

INTERNATIONAL RELATIONS OF EAST ASIA

17.433 (U) 17.434 (G)
Spring 2008
8-205
Tues/Thurs, 3:00-4:30pm

M. Taylor Fravel
E38-632
Office Hours: Weds, 3:00-5:00pm
fravel@mit.edu

The aim of this lecture course is to introduce and analyze the international relations of East Asia. With four great powers, three nuclear weapons states and two of the world's largest economies, East Asia is one of the most dynamic regions in world politics. During the Cold War, East Asia witnessed intense competition and conflict between the superpowers and among the states in the region. In the post-Cold War era, the region has been an engine of the global economy while undergoing a major shift in the balance power whose trajectory and outcome remain uncertain. This course will examine the sources of conflict and cooperation in both periods, assessing competing explanations for key events in East Asia's international relations. Readings will be drawn from international relations theory, political science and history.

All readings are available on the Stellar website: stellar.mit.edu/S/course/17/sp08/17.434/.

One book is also available for purchase from the bookstore: George C. Herring, *America's Longest War: The United States and Vietnam, 1950-1975*, 4th ed, (New York: McGraw-Hill, 2001)

Requirements for 17.433 (U)

There will be one in-class short-answer exam. The exam will draw on material from both the readings and lectures. Students will also be required to write two analytical take-home essays on questions that will be assigned during the course. The first essay will be approximately 1,500 words in length, while the second essay will be approximately 3,000 words. Attendance is mandatory.

Grades will be determined as follows: three quizzes (15%), essay I (15%), in-class exam (30%), essay II (30%) and participation (10%, including unannounced map quiz).

Key Dates

- | | |
|---------------|---------------|
| - 28 February | reading quiz |
| - 20 March | essay I due |
| - 1 April | reading quiz |
| - 29 April | reading quiz |
| - 8 May | in-class exam |
| - 15 May | essay II due |

Requirements for 17.434 (G)

Students may enroll in the graduate version of this course only with the instructor's permission. Graduate students are expected to read an additional 150-200 pages per week listed as "recommended" (for 17.433) in the syllabus. These readings will assist students in mapping the

academic literature on East Asia's international relations in preparation for further study or research projects.

Graduates must write two essays as well as two book précis. These 3,000-3,600 word essays will be synthetic, integrating the empirical data and the theories introduced in the course, and require students to think broadly about the key variables shaping the international relations of the region. A précis is a concise book summary of approximately 600 words to be selected from the list at the end of syllabus.

Grades will be determined as follows: essay I (30%), essay II (30%), précis I (15%), précis II (15%) and participation (10%, including unannounced map quiz).

Key Dates

- | | |
|------------|------------------------|
| - 11 March | précis I due in class |
| - 17 April | essay I due in class |
| - 1 May | précis II due in class |
| - 15 May | essay II due in class |

Course Information and Policies

Stay Current. Stay abreast of current developments through the Asia sections of the [Washington Post](#), [New York Times](#), [Financial Times](#), [BBC](#) or [Pacific Forum: Comparative Connections](#). For English sources from China, see [Xinhua](#), the [People's Daily](#) and the [China Daily](#). For English sources from Japan, see the [Asahi Shimbun](#) and [Japan Today](#).

Disabilities. If you have a disability and require accommodations, please contact me immediately so that appropriate arrangements can be made.

Extensions. Make-up exams or extensions will not be granted except in case of emergency and in all cases require a note from the Dean or your doctor. Late work **will** be penalized by one third of a grade for **each** day that the assignment is late (e.g., a B to B-). The key dates for assignments in this course are outlined above.

Plagiarism. Plagiarism will not be tolerated. Plagiarism is "a piece of writing that has been copied from someone else and is presented as being your own work." This includes ideas as well as specific phrases, sentences or paragraphs. **Do not misrepresent your own work.** See Avoiding Plagiarism from MIT's Writing Center (web.mit.edu/writing/Citation/plagiarism.html) and MIT's rules regarding academic honesty (web.mit.edu/policies/10.0.html).

Writing. The Writing and Communication Center (12-132) offers you free professional advice from published writers about oral presentations and about all types of academic, creative, and professional writing. To schedule an appointment, go to <http://web.mit.edu/writing> and click on the yellow sunburst. The Center's hours are Monday-Thursday, 9:00 a.m.-9:00 p.m.; Friday 9:00 a.m.-6:00 p.m.; and Sundays, 5:00-9:00 p.m.

I. BACKGROUND

5 February Introduction

Steven M. Walt, "International Relations: One World, Many Theories," *Foreign Policy*, (Spring 1998), pp. 29-46

Recommended

Samuel S. Kim, "Regionalization and Regionalism in East Asia," *Journal of East Asian Studies*, Vol. 4 (2004), pp. 39-67

G. John Ikenberry and Michael Mastanduno, "International Relations Theory and the Search for Stability," in G. John Ikenberry and Michael Mastanduno, eds., *International Relations Theory and the Asia-Pacific* (New York: Columbia UP, 2003)

7 February International Relations Theory and East Asia

Stephen M. Walt, *The Origins of Alliances* (Cornell: Cornell University Press, 1987), Ch. 2, **esp** 17-33

Randall Schweller, "Managing the Rise of Great Powers: History and Theory," in Alastair Iain Johnston and Robert S. Ross, eds., *Engaging China: The Management of an Emerging Power* (London: Routledge, 1999), pp. 1-31

Jack S. Levy, "Domestic Politics and War," *Journal of Interdisciplinary History*, Vol. 18, No. 4 (Spring 1988), pp. 653-673

Recommended

Kenneth Waltz, *Theory of International Politics* (New York: McGraw-Hill, 1979), pp.116-128

Helen Milner, "International Theories of Cooperation Among Nations," *World Politics*, Vol. 44, No. 3 (April 1992), pp. 466-480 **only**

Robert Jervis, "Cooperation Under the Security Dilemma," *World Politics*, Vol. 40, No. 1 (January 1978), pp. 167-214

