Forcing independent velocity distributions in an experimental granular fluid

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We present experimental results on the velocity statistics of a granular fluid with an effective stochastic thermostat, in a quasi-two-dimensional configuration. We find the base state, as measured by the single particle velocity distribution \( P(c) \) in the central high-probability regions, to be well described by \( P(c) = f_{\text{MB}}[1 + \alpha_2 S_2(c^2)] \). It deviates from a Maxwell-Boltzmann \( f_{\text{MB}} \) by a second order Sonine polynomial \( S_2(c^2) \) with a single adjustable parameter \( \alpha_2 \). We find \( \alpha_2 \) to be a function of the filling fraction and independent of the driving over a wide range of frequencies and accelerations. Moreover, there is a consistent overpopulation in the distribution’s tails, which scale as \( P \propto \exp(-A \times c^{3/2}) \). To our knowledge, this is the first time that Sonine deviations have been measured in an experimental system.

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I. INTRODUCTION

The study of granular flows has received a recent upsurge of interest in physics [1]. This has been motivated by both the relevance of such flows to a wide range of industrial and geological processes, and by the realization that granular materials provide an excellent test bed for a number of fundamental questions in the context of modern fluid dynamics and nonequilibrium statistical mechanics [2]. Granular media are ensembles of macroscopic particles, in which kinetic energy \( (K_E) \) can be preferentially injected in the \( N \approx 10^{10} \) translational and rotational degrees of freedom of the center of mass of the particles without effecting the \( N_A = 10^{23} \) internal degrees of freedom of each particle. Therefore, the granular temperature \( T = K_E/N \) is \( 10^{-20} \) orders of magnitude larger than the molecular temperature \( T = K_E/N_A \). In this sense the molecular temperature is irrelevant. Moreover, granular materials are intrinsically dissipative since energy from the \( K_E \) of the particles is lost (converted) through inelastic collisions and frictional contacts to the molecular temperature. Hence, any dynamical study of a granular media requires an energy input, which typically takes the form of vibration or shear [3,4]. This interplay between energy injection and dissipation forces the system to be far from equilibrium and granular fluids are therefore not expected to behave similarly to the equilibrium counterparts of classical fluids [2].

However, we have recently shown that the structure of a uniformly heated quasi-2D granular fluid is identical to that found in simulations of equilibrium hard disks [5]. Further, we find that the mean-squared displacement of grains shows diffusive behavior at low density and caging behavior as the density is increased until particles are completely confined above the freezing point, just as in ordinary molecular fluids [6]. These surprising results provide a partial experimental justification for the theoretical treatment of granular flow by analogy with molecular fluids using standard statistical mechanics and kinetic theory. A large number of kinetic theories of granular flows [7–24] have been produced starting in 1980s. The results of these theories are balance equation analogous to the Navier-Stokes equations for the density, \( n \), the average velocity, \( v_o \), and the average kinetic energy per particle or temperature, \( T \), as well as, equations of state and transport coefficients, which are functions of \( n \), \( v_o \), and \( T \). The heart of these formulations is the single particle distribution function \( f^{(1)}(r, v, t) \), which measures the probability of a particle a position \( r \) having velocity \( v \). The field equations are derived from moments of \( f^{(1)}(r, v, t) \). For example, the density, \( n \), is the zeroth moment, the average velocity, \( v_o \), is the first moment, and the temperature, \( T \), is the second moment. The transport coefficients are determined from non-equilibrium perturbations of \( f^{(1)}(r, v, t) \). Generally the equilibrium or steady-state distribution is assumed to be independent of space and to have Maxwell-Boltzmann form \( f^{(1)} = f_{MB} = \exp(-v - v_o^2/2T) \), although, recent work has explored the use of other base states or steady-state distributions [21,23,24].

Two important questions one may ask are as follows: (1) What is the base state \( f^{(1)} \) for a driven granular fluid? and (2) Does it have a universal form? If it was to be characterized by a small number of parameters such as the moments of the \( f^{(1)} \) distribution as in the case for ideal fluids, one would be able to advance a great deal in developing predictive continuum models for granular flows since the theoretical machinery from kinetic theory could be readily applied. The careful experimental study and characterization of this base state is therefore important in order to develop a theory for granular media, similar to that of regular molecular liquids.

There has been a substantial amount of experimental, numerical, and theoretical work to address these issues in configurations where the energy input perfectly balances the dissipation such that the system reaches a steady state, albeit far from equilibrium. These nonequilibrium steady states are simplified realizations of granular flows more amenable to analysis, but the insight gained from their study should be helpful in the tackling of other more complex scenarios. One feature that has been consistently established in experiments

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is that single particle velocity distribution functions deviate from the Maxwell-Boltzmann distribution [25–31]. In particular, the tails (i.e., large velocities) of the experimental distributions exhibit a considerable overpopulation and have been shown to scale as \( f^{(1)}(v_i) \sim \exp\left[ (v_i/v_T)^3 \right] \) where \( v_i \) is a velocity component and \( v_T = (k_B T)^{1/2} \) is the granular temperature. This behavior is in good agreement with both numerical [32] and theoretical [33] predictions. Even though these tails correspond to events with extremely low probabilities, they increase the variance of the distribution. The variance of the distribution is \( T \), the average kinetic energy of the particles. Using a Gaussian to represent this type of distribution leads to major discrepancy in the region of high probability at the central part of the distribution (low velocities) [31] which have, thus far, been greatly overlooked in experimental work. Note that requiring the variance of \( f^{(1)}(v_i) \) to be the granular temperature greatly constrains the functional form that the distribution can take.

A model system that has been introduced to study this question is the case of a homogeneous granular gas heated by a stochastic thermostat, i.e., an ensemble of inelastic particles randomly driven by a white noise energy source [34]. Recently, there have been many theoretical and numerical studies on this model system [32,33,35–38] where the steady state velocity distributions have been found to deviate from the Maxwell-Boltzmann distribution. van Noije and Ernst [33] studied these velocity distributions based on approximate solutions to the inelastic hard sphere Enskog-Boltzmann equation by an expansion in Sonine polynomials. The results of their theoretical analysis has been validated by numerical studies using both molecular dynamics [32] simulations and direct simulation Monte Carlo [36,38]. The use of Sonine corrections is particular attractive since it leaves the variance of the resulting velocity distribution unchanged but leads to a non-Gaussian fourth moment or kurtosis, \( K \neq 3 \).

