structural motifs of liquid water (21–27). However, some of the interpretations have been questioned (28, 29). So far, direct evidence of a first-order liquid–liquid phase transition between LDL and HDL, as a thermodynamic extension of the first-order transition established in the amorphous solid waters, has not yet been observed.

An experimental challenge arises because the hypothesized first-order liquid–liquid phase transition exists in a region of the phase diagram, often called “no man’s land” (5), in which bulk water cannot exist in a liquid state. One method of overcoming this difficulty is to take advantage of confinement. By confining water in a nanoporous silica matrix, MCM-41-S with 15-Å pore diameter, the homogeneous nucleation process (crystallization) can be avoided, allowing us to enter no man’s land and investigate the properties of liquid water. There is still much debate on the differences and similarities between bulk and confined water (16, 30–32); however, even if the silica matrix, with its hydrophilic surfaces, might affect properties of water other than the suppression of homogeneous nucleation, confined water in MCM-41-S is representative of many environments of interest in biological and geological sciences where similar hydrophilic interfaces are intrinsic and important (1).

In this paper, we describe an efficient method for the density determination employing the cold neutron spin polarized inelastic neutron spectrometer (SPINS) at the National Institute of Standards and Technology (NIST) Center for Neutron Research (NCNR). Using this method, we are able to obtain sensitive measurements of the density of D<sub>2</sub>O confined in MCM-41-S as a function of closely spaced temperatures (1-K interval) from 300 to 130 K in a range of pressures from ambient to 2,900 bars, achieving a remarkably good signal-to-noise ratio. The reliability and accuracy of the method are extensively discussed in *Materials and Methods*. There, we also estimate the extent of possible effects related to small amounts of helium from the pressurizing system being dissolved in the water, and the layering water distributions along the pore radius direction, showing that such effects are most likely negligible. Density plays a central role in many classical phase transitions. In particular, it is the order parameter in the gas–liquid and liquid–solid transitions. Therefore, its experimental determination assumes primary importance regarding the hypothesized liquid–liquid phase transition. In making such measurements, we are seeking evidence of a remnant of a first-order liquid–liquid phase transition of water that would exist in the macroscopic system if it were possible to avoid crystallization.

## Results and Discussions

We begin by explaining the purpose of our experimental procedures using Fig. 1, which shows the hypothesized phase diagram of low temperature water in the presence of a first-order HDL–LDL transition. Normally, a discontinuous change of the state