Paul Kowert and Jeffrey Legro, "Norms, Identity, and Their Limits: A Theoretical Reprise," in Peter Katzenstein, ed., *The Culture of National Security: Norms and Identity in World Politics* (New York: Columbia UP, 1996), pp. 451-497, **esp.** 451-468

Daniel L. Byman and Kenneth M. Pollack, "Let Us Now Praise Great Men," *International Security*, Vol. 25, No. 4 (Spring 2001), pp. 107 - 146

12 February Historical Legacies

Warren I. Cohen, "The Foreign Impact on East Asia," in Merle Goldman and Andrew Gordon, eds., *Historical Perspectives on Contemporary East Asia* (Cambridge and London: Harvard University Press, 2000), pp. 1-22, **skim rest**

John Garver, "The Legacy of the Past," *Foreign Relations of the People's Republic of China* (Englewood Cliffs, NJ: Prentice Hall, 1993), pp. 2-30

Nicholas D. Kristof, "The Problem of Memory," *Foreign Affairs* (November / December 1998), pp. 37-49

Recommended

*Jack Snyder, *Myths of Empire: Domestic Politics and International Ambition* (New York: Columbia UP, 1991), pp. 112-152

*Gerrit Gong, ed. *Memory and History in East and Southeast Asia* (Washington, DC: Center for Strategic and International Studies, 2001), pp. 86-113

Allen S. Whiting, *China Eyes Japan* (Berkeley, Calif.: University of California Press, 1989), Ch. 2 "Past to the Present," pp. 27-40

Bruce Cumings, "Colonial Deformations and Formations: Korea, Taiwan, and Vietnam," *Parallax Visions: Making Sense of American-East Asian Relations* (Durham, N.C.: Duke UP, 2002), pp. 69-94.

Ramon H. Myers and Mark R. Peattie, *The Japanese Colonial Empire, 1895-1945* (Princeton: Princeton UP, 1984), Ch. 1

II. EARLY COLD WAR

14 February **Origins of the Cold War in East Asia**

Walter LaFeber, "The Cold War, or the Renewal of U.S.-Russian Rivalry," *The American Age* (New York: WW Norton, 1989), pp.434-470

John W. Dower, "Occupied Japan and the Cold War in Asia," *Japan in War and Peace* (New York: New Press, 1993), pp. 155-193

John Lewis Gaddis, "Drawing Lines: The Defensive Perimeter Strategy in East Asia, 1947-1951," *The Long Peace: Inquiries Into the History of the Cold War* (New York: Oxford UP), pp. 72-104.

Recommended

*Thomas J. Christensen, *Useful Adversaries: Grand Strategy, Domestic Mobilization, and Sino-American Conflict, 1947-1958* (Princeton: Princeton UP, 1996), pp. 77-137

*Michael M. Sheng, "Chinese Communist Policy Towards the United States and the Myth of the 'Lost Chance', 1948-1950," *Modern Asian Studies*, Vol. 28, No. 3 (1994), pp. 475-502

*Andrew J. Rotter, *The Path to Vietnam: Origins of the American Commitment to Southeast Asia* (Ithaca: Cornell UP, 1987), Ch. 3

David Mayers, *Cracking the Monolith: US Policy Against the Sino-Soviet Alliance, 1949-1955* (Baton Rouge: Louisiana State UP, 1986), pp. 33-63

Robert A. Pollard, "U.S. Economic Diplomacy in East Asia: The Fall of China and the Reconstruction of Japan, 1945-50," *Economic Security and the Origins of the Cold War, 1945-50* (New York: Columbia UP, 1985) pp. 168-196

19 February **No class**

21 February **Korean War I**

Walter LaFeber, "Korea: The Unexpected War," *The American Age: U.S. Foreign Policy at Home and Abroad* (New York: Norton, 1989), pp. 486-489

William Stueck, "Why the Korean War, Not the Korean Civil War?" *Rethinking the Korean War: A New Diplomatic and Strategic History* (Princeton: Princeton UP, 2002), pp. 61-86.

Bruce Cumings, "Collision," *Korea's Place in the Sun* (New York: Norton, 1997), pp. 237-264.

Kathryn Weathersby, "Stalin and the Decision for War in Korea," McCann and Strauss, eds., *War and Democracy: a Comparative Study of the Korean War and the Peloponnesian War* (Armonk, N.Y: M.E. Sharpe, 2001), pp. 88-103

Recommended

Cummings and Weathersby, "An Exchange on Korean War Origins," *CWIHP Bulletin* 6/7, p. 120-3

Kathryn Weathersby, "Soviet Aims in Korea and the Origins of the Korean War, 1945-50: New Evidence From the Russian Archives," *CWIHP Working Paper No. 8*

Chen Jian, "The Sino-Soviet Alliance and China's Entry into the Korean War," *CWIHP Working Paper No. 1*, 1992

26 February Korean War II

Walter LaFeber, "The Big Turn of 1949-1950: Rollback and the Start of a Twenty-Year U.S.-China Conflict," *The American Age*, pp. 496-500

William Stueck, "The Road to Chinese Intervention, July-November 1950," *Rethinking the Korean War*, p. 87-117

Thomas J. Christensen, *Useful Adversaries*, pp. 148-176, 192-3

Recommended

*Chen Jian, "After Inchon: The Making of the Decision on Intervention," *China's Road to the Korean War* (New York: Columbia UP, 1994), pp. 158-189

*Michael H. Hunt, "Beijing and the Korean Crisis, June 1950-June 1951," *Political Science Quarterly*, Vol. 107, No. 3 (1992), pp. 453-78

*Shen Zhihua, "Sino-Soviet Relations and the Origins of the Korean War: Stalin's Strategic Goals in the Far East," *Journal of Cold War Studies*, Vol. 2, No. 2, (Spring 2000), pp. 44-68

Robert Jervis, "The Impact of the Korean War on the Cold War," *Journal of Conflict Resolution*, Vol. 24, No. 2 (Dec. 1980), pp. 563-592