We have addressed the above issues in a well controlled experiment in which we are able to perform precision measurements of the velocity distributions of a uniformly heated granular fluid. A novel feature of our experimental technique is that we are able to generate macroscopic random walkers over a wide range of filling fractions and thermalize the granular particles in a way analogous to a stochastic thermostat. Even though our experimental thermostat is not perfectly Gaussian, we are able to reproduce many of the features observed in the numerical and theoretical work mentioned above. It is, therefore an ideal experimental realization in which to test the applicability of some of the kinetic theory ideas. In our earlier study [5], we focused on the structural configurations of this granular fluid and revealed striking similarity to those adopted by a fluid of equilibrium hard disks. Here we concentrate on the dynamical aspects of this same experimental system, as measured by the single particle velocity distribution, \( P(c) \), and observe a marked departure from the equilibrium behavior, i.e., from the Maxwell-Boltzmann distribution. We quantify these deviations from equilibrium and find that the kinetic theory framework is appropriate and extremely useful in characterizing the behavior in our system.

In particular, we find a consistent overpopulation in the distribution’s high energy tails, which scale as stretched exponentials with an exponent 3/2. In addition, we experimentally determine the deviations from a Gaussian of the full distributions following a Sonine expansion method and find them to be well described by a second order Sonine polynomial correction. We establish that the central high probability regions of the velocity distributions are well described by

\[
P(c) = f_{MB}(1 + a_2(1/2c^4 - 3/2c^2 + 3/8)),
\]

where \( a_2 \) is the non-Gaussianity parameter that can be related to the kurtosis of the distribution and the forth-order polynomial is the Sonine polynomial of order 2. This functional form of \( P(c) \) was found to be valid over a wide range of the system parameters. The parameter \( a_2 \) strongly depends on filling fraction and is independent of the frequency and dimensionless acceleration of the driving over a wide range. To our knowledge, this is the first time that the Sonine corrections of the velocity distributions have been measured in an experimental system. Even though the way we inject energy into the granular fluid is specific we expect that many of the features we report should also be observed in other driven granular systems.

This paper is organized as follows. In Sec. II we briefly review the theoretical framework of kinetic theory along with the steady state solution to the Enskog-Boltzmann equation using the Sonine polynomial expansion method. In Sec. III we present our experimental apparatus and describe how the homogeneous granular gas with random heating is generated. In Sec. IV we study the thermalization mechanism and analyze the trajectories of a single particle in the granular cell, thereby characterizing our effective stochastic thermostat. In Sec. V, using the concept of granular temperature, we then quantify the dynamics of the experimentally obtained nonequilibrium steady states, for a number of parameters, namely the filling fraction, the driving parameters (frequency and acceleration), and the vertical gap of the cell. In Sec. VI we turn to a detailed investigation of the probability distribution functions of velocities as a function of the system parameters. In particular, we quantify the deviations from Maxwell-Boltzmann behavior at large velocities (tails of the distributions) in Sec. VI A. In Sec. VI B we extend the deviation analysis to the full range of the distribution using an expansion method that highlights deviations at low velocities and allows us to make a connection with Sonine polynomials. We finish in Sec. VII with some concluding remarks.

II. BRIEF REVIEW OF THEORY

We briefly review the key features of the kinetic theory for granular media pertinent to our study. The number of particles in a volume element, \( dr \), and velocity element, \( dv \), centered at position \( r \) and velocity \( v \) is given by \( f^3(r, v, t) \, dr \, dv \), where \( f^3(r, v, t) \) is the single particle distribution function. Continuum fields are given as averages over \( f^3(r, v, t) \). For instance, the number density, \( n \), average velocity, \( \langle v \rangle \), and granular temperature, \( T \), are given respectively by

\[
n(r, t) = \int f^3(r, v, t) \, dv,
\]

\[
\langle v \rangle = \frac{\int f^3(r, v, t) \, dv \, v}{\int f^3(r, v, t) \, dv},
\]
where $d$ is the number of dimensions. It is important to note that the granular temperature $T$ is not the thermodynamic temperature but, by analogy, the kinetic energy per macroscopic particle (explained in detail in Sec. V). For the spatially homogeneous and isotropic case, we refer to the single particle distribution function as $f^3(v,t)$ and consider only a single component of the velocity, i.e., $f^{13}(v_i,t)$. From now on we drop the index $i$. It is also convenient to introduce a scaled distribution function $f^4(c,t)$ where the velocity is scaled by a characteristic velocity such that, $c = v / \sqrt{2T(t)}$, where $T(t)$ is the granular temperature and equal to the variance of $f^3(c,t)$.

The stochastically heated single particle distribution function $f(c,t)$ satisfies the *Enskog-Boltzmann* equation,

$$\frac{\partial f}{\partial t} = Q(f,f) + F_{FP} f,$$

where, $Q$, is the collision operator, which accounts for the inelastic particle interactions and the Fokker-Plank operator, $F_{FP}$, accounts for the stochastic forcing [33,39]. We are interested in a stationary solution of Eq. (5) where the heating exactly balances the loss of energy due to collisions and the temperature becomes time independent. van Noije and Ernst [33] obtained steady state solutions to Eq. (5) by taking the series expansion of $f(c)$ away from a Maxwell-Boltzmann, $f_{MB}$, i.e.,

$$f(c) = f_{MB}(c) \left(1 + \sum_{p=1}^{\infty} a_p S_p(c^2)\right).$$

in terms of the Sonine polynomials $S_p(c^2)$ where $a_p$ is a numerical coefficient for the $p$th order. The deviations from the MB distribution are thus expressed in terms of an expansion on Sonine polynomials. The Sonine polynomials, which are known as associated Laguerre polynomials in different contexts, are defined as

$$S_p(c^2) = \sum_{n=0}^{p} (-1)^n (p + 1/2)! \frac{(-c^2)^n}{(n + 1/2)! (p - n)! n!}.$$ 

