The Energy / Climate-Change Challenge and the Role of Nuclear Energy in Meeting It

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Prof. David J. Rose 1922-1985

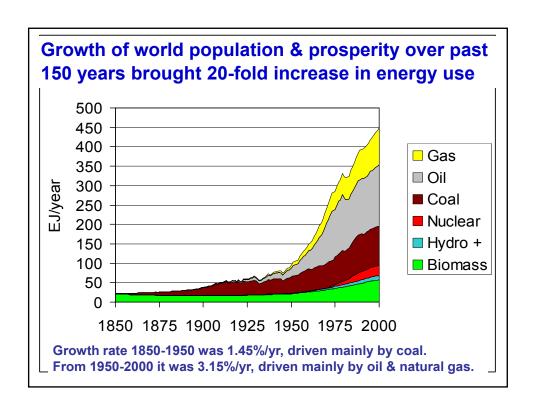
"At least some of our international friction is directly traceable to disputes over possession of the areas favored with abundant energy resources. ... The acquisition of land endowed with energy and material resources motivates much of our current strife. It is to be hoped that the nuclear processes of fission and fusion may permanently solve at least the need for energy and thus contribute substantially to the peaceful relations among mankind."

Rose & Clark, *Plasmas and Controlled Fusion*, 1962

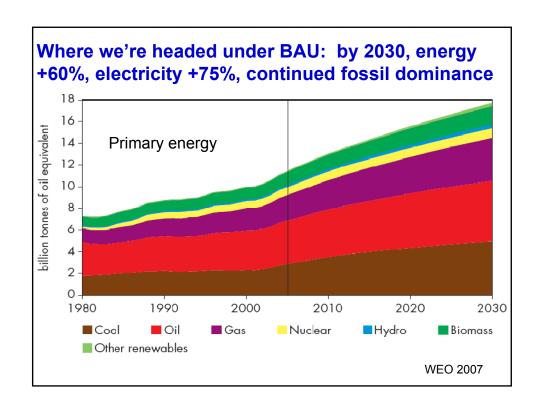
Coverage of this talk

- The character of the energy challenge
- The two toughest problems
 - meeting transport needs with less oil
 - meeting economic aspirations with less CO₂
- · What needs to be done
- What the Obama Administration is doing
- The role of nuclear energy
 - the future of fission
 - the future of fusion

The character of the challenge



Where w	e are: er	nergy an	d foss	il CO ₂ in	2008
	population (millions)	ppp-GDP (trillion \$)	energy (EJ)	fossil E (percent)	fossil CO ₂ (MtC)
World	6692	69.7	545	82%	8390
China	1326	7.9	99	85%	1910
USA	304	14.2	105	86%	1670
Russia	142	2.3	30	91%	440
India	1140	3.4	29	64%	390
World Bank 2009, BP 2009					09, BP 2009



What's problematic about this future?

The problem is <u>not</u> "running out" of energy

Some mid-range estimates of world energy resources. Units are terawatt-years (TWy). Current world energy use is \sim 17 TWy/year.

OIL & GAS, CONVENTIONAL	1,000
UNCONVENTIONAL OIL & GAS (excluding clathrates)	2,000
COAL	5,000
METHANE CLATHRATES	20,000
OIL SHALE	30,000

URANIUM in conventional reactors 2,000 ...in breeder reactors 2,000,000

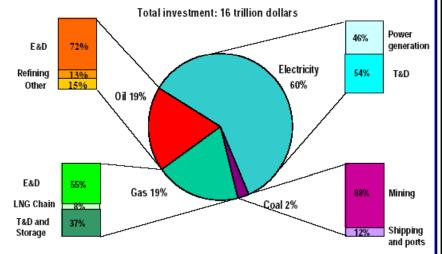
FUSION (if the technology succeeds) 250,000,000,000

RENEWABLE ENERGY (available energy per year)

sunlight on land30,000energy in the wind2,000energy captured by photosynthesis120

Nor is the problem running out of money

Projected capital investment for energy supply 2001-2030



This is under 1% of projected Gross World Product for the period, and only about 5% of projected world investment. Could reach 15% of investment in developing countries.