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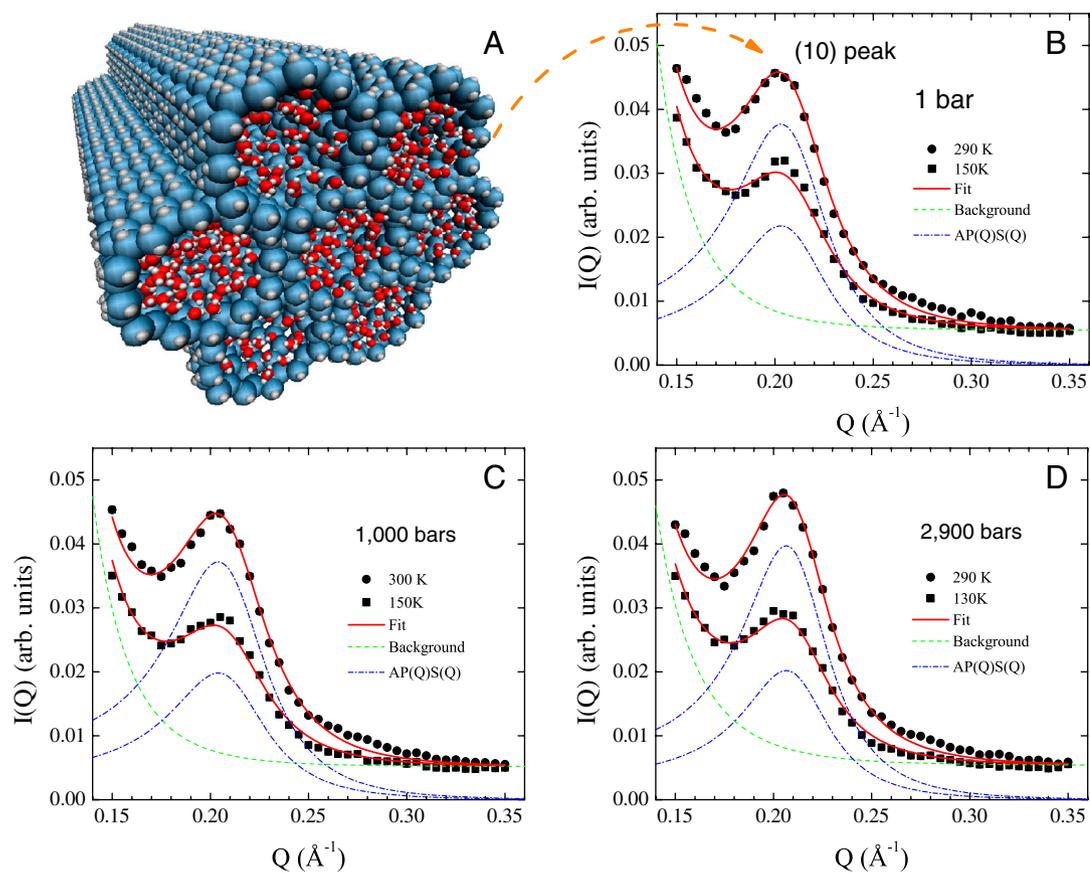
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**Fig. 2.** This figure demonstrates that the neutron diffraction intensities can be fitted with the model described in *Materials and Methods*. (A) Schematic representation of a D<sub>2</sub>O hydrated MCM-41-S nanoporous silica crystallite (pore diameter  $2R \approx 15 \text{ \AA} \pm 2 \text{ \AA}$ ). (B–D) The elastic neutron diffraction intensity  $I(Q)$  at three pressures measured by SPINS at NCNR. The structure factor peak at around  $0.21 \text{ \AA}^{-1}$  comes from the (10) plane of the 2D hexagonal arrangement of the water cylinders in the crystallite. The peak height is proportional to the square of the difference of neutron SLD and the silica matrix and therefore is a sensitive indicator of the average mass density of D<sub>2</sub>O in the pores. By fitting with Eq. 1, the temperature-independent background (green dashed line) and the temperature-dependent elastic diffraction intensities (blue dash-dotted line) can be separated accordingly.

In comparison to the density difference between high- and low-density amorphous H<sub>2</sub>O ice (about 25%, measured at much lower temperatures), the observed difference is small. The reason, to our best speculation, might be a combined effect of confinement, isotopic difference, and temperatures. Note that the accuracy of the absolute density we determined depends on the background subtraction and the scaling. However, the relative shape of the density profiles is independent of the analysis.

Another remarkable feature of the density profiles is that a clear minimum is observed at each measured pressure. The minimum temperature  $T_{\min}$  decreases from 210 to 170 K as the pressure is increased from ambient to 2,900 bars. Poole et al. have proposed that the occurrence of such a density minimum is an indication of full development of a defect-free random tetrahedral network (RTN) of the hydrogen bonds (36). Below  $T_{\min}$  the completed RTN shows normal thermal contraction as the temperature is further lowered. Our results therefore imply that at higher pressures, the RTN can be reached only at lower temperatures. This is a consequence of the fact that the enthalpically favorable hydrogen-bonded RTN has a lower density compared to its less developed counterpart.

We now consider whether the observed density hysteresis can be related to a liquid–glass transition of confined heavy water (37–39), as distinct from a glass transition, in which the macroscopic observables may depend on the thermal history of the system. In the literature, the glass transition temperature of bulk H<sub>2</sub>O at ambient pressure is commonly accepted to be around 130 K (40, 41) and is suggested to be modified to be about 160 K (42, 43). It is expected to be even lower at elevated pressures. Moreover, the structural relaxation time of the confined H<sub>2</sub>O was reported to be in the order of a few nanoseconds at around 220 K at ambient pressure (44, 45) and even faster at elevated pressures (46). Note that in our experiments we scan at 0.2 K/min, which is many orders of magnitude slower than the structural relaxation time of the confined water. Therefore,

it is apparent that the maximum hysteresis we observe in confined D<sub>2</sub>O at high pressures happens far above the glass transition.