These are orthogonal according to the relationship

$$\int_{0}^{\infty} c^2 e^{-c^2} S_p(c^2) S_n(c^2) dc = \frac{1}{2} \delta_{pn} \frac{1/2 + n}{n!}.$$ 

To further simply the calculation, terms higher than $O(2)$ are typically neglected, such that

$$f(c) = f_{MB}(c)(1 + a_2 S_2(c^2)),$$

where

$$f_{MB}(c) = \frac{1}{\sqrt{\pi}} \exp(-c^2)$$

is the Maxwell-Boltzmann distribution and

$$S_2(c^2) = \frac{1}{2} c^4 - \frac{3}{2} c^2 + \frac{3}{8}$$

is the $O(2)$ Sonine polynomial for 2D. The first Sonine coefficient, $a_1$, is zero according to the definition of temperature [40]. The second Sonine coefficient, $a_2$ is the first non-trivial Sonine coefficient and hence the first nonvanishing correction to the MB distribution and can be related to the kurtosis $K=3(a_2+1)$ of the distribution.

### III. OUR EXPERIMENTS

In Fig. 1 we present a schematic diagram of our experimental apparatus, which is adapted from a design introduced by Olafsen and Urbach [26,29]. An ensemble of stainless steel spheres (diameter $D=1.19$ mm) were vertically vibrated in a shallow cylindrical cell, at constant volume conditions. The cell consisted of two parallel glass plates set horizontally and separated by a stainless steel annulus. This annulus had an inner diameter of 101.6 mm and, unless otherwise stated, was 1.905 mm thick, which set the height of the cell to be 1.6 particle diameters. This constrained the system to be quasi-two-dimensional such that any two spheres could not fully overlap. However, in the 2D projection the particles have a minimum separation of $r_{min}=0.8D$ (rather than $r_{min}=D$ for a strictly two-dimensional system). Hence the extreme situation of a maximum overlap between two particles ($\delta=D-r_{min}=0.2D$) occurred when one of the particles of the adjacent pair was in contact with the bottom plate and the other in contact with the top plate, as shown in Fig. 1(a). We have also performed experiments to explore the effect of changing the height of the cell.

The top disk of the cell was made out of an optically flat borofloat glass treated with a conducting coating of ITO to eliminate electrostatic effects. For the bottom disk of the cell, two variants were considered: A flat glass plate identical to
the top disk—Fig. 1(a)—and a rough borosilicate glass plate—Fig. 1(b). In the second case, the plate was roughened by sand blasting, generating random structures with length scales in the range of 50–500 \( \mu m \). The use of a rough bottom glass plate improves on the setup of Olafsen and Urbach [26], who used a flat plate. As it will be shown in Secs. IV and the Appendix, it had the considerable advantage of effectively randomizing the trajectories of single particles under vibration, allowing a considerably wider range of filling fractions to be explored.

The horizontal experimental cell was vertically vibrated sinusoidally, via an electromagnetic shaker (VG100-6 Vibration Test System). The connection of the shaker to the cell was done via a robust rectangular linear air-bearing which constrained the motion to be unidirectional. The air-bearing ensuredfiltered of undesirable harmonics and nonvertical vibrations due to its high stiffness provided by high-pressure air flow in between the bearing surfaces. Moreover, the coupling between the air-bearing and the shaker consisted of a thin brass rod (25.4 mm long and 1.6 mm diameter). This rod could slightly flex to correct any misalignment present in the shaker-bearing system, while being sufficiently rigid in the vertical direction to fully transmit the motion. This ensemble—shaker–brass rod–air-bearing—ensured a high precision vertical oscillatory driving.

The first control parameter was the filling fraction of the steel spheres in the cell defined as

\[
\phi = \frac{N \pi (D/2)^2}{\pi R^2},
\]

where \( N \) is the number of spheres (with diameter \( D = 1.19 \text{ mm} \)) in the cell of radius \( R = 50.8 \text{ mm} \). The filling fraction \( \phi \) is therefore defined in the projection of the cell onto the horizontal plane. The second control parameter was the height, \( h \), of the experimental cell which we varied from 1.3 \( \text{D} < h < 2.3 \text{ D} \).

The granular cell was set horizontal in order to minimize compaction effects, inhomogeneities and density gradients which otherwise would be induced by gravity. This way, a wide range of filling fractions, \( 0 < \phi \leq 0.8 \), could be accurately explored by varying the number of spheres in the cell, \( N \), down to a resolution of single particle increments. Moreover, as we shall show in Secs. IV and V, we were able to attain spatially uniform driving of the spheres in the cell due to the use of the rough glass bottom plate.

The forcing parameters of the system were the frequency, \( f \), and amplitude, \( A \), of the sinusoidal oscillations. From these, it is common practice to construct a nondimensional acceleration parameter,

\[
\Gamma = \frac{A(2\pi f)^2}{g},
\]

where \( g \) is the gravitational acceleration. We worked within the experimental ranges \( 10 < f < 100 \text{ Hz} \) and \( 1 < \Gamma < 6 \).

The dynamics of the system was imaged from above by digital photography using a gray-scale DALSA CA-D6 fast camera, at 840 frames per second. The granular layer was illuminated from below, in a transmission configuration, by a 16\times16 array of high intensity LEDs. In this arrangement the particles obstruct the light source and appear as dark circles in a bright background.