Real problems: the economic, environmental, and security risks of fossil-fuel dependence

- Increasing dependence on imported oil & natural gas means economic vulnerability, as well as international tensions and potential for conflict over access & terms.
- <u>Coal burning for electricity & industry and oil burning in vehicles</u> are main sources of severe urban and regional air pollution SO_x, NO_x, hydrocarbons, soot with big impacts on public health, acid precipitation.
- Emissions of CO₂ from all fossil-fuel burning are largest driver of global climate disruption, already associated with increasing harm to human well-being and rapidly becoming more severe.

Real problems: Alternatives to conventional fossil fuels all have liabilities & limitations

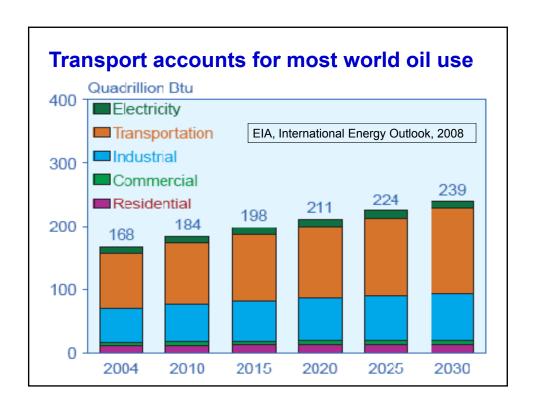
- <u>traditional biofuels</u> (fuelwood, charcoal, crop wastes, dung) create huge indoor air-pollution hazard
- <u>industrial biofuels</u> (ethanol, biodiesel) can take land from forests & food production, increase food prices
- <u>hydropower and wind</u> are limited by availability of suitable locations, conflicts over siting
- solar energy is costly and intermittent
- <u>nuclear fission</u> has large requirements for capital & highly trained personnel, currently lacks agreed solutions for radioactive waste & links to nuclear weaponry
- · nuclear fusion doesn't work yet
- <u>coal-to-gas and coal-to-liquids</u> to reduce oil & gas imports doubles CO₂ emissions per GJ of delivered fuel
- increasing end-use efficiency needs consumer education

The two toughest problems

- Reducing urban & regional air pollution and the dangers of overdependence on oil despite growing global demand from the transportation system (which accounts for most oil use in USA & elsewhere)
- Providing the affordable energy needed to create & sustain prosperity everywhere without wrecking the global climate with carbon dioxide emitted by fossil-fuel burning

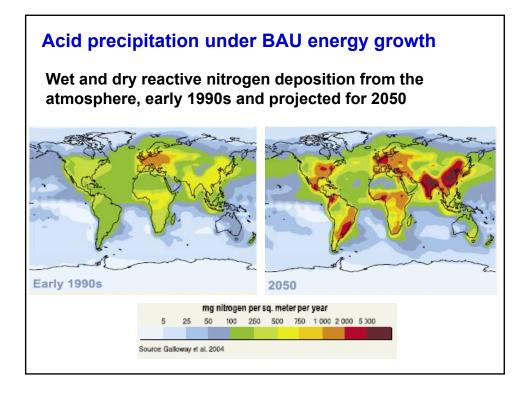
The oil problem: supply & security

- USA in 2008 used 21 million barrels per day of oil, importing 66% of it.
- Forecasts show US oil use rising to 28 Mb/d by 2030, with <u>all</u> of the increase coming from imports.
- World used 82 Mb/d in 2008, 63% of it traded internationally.
- Consumption forecasted to rise from 82 Mb/d in 2008 to 120 Mb/d in 2030.
- China's imports by 2030 expected to pass 12 Mb/d (a level 1st reached by the USA in 2004).
- It remains true that most of the world's known & suspected oil resources are in the Middle East.