To further support our conclusion, we measured the  $Q$ -dependent generalized librational density of states  $G(E)$  of H<sub>2</sub>O confined in MCM-41-S at ambient pressure. Here, we measured H<sub>2</sub>O rather than D<sub>2</sub>O because of the dominance of incoherent scattering from hydrogen. Many properties of D<sub>2</sub>O differ from H<sub>2</sub>O by a shift of about a few degrees, but the topologies of their phase diagrams are expected to be similar in the region under current investigation. Hence, for our purposes, it is reasonable to use H<sub>2</sub>O rather than D<sub>2</sub>O in this measurement. The  $G(E)$  was measured twice using the filter analyzer neutron spectrometer (FANS) at NCNR, both times at  $T = 180 \text{ K}$ ,  $P = 2,500 \text{ bars}$ . This state point is presumably close to the maximum density difference between the cooling and warming scans of D<sub>2</sub>O confined in MCM-41-S. The sample is prepared in such a way that it approaches the same  $T$ - $P$  point from two different paths: a cooling approach and a warming approach as explained in *Materials and Methods*. The measurement time is 18 h per run. As one can see from Fig. 4, the difference between the two measured  $G(E)$  is slightly larger than their error bars. The same confined water sample is also measured at 10 K, at which temperature water should have already solidified in an amorphous form for the same reason that the homogenous nucleation is suppressed. For comparison, an additional measurement of the bulk crystalline ice is performed at 30 K, 1 bar. The  $G(E)$  of crystalline ice is characterized by a sharp increase in the energy range from 65 to 70 meV, whereas that of our confined water gradually increases from 40 to 70 meV. This difference implies that water confined in MCM-41-S does not crystallize. From inspection of the spectra of confined water, one can tell that the  $G(E)$  at 180 K measured from the two different approaches slightly differs from the amorphous solid water at 10 K. Indeed, the density of states of amorphous ice and liquid water are not expected to be radically different.



interaction between waters and surface Si-OH represent a contribution that is “constant” and water-like. That is, the hydrophilic silanol surface provides a small and constant perturbation to the confined water. It is known that near the hydrophilic surface such as silica, there is a layer of denser water, whereas in the center of the pores water distributes uniformly (32, 55–61). The behavior of water near a hydrophobic surface may be different because of the lack of compensating hydrogen bonds from the surface and therefore requires more careful investigations (10, 58–60, 62). In the pores of MCM-41-S, the long-range ice-like order cannot develop; thus, the homogeneous nucleation process is inhibited. Differential scanning calorimetry check was routinely performed to make sure of (i) no freezing of bulk water and (ii) no freezing of confined water occurs down to 130 K.

**SPINS Experiment.** In this experiment, we attempt to measure the average density of D<sub>2</sub>O confined in the pores of MCM-41-S. The measurement was carried out at the NCNR using the cold neutron triple-axis spectrometer SPINS, operated in an elastic scattering mode with incident neutron energy of 3.7 meV. The D<sub>2</sub>O hydrated MCM-41-S sample was loaded in the NCNR pressure cell HW-02 with a sample volume of 1.5 cm<sup>3</sup>. D<sub>2</sub>O has a considerably different coherent neutron scattering length density from that of the silica matrix, giving rise to a well-defined Bragg peak. Pressure was applied with helium gas (see *SI Text*). The sample temperature was controlled using a top-loading closed-cycle refrigerator. A small amount of helium was used to ensure thermal exchange between the sample and the wall of the refrigerator, whose temperature was controlled with accuracy better than 0.01 K. The density data are reported as a function of the sample temperature, which is recorded by a sensor located just above the pressure cell.

The diffraction pattern of our sample consists of three parts: (i) the low-Q scattering of the fractal packing of the grains, which follows a power law Q dependence; (ii) a Bragg peak at around  $2\pi/d$  coming from the 2D hexagonal internal structure of the grains; and (iii) the Q-independent incoherent background. The elastic neutron diffraction was performed at the lowest and highest temperature at each pressure. Note that the only temperature dependence is the amplitude of the Bragg peak (at  $0.21 \text{ \AA}^{-1}$ ), which is directly related to the water density. Therefore, we sit at the peak position, measuring the scattering intensity  $I(Q = 0.21 \text{ \AA}^{-1}, T)$  as a function of temperature while ramping the temperature from 300 to 130 K at 0.2 K/min. This ramping rate is slow enough to allow the sample to reach a uniform temperature.

