Nonlinear time-domain cochlear model for transient stimulation and human otoacoustic emission

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This paper describes the implementation and performance of a nonlinear time-domain model of the cochlea for transient stimulation and human otoacoustic emission generation. The nonlinearity simulates compressive growth of measured basilar-membrane impulse responses. The model accounts for reflection and distortion-source otoacoustic emissions (OAEs) and simulates spontaneous OAEs through manipulation of the middle-ear reflectance. The model was calibrated using human psychoacoustical and otoacoustic tuning parameters. It can be used to investigate time-dependent properties of cochlear mechanics and the generator mechanisms of otoacoustic emissions. Furthermore, the model provides a suitable preprocessor for human auditory perception models where realistic cochlear excitation patterns are desired. © 2012 Acoustical Society of America.

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I. INTRODUCTION

Time-domain models of the cochlea can simulate cochlear traveling waves and have successfully been used to investigate the mechanisms underlying otoacoustic emission (OAE) generation (van Hengel, 1996; Talmadge et al., 1998; Elliott et al., 2007; Choi et al., 2008; Moleti et al., 2009; Epp et al., 2010; Liu and Neely, 2010; Verhulst et al., 2011b). All these models represent the cochlea as a cascade of coupled two-port networks, but differ in how they represent compression in local basilar-membrane (BM) motion. For applications and research purposes, it is useful to have direct control over the tuning (Q) properties of the BM and the variation of Q with intensity. The representation of the active process related to outer-hair-cell processing, whether based on micromechanical properties of the cells (Elliott et al., 2007; Moleti et al., 2009; Choi et al., 2008; Liu and Neely, 2010) or, functionally, as an intensity dependent gain reduction in the BM admittance (van Hengel, 1996; Epp et al., 2010), has a large effect on the frequency and level-dependence of Q. Unfortunately, in most models it is difficult to evaluate or manipulate BM tuning properties as they result from a specifically designed set of cochlear parameters.

The model proposed here provides an alternative to the described models by giving the user direct control over the poles of the BM admittance, and thus over the tuning and gain properties of the model along the cochlear partition. A functional, rather than a micromechanical, approach for the nonlinearity design is followed with the purpose of realistically representing level-dependent BM impulse response (IR) behavior (Recio and Rhode, 2000; Shera, 2001). The model simulates both forward and reverse traveling waves (OAEs), which provides a double advantage. Recorded human OAEs can be used to constrain the parameters in the model, and secondly, changes in OAEs can be predicted (and afterwards recorded) when varying cochlear parameters to known stimuli. The model was calibrated using data from human click-evoked (CE) and stimulus-frequency (SF) OAE recordings, and can simulate distortion-product (DP) and spontaneous (S)OAEs.

II. THE MODEL

A. Cochlear mechanics

The cochlea was modeled as an uncoiled fluid-filled tube containing an array of oscillators that are coupled through the incompressible fluids in the scalae. It was assumed that the pressure was uniformly distributed in the directions perpendicular to the BM by assuming that the wavelength of the traveling wave is large compared to the height of the scalae at all locations along the BM (i.e., long-wave approximation; de Boer, 1991). The cochlear series impedance Z(s) and shunt admittance Y(s) were described in Zweig (1991) for s = jωoωn, and were solved here for a cochlea of N = 1000 sections. The center frequency for each section was determined by the Greenwood (1961) map and the cochlea was tapered so that the input impedance of the cochlea was resistive by making the BM stiffness proportional to the scalae area (Zweig, 1991; Shera and Zweig, 1991). The parameters describing the cochlear model are given in Table I.

The helicotrema boundary (i.e., n = N) at the apex was modeled as a short circuit and the middle-ear boundary (i.e., n = 0) was modeled as an impedance matching network, leading to a resistance R_ME at the middle-ear boundary. When the value of R_ME is chosen to equal the cochlear input...
impedance [i.e., $Z_{\text{in}} = \sqrt{Z(s)/Y(s)}$] near the base of the cochlea where $|s|$ is small], standing waves between the middle-ear boundary and the traveling-wave peak are not generated. Changing $R_{\text{ME}}$ to a value that does not match $Z_{\text{in}}$ adds reflectivity to the middle-ear boundary. This allows for reflection of reverse traveling waves back into the cochlea as forward traveling waves, and can lead to the generation of multiple internal reflections (Zweig and Shera, 1995) and spontaneous otoacoustic emissions (Shera and Guinan, 2003). Middle-ear transmission was modeled by applying the forward and reverse middle-ear gain functions (Puria, 2003) to the stimulus and reverse traveling wave, respectively.