Shen Zhihua "Sino-North Korean Conflict and its Resolution during the Korean War," *Cold War International History Project Bulletin*, Issue 14/15

28 February Containment in East Asia

***** reading quiz *****

Michael Yahuda, *International Relations of the Asia-Pacific*, 2nd edition (London: Routledge, 2005), pp. 101-122

Kenneth B. Pyle, *The Making of Modern Japan* (Lexington: D.C. Heath and Company, 1996), pp. 227-240

He Di, "The Evolution of the People's Republic of China's Policy Toward the Offshore Islands (Quemoy, Matsu)," in Warren I. Cohen and Akira Iriye, eds., *The Great Powers in East Asia: 1953-60* (New York: Columbia UP: 1990), pp. 222-245

Recommended

*Thomas J. Christensen, *Useful Adversaries*, pp. 194-241

*Zhai Qiang, "China and the Geneva Conference of 1954," *The China Quarterly*, No. 129, (June 1992), pp. 103-122

*George McT. Kahin, *Intervention: How America Became Involved in Vietnam* (New York: Alfred A. Knopf, 1986), pp. 34-65

Gary Hess, "The American Search for Stability in Southeast Asia: The SEATO Structure of Containment," in *The Great Powers in East Asia*, pp. 272-295

Marc Gallicchio, "Best Defense is a Good Offense," in *The Great Powers In East Asia*, pp. 63-85

4 & 6 March Vietnam War

George C. Herring, *America's Longest War*, pp. 53-169, **skim** 1-51

Chen Jian, "China's Involvement in the Vietnam War, 1964-1969," *The China Quarterly*, No. 141 (June 1995), pp. 356-387

Recommended

Larry Berman and Stephen R. Routh, "Why the United States Fought in Vietnam," *Annual Review of Political Science*, Vol. 6 (2003), pp. 181-204

David L. Anderson, "The Vietnam War," in Robert D. Schulzinger, ed., *A Companion to American Foreign Relations* (Oxford: Blackwell Publishing, 2003), pp. 310-329

Kevin Ruane, "Putting America in its Place? Recent Writing on the Vietnam Wars," *Journal of Contemporary History*, Vol. 37, No. 1 (2002), pp. 115-128.

11 March Collapse of the China-Soviet Alliance

John Garver, *Foreign Policy of the People's Republic of China*, pp. 120-131, 136-41

Bo Yibo, "The Making of the 'Leaning to One Side' Decision," *Chinese Historian*, Vol. 5, No. 1 (Spring 1992), pp. 57-62

Odd A. Westad, "Introduction," in Odd A. Westad, ed., *Brothers in Arms: The Rise and Fall of the Sino-Soviet Alliance, 1945-1963* (Stanford: Stanford UP, 1998), pp. 5-32

Xue Mouhong, *The Diplomacy of Contemporary China*, (Hong Kong: New Horizon Press, 1990), pp. 138-150 [NOTE: this is an official diplomatic history from the PRC]

Recommended

*Sergei N. Goncharov, John W. Lewis and Xue Litai, "Making the Alliance," *Uncertain Partners: Stalin, Mao and the Korean War* (Stanford: Stanford UP, 1993), pp. 76-109.

*Constantine Pleshakov, "Nikita Khrushchev and Sino-Soviet Relations," in Odd A. Westad, ed., *Brothers in Arms*, pp. 226-240.

*Chen Jian and Yang Kuisong, "Chinese Politics and the Collapse of the Sino-Soviet Alliance," in Odd A. Westad, ed., *Brothers in Arms*, pp. 246-294.

Lowell Dittmer, "Socialist Dependency," *Sino-Soviet Normalization and its International Implications, 1945-1990* (Seattle: Washington UP, 1990), pp. 17-26.

Nikita Khrushchev, *Khrushchev Remembers*, (Boston: Little Brown, 1970), pp. 461-479

III. LATE COLD WAR

13 March US-China Rapprochement

[1972 "Shanghai" Communiqué](#)

[1972 Sino-Japanese Joint Communiqué](#)

James Mann, *About Face: A History of America's Curious Relationship with China, From Nixon to Clinton* (New York: Knopf, 1999), pp. 13-77

Lowell Dittmer, "Romantic Triangle," *Sino-Soviet Normalization*, pp. 195-206.

Recommended

*Chen Jian, "The Sino-American Rapprochement: 1968-1972," *Mao's China and the Cold War* (Chapel Hill: University of North Carolina Press, 2001), pp. 238-276

*William Burr, "Sino-American Relations, 1969: The Sino-Soviet Border War and Steps Towards Rapprochement," *Cold War History*, Vol. 1, No. 3 (April 2001), pp. 73-112

*Gene T. Hsiao, "The Sino-Japanese Rapprochement: A Relationship of Ambivalence," *The China Quarterly*, No. 57 (February 1974), pp. 101-123

18 March China-Soviet Cold War

Yang Kuisong, "The Sino-Soviet Border Clash of 1969: From Zhenbao Island to Sino-American Rapprochement," *Cold War History*, Vol. 1, No. 1 (2000), pp. 21-52

Lowell Dittmer, "Sino-American Marriage," *Sino-Soviet Normalization*, pp. 207-216

John Garver, "The Period of Sino-Soviet Confrontation," *Foreign Relations of the People's Republic of China*, pp. 304-319

Recommended

*Harry Gelman, "The Sino-Soviet Dispute in the 1970s: An Overview," Herbert J. Ellison, ed., *The Sino-Soviet Conflict: A Global Perspective* (Seattle: University of Washington Press, 1982), pp. 355-372

*Carl G. Jacobsen, "Sino-Vietnamese War: Crisis in Sino-Soviet Relations," *Sino-Soviet Relations since Mao*, (New York: Praeger, 1981), pp. 92-107

*Paul F. Langer, "Soviet Military Power in Asia," in Donald Zagoria, ed., *Soviet Policy in East Asia*, (New Haven: Yale UP, 1982), pp. 255-282