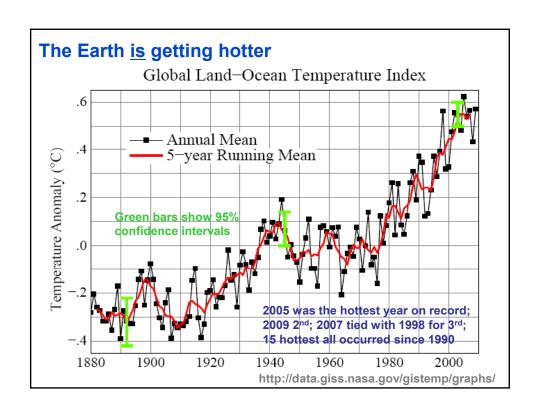
The oil challenge: environment

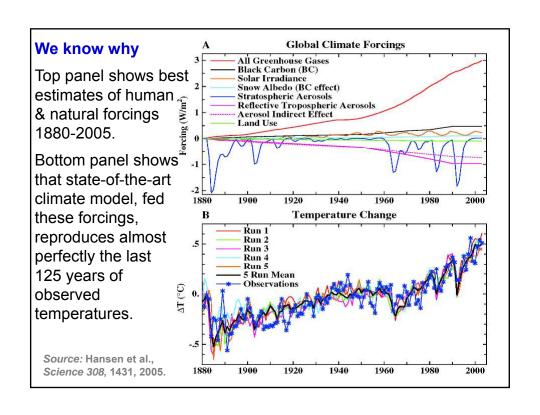
- Oil used in transport is the largest source of NO_x and hydrocarbon air pollution.
- The number of cars in the world is soaring, producing increased congestion and even more pollution.
- Combustion of petroleum fuels accounts for about 40% of CO₂ emissions from energy – same as coal – and this is expected to continue.



The climate-change problem

- Global climate is changing rapidly and humans are responsible for most of the change.
- CO₂ emissions are the largest driver & 75-85% of these come from combustion of fossil fuels (the rest from deforestation).
- Fossil CO₂ emissions are immense (~31 billion tons/yr in 2008) & difficult to capture & store.
- The world's 80%-fossil-fuel-dependent energy system represents a \$15 trillion capital investment that takes 30-40 years to turn over.
- Avoiding biggest risks requires sharply reducing CO₂/energy ratio starting immediately.



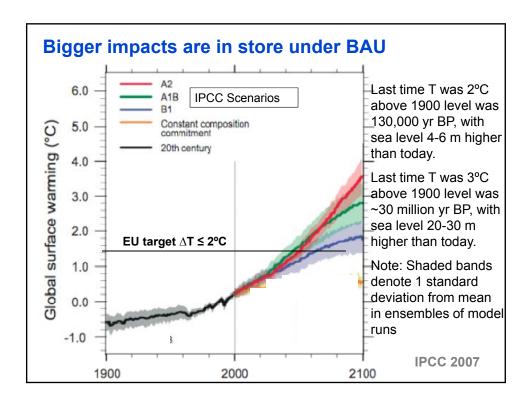


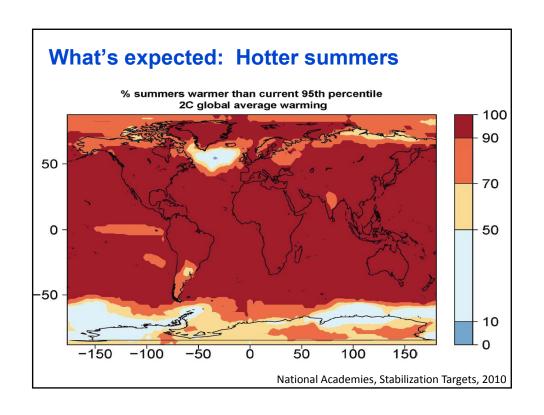
Harm is already occurring

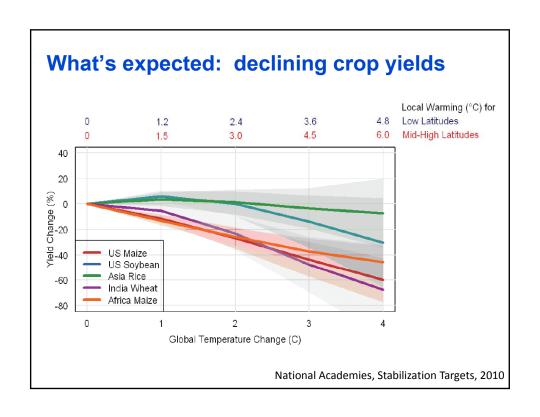
Worldwide we're seeing, variously, increases in

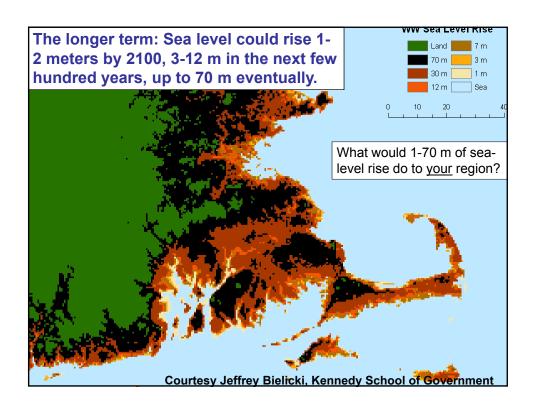
- floods
- wildfires
- droughts
- heat waves
- pest outbreaks
- coral bleaching events
- power of typhoons & hurricanes
- geographic range of tropical pathogens

All plausibly linked to climate change by theory, models, observed "fingerprints"









What needs to be done?