We have developed particle tracking software based on a two-dimensional least squares minimization of the individual particle positions, which is able to resolve position to sub-pixel accuracy. By focusing on a \( (15 \times 15) \text{mm}^2 \) imaging window located in the central region of the full cell we were typically able to achieve resolutions of 1/20–1/10 of a pixel (which corresponds to 2.5–5 \( \mu m \)). From the trajectories of the particles we could easily calculate an approximately instantaneous discrete velocity as

\[
|V(t_j + \Delta t)| = \sqrt{[V_x(t_j + \Delta t)]^2 + [V_y(t_j + \Delta t)]^2}
\]

with

\[
V_x = \frac{x(t_j + \Delta t) - x(t_j)}{\Delta t}, \quad V_y = \frac{y(t_j + \Delta t) - y(t_j)}{\Delta t}
\]

for the \( i \)th particle in an experimental frame at time \( t_j \) where \( \Delta t = 1.19 \mu s \) is the time interval between two frames and \( (x, y) \) are the cartesian coordinates on the horizontal plane. For the remainder of this paper we shall be interested in the nature of the probability distribution function of velocities, \( P(v) \), of the driven particles. Statistics for calculating the \( P(v) \) distributions were constructed by analyzing 2048 frames at an acquisition rate of 840 frames per second which corresponded to a total acquisition real time of 2.4381 s.

**IV. SINGLE PARTICLE DRIVING**

We first concentrate on the case of driving a single particle in the vibrating experimental cell. For each experimental run, a single sphere was positioned in the field of view of the camera with the aid of a strong magnet temporarily placed beneath the imaging window. The magnet was then removed and the trajectory of the sphere immediately recorded. This was repeated 100 times to obtain statistics. Note that all the analysis of the particle trajectories is performed for the projection onto the horizontal \( (x,y) \) plane. Typical such 2D trajectories for two runs with a flat and rough bottom glass plates are shown in Figs. 2(a) and 2(b), respectively. In both cases, the cell was vibrated at a frequency of \( f = 50 \text{ Hz} \) and dimensionless acceleration of \( \Gamma = 4.0 \). During each cycle of the driving, the motion of the single particle was thermalized due to collisions with both top and bottom glass plates.

By comparing Fig. 2(a) with Fig. 2(b), it is clear that the particle excursions obtained by using a rough bottom plate are considerably larger than those for a flat plate. This can be readily quantified by calculating the probability density functions of the velocities of single particles, \( P(v) \), which we plot in Fig. 2(c), for both cases. We now define the average kinetic energy, also known as granular temperature, of a single particle as the variance of its velocity distribution,
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where $v_s$ is the sphere's velocity. In the vertical direction, transferring and randomizing the momentum of the steel plate were considerably more effective than the flat plate in vertical vibration, the steel spheres constrained in the experimental cell exhibit vigorous motion in the vertical direction since they collide with the oscillating rough bottom and flat top glass plates. When the particles collide with the peaks and valleys of the rough bottom plate, part of the vertical momentum get transmitted onto the horizontal plane in the form of random kicks. The full three-dimensional motion of the spheres is complex but once regarded the 2D horizontal projection, the granular particles feel an effective stochastic thermostat that is homogeneous and isotropic across the cell, albeit non-Gaussian. We can therefore regard this system as a spatially uniform heater of the granular particles, thereby generating macroscopic random walkers. For this reason, and as it will become more clear in Sec. V, where we will comment on the issue of isotropy, we shall focus our experimental study in using the rough bottom glass plate. There we shall also comment further on the interaction between the particles and the oscillating rough substrate.

V. Driven Monolayers: Granular Temperature

Having investigated the dynamics of single particles in the granular cell, we now turn to the study of granular monolayers at higher filling fractions. In Fig. 3 we present typical configurations, at three values of $\phi$, for a granular layer driven at $f=50$ Hz and $\Gamma=4.0$ with a rough bottom plate. The snapshot in Fig. 3(a), for $\phi=0.34$, corresponds to a dilute state in which the particles perform large excursions in between collisions as they randomly diffuse across the cell. If the filling fraction is increased, as in the example of the frame shown in Fig. 3(b) for $\phi=0.67$, one observes a higher collision rate characteristic of a dense gaseous regime. For even higher values of filling fraction the spheres ordered into an hexagonally packed arrangement and became locked into the cage formed by its six neighbors. The system is then said to be crystallized as shown in the typical frame presented in Fig. 3(c) for $\phi=0.80$. The structural configurations associated with the crystallization transition, as a function of filling fraction, were studied in detail in [5] and the caging dynamics, as crystallization is approached in [6].

As defined in the previous section, the granular temperature is the average kinetic energy per particle. For this the brackets $\langle \cdot \rangle$ in Eq. (16) now denote both time and ensemble averages for all the spheres found within the imaging window. Moreover, one can define $T_x=1/2\langle v_x^2 \rangle$ and $T_y=1/2\langle v_y^2 \rangle$. For this reason, and as it will become more clear in Sec. V, where we will comment on the issue of isotropy, we shall focus our experimental study in using the rough bottom glass plate. There we shall also comment further on the interaction between the particles and the oscillating rough substrate.
rough and a flat bottom plate, the respective most monotonically on the filling fraction. At low bottom plate is used, the granular temperature depends al-
lar particles provided by the oscillating plates was isotropic identical. This shows that the effective forcing of the granu-
tion between neighbors becomes increasingly more
crease of temperature with increasing filling fraction as in-
As the filling fraction is increased past
Figure 4 the temperature components, $T_x$ and $T_y$, are plotted as a function of filling fraction. For both cases of using a rough and a flat bottom plate, the respective $T_x$ and $T_y$ are identical. This shows that the effective forcing of the granular particles provided by the oscillating plates was isotropic in the horizontal plane. In agreement with the case of a single particle, the dynamics of the granular layer using a flat or a rough bottom plate is remarkably different. If the rough bottom plate is used, the granular temperature depends almost monotonically on the filling fraction. At low $\phi$, $T$ is approximately constant as the layer simply feels the thermal bath and shows little increase in $T$ until $\phi \sim 0.5$ is reached. As the filling fraction is increased past $\phi \sim 0.5$, the granular temperature rapidly decreases due to energy loss in the increasing number of collisions and due to a decreasing available volume.