**Data Analysis.** In our experiment, we used long wavelength neutrons ( $\lambda = 4.7 \text{ \AA}$ ) and focused on the small-angle region (Q from  $0.15 \text{ \AA}^{-1}$  to  $0.35 \text{ \AA}^{-1}$ ). In such a configuration, neutrons view the water and the silica matrix as continuous media and only the long-range ( $>18 \text{ \AA}$ ) order is probed. The short-range water–water, silica–silica, and water–silica correlation peaks are located at Q values larger than  $1.5 \text{ \AA}^{-1}$ , which are beyond the Q range we studied and thus will not concern our measurements. In a small-angle diffraction experiment, the neutron scattering intensity distribution  $I(Q)$  is given by  $I(Q) = nV_p(\Delta\rho_{\text{slid}})^2\bar{P}(Q)S(Q)$ , where  $n$  is the number of scattering units (water cylinders) per unit volume,  $V_p$  is the volume of the scattering unit,  $\Delta\rho_{\text{slid}} = \rho_{\text{slid}}^{\text{D}_2\text{O}} - \rho_{\text{slid}}^{\text{MCM}}$  is the difference of SLD between the scattering unit  $\rho_{\text{slid}}^{\text{D}_2\text{O}}$  and the environment  $\rho_{\text{slid}}^{\text{MCM}}$ ,  $\bar{P}(Q)$  is the normalized particle structure factor (or form factor) of the scattering unit, and  $S(Q)$  is the inter-cylinder structure factor of a 2D hexagonal lattice (63). The SLD of the scattering unit  $\rho_{\text{slid}}^{\text{D}_2\text{O}}$  is proportional to its mass density  $\rho_m^{\text{D}_2\text{O}}$  as  $\rho_{\text{slid}}^{\text{D}_2\text{O}} = \alpha\rho_m^{\text{D}_2\text{O}}$ , where  $\alpha = \frac{N_A \sum b_i}{M}$ ,  $N_A$  is Avogadro’s number,  $M$  is the molecular weight of D<sub>2</sub>O, and  $b_i$  is the coherent scattering length of the  $i$ th atom in the scattering unit. The SLD of the silica material has been determined by a separate contrast matching experiment by hydrating the sample with a different ratio of D<sub>2</sub>O and H<sub>2</sub>O. When the molar ratio is [D<sub>2</sub>O]:[H<sub>2</sub>O] = 0.66:0.34, the Bragg peak is matched out. Compared to water, silica is a rather rigid material. Its thermal expansion coefficient is in the order of  $10^{-6}/\text{K}$  compared to  $10^{-3}/\text{K}$  of water. As shown in Fig. 2, the position and the width of the Bragg peaks do not change with temperature, indicating the structure change of the confining matrix is negligible in the measured temperature range. Therefore, based on the above relations, we find that all the variables in the expression for  $I(Q)$  are independent of temperature except for  $\rho_m^{\text{D}_2\text{O}}$ . Hence we are able to determine the density of confined D<sub>2</sub>O by measuring the temperature-dependent neutron scattering intensity  $I(Q)$  at the Bragg peak.

The form factor  $\bar{P}(Q)$  of a long ( $QL > 2\pi$ ) cylinder is given by  $\bar{P}(Q) = \pi/QL(2J_1(QR)/QR)^2$ , where  $L$  and  $R$  represent the length and the radius of the cylinder, respectively, and  $J_1(x)$  is the first-order Bessel function of the first kind. The structure factor  $S(Q)$  can be well approximated by a Lorentzian function. Therefore, the measured neutron scattering intensity is expressed as

$$I(Q) = nV_p^2(\alpha\rho_m^{\text{D}_2\text{O}} - \rho_{\text{slid}}^{\text{MCM}})^2 \frac{\pi}{QL} \left( \frac{2J_1(QR)}{QR} \right)^2 \left( \frac{\frac{1}{2}\Gamma}{(Q - \frac{2\pi}{d})^2 + (\frac{1}{2}\Gamma)^2} \right) + B \cdot Q^{-\beta} + C, \quad [1]$$

and at the Bragg peak  $Q_0 = 2\pi/d$ ,

$$I(Q_0) = A(\alpha\rho_m^{\text{D}_2\text{O}} - \rho_{\text{slid}}^{\text{MCM}})^2 + B \cdot Q_0^{-\beta} + C, \quad [2]$$

where  $\Gamma$  is the FWHM, and  $C$  is the Q-independent incoherent background (8). The approximation of the Bragg peak by a Lorentzian function is purely empirical. The broadening of a diffraction peak comes from many factors, such as the imperfection of the lattice, the instrument resolution, etc. But the choice of the peak formula form will not affect the extraction of the density of water, which merely depends on the peak height.