What needs to be done: Oil

- Improve & promote rail & other public transportation + land-use planning for shorter commutes.
- Strengthen vehicle fuel-economy standards
- Provide manufacturer & consumer incentives to promote domestic production & increased use of advanced diesel & hybrid-electric vehicles.
- Accelerate development & deployment of nonpetroleum transportation-fuel alternatives.
- Build international cooperation to promote alternatives to expanded oil use in <u>all</u> countries.

What needs to be done: Climate change

There are only three options:

- <u>Mitigation</u>, meaning measures to reduce the pace & magnitude of the changes in global climate being caused by human activities.
- <u>Adaptation</u>, meaning measures to reduce the adverse impacts on human well-being resulting from the changes in climate that do occur.
- <u>Suffering</u> the adverse impacts that are not avoided by either mitigation or adaptation.

Mitigation & adaptation are both essential

- No feasible amount of mitigation can stop climate change in its tracks.
- Adaptation efforts are already taking place and must be expanded.
- But adaptation becomes costlier & less effective as the magnitude of climate changes grows.
- We need enough mitigation to avoid unmanageable climate change, enough adaptation to manage the degree of change that's unavoidable.

Adaptation possibilities include...

- · Changing cropping patterns
- Developing heat-, drought-, and salt-resistant crop varieties
- Strengthening public-health & environmentalengineering defenses against tropical diseases
- Building new water projects for flood control & drought management
- Building dikes and storm-surge barriers against sea-level rise
- Avoiding further development on flood plains & near sea level

Some are "win-win": They'd make sense in any case.

Mitigation possibilities

CERTAINLY

- Reduce emissions of greenhouse gases & soot from the energy sector
- Reduce deforestation; increase reforestation & afforestation
- Modify agricultural practices to reduce emissions of greenhouse gases & build up soil carbon

CONCEIVABLY

- "Geo-engineering" to create cooling effects offsetting greenhouse heating (white roofs...)
- "Scrub" greenhouse gases from the atmosphere technologically

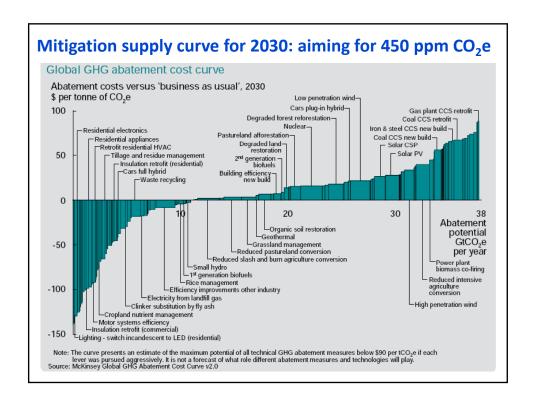
How much mitigation is enough?

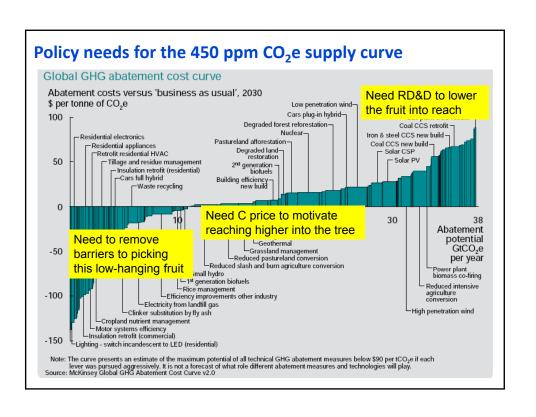
- 550 ppmv CO₂-e (50% chance of ΔT_{avg} < 3^oC) looks unlikely to avoid unmanageable change
- 450 ppmv CO₂-e (50% chance of ΔT_{avg} < 2⁰C) would be more prudent
- Achieving 450 ppmv requires that...
 - global emissions level off by ~2020 and decline thereafter to ~50% below 2000 emissions by 2050.
 - emissions in USA & other industrial countries level off by 2015 and decline thereafter to ~80% below 2000 emissions by 2050.