For the case of using a flat bottom plate, the nonmonotonic dependence of $T$ on $\phi$ is more dramatic and it is difficult to attain homogeneous states below $\phi < 0.4$. This is due to the fact that at those filling fractions (the limiting case being the single particle investigated in the previous section) the particles perform small excursions and interact with their neighbors only sporadically. Hence little momentum is transferred onto the horizontal plane. For $\phi > 0.5$, there is an increase of temperature with increasing filling fraction as interaction between neighbors becomes increasingly more common, up to $\phi \sim 0.7$ after which the curve for the flat bottom plate coincides with the curve for the rough bottom plate. It is interesting to note that the value at which this matching occurs is close to the point of crystallization of disks in 2D, $\phi_c = 0.716$ [5,41,42]. At this point, the large number of collisions between neighboring particles thermalizes the particles, independently of the details of the heating, i.e., of whether a flat or rough plate is used.

The behavior of the layer was therefore more robust by using a rough bottom plate. Moreover, this allowed for a wider range of filling fractions to be explored, in particular in the low $\phi$ limit. Further detailed evidence for the advantage of using the rough bottom plate over a flat one is given in the Appendix. Hence, all results presented in the remainder of this paper correspond to experiments for which a rough glass plate was used for the bottom plate of the experimental cell.

In Figure 5 we present the dependence of the granular temperature, $T$, on driving parameters: $f = 50$ Hz and $\Gamma = 4.0$.

![Figure 4](image1.png)

**Figure 4.** (Color online) Filling fraction dependence of the granular temperature ($T_x$ and $T_y$ are the $x$ and $y$ components, respectively), for both cases of using flat and rough bottom glass plates. Driving parameters: $f = 50$ Hz and $\Gamma = 4.0$.

$= 1/2 \langle v_x^2 \rangle$, with $T = T_x + T_y$, as the temperature projections onto the $x$ and $y$ directions of the horizontal plane.

![Figure 5](image2.png)

**Figure 5.** Dependence of the granular temperature, $T$, on driving parameters: (a) Frequency $f$ and (b) dimensionless acceleration $\Gamma$. The filling fraction is kept constant at $\phi = 0.59$. The final control parameter that we investigated was the height of the experimental cell which we varied by changing the thickness of the interplate annulus by using precision spacers. The range explored was $1.3 < h < 2.3D$. Note that for $h = D$ there would be no clearance between the spheres and the glass plates and no energy would be injected into the system. On the other hand for $h > 2D$, spheres could overlap over each other and the system ceases to be quasi-2D. The dependence of the granular temperature on cell gap is plotted in Figure 6. It is interesting to note that $T$ appears to depend linearly on the gap height.

One can therefore regard changing $h$, $f$ and $\Gamma$ as a way of varying the temperature of the granular fluid, which can this way be tuned up to a factor of 8.
VI. PROBABILITY DENSITY FUNCTIONS OF VELOCITIES

We now turn to the distribution functions of particle velocities under various conditions of filling fraction, frequency, dimensionless acceleration and gap height. In Fig. 7 we plot the probability density function of a velocity component, \( P(v_i) \), where the index \( i \) represents the component \( x \) or \( y \), for specific values of \( \phi \), \( f \), and \( \Gamma \). From now on we drop the index in \( v_i \) since we showed in the previous section that the dynamics of the system is isotropic in \( x \) and \( y \) (horizontal plane). Moreover, when we refer to velocity we shall mean velocity component, \( i \). The width of the observed \( P(v) \) differs for various values of the parameters. This is analogous to the fact that the temperature (i.e., the variance of the distribution) is different for various states set by \( \phi \), \( f \) and \( \Gamma \), as discussed in the previous section. It is remarkable, however, that all the \( P(v) \) distributions can be collapsed if the velocities are normalized by the characteristic velocity,

\[
 v_o = \sqrt{2\langle v^2 \rangle} = \sqrt{2T},
\]

where the brackets \( \langle \cdot \rangle \) represent time and ensemble averaging over all the particles in the field of view of the imaging window. This collapse is shown in Figs. 8(a) and 8(b) and was accomplished not only for various filling fractions but also for a range of frequencies and dimensionless accelerations.

To highlight the quality of the collapse we have separately plotted \( P(c) \) for the four lowest values of filling fraction (\( \phi = 0.27, 0.32, 0.34, 0.39 \)) along with the \( P(c) \) for a single particle in Fig. 8(a) and all other data in the ranges 0.44 < \( \phi < 0.8 \), 40 Hz < \( f < 100 \) Hz and 1.4 < \( \Gamma < 6 \) in Fig. 8(b). At low values of the filling fraction (\( \phi < 0.44 \)) the collapse is satisfactory but deviations are seen at low \( \phi \). In particular, near \( c = 0 \) the distributions exhibit a sharp peak with a clearly discontinuous first derivative which reflects the fact that for these value of low \( \phi \) the resulting gases are not collisionally driven but are dominated instead by the underlying thermostat (cf. distribution for single particle). However, this sharp peak becomes increasingly smoother as the filling fraction is increased, presumably due to the increasing number of particle collisions, and by \( \phi \sim 0.44 \), it has practically disappeared. On the other hand, for \( \phi > 0.44 \) the collapse onto a universal curve is remarkable for such a wide range of \( \phi \), \( f \) and \( \Gamma \). The choice of the lower bounds for frequency and acceleration, \( f = 40 \) Hz and \( \Gamma = 1.4 \), in the data plotted will be addressed in Sec. VI B. Moreover, this collapse of \( P(v) \) is also attained for various values of the gap height (1.3D < \( h < 2.3D \)), as shown in Fig. 8(c). From now onwards, we shall perform our analysis in terms of the reduced velocity, \( c = v/v_o \).

We stress the universal collapse of the experimental velocity distribution functions for a wide range of parameters and the clear deviation from the Maxwell-Boltzmann (Gaussian) distributions of Eq. (10)—solid curves in Figs. 8(a)–8(c). This is particularly visible at high velocities where there is a significant overpopulation of the distribution tails in agreement with previous theoretical [33] numerical [32] and other experimental work [27,30,43]. To quantify these deviations from Gaussian behavior, our analysis will be twofold. First we shall analyze the non-Gaussian tails of the velocity distributions (Sec. VI A). Even though these tails correspond to events associated with large velocity, the probability of them happening is extremely low. However, this overpopulation at the tails implies that, if the distribution is to remain normalized with a standard deviation given by the average kinetic energy per particle, clear deviations from Gaussian at the central regions of low \( c \) (high probability) of the distribution must necessarily also be observed. In Sec. VI B we study this deviations in the context of the Sonine corrections introduced in Sec. II.