By fitting the neutron scattering intensity with the above model at the highest and lowest temperature at each pressure, the parameters  $B$ ,  $\beta$ , and  $C$  are obtained. We are thus able to subtract the “background” (the second and third term in the above equations) with confidence. We determine the last unknown temperature independent constant  $A$  by normalizing the density of the highest temperature at each pressure to that of the bulk D<sub>2</sub>O taken from NIST Scientific and Technical Database (NIST Chemistry WebBook <http://webbook.nist.gov/chemistry/fluid/>).

Some of the authors have previously used a similar small-angle neutron scattering (SANS) to determine the density of D<sub>2</sub>O in MCM-41-S at ambient pressure (8, 64). A similar method has also been used to measure the density of confined toluene (65) and benzene (66). Recently, the reliability of this method to determine the density of water confined in MCM-41-S has been criticized because of a possible layering effect of water in the pores (67). However, the scenario hypothesized in ref. 67 assumes the existence of voids in the hydrated pores; this possibility is not consistent with our measurement of a contrast matched sample ([D<sub>2</sub>O]:[H<sub>2</sub>O] = 0.36:0.34) in which the diffraction peak is almost completely masked and no evidence of the scattering from the voids can be recognized. The hypothesis of the existence of voids in the hydrated pores originates from a layering of density profiles suggested in ref. 67, which implies that water can penetrate into the wall of MCM-41-S. The problem of whether there is void (micropores) on the wall of MCM-41 has been investigated by the gas adsorption technique many times since 1993. The great majority concluded that MCM-41 materials do not have any microporosity. Recent experiments suggest that MCM-41 is exclusively mesoporous with no water penetration into the wall (68). On the basis of these results we believe that the layering density profiles suggested in ref. 67 is unrealistic and inconsistent with the scattering pattern of our measurements.

The effect related to a nonuniform distribution of water in the pore will be contained in the  $\bar{P}(Q)$  term (see *SI Text*). It is generally believed that near the hydrophilic surface such as silica there is a 2- to 3- $\text{\AA}$  layer of water with about 10% higher density, whereas in the center of the pores water distributes uniformly (32, 55–61). When we compare the normalized particle structure factors of this core-shell cylinder and its average, we find that at around the Bragg peak position ( $Q = 0.2 \text{ \AA}^{-1}$ ), the difference of the  $\bar{P}(Q)$  is about 5%. When compared to the observed 40% change of the SLD, one realizes that the change of density profile itself would not be enough to contribute to the overall change of the SANS intensities. The small fluctuations at the sub- $\text{\AA}$  scale do not provide further information for density, which is a macroscopic quantity. It should be emphasized that small-angle neutron scattering has a “low” spatial resolution and therefore the details of the SLD will have no appreciable effect on the collected data. Therefore, the nonuniform distribution of the water in the pores would account only for a minor correction to the change of the intensity of the Bragg peak, which is mainly influenced by the contrast between the average SLD in the pore and the silica matrix  $(\bar{\rho} - \rho_s)^2$ . The small-angle scattering measurements allow us to determine the average density of fluids in the pores as previously performed by Alba-Simionesco and co-workers (65, 66).

**FANS Experiment.** The inelastic neutron scattering (INS) experiment was performed using the FANS at the NCNR. The same MCM-41-S sample was hydrated with H<sub>2</sub>O following the same procedure described previously with D<sub>2</sub>O. Then the hydrated sample was loaded to the identical pressure cell used in the SPINS experiment. The temperature was controlled using a closed-cycle refrigerator with accuracy better than 0.1 K. The Cu(220) monochromator was used in order to access energy transfers between 30 and 250 meV. The measured INS spectra collected on FANS are representative, within

certain approximations, of the generalized librational density of states  $G(E)$  of the water confined in MCM-41-S (69).

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