Some realities about mitigation

- Stabilizing at 450 ppmv CO₂-e means 2050 global CO₂ emissions must be at least ~7-9 GtC/yr below BAU (i.e., a cut of 50% or more from BAU).
- Ways to avoid 1 GtC/yr in 2050 include...
 - energy use in buildings cut 20-25% below BAU in 2050,
 - fuel economy of 2 billion cars ~60 mpg instead of 30,
 - carbon capture & storage for 800 1-GWe coal-burning power plants,
 - -700 1-GWe nuclear plants replacing coal plants,
 - -1 million 2-Mwe-peak wind turbines (or 2,000 1-Gwe-peak photovoltaic power plants) replacing coal power plants

Socolow & Pacala, 2004





What's the Obama Administration Doing?

The Obama administration's strategy

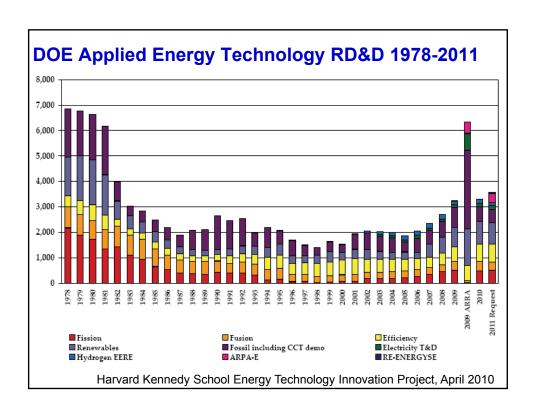
- Promote recognition that the energy-climate challenge is real and warrants early action
 - The longer we wait, the more money we send abroad, the more pollution we suffer, the bigger the damage from climate change, and the faster we'll need to move later to avoid unmanageable consequences
 - Prudent action will be <u>cheaper</u> than inaction or delay.
 - We can reduce costly and risky oil imports and dangerous air pollution with the same measures we employ to reduce climate-disrupting emissions.
 - The needed surge of innovation in clean-energy technologies and energy efficiency will create new businesses & new jobs and help drive economic recovery& growth, maintain global competitiveness.

Obama administration strategy (continued)

- Provide new funding & initiatives for energy RD³, climate-change research, adaptation.
- Revitalize USGCRP & other interagency efforts
- Work with Congress to get comprehensive energy-climate legislation that puts a price on GHG emissions.
- Work with other major emitting countries –
 industrialized & developing to build technology
 cooperation and individual & joint climate policies
 to "avoid the unmanageable" and "manage the
 unavoidable".

Agency initiatives

- Recovery Act has ~\$80B for clean & efficient energy (incl efficient transportation) in 2009-10
- New ARPA-E in DOE gets \$400M in Recovery Act, \$300M proposed for FY2011
- Other DOE increases in FY2011 budget proposal:
 - All applied energy RD&D = \$3.9B, up 7%
 - Energy Frontier Research Centers = \$140M
 - Energy Innovation Hubsa = \$107M
 - Basic Energy Sciences = \$1.8B, up 12%
 - ^a Energy Efficient Building System Design, Fuels from Sunlight, Modeling & Simulation, Batteries & Energy Storage



Agency initiatives (continued)

- EPA/DOT: fuel-economy/CO₂ tailpipe standards for light-duty vehicles (coming soon for trucks)
- EPA: "endangerment finding" that CO₂ imperils health & welfare, allowing regulation as a pollutant
- NOAA: restructuring to consolidate "climate services" germane to climate-change adaptation.
- DOI: restructuring to develop Climate Change Response Centers and Landscape Conservation Cooperatives, Carbon Storage Project
- DOT-HUD-EPA: Partnership for Sustainable Communities

Revitalizing broad interagency efforts

- The "Green Cabinet" (OECC Director chairs)
 - Secretaries of Energy, Interior, Agriculture,
 Transportation, HUD, Labor; EPA Administrator; SBA
 Administrator; CEQ Chair; OSTP Director
- National Science & Technology Council (NSTC)
 - Committee on Environment and Natural Resources
 (CENR) chaired by Abbott, Lubchenco, Anastas
- The US Global Change Research Program
 - New science, integrated assessment, adaptation focuses, budget to \$2.6B (up ~20%)
- Climate-Change Adaptation Task Force
 - Co-chaired by OSTP, CEQ, NOAA, with senior representation from all relevant agencies

National climate-change legislation

- President Obama was emphatic that new US energy legislation should include climate, above all a price on carbon emissions.
- The climate component was reluctantly & temporarily abandoned because of insufficient support in the US Senate.
- We will try anew in the next Congress; in the meantime, EPA is moving ahead to limit greenhouse-gas emissions by regulation.