Doug Bandow, "A Strategy for Disengagement," *Tripwire: Korea and U.S. Foreign Policy in a Changed World*, (Washington: Cato Institute, 1996), pp. 83-101

Viktor M. Gobarev, "Soviet Policy Toward China: Developing Nuclear Weapons 1949-1969," *The Journal of Slavic Military Studies*, Vol. 12, No. 4 (1999), pp. 1-53

20 March US-China Normalization and Beyond

**** Essay I due ****

[1979 Communiqué](#)

[1979 Taiwan Relations Act](#)

[1982 Communiqué](#)

Robert Ross, "U.S. Relations with China," in Ezra Vogel et al., ed., *The Golden Age of the U.S.-China-Japan Triangle, 1972-1989* (Cambridge: Harvard UP, 2002), pp. 79-105

Harry Harding, *Fragile Relationship*, p. 82-87

John Garver, "The New Type of Sino-Soviet Relations" *Asian Survey*, Vol. 29, No. 12 (December 1989), p. 1136-1152

Lowell Dittmer, "Sinocentric Romantic Triangle," *Sino-Soviet Normalization*, pp. 217-230

Recommended

*Chae-Jin Lee, "The Making of the Sino-Japanese Peace and Friendship Treaty," *Pacific Affairs*, Vol. 52, No. 3. (Autumn, 1979), pp. 420-445.

*Charles W. Freeman, Jr., "The Process of Rapprochement: Achievements and Problems," in Gene T. Hsia and Michael Witunski, eds., *Sino-American Normalization and Its Policy Implications* (New York: Praeger, 1983), pp.1-27

*Susan Pharr, "Japan's Defense Foreign Policy and the Politics of Burden-Sharing," in Gerald Curtis, ed., *Japan's Foreign Policy After the Cold War: Coping with Change* (Armonk: M.E. Sharpe, 1993), pp. 235-264

Gerald Segal, "Arms Control and Sino-Soviet Relations," in Gerald Segal, ed., *Arms Control in Asia* (New York: St. Martins, 1987), pp. 43-65

25 & 27 March Spring Break!

1 April Maintaining Regional Order I: ASEAN

****** reading quiz ******

Donald E. Weatherbee, *International Relations in Southeast Asia: The Struggle for Autonomy* (New York: Rowman & Littlefield, 2005), pp. 57-85

Michael Leifer, *ASEAN and the Security of South East Asia* (London: Routledge, 1989), pp. 1-15

Bilson Kurus, "Understanding ASEAN: Benefits and Raison D'etre," *Asian Survey*, Vol. 33, No. 9 (August 1993), pp. 819-831

Recommended

*Amitav Acharya, "The Evolution of ASEAN Norms and the Emergence of the ASEAN Way," *Constructing a Security Community in Southeast Asia: ASEAN and the Problem of Regional Order* (London: Routledge, 2001), pp. 47-79

*Christopher Hemmer and Peter J. Katzenstein, "Why is There No NATO in Asia? Collective Identity, Regionalism, and the Origins of Multilateralism," *International Organization*, Vol. 56, No. 3 (Summer 2002), pp. 575 - 607

*John S. Duffield, "Why is there no APTO? Why is there no OSCAP: Asia Pacific Security Institutions in Comparative Perspective," *Contemporary Security Policy*, Vol. 22, No. 2, (Aug. 2001)

*Donald Crone, "Does Hegemony Matter?: The Reorganization of the Pacific Political Economy," *World Politics* Vol. 45 (July 1993), pp. 501-525

Michael Leifer, *ASEAN and the Security of South East Asia*, pp. 17-88 (reserve)

[ASEAN Secretariat](#)

3 April Financial Miracles

Iyanatul Islam and Anis Chowdhury, *The Political Economy of East Asia* (New York: Oxford UP, 2000), pp. 1-42

Kent E. Calder, "Securing Security Through Prosperity: The San Francisco System In Comparative Perspective," *The Pacific Review*, Vol. 17 No. 1 (March 2004), pp. 135-157

Recommended

*T. J. Pempel, "Transpacific Torii: Japan and the Emerging Asian Regionalism," in Peter J. Katzenstein and Takashi Shiraishi, eds., *Network Power: Japan and Asia* (Ithaca: Cornell UP, 1997), pp. 47-81

*John Bauer, "Economic Growth and Policy in East Asia" in Andrew Mason, ed. *Population Change and Economic Development in East Asia* (Stanford: Stanford UP, 2001), pp. 34-60.

*Meredith Jung-En Woo-Cumings, "National Security and the Rise of the Developmental State" in Harry Rowen, ed. *Behind East Asian Growth* (New York: Routledge, 1998), pp.319-337.

Trevor Matthews and John Ravenhill, "Strategic Trade Policy: The Northeast Asian Experience" in Andrew MacIntyre ed., *Business and Government in Industrializing Asia* (Ithaca: Cornell UP, 1994), pp.29-80 (**skim** the case studies)

Bruce B. Cumings, "The Origins and Development of the Northeast Asia Political Economy: Industrial Sectors, Product Cycles and Political Consequences," *International Organization*, Vol. 38, No. (Winter 1984), pp. 1-22

Paul Krugman, "The Myth of Asia's Miracle" *Foreign Affairs* (Nov.-Dec. 1994), pp. 62-78
AND Steven Radelet and Jeffrey Sachs, "Asia's Re-emergence," *Foreign Affairs* (Nov / Dec 1997), pp. 44-59

Reference

World Bank, *East Asian Miracle* (1993)

World Bank, *Rethinking the East Asian Miracle* (2001)

8 April Japan's Emergence

Christopher W. Hughes, *Japan's Re-emergence as a 'Normal' Military Power*, Adelphi Paper No. 368 (2004), Ch. 1

Kenneth Pyle, "Yoshida Doctrine as Grand Strategy," *Japan Rising: The Resurgence Of Japanese Power And Purpose* (New York: Public Affairs, 2007), pp. 241-277