In the Appendix we provide the data corresponding to Fig. 7 and Figs. 8(a) and 8(b) of the velocity distributions ob-
tained using the optically flat bottom plate, instead of the rough plate used here. We shall show that the $P$/$H$ for the flat bottom plate exhibit much stronger nonuniversal deviations from Gaussian behavior and that the collapse of $P$/$H$ is highly unsatisfactory. This highlights, as mentioned in Sec. V, the considerable advantage in our experimental technique of using the rough plate to generate the granular fluid.

A. Deviation at large velocities: The tails

Recently, van Noije and Ernst [33] have made the theoretical prediction that the high energy tails of the velocity distributions of a granular gas heated by a stochastic thermostat should scale as stretched exponentials of the form

$$P(c) \sim \exp(-Ac^{3/2})$$

where $A$ is a fitting parameter. Note that the theoretical argument of van Noije and Ernst involves a high $c$ limit and hence one does not expect this stretched exponential form to be valid across the whole range of the distribution.

To check the applicability of Eq. (18) to describe our data, we have calculated the quantity $q(c) = -\ln(-\ln P(c))$, which we plot in Fig. 9(a) for a variety of filling fractions, frequencies, and dimensionless accelerations. Indeed, within the ranges considered and, in the limit of large $c$, $q(c)$ tends to a straight line with slope $-3/2$ for all values of the control parameters, in excellent agreement with the scaling of Eq. (18). In Fig. 9(a) we have excluded filling fractions $\phi < 0.44$, since as discussed in the previous section, the gases obtained in this range are dominated by the thermostat rather than collisions and the main ingredient for the prediction of Eq. (18) is the role of inelastic collisions. This behavior of the tails of the velocity distribution is also observed for various values of the gap height, $h$, of the experimental cell, as
shown in Fig. 9(b). Note the crossover from Gaussian-like (dashed line with slope –2) to the stretched exponential (solid line with slope –3/2) at c ~ 1, which is particularly clear at the larger values of \( \phi \). For low \( \phi \) the tails of the distribution tend to be closer to the stretched exponential throughout the range –1.1 < log(c) < 1.1. We stress that Eq. (18) has been derived in the limit of large c and is therefore only expected to be valid at the large c end of the tails. This theoretical work says however nothing about the value of velocity, \( c_o \), above which such tails should be observed. There is, at present, no theory that predicts the value of the crossover, \( c_o \).

The \( c_o \) that we observe in our experiments \([c_o^{exp} \sim 1] \) which corresponds to probabilities of occurrence of the order of \( P(c)/P(0) \sim 10^{-1} \) is systematically higher than that found in molecular dynamics simulations of inelastic hard spheres, e.g., that of Moon et al. \([32]\). Our results are, however, in agreement with other experimental work \([27]\). In the previously mentioned numerical work by Moon et al. the cross-over occurs at the slightly higher values of 0.5 < \( c_{um} \) ~ 1.5 which correspond to probabilities of the order of \( 10^{-3} < P(c_o)/P(0) < 10^{-2} \). It is interesting to note that the crossover for both experiments and molecular simulations is, however, occurring at probabilities 3 to 5 orders of magnitude larger than the value of \( P(c_o)/P(0) \sim 10^{-6} \) proposed by Barrat et al. \([44,45]\) using the direct simulation Monte Carlo (DSMC) method. Even though experiments, molecular dynamics and DSMC simulation are all in agreement with van Noije and Ernst stretched exponential tail result (i.e., such tails exists), there is a clear inconsistency regarding its range of validity, i.e., the location of the crossover which cannot be accounted for by any existing theory.

We expect the location of \( c_o \) to be a function of the strength and frequency of the forcing as well as the interparticle coefficient of restitution. The dependence on the first two parameters (frequency and strength of forcing) should be related to the \( q \)-parameter recently introduced by van Zon and MacKintosh \([46]\). The dependence on the coefficient of restitution, \( e \), was shown to be relevant by Moon et al. There is therefore a need for new theoretical work that takes \( q \) and \( e \) into account to predict the location of \( c_o \) in experiments. We hope that our experimental results will be motivating in this direction.

**B. Sonine corrections to the distribution**

Having looked at the tails of the distributions, i.e., at large \( c \), we now extend our deviation analysis based on an expansion method to the full \( c \) range of the distributions. In particular, this highlights the deviations from Gaussian behavior in the central high probability regions of \( P(c) \) near \( c=0 \). In Sec. II we discussed that in the solution of the Enskog-Boltzmann equation for inelastic particles in a stochastic thermostat, a Sonine expansion is usually performed such that the deviations from Gaussian are described by a Sonine polynomial (i.e., a fourth order polynomial with well defined coefficients) multiplied by a numerical coefficient \( a_2 \).

To check the validity of this assumption of the kinetic theory, we shall follow a procedure analogous to that employed in the numerical study of Ref. \([32]\). We calculate the deviation, \( \Delta(c) \), of the experimental velocity distributions, \( P(c) \), from the equilibrium Maxwell-Boltzmann, \( f_{MB} \), such that

\[
P(c) = f_{MB}[1 + \Delta(c)],
\]

where \( f_{MB} \) is given by Eq. (10). By comparing Eq. (19) to Eq. (9) for the theoretical case of inelastic particles under a stochastic thermostat, we expect that

\[
P(c) = f_{MB}[1 + a_2 S_2(c^2)],
\]

such that the experimental deviations from equilibrium take the form of the Sonine polynomial of order 2, \( S_2(c^2) \), i.e.,

\[
\Delta(c) = a_2 (1/2 c^4 - 3/2 c^2 + 3/8),
\]