International engagement

- Personal engagement of President Obama to salvage a tolerable outcome in Copenhagen
- Energy & climate cooperation elevated to priorities in:
 - Multilateral Economic Forum, G-8, G-20
 - revitalized ministerial-level Commissions on Science
 Technology Cooperation (with Brazil, China, India, Japan, Korea, Russia)
 - US-China Strategic & Economic Dialogue
 - US-Russia Bilateral Presidential Commission
- New bilateral clean-energy projects with China, India

The Roles of Fission & Fusion

Current contribution of nuclear energy

WORLD

- About 440 nuclear-fission power reactors* totaling 375 GWe of capacity in some 30 countries generated ~13% of world electricity in 2009. (Share has been falling.)
- Completion of reactors currently under construction will make the total around 500 reactors and 430+ GWe.

UNITED STATES

- The 104 operating US power reactors have a total capacity of 100.8 GWe and generated 20% of US electricity in 2009.
- As of 1 October 2010, 1 more is under construction and 9 additional are planned, totaling 13 Gwe.
 - * ~350 of the 440 reactors worldwide are light-water reactors (LWRs). The rest are mainly heavy-water reactors, gas-cooled reactors, and graphite-moderated light-water reactors.

Factors governing expandability of nuclear energy in the USA & worldwide

- Demand
 - economic growth, degree of electrification (esp transport), success of end-use efficiency improvements
 - ability of nuclear energy to deliver non-electric energy products (high-T process heat, hydrogen)
- Economics
 - cost of electricity, construction cost, risk premium, unit size (affects market size and investment "lumpiness")
 - economics of competing sources
- Resource availability
 - uranium supply vs cost
 - effect on fuel-cycle choice and cost

Factors governing expandability in the USA & worldwide (continued)

- · Safety & environment
 - comparison with alternatives in fact & perception
 - radioactive wastes, reactor safety vs air pollution, climate change, land use
- International security
 - energy dependence/independence
 - nuclear-weapon proliferation

Economics: Costs of nuclear vs fossilfueled generation

Table 1.1 Costs of Electric Generation Alternatives

			LEVELIZED COST OF ELECTRICITY		
	OVERNIGHT COST	FUEL COST	BASE CASE	W/CARBON CHARGE \$25/TCO₂	W/ SAME COST OF CAPITAL
\$2007	\$/KW	\$/MBTU	¢/KWH	¢/KWH	¢/KWH
Nuclear	4,000	0.67	8.4		6.6
Coal	2,300	2.60	6.2	8.3	
Gas	850	4/7/10	4.2/6.5/8.7	5.1/7.4/9.6	

MIT, Future of the Nuclear Fuel Cycle, 2010

- Carbon charges >>\$25/tCO₂ highly likely by 2025
- Small modular reactors could drop unit cost, maybe COE

World uranium reserves & resources

kgU = kilogram of uranium; t = metric ton = 1,000 kg RURR = remaining ultimately recoverable resources

Australian U Info Ctr (2002): RURR (<\$80/kgU) ~30 million t

Red Book (2009): RURR (<\$130/kgU) ~13 million t

MIT (2010): RURR (<\$260/kgU) ~100 million t

Extrapolation from US: RURR (<\$260/kgU) 60-180 million t

In a conventional light-water reactor (LWR) w once-through fuel cycle, 1 million t U yields 400 EJ = 13 TWy thermal energy = 4 TWy electricity = 36 trillion kWh electricity.

100 million t = 400 TWye; year 2100 with 3500 GWe is \sim 3.2 TWye/yr, so 100 Mt is 100+ years at this level.

for Different Assum Market Share ^a	ptions about Elect	ricity Growth Rates	and Nuclea		
	ALTERNATIV	ALTERNATIVE AVERAGE ELECTRICITY GROWTH RATES 2000–2050 %			
NUCLEAR GENERATION MARKET SHARE %	1.5	2.0	2.5		
17	650	838	1,060		
20	770	970	1,235		
25	880	1,235	1,545		

How much nuclear to get significant leverage in reducing CO₂ emissions?