Sueo Sudo, "Japan-ASEAN Relations: New Dimensions in Japanese Foreign Policy," *Asian Survey*, Vol. 28, No. 5 (May, 1988), pp. 509-525

Recommended

Mike M. Mochizuki, "Japan's Search for Strategy," *International Security*, Vol. 8, No. 3 (Winter, 1983-1984), 152-179

Thomas U. Berger, "From Sword to Chrysanthemum: Japan's Culture of Anti-militarism," *International Security*, Vol. 17, No. 4. (Spring 1993), pp. 119-150

Eric Heginbotham and Richard J. Samuels, "Mercantile Realism and Japanese Foreign Policy," *International Security*, Vol. 22, No. 4. (Spring, 1998), pp. 171-203

Tsuyoshi Kawasaki, "Postclassical Realism and Japanese Security Policy," *The Pacific Review*, Vol. 14, No. 2 (June 2001), pp. 221-240

Paul Midford "Japan's Leadership Role in East Asian Security Multilateralism: The Nakayama Proposal and The Logic Of Reassurance," *The Pacific Review*, Vol. 13, No. 3 (2000), pp. 367-397

IV. POST-COLD WAR

10 April Ripe for Rivalry?

Aaron Friedberg, "Ripe for Rivalry: Prospects for Peace in a Multipolar Asia," *International Security*, Vol. 18, No. 3 (Winter 1993/1994), pp. 5-33

Thomas J. Christensen, "Fostering Stability or Creating a Monster? The Rise of China and U.S. Policy toward East Asia," *International Security*, Vol. 31, No. 1 (Summer 2006), pp. 81-126

Recommended

*Thomas J. Christensen, "China, The US-Japan Alliance, And The Security Dilemma In East Asia," *International Security*, Vol. 23, No. 4 (Spring 1999), pp. 49-80

*Thomas C. Berger, "Set for Stability? Prospects for Conflict and Cooperation in East Asia," *Review of International Studies*, Vol. 26, No. 3 (July 2000), pp.405-428

*David C. Kang, "Getting Asia Wrong: The Need for New Analytical Frameworks," *International Security*, Vol. 27, No 4 (Spring 2003), pp. 57-85.

*Amitav Acharya, "Will Asia's Past Be Its Future?" *International Security*, Vol. 28, No. 3 (Winter 2003/04), pp. 149-164.

*Robert S. Ross, "The Geography of Peace: East Asia in the Twenty-first Century," *International Security*, Vol. 23, No. 4 (Spring 1999), pp. 81 - 118

Robert Hartfiel and Brian L. Job "Raising the Risks of War: Defence Spending Trends and Competitive Arms Processes in East Asia," *The Pacific Review*, Vol. 20, No. 1 (March 2007), pp. 1-22

Joseph S. Nye Jr., "The Case for Deep Engagement," *Foreign Affairs*, (July/August 1995), pp. 90-102 **AND** Chalmers Johnson and E.B. Keehn, "The Pentagon's Ossified Strategy," *Foreign Affairs*, (July/August 1995), pp. 103-114

[Department of Defense, *The United States Security Strategy For The East Asia-Pacific Region 1998*](#)

15 April Maintaining Regional Order II: Beyond ASEAN

Michael Leifer, *The ASEAN Regional Forum*, Adelphi Paper No. 302, (July 1996), pp. 31-60.

Yuen Foong Khong, "Making Bricks without Straw in the Asia Pacific?" *The Pacific Review*, vol. 10, no. 2 (1997), pp. 289-300.

Richard Stubbs, "ASEAN Plus Three: An Emerging East Asian Regionalism?" *Asian Survey*, Vol. 42, No. 3 (May 2002), pp. 440-455

Reference

[ARF Concept Paper \(1995\)](#)

Recommended

*Amitav Acharya, "Ideas, Identity, and Institution-Building: From the ASEAN Way to the Asia-Pacific Way?" *The Pacific Review*, vol. 10, no. 3 (1997), pp. 319-346

*Alastair Iain Johnston, "The Myth of the ASEAN Way: Explaining the Evolution of the ARF" in Helga Haftendorn et al., eds., *Imperfect Unions: Security Institutions Over Time and Space*, Oxford University Press, 1999, pp. 287-324.

*Yuen Foong Khong and Helen E.S. Nesadurai "Hanging Together, Institutional Design, and Cooperation in Southeast Asia: AFTA and the ARF" in Amitav Acharya and Alastair Iain Johnston eds., *Crafting Cooperation: Regional Institutions in Comparative Perspective* (Cambridge University Press 2007)

*David Martin Jones and Michael L. R. Smith, "Making Process, Not Progress ASEAN and the Evolving East Asian Regional Order" *International Security*, Vol. 32, No. 1 (2007) pp.148-184

Victor Cha, "Multilateral Security in Asia and the U.S.-Japan Alliance," G. John Ikenberry and Takashi Inoguchi, *Reinventing the Alliance: U.S.-Japan Security Partnership in an Era of Change* (New York: Palgrave, 2003), pp. 141-162

Amitav Acharya, "Regional Institutions and Asian Security Order: Norms, Power and Prospects for Peaceful Change," in Muthiah Alagappa, ed., *Asian Security Order: Instrumental and Normative Features* (Stanford: Stanford UP, 2003), pp. 210-240

17 April Financial Crisis and Regionalism

Shaun Narine, *Explaining ASEAN: Regionalism in Southeast Asia*, (Boulder: Lynne Reiner, 2002), pp. 139-159

Douglas Webber, "Two Funerals and a Wedding? The Ups and Downs of Regionalism in East Asia and the Asia-Pacific after the Asian Crisis," *The Pacific Review*, Vol. 14, No. 3 (2001), pp. 339-372

Paul Bowles, "Asia's Post-Crisis Regionalism: Bringing the State Back In, Keeping the (United) States Out," *Review of International Political Economy*, Vol. 9, No. 2 (Summer 2002), pp. 244-270

Reference on the Crisis

Jeffrey Winters, "The Determinants of Financial Crisis in Asia," in T.J. Pempel, ed., *The Politics of the Asian Economic Crisis* (Ithaca, NY: Cornell UP, 1999), pp. 79-97

Robert Wade, "Wheels within Wheels: Rethinking the Asian Crisis and the Asian Model," *Annual Review of Political Science*, Vol. 3 (2000), pp. 85-115

*Giancarlo Corsetti, Paolo Pesenti and Nouriel Roubini, "What Caused the Asian Currency and Financial Crisis?", *Japan and the World Economy*, Vol. 11 (1999), pp. 305-373

Stephan Haggard, *The Political Economy of the Asian Financial Crisis* (Institute for International Economics, 2000), [Introduction](#).

