

THE RISE OF CHINA

17.547 (U)

17.548 (G)

Fall 2007

Prof. Edward S. Steinfeld
Department of Political Science
Massachusetts Institute of Technology

Office: E53-455
Office Hours: by appt.
Email: edstein@mit.edu

Location: 1-150
Time: Tues./Thurs.,
9:30-11:00 am

Description

This lecture course examines the causes and consequences of China's emergence as a global economic and political force. By employing perspectives from both comparative politics and international relations, the course explores the connections between China's domestic transformation and its foreign policy. Lectures and readings cover the historical process of China's rise and the myriad challenges facing the contemporary Chinese system. The course also examines the impact of China's rise on issues of regional and global concern, including military security, economic competitiveness, environmental sustainability, and political stability.

Format

The course will meet on Tuesday and Thursday mornings. Lectures will begin promptly at 9:35 and end at 10:55. Because lectures will be interactive, students are expected to have completed the readings prior to the beginning of the session for which they have been assigned.

Materials

The following books are recommended for purchase at the COOP or online:

Jonathan Spence, *The Search for Modern China* (New York: WW Norton, 2001).

James Mann, *About Face* (New York: Vintage, 2000).

Craig Calhoun, *Neither Gods nor Emperors* (Berkeley: Univ. of California Press, 1997).

Harold Brown, Joseph Prueher, and Adam Segal, *Chinese Military Power: Report of an Independent Task Force* (New York: Council on Foreign Relations Press, 2003).

Other readings are available on the Stellar website:
<http://stellar.mit.edu/S/course/17/fa07/17.547/index.html>

Requirements for 17.547 (UNDERGRADUATE)

Undergraduates will be expected to have completed each session's reading assignments prior to the lecture. Attendance at all lectures and discussion sessions is mandatory.

Grades will be determined as follows: take-home midterm exam (20 percent), four quizzes (20 percent), final take-home exam (50 percent), class participation (10 percent)

Requirements for 17.548 (GRADUATE)

Graduate students will be expected to have completed each session's reading assignments prior to lecture. Attendance at all lectures and discussion sessions is mandatory.

Grades will be determined as follows: take-home midterm exam (30 percent), final research paper (60 percent), class participation (10 percent)

Course Policies

Extensions and make-up exams/quizzes will not be granted except in cases of emergency. In such cases, a note from the dean or your physician will be required.

Written assignments turned in late (without a dean's or physician's note) will be penalized a half grade per day (e.g., a B to a B-).

Plagiarism will not be tolerated. Plagiarism is "a piece of writing that has been copied from someone else and is presented as being your own work." This includes ideas as well as specific phrases, sentences, or paragraphs. Do not misrepresent your own work. See "Avoiding Plagiarism" from MIT's Writing Center (<http://web.mit.edu/writing/Citation/plagiarism.html>) and MIT's rules regarding academic honesty (<http://web.mit.edu/policies/10.0.html>).

Key Dates

Sept. 20	Quiz 1
Oct. 16	Quiz 2
Oct. 18	Midterm take-home exam distributed in class
Oct. 25	Midterm take-home exam due at start of class
Nov. 6	Quiz 3
Nov. 27	Quiz 4
Dec. 4	Final take-home exam distributed in class
Dec. 11	Final take-home exam due at start of class
Dec. 11	Graduate student research papers due in class

SCHEDULE OF MEETINGS AND READINGS

Sept. 6 China's Rise: Fantasy and Reality

Sept. 11 The Quest for Modernity: Fissures in the Old System

Lu Xun, "Preface" and "The True Story of Ah Q," in *Selected Stories of Lu Hsun* (Beijing: Foreign Languages Press, 1978), pp. 1-6, 65-112.

Skim for Historical Background:

John King Fairbank, *China: A New History* (Cambridge: Harvard Univ. Press, 1992), pp. 1-28, 46-71, 101-107.

Sept. 13 The Quest for Modernity: Pressures from Abroad

Jonathan Spence, *The Search for Modern China* (New York: WW Norton, 2001), Chapters 7-8 (pp. 143-193).

Sept. 18 Revolution as Liberation

Edgar Snow, *Red Star Over China* (New York: Grove, 1938), pp. 35-85.

Skim for Historical Background:

Kenneth Lieberthal, *Governing China* (New York: WW Norton, 1995), pp. 27-56.

Sept. 20 Discussion Session and Quiz I

Sept. 25 Planners, Leaners, and Dreamers

Spence, ch. 20, 541-573, ch 21, 574-583

Bo Yibo, "The Making of the 'Leaning to One Side' Decision," *Chinese Historian*, Vo. 5, No. 1 (Spring 1992), pp. 57-62

Sept. 27 The Cultural Revolution

Spence, ch. 21, 583-617.

Edward S. Steinfeld, "Cultural Chaos," *Harvard Magazine*, Jan. 2007

Available on-line at: <http://harvardmagazine.com/2007/01/cultural-chaos.html>

Oct. 2 Leaning to the Other Side: Alliance with the U.S.

James Mann, *About Face* (New York: Vintage, 2000), pp. 13-114.

Oct. 4 Reform: Unleashing the Winds of Change

Dwight Perkins, "Completing China's Move to the Market," *Journal of Economic Perspectives*, vol. 8, no. 2 (Spring 1994), pp. 21-46.

Robert F. Ash, "The Evolution of Agricultural Policy," *The China Quarterly* (116), Dec. 1988, pp. 529-555.

Oct. 9 Holiday, No class

Oct. 11 The Upheaval of 1989: Collapse of the Old Social Contract

Craig Calhoun, *Neither Gods nor Emperors* (Berkeley: Univ. of California Press, 1997), skim entire book.