- As another reference point in this vein, I calculated how much nuclear would be needed to double nuclear's share of world electricity from the 2000 figure of 17% to 33% by 2050, given business as usual electricity growth.
- The answer is ~1700 GWe of nuclear capacity in 2050, or roughly 1400 GWe more than existed in 2000.
- If these 1400 GWe of additional nuclear capacity all replaced what would otherwise have been coal-fired power plants lacking CO₂ capture, the avoided emissions would be 2 GtC/yr (C content of avoided CO₂).
- So this aggressive nuclear expansion goal yields 2 GtC/yr out of the 7-9 GtC/yr reduction from BAU that we need an important contribution, but we'll <u>also</u> need renewables, CO₂ capture from fossil, and bigger efficiency increases.

Implications of nuclear at this scale

- Consider 1700 GWe of world nuclear capacity by 2050 (to make 1/3 of projected electricity and save 2 GtC/yr)
- If these were light-water reactors on the once-through fuel cycle, enrichment of their fuel would require ~250 million Separative Work Units (SWU).
 - Diversion of 0.1% of this enrichment to production of HEU from natural uranium would make ~20 gun-type or ~80 implosion-type bombs.
- If half the reactors were recycling their plutonium, the associated flow of separated, directly weapon-usable plutonium would be 170,000 kg per year.
 - Diversion of 0.1% of this quantity would make ~30 implosion-type bombs.
- Spent-fuel production in the once-through case would be 34,000 tonnes/yr. (Total production to date ~300,000 t.)

Safety and environment

- REACTOR SAFETY, in a world of 1,700 or more reactors, will probably be considered adequate if the probability of a major core-melt accident can be kept to the range of 10-6 per reactor per year. This is probably already achieved by the best current designs, at least absent deliberate attack/sabotage. Bolstering defenses against the latter may entail further effort.
- RADIOACTIVE WASTES must be shown to be manageable without significant worker or public radiation exposure in the short to medium term, with the expectation of a problem-free permanent solution in the long term. This is surely achievable technically relying on centralized engineered interim storage in the short to medium term but public acceptance could remain challenging.

Proliferation

- PROLIFERATION RESISTANCE should be increased by a combination of technical and institutional means. In the short term, this will involve
 - avoiding use of highly enriched uranium,
 - minimizing horizontal proliferation of enrichment facilities by offering fuel on attractive terms (with take-back) & establishing fuel banks
 - minimizing inventories of separated plutonium (by minimizing reprocessing and maximizing disposition), and
 - improving protection and safeguards for all stocks of these materials.

In the longer term, it might well require

- foregoing plutonium recycle indefinitely (using, e.g., uranium from sea water and other very low-grade ores), or
- developing recycle technologies that do not separate plutonium completely from fission products, <u>and/or</u>
- placing all enrichment and reprocessing facilities in internationally operated and guarded complexes.

2010 MIT Nuclear Fuel Cycle Study: Recommendations

Implementation of the first mover program of incentives should be accelerated for the purposes of demonstrating the costs of building new nuclear power plants in the U.S. under current conditions and, with good performance, eliminating the financial risk premium. This incentive program should not be extended beyond the first movers (first 7–10 plants) since we believe that nuclear energy should be able to compete on the open market as should other energy options.

For the next several decades, a once through fuel cycle using light water reactors (LWRs) is the preferred economic option for the U.S. and is likely to be the dominant feature of the nuclear energy system in the U.S. and elsewhere for much of this century. Improvements in light-water reactor designs to increase the efficiency of fuel resource utilization and reduce the cost of future reactor plants should be a principal research and development focus.

2010 MIT Nuclear Fuel Cycle Study: Recommendations (continued)

Planning for long term managed storage of spent nuclear fuel—for about a century—should be an integral part of nuclear fuel cycle design. While managed storage is believed to be safe for these periods, an R&D program should be devoted to confirm and extend the safe storage and transport period.

The possibility of storage for a century, which is longer than the anticipated operating lifetimes of nuclear reactors, suggests that the U.S. should move toward centralized SNF storage sites—starting with SNF from decommissioned reactor sites and in support of a long-term SNF management strategy.

We recommend an R&D program to improve existing repository options and develop alternative options with different technical, economic, geological isolation, and institutional characteristics.