[Asia Financial Crisis webpage](#)

Recommended

[Stanley Fischer, "The Asian Financial Crisis: A View from the IMF" \(January 1998\).](#)

*Amitav Acharya, "Realism, Institutionalism and the Asian Economic Crisis," *Contemporary Southeast Asia*, Vol. 21, No. 1 (April 1999), pp.1-29

*Sarah Eaton and Richard Stubbs, "Is ASEAN Powerful? Neo-Realist Versus Constructivist Approaches to Power in Southeast Asia," *The Pacific Review*, Vol. 19, No. 2 (June 2006) pp. 135-155

*Mario B. Lamberte, "An Overview of Economic Cooperation and Integration in Asia," in *Asian Economic Cooperation and Integration: Progress, Prospects, and Challenges* (ADB, 2005)

*Kent Calder and Min Ye, "Regionalism and Critical Junctures: Explaining the 'Organization Gap' in Northeast Asia," *Journal of East Asian Studies*, Vol. 4 (2004), pp. 191-226

Barry Desker, "In Defence of FTAs: From Purity to Pragmatism in East Asia," *The Pacific Review*, Vol. 17, No. 1 (March 2004), pp. 3-26

Yung Chul Park, "Whither Financial and Monetary Integration in East Asia?" *Asian Economic Papers*, Vol. 6, No. 3 (2007), pp. 95-128

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Andrew Scobell, "Show of Force: The 1995-1996 Taiwan Crisis," *China's Use of Military Force* (Cambridge: Cambridge UP, 2003), pp. 171-191

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[Four-Point Guidelines on Cross-Straits Relations Set Forth by President Hu](#)

[Hu Jintao's Report at the 17th Party Congress, "X. Carrying Forward the Practice of "One Country, Two Systems" and Advancing the Great Cause of Peaceful National Reunification"](#)

Alan Romberg, *Rein in at the Brink of the Precipice: American Policy Toward Taiwan and US-PRC Relations* (Washington: Henry J. Stimson Center, 2003) (**skim**, but this is a **key** work)

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Robert Ross, "The 1996 Taiwan Strait Confrontation: Coercion, Credibility and Use of Force," *International Security*, Vol. 25, No. 2 (Fall 2000), pp. 87-123

Robert Ross, "The Stability of Deterrence in the Taiwan Straits," *The National Interest*, (Fall 2001), pp. 67-76

Thomas J. Christensen, "The Contemporary Security Dilemma: Deterring a Taiwan Conflict," *Washington Quarterly*, Vol. 25, No. 4 (Fall 2002), pp.7-21

Philip Saunders, "Long-Term Trends In China-Taiwan Relations: Implications for U.S. Taiwan Policy," *Asian Survey*, Vol. 45, No. 6 (2005), pp. 970-991

Lowell Dittmer, "Taiwan and the Issue of National Identity," *Asian Survey*, Vol. 44, No. 4 (Aug 2004), pp. 475-483

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Jonathan D. Pollack, "The United States, North Korea, and the end of the Agreed Framework," *Naval War College Review*, (Summer 2003), pp.11-48

Victor D. Cha and David C. Kang, "The Debate over North Korea," *Political Science Quarterly*, Vol. 119, No. 2 (2004), pp. 229-254

Scott Snyder, "Responses to North Korea's Nuclear Test: Capitulation or Collective Action" *The Washington Quarterly* Vol. 30, No. 4 (Autumn 2007) pp. 33-43

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[Larry A. Niksch, *North Korea's Nuclear Weapons Program*, CRS Report, October 2006](#)

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Victor D. Cha, "Hawk Engagement and Preventive Defense on the Korean Peninsula," *International Security*, Vol. 27, No. 1 (Summer 2002), pp. 40-78.

Hazel Smith, "Bad, Mad, Sad or Rational Actor? Why the Securitization Paradigm Makes for Poor Policy Analysis of North Korea," *International Affairs*, Vol. 76, No. 3 (July 2000), pp. 593-617

John S. Park, "Inside Multilateralism: The Six-Party Talks," *The Washington Quarterly*, Vol. 28, No. 4 (Autumn 2005) pp. 75–91

Christopher Hughes, "North Korea's Nuclear Weapons: Implications for the Nuclear Ambitions of Japan, South Korea, and Taiwan" *Asia Policy*, No. 3 (January 2007) pp. 75-104

Phillip C. Saunders, "Korea as viewed from China," in Jonathan D. Pollack, ed., *Korea: The East Asian Pivot* (Newport, RI: Naval War College Press, 2006), pp. 233-252

IV. CHINA'S RISE

1 May China's Rise

Evan S. Medeiros and M. Taylor Fravel, "China's New Diplomacy," *Foreign Affairs*, (Nov/Dec 2003), pp. 22-35

Loren Brandt, Thomas G. Rawski and Xiaodong Zhu, "International Dimensions of China's Long Boom," in William W. Keller and Thomas G. Rawski, eds., *China's Rise and the Balance of Influence in Asia* (Pittsburgh: University of Pittsburg Press, 2007), pp. 14-46

Aaron L. Friedberg, "The Future of U.S.-China Relations Is Conflict Inevitable?," *International Security*, Vol. 30, No. 2 (Fall 2005), pp. 7–45