where the parameter \( a_2 \) can be directly related to the kurtosis \( K \) of the distribution as \( a_2 = K/3 - 1 \). However, because of sampling noise at high \( c \), we have taken \( a_2 \) as a fitting parameter rather than determining it directly from \( K \), although in most cases there is little difference. Note that the fitting of the experimental data to Eq. (20) was done over the whole range of the distribution, which gives an intrinsic weighting proportional to the probability. In Figs. 10(a)–10(d) we plot these experimental deviation from equilibrium, \( \Delta(c) \), for four representative values of filling fraction, along with the order-2 Sonine polynomial (solid curve) as given by Eq. (21) and fitting for \( a_2 \). At low filling fractions, for example \( \phi = 0.34 \)—Fig. 10(a)—the second order Sonine fit is approximate but unsatisfactory for small \( c \) where a sharp cusped peak is present. This cusp is reminiscent of the underlying dynamics of the rough plate thermal bath as evidenced by the cusp at \( c=0 \) for the single particle distribution presented in Fig. 2(c) and discussed previously. On the other hand, for larger values of the filling fraction (in particular for \( \phi > 0.44 \)) the experimental data is accurately described by the second order Sonine polynomial.

To further evaluate the relevance of the Sonine polynomials to describe the experimental deviation from Maxwell-Boltzmann, in Fig. 11 we plot the experimental \( \Delta(c) \) along with both the order-two \( (p=2) \) Sonine polynomial and the higher order Sonine expansion of the form \( \sum_{p=2}^{n} \phi^p S_p(c^2) \). The second order Sonine polynomial alone is responsible for the largest gain in accuracy of the corrections from MB (horizontal dashed line). The higher order Sonine expansion indeed provide a better fit but the overall improvement is only marginal (see Fig. 14).

In Fig. 12(a) we present the dependence of the second Sonine coefficient \( a_2 \), the single fitting parameter in Eq. (21), as a function of filling fraction. The coefficient \( a_2 \) initially decreases with increasing filling fraction up to \( \phi=0.65 \), after which it shows a rapid rise. We also study the dependence of \( a_2 \) on the driving frequency \( f \) and dimensionless acceleration \( \Gamma \) for a single value of the filling fraction \( \phi=0.59 \), which we present in Figs. 12(b) and 12(c), respectively. For lower values of frequency \( (20-40 \text{ Hz}) \) we get a monotonic drop in \( a_2 \) with increasing frequency. For \( f > 40 \text{ Hz} \), the coefficient \( a_2 \) then levels off and remains approximately constant at \( a_2=0.171 \pm 0.023 \). For \( \Gamma > 2.0 \), the coefficient \( a_2 \) re-
mains approximately constant at $a_2 = 0.193 \pm 0.029$. We have plotted these two datasets at fixed $\phi$ and varying $f$ and $\Gamma$ back in Fig. 12(a) and the behavior of $a_2$ is found to be consistent with the previous data set with varying $\phi$ at fixed $f$, $\Gamma$. It is interesting to note that a scatter of points is obtained if $a_2$ for the three previous data sets is plotted as a function of the corresponding granular temperatures. Moreover, for the data set for varying $\phi$ the dependence is clearly not single valued, i.e., one can find two distinct values of $a_2$ for a single value of temperature. All this suggests that the temperature does not set $a_2$. Instead, $a_2$ is a strong function of $\phi$ and is only weakly dependent on $f$ and $\Gamma$, provided that $f > 40$ Hz and $\Gamma > 2$. This is in agreement with inelastic hard-sphere behavior where the only two relevant parameters are thought to be the filling fraction and the coefficient of restitution not investigated in the present study.

Next, in Fig. 13 we present the dependence on filling fraction of the experimentally determined five nonzero Sonine coefficients in the sixth order expansion of $\Delta(c)$.

FIG. 10. (Color online) Experimental deviation function from Gaussian behavior $\Delta(c)$ for (a) $\phi = 0.34$, (b) $\phi = 0.53$, (c) $\phi = 0.64$, and (d) $\phi = 0.80$. The solid line is the order-2 Sonine polynomial of the form $a_2(1/2c^4 - 3/2c^2 + 3/8)$ where $a_2$, the second Sonine coefficient, is the only adjustable parameter.

FIG. 11. (Color online) Experimental deviation function from Gaussian behavior $\Delta(c)$ for $\phi = 0.66$. The solid line is the Sonine polynomial $a_2(1/2c^4 - 3/2c^2 + 3/8)$ with one single fitting parameter: $a_2 = 0.171$. The dashed line is the higher order Sonine polynomial description of the form $\sum_{p=2}^{6} a_p c^p$ with the following (five fitting parameters) Sonine coefficients: $a_2 = 0.1578$, $a_3 = -0.0656$, $a_4 = 0.1934$, $a_5 = -0.1637$, and $a_6 = 0.0832$. 
tails as is the case at larger values of $\phi$. This finding is analogous to a result from Brilliantov and Pöschel [37] who found both theoretically and numerically that the magnitude of the higher-order Sonine coefficients can grow due to an increasing impact of the overpopulated high-energy tails of the distribution function, just like in our system at low $\phi$. Their analysis was however performed as a function of the coefficient of restitution, rather than filling fraction. In their study a single second order Sonine polynomial becomes insufficient to represent the deviation from Gaussian at low values of $\phi$, whereas the velocity distributions with the order-2 Sonine polynomial term shows a considerably better agreement with the experimental data across the whole range of $\phi$. Moreover, they worked on the homogeneous cooling state (HCS), but they suggest that their results should also apply to granular gases with a thermostat, like in our case. A more direct comparison with this theoretical analysis is open to further investigation.