2010 MIT Nuclear Fuel Cycle Study: Recommendations (continued)

The US and other nuclear supplier group countries should actively pursue fuel leasing options for countries with small nuclear programs, providing financial incentives for forgoing enrichment, technology cooperation for advanced reactors, spent fuel take back within the supplier's domestic framework for managing spent fuel, and the option for a fixed term renewable commitment to fuel leasing (perhaps ten years).

Integrated system studies and experiments on innovative reactor and fuel cycle options should be undertaken in the next several years to determine the viable technical options, define timelines of when decisions need to be made, and select a limited set of options as the basis for the path forward.

These are sound recommendations and track what the Administration is doing.

Fusion: Is it worth the trouble?

Fuel supply

Much larger than for fission, but fission fuel supply is so huge (with breeder reactors) that there is little practical advantage here.

Cost

Fusion <u>fuel</u> costs are negligible, but engineering complexity, exotic materials requirements, and likely high replacement rate of neutron-damaged components mean that construction costs & nonfuel O&M costs may more than offset this advantage.

· Accidents / sabotage

Significant radioactivity releases are still possible with fusion, because of tritium & neutron activation of structural material. But very large releases are less likely by accident (and harder to cause by sabotage) because volatile radioactivity inventory is smaller than fission, radioactive "afterheat" is smaller, and a runaway reaction is highly improbable.

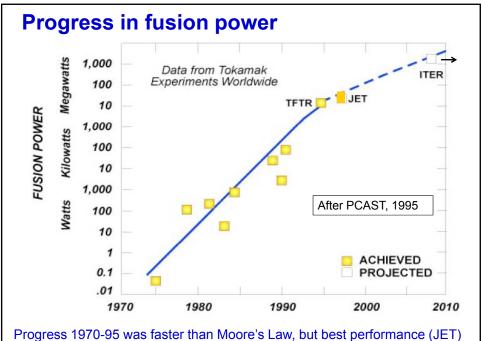
Fusion: Is it worth the trouble? (cont)

· Radioactive-waste management

By the most meaningful measures (integrated dose potential, not volume), fusion burden should be 10 to 1,000 times smaller than for fission (ratio depending on fusion material choices and design).

Nuclear-weapon proliferation

- The essential material ingredient for any nuclear explosive is plutonium or highly enriched uranium (HEU). Fusion reactors would not contain or make either one in normal operation. (They could be modified to make plutonium, but this would require prolonged control of the reactor and would be easy to detect.)
- Tritium would be present in 1st-generation nuclear reactors and is used in some kinds of nuclear weapons, but such cannot be made at all without plutonium or HEU.
- One of the approaches to harnessing fusion energy the "inertial confinement" approach – exploits insights & computational techniques germane to some aspects of nuclear weaponry; but, again, making actual weapons requires plutonium or HEU.



still short of energy "break-even". ITER not expected to operate until 2018.

Fusion: Worth the trouble? If so, how soon?

- Fusion has been pursued for 50+ years with much funding, enthusiasm, and international cooperation because of
 - the above listed potential advantages over fission
 - (like fission) a lack of CO₂ emissions, lower land use than many renewables, and possible cost advantages over solar.
 - potential exotic applications beyond electricity generation
 - fascinating science with valuable non-energy spin-offs
- But after ~\$30 billion worldwide it still doesn't work as an energy source, and finding out whether it will could cost another \$30-50 billion and take another 30 to 50 years.

My personal judgment is that we should invest this money. We have too few inexhaustible energy options not to try. But it's hard to justify spending \$0.4-0.8 billion/yr of taxpayer money (in the USA) on fusion if we're only spending \$4 billion per year on energy research, development, and demonstration of all energy-supply and energy-end-use-efficiency technologies combined.

The answer...

...is not to forego investing in fusion RD&D but to increase the total US federal investment in energy-technology R&D from ~\$4B/yr to ~\$15B/yr, as President Obama advocated in his campaign, as well as incentivizing increased private-sector investments in energy RD&D.

This is the sort of level of effort required to develop the potential of <u>all</u> the energy options we'll need to meet the energy / climate-change challenge – nuclear, advanced fossil, renewable, increased end-use efficiency.

Where to get the money in the current difficult economic situation is a challenge, but not necessarily an insurmountable one. (See, most recently, the Brookings / American Enterprise Institute report.)

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