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Harold Brown, Joseph W. Prueher, Adam Segal, *Chinese Military Power: Report of an Independent Task Force*, (New York: Council on Foreign Relations Press, 2003)

Recommended

Alastair Iain Johnston, "Is China a Status Quo Power?" *International Security*, Vol. 27, No. 4 (Spring 2003), pp. 5-12, 25-49

Avery Goldstein, "An Emerging China's Emerging Grand Strategy: A Neo-Bismarckian Turn?," in G. John Ikenberry and Michael Mastanduno, eds., *International Relations Theory and the Asia-Pacific* (New York: Columbia University Press, 2003), pp. 57-106

Chia Siow Yue, "ASEAN-China Economic Competition and Free Trade Area," *Asian Economic Papers* Vol. 4, No. 1 (2005), pp. 109-147

John Ravenhill, "Is China an Economic Threat to Southeast Asia?" *Asian Survey*, Vol. XLVI, No. 5 (September/October 2006), pp. 653-674

6 May A New Security Dilemma?

Christopher W. Hughes, *Japan's Re-emergence as a 'Normal' Military Power*, Adelphi Paper No. 368 (2004), Ch. 2

John J. Mearsheimer, "China's Unpeaceful Rise," *Current History*, (April 2006),

Mike M. Mochizuki, "Japan's Shifting Strategy Toward the Rise of China," *Journal of Strategic Studies*, Vol. 30, No.4-5 (August-October 2007), pp. 739-776

He Yinan "History, Chinese Nationalism and the Emerging Sino-Japanese Conflict," *Journal of Contemporary China*, Vol. 16, No. 50 (February 2007), pp. 1–24

Recommended

*Richard J. Samuels, *Securing Japan: Tokyo's Grand Strategy and the Future of East Asia* (Ithaca: Cornell UP, 2007)

Mindy L. Kotler, Naotaka Sugawara, and Tetsuya Yamada, "Chinese and Japanese Public Opinion: Searching for Moral Security" *Asian Perspectives*, Vol. 31, No. 1 (2007) pp. 93-125

Wu Xinbo, "The End of the Silver Lining: A Chinese View of the U.S.-Japanese Alliance," *The Washington Quarterly*, Vol. 29, No. 1 (Winter 2006), pp. 119–130

Mike M. Mochizuki, "Terms of Engagement: The US-Japan Alliance and the Rise of China," in Krauss and Pempel, eds., *Beyond Bilateralism*, (Stanford UP, 2004), pp. 87-114

8 May **** **In-class Exam** ****

13 May **The U.S. in East Asia**

Morton Abramowitz and Stephen Bosworth, "America Confronts the Asian Century," *Current History*, (April 2006), pp. 147-152

Lowell Dittmer, "Assessing American Asia Policy," *Asian Survey*, Vol. 47, No. 4 (Aug 2007), pp. 521–535

Victor D. Cha, "Winning Asia: Washington's Untold Success Story," *Foreign Affairs*, (Nov/Dec 2007), pp. 98-113

G. John Ikenberry, "The Rise of China and the Future of the West: Can the Liberal System Survive?," *Foreign Affairs*, (January/February 2008), pp.

Recommended

Michael O'Hanlon, "U.S. Military Modernization: Implications for U.S. Policy in Asia," in Ashley J. Tellis and Michael Wills, eds., *Strategic Asia 2005-06: Military Modernization in an Era of Uncertainty* (Seattle: National Bureau of Asian Research, 2005), pp. 41-65

Stephen D. Cohen, "Superpower as Super-Debtor: Implications of Economic Disequilibria for U.S.-Asia Relations," in Ashley J. Tellis and Michael Wills, eds., *Strategic Asia 2006-07: Military Modernization in an Era of Uncertainty* (Seattle: National Bureau of Asian Research, 2006), pp. 29-64

Evan S. Medeiros, "Strategic Hedging and the Future of Asia-Pacific Stability," *The Washington Quarterly*, Vol. 29, No. 1 (Winter 2005-06), pp. 145–167

Christopher P. Twomey, "Missing Strategic Opportunity in U.S. China Policy since 9/11: Grasping Tactical Success," *Asian Survey*, Vol. 47, No. 4 (Aug 2007), pp. 536–559

15 May **Conclusion and Warp-UP**

**** **Essay II Due** ****

Précis Assignment

A précis is short and concise summary of a scholarly book, approximately 600 words in length. A précis should capture the essence of a longer argument, summarizing the argument, theory and data presented by the work's author. A précis is **not** a book review or a critique. Each précis will be shared with the rest of the class and posted on Stellar.

Précis I Book List

- Michael Barnhardt, *Japan Prepares for Total War: The Search for Economic Security 1919-1941*, (Cornell UP, 1987)
- Leszek Buszynski, *SEATO: The Failure of an Alliance Strategy* (Singapore: Singapore UP, 1983)
- Chen Jian, *Road to the Korean War* (New York: Columbia UP, 1994)
- Leslie H. Gelb with Richard K. Betts, *The Irony Of Vietnam: The System Worked* (Washington : Brookings Institution, 1979)
- Melvin Gurtov and Byong-Moo Hwang, *China Under Threat: the Politics of Strategy and Diplomacy* (Baltimore: Johns Hopkins UP, 1980)
- George McT. Kahin, *Intervention: How America Became Involved in Vietnam* (New York: Alfred A. Knopf, 1986)
- Yuen Foong Khong, *Analogies At War: Korea, Munich, Dien Bien Phu and the Vietnam Decisions of 1965* (Princeton: Princeton UP, 1992)
- Andrew F. Krepinevich, *The Army and Vietnam* (Baltimore: Johns Hopkins UP 1986)
- Stephen Morris, *Why Vietnam Invaded Cambodia : Political Culture And The Causes Of War* (Stanford: Stanford UP 1999)
- Andrew Rotter, *Path to Vietnam: Origins Of The American Commitment To Southeast Asia* (Ithaca: Cornell UP, 1987)
- Robert S. Ross, *The Indochina Tangle: China's Vietnam Policy, 1975-79* (New York: Columbia UP, 1988).
- Michael M. Sheng, *Battling Western Imperialism: Mao, Stalin, And The United States* (Princeton: Princeton UP, 1997)
- William Whitney Stueck, Jr. *The Road to Confrontation: American Policy Toward China and Korea, 1947-50* (Chapel Hill: University of North Carolina Press, 1981)
- Allen Whiting, *China Crosses the Yalu* (New York: Macmillan, 1960)
- Richard Wich, *Sino-Soviet Crisis Politics: A Study Of Political Change And Communication* (Cambridge: Harvard UP, 1980)
- Donald Zagoria, *The Sino-Soviet Conflict: 1956-1961* (Princeton: Princeton UP, 1962)
- Zhai Qiang, *China And The Vietnam Wars: 1950-1975* (Chapel Hill: University of North Carolina Press, 2000)
- Zhang Shuguang, *Deterrence and Strategic Culture: Chinese-American confrontations, 1949-1958* (Ithaca: Cornell UP, 1992)
- Zhang Shuguang, *Economic Cold War: America's Embargo Against China And The Sino-Soviet Alliance, 1949-1963*, (Stanford: Stanford UP, 2001)