We stress that a finite value of the higher order coefficients does not necessarily imply a large correction to the deviations $c$. To explore further this point we now quantify the deviations of our experimental velocity distribution from all the three models we have considered: (1) The equilibrium Maxwell-Boltzmann distribution, (2) the velocity distribution function with order-2 Sonine polynomial expansion, and (3) the velocity distribution function with higher order Sonine polynomial terms. In Fig. 14 we plot these deviation of experiments from the models as quantified by $\Delta^2 = \sum_{i=1}^{N} (P(c_i)^{\text{exp}} - P(c_i)^{\text{model}})^2$, for the full range of filling fractions. We clearly see significant deviation of the experimental data from Maxwell-Boltzmann distribution for all $\phi$, whereas the velocity distributions with the order-2 Sonine polynomial term shows a considerably better agreement with the experimental data across the whole range of $\phi$. Even though the higher order Sonine polynomial expansion characterizes the experimental data more closely, these higher order contributions are modest compared with the large gain in accuracy from the order-2 Sonine polynomial alone, and in the range of $\phi > 0.44$ provide no significant improvement. Thus the order-2 description obtained by neglecting the higher order Sonine terms is a reasonably good approximation to describe the experimental data.

It may seem counterintuitive that the Sonine-like deviation appear to work better at higher values of filling fractions ($\phi > 0.45$), whereas one might expect this formalism to be more effect at low filling fractions. To address this issue we now comment on the issue of energy injection into the granular fluid. In Sec. IV we have characterized the effective thermostat on the projected horizontal plane, by analyzing the
Whereas we can easily estimate $\chi = N_C/N_{\text{H}}$ the dissipative collisions and vice versa for $q$ particle-substrate interaction method for quantifying this relative importance between Maxwell-Boltzmann distributions and from our particle trackings data, measuring $N_{\text{H}}$ is considerably more challenging as it would require information along the $z$ direction on the vertical motion of the particles along with the knowledge of the collisions with the rough bottom plate. At present, with our current experimental technique, we are unable to measure $N_{\text{H}}$ but it would be of great interest to address this question in future work. Being able to measure $q$ for the various system parameters would allow one to establish what features of the dynamics are thermostat or inelastic collisionally driven. In particular, it would be of interest to measure the Sonine coefficient $a_2$ as a function of $q$ for the various experimental parameters. We hope that our work will drive further research in that direction.

VII. CONCLUSION

In conclusion, we have developed an experimental model system for a quasi-2D granular layer, under homogeneous stochastic driving. Our experimental technique has allowed us to randomly thermalize a granular fluid over a wide range of filling fractions. Our study was centered on the dynamics of the system, in particular the statistics of velocities. The temperature of the experimental granular fluid could be adjusted by varying the system’s control parameters, namely the filling fraction, the frequency and acceleration of the driving and the gap height, with no significant change in the nature of the velocity probability distribution functions. We have found an excellent collapse of the distribution functions if the particle velocities are scaled by a characteristic velocity $v_*$. (the standard deviation of the distributions).

However, the obtained distributions are non-Gaussian. We have analyzed the deviations from Gaussian behavior in two distinct regimes. First, we looked at the shape of the distribution tails which scaled as stretched exponentials with exponent 3/2. Secondly, we have performed an expansion method that highlights the deviations from a Gaussian at low velocities (near $c=0$) and found them to be Sonine-like, i.e., polynomial of order 4 with fixed coefficients. It is surprising that this formalism seems applicable at filling fractions as high as $\phi=0.80$, whereas kinetic theory is usually thought to breakdown at much lower values of $\phi$. Finally, we have looked at the Sonine polynomial expansion with higher order terms and concluded that it is sufficient to retain only the leading order (first nonvanishing) term to maintain reasonable accuracy. Therefore, we can accurately characterize the single particle velocity distribution function by introducing a single extra coefficient $a_2$, in addition to the zeroth, first, and second moments used for fluids at equilibrium. The coefficient $a_2$ has universal character in the sense that it is a strong function of filling fraction and only depends weakly on the other experimental parameters such as the driving frequency and acceleration.

Having determined the base state of our randomly driven granular fluid, we hope that an experimental system such as ours can be used further as a laboratory test bed of some basic assumptions of kinetic theory. In future work, it would also be of interest to investigate whether particle-particle velocity correlations [29] are present in our system but this is beyond the scope of the current investigation.

To our knowledge, this is the first time that the Sonine corrections of the central high probability regions of the velocity distributions have been measured in an experimental system, in agreement with analytical predictions. This should open way to further theoretical developments, crucial if we need to develop much desired predictive models for granular flows with practical relevance.

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**APPENDIX: PROBABILITY DENSITY FUNCTIONS OF VELOCITIES USING THE BOTTOM FLAT PLATE**

In this appendix we discuss the nature of the velocity distributions obtained using a flat bottom plate as in the system of Olafsen and Urbach [26]. The distributions \( P(v) \) are presented in Fig. 15(a) for a wide range of filling fractions. Unlike the case of using a rough plate, here there is an enormous variation in the shape of \( P(v) \). At low \( \phi \) the distributions are sharply peaked near \( c=0 \). The value of \( P(v=0) \) can differ by over a factor of 20 between low and high \( \phi \). In Fig. 15(b) the distributions \( P(c) \)—obtained after rescaling all velocities by the characteristic velocity \( v_c \), given by Eq. (17)—do not collapse onto a single curve and show considerably larger deviations from a Maxwell-Boltzmann (solid line). These distributions only attain the general form found for the rough case at filling fractions larger than \( \phi > 0.6 \) and this is in agreement with the point at which the granular temperature of both cases coincide—Fig. 4. For this high values of \( \phi \), both in the rough and flat cases, the contribution to the thermalization is more important from the large number of particles collisions rather than the thermalizing. Hence we expect the analysis we have performed throughout this paper to be applicable to the flat case, for \( \phi > 0.6 \), but not below this point.

In addition to the discussion on the granular temperature of Sec. V, these results present further evidence for the significant advantage of using a rough bottom plate to generate the thermostat to heat the granular fluid in a way that may be more amenable for comparison with theoretical work on inelastic hard spheres driven by a stochastic thermostat.

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**FIG. 15.** (Color online) PDF of velocities for an experimental cell where both top and bottom plates were optically flat. (a) \( P(v) \) and (b) \( P(c) \), in which all velocities for each distribution were normalized by its standard deviation. The solid line is a Maxwell-Boltzmann distribution with unit standard deviation. Data for a range of parameters: \( \phi, f, \Gamma \) (see legends for specific values).

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