Oct. 16 Discussion Session and Quiz 2

Oct. 18 Modernity as Capitalism, Legitimacy as Growth

Yingyi Qian and Jinglian Wu, "China's Transition to a Market: How Far Across the River?" in Nicholas C. Hope, Dennis Tao Yang, and Mu Yang Li, editors, *How Far Across the River: Chinese Policy Reform at the Millennium*, Stanford University Press, 2003, pp. 31-63.

Oct. 23 Modernity as Capitalism, (Local) Government as Business

Jean Oi, "Fiscal Reform and the Economic Foundations of Local State Corporatism," *World Politics*, Vol. 45, no. 1 (October 1992), pp. 99-126.

Adam Segal and Eric Thun, "Thinking Globally, Acting Locally," *Politics and Society*, vol. 29, no. 4 (December 2001), pp. 557-588.

**Oct. 25 Modernity as Capitalism: Government Failure in the Countryside
(collect midterm)**

Chen Guidi and Wu Chuntao, *Will the Boat Sink the Water? The Life of China's Peasants* (New York: Public Affairs, 2006), Chapters 1 and 3 (pp. 3-26, 65-89).

Oct. 30 Shopfloor of the World: China and Global Industrial Outsourcing

Edward S. Steinfeld, "China's Shallow Integration: Networked Production and the New Challenges for Late Industrialization," *World Development*, v. 32, n. 11, 2004, pp. 1971-1987.

Nov. 1 Foreign Economic Policy: China and Institutional Outsourcing

Thomas Moore, "China's International Relations: The Economic Dimension," in Samuel S. Kim, ed., *International Relations in Northeast Asia* (Lanham, MD: Rowman and Littlefield, 2004), pp. 101-134

Edward S. Steinfeld, "The Capitalist Embrace: China Ten Years after the Asian Financial Crisis," in Andrew Macintyre, TJ Pempel, and John Ravenhill, eds., *East Asia Ten Years After the Crisis* (Ithaca: Cornell University Press, forthcoming).

Nov. 6 Discussion Session and Quiz 3

Nov. 8 Governance as Rule of Law

Jiang Zemin's Report to the 16th Party Congress, 2002 (skim entire report, but focus on section "Objectives of Building a Well-Off Society in an All-Around Way").

Available for free on-line at:

http://news.xinhuanet.com/english/2002-11/18/content_633685.htm

Ian Johnson, *Wild Grass: Three Stories of Change in Modern China* (New York: Pantheon, 2004), Chapter 1.

Nov. 13 Civil Society, Participation, and Implications for Governance

Lily Tsai, "Cadres, Temples, and Lineage Organizations, and Governance in Rural China," *The China Journal*, Number 48, July 2002.

Guobin Yang, "Environmental NGOs and Institutional Dynamics in China," *The China Quarterly* (March 2005), pp. 46-66.

Guobin Yang, "The Co-evolution of the Internet and Civil Society in China," *Asian Survey*, vol. XLIII, no. 3 (May/June 2003), pp. 405-422.

Nov. 15 Governance as Social Welfare

UNDP, *China National Human Development Report 2005*, Chapters 2 and 3.

Available for free download at following site:

<http://www.undp.org.cn/modules.php?op=modload&name=News&file=article&topic=40&sid=228>

Peter Hessler, “Kindergarten: A Young Boy, A Foreigner, and a Desperate Drive to the Capital,” *The New Yorker*, April 5, 2004.

Nov. 20 Externalities: The Energy Crunch

Energy Information Agency (U.S. Dept. of Energy), “China: Country Analysis Brief,” 2006. Available on-line at:

<http://www.eia.doe.gov/emeu/cabs/China/Full.html>

Richard Lester and Edward Steinfeld, “China’s Real Energy Crisis,” *Harvard Asia Pacific Review*, Winter 2007.

Available on-line at: http://www.hcs.harvard.edu/~hapr/winter07_gov/index.html

Nov. 22 Holiday, No class

Nov. 27 Discussion and Quiz 4

Nov. 29 National Defense: PLA Modernization

Harold Brown, Joseph Prueher, and Adam Segal, “Chinese Military Power: Report of an Independent Task Force (New York: Council on Foreign Relations Press, 2003).

Office of the Secretary of Defense, “Annual Report to Congress: Military Power of the People’s Republic of China 2006,” United States Department of Defense, 2006.

Available on-line at:

<http://www.defenselink.mil/pubs/pdfs/China%20Report%202006.pdf>

Dec. 4 Peaceful Rise

Zheng Bijian, “China’s ‘Peaceful Rise’ to Great-Power Status,” *Foreign Affairs* (Sept./Oct. 2005).

David S. Shambaugh, “China Engages Asia: Reshaping the Regional Order,” *International Security*, vol. 29, no. 3 (Winter 2004/05), pp. 64-99.

Dec. 6 Taiwan

Andrew J. Nathan and Robert S. Ross, *The Great Wall and the Empty Fortress* (New York: WW Norton, 1997), pp. 193-211.

Chu Yu-han, "The Evolution of Beijing's Policy Towards Taiwan During the Reform Era," in Yong Deng and Wang Fei-ling, eds., *China Rising: Power and Motivation in Chinese Foreign Policy* (New York: Rowan and Littlefield, 2005), pp. 245-278.

James Mann, *About Face*, pp. 315-338.

Dec. 11 Paths to the Future

Andrew J. Nathan, "Present at the Stagnation," *Foreign Affairs* (July/August 2006).