Précis II Book List

- Zachary Abuza, *Militant Islam in Southeast Asia: Crucible of Terror* (Lynne Rienner, 2003)
- Amitav Acharya, *The Quest for Identity: International Relations of Southeast Asia*, (Oxford: Oxford UP, 2000)
- Amitav Acharya, *Constructing A Security Community In Southeast Asia : ASEAN And The Problem Of Regional Order*, (New York: Routledge, 2001)
- Allen Carlson, *Unifying China, Integrating with the World: The Chinese Approach to Sovereignty During the Reform Era* (Stanford University Press, 2005)
- Victor D. Cha, *Alignment Despite Antagonism: The United States-Korea-Japan Security Triangle*, (Stanford: Stanford UP, 1999)
- Steve Chan, *East Asian Dynamism: Growth, Order and Security in the Pacific Region*, (Boulder: Westview, 1990)
- Jae Ho Chung, *Between Ally and Partner: Korea-China Relations and the United States* (New York: Columbia UP, 2006)
- Reinhard Drifte, *Japan's Security Relationship With China Since 1989. From Balancing To Bandwagoning?*, (London: Routledge, 2003)
- Ralf Emmers, *Cooperative Security and the Balance of Power in ASEAN and the ARF*, (New York: Routledge, 2003)
- Rosemary Foot, *The Practice of Power: US Relations with China since 1949*, (Oxford: Oxford UP, 1995)
- Avery Goldstein, *Rising to the Challenge: China's Grand Strategy and International Security*, (Stanford University Press, 2005)
- Michael J. Green, *Japan's Reluctant Realism*, (New York: Palgrave, 2001)
- Bates Gill, *Rising Star: China's New Security Diplomacy* (Washington: Brookings, 2007)
- Alastair Iain Johnston, *Social States: China in International Institutions, 1980-2000* (Princeton: Princeton UP, 2007)
- Peter J. Katzenstein, *Cultural Norms and National Security: Police and Military in Postwar Japan*, (Ithaca: Cornell UP, 1996)
- Peter J. Katzenstein, *A World of Regions: Asia and Europe in the American Imperium* (Ithaca, N.Y.: Cornell University Press, 2005).
- David C. Kang, *China Rising: Peace, Power and Order in East Asia* (New York: Columbia, 2007)
- Edward Lincoln, *East Asian Regionalism*, (Washington: Brookings, 2004)
- Robin Lym, *The Geopolitics of East Asia: The Search for Equilibrium*, (New York: Routledge, 2003)
- Evan Medeiros, *Reluctant Restraint: The Evolution of China's Nonproliferation Policies and Practices, 1980-2004* (Stanford: Stanford UP, 2007)
- Liselotte Odgaard, *The Balance of Power in Asia-Pacific Security* (London: Taylor & Francis, 2007)

- Kenneth B. Pyle, *The Japanese Question: Power and Purpose in a New Era*, (Washington, DC: American Enterprise Institute, 1992)
- Kenneth B. Pyle, *Japan Rising: The Resurgence of Japanese Power and Purpose*
- John Ravenhill, *APEC and the Construction of Pacific Rim Regionalism* (Cambridge: Cambridge UP, 2002)
- Robert Ross, *Negotiating Cooperation: The United States And China, 1969-1989*, (Stanford: Stanford UP 1995)
- Gilbert Rozman, *Northeast Asia's Stunted Regionalism: Bilateral Distrust in the Shadow of Globalization*, (Cambridge: Cambridge UP 2004)
- Richard J. Samuels, *'Rich Nation, Strong Army': National Security and Japan's Technological Transformation*, (Ithaca: Cornell UP, 1994)
- Andrew Scobell, *China's Use of Military Force: Beyond the Great Wall and the Long March*, (New York : Cambridge UP, 2003)
- Susan Shirk, *China: Fragile Superpower* (Oxford: Oxford UP, 2007)
- Leon Sigal, *Disarming Strangers: Nuclear Diplomacy With North Korea*, (Princeton: Princeton UP 1998)
- Robert Sutter, *China's Rise in Asia: Promises and Perils* (Rowman and Littlefield, 2005)
- Alan M. Wachman, *Why Taiwan? Geostrategic Rationales for China's Territorial Integrity* (Stanford: Stanford UP, 2007)
- Ming Wan, *The Political Economy of East Asia: Striving for Wealth and Power* (Washington: CQ Press, 2007)
- William T. Tow, *Encountering the Dominant Player: US Extended Deterrence Strategy in the Asia-Pacific*, (New York: Columbia UP, 1991)
- Wang Jianwei, *Limited Adversaries: Post-Cold War Sino-American Mutual Images*, (New York: Oxford UP, 1999)
- Zhao Suisheng, *Power Competition in East Asia*, (New York: St. Martin's Press, 1997)