An instantaneous nonlinearity was included in the model to account for the compressive nonlinearity observed in measured BM impulse responses (IRs) (Recio and Rhode, 2000). These data are characterized by a near-invariance of the IR fine-structure when increasing stimulus intensity (Recio and Rhode, 2000; Shera, 2001). Shera (2001) developed a model in the frequency domain that describes the level-dependent BM IR behavior through a careful placement of the poles of the BM admittance $Y(s)$. Near the peak of the traveling-wave, $Y(s)$ is dominated by two closely spaced poles near $s = j$ (that can be represented by a coinciding double pole; Zweig, 1990), of which the pole location $z_s$ relative to the imaginary axis of the complex $s$-plane determines the stability of the model. The value of $z_s$ is related to $\delta$, $\rho$, and $\psi$ in Eq. (1) through the equations derived in footnote 8 of Shera (2001). For an active (but stable) model solution where $\delta$ is negative, the double pole is placed close to the positive imaginary axis of the complex $s$-plane on the negative side of the real axis (Shera, 2001). For passive model solutions where $\delta$ is positive, the double pole is situated further away from the positive imaginary $s$-axis (Shera, 2001), i.e., takes a more negative real value. When the double-pole trajectory between the active and passive model solutions in the $s$-plane is kept horizontal, the IR fine-structure remains invariant while the BM IR shows increased compression (Shera, 2001). As $z_s$ increases from a smaller value (more active) to a larger value (less active) following the horizontal trajectory, the BM IR becomes shorter and the auditory filters become wider (see Fig. 1). This nonlinearity concept that moves the location of the double pole of $Y(s)$ depending on the local BM motion, and thus indirectly on the intensity of the input, was adapted and implemented in the present model. A pole-trajectory was designed for all locations along the BM for stimulus levels between 30 and 97 dB sound pressure level (SPL) with a compression slope of 0.4 dB/dB. The model includes an algorithm that adaptively determines the pole locations in $Y(s)$ at each time step depending on the local BM velocity, $v_{\text{BM}}$, in the previous time step.

### B. Otoacoustic emissions

The simulation of otoacoustic emissions has two prerequisites: the traveling-wave equation needs to be calculated over
time, and secondly, reverse traveling waves, manifest at the eardrum as OAEs, need to be generated. The transmission-line matrix determined by Eqs. (2) and (3) was calculated using Gaussian elimination (Gentle, 1998) and was solved over time using the fourth order Runge–Kutta method (Diependaal et al., 1987). One of the most extensive and thoroughly tested models of otoacoustic emission generation infers that the forward traveling wave is reflected by pre-existing random BM impedance irregularities (Zweig and Shera, 1995; Shera and Guinan, 1999; Shera et al., 2008). These irregularities are believed to be inherent to a healthy cochlea and may reflect small cell-to-cell differences in outer-hair cell amplification and alignment, that can be thought of as place-fixed BM impedance irregularities. Through the mechanism of coherent reflection, the BM irregularities give rise to backwards-traveling waves that can be recorded in the ear canal as reflection-source emissions (Shera and Guinan, 1999). An additional source of emission generation comes from the compressive nonlinearity in the cochlea that gives rise to waveform distortion and leads to distortion-source emissions (Shera and Guinan, 1999).

Because the presented model contains a compressive nonlinearity, distortion-source emissions are implicit, and reflection-source emissions are accounted for by introducing BM irregularities in \( Y(s) \). Two parameters were allowed to vary randomly along the cochlear partition: First, the double pole location applied below the nonlinearity threshold at 30 dB, \( x_{30} \), was varied with maximum excursions of 5% around its initial value. Second, the \( v_{BM} \) threshold for the compressive nonlinearity was allowed to vary by 1 dB around its center value of 30 dB. Adding small variations of these two parameters across the cochlear partition mimics small cell-to-cell differences in outer-hair cell amplification and nonlinearity threshold and determines the strength of the reflection-source emissions. A random vector of \( x_{30} \) and \( v_{BM30} \) values was generated using a random number generator with a specific starting seed for every model subject. Consequently, every model subject had a fixed set of random BM irregularities which, in analogy to frozen noise, led to frozen models where all parameters but the irregularity vector were identical. In the model, the irregularities can either be turned on (reflection-source and distortion-source OAEs simulated) or off (only distortion-source emission generated), and the nonlinearity can be turned off (only reflection-source emissions generated), making the model suitable for studies investigating otoacoustic emission generator mechanisms.

C. Calibration

The model was calibrated by considering the simulated emission amplitude and group delay. The amplitude of the simulated OAE depends on \( x_{30} \) [the pole location that determines \( \delta \) in \( Y(s) \)], the size of the BM irregularities (variation in \% of \( x_{30} \) and in dB of \( v_{BM30} \)), and the number of sections \( N \) in the model. With each doubling of the number of cochlear sections \( N \), the mean stimulus-frequency (SF) OAE magnitude across 40 frozen models decreases by 3 dB. Furthermore, a 6-dB increase in SFOAE magnitude occurs when doubling the magnitude of the irregularities added. Since there is little physiological evidence relating the number of cochlear sections to the number of rows of outer hair cells, or constraining the size of cochlear irregularities, these parameters were adjusted to simulate realistic SFOAE level-curves. Since Kalluri and Shera (2007) demonstrated that CEOAE and SFOAE level-curves show a near-equivalence for low-level stimuli, it was assumed that fitting the model parameters to SFOAE level-curve data yields realistic CEOAE levels. For the simulations shown in Figs. 1 and 2, \( N \) was 1000, \( \Delta x_{30} 5\% \), and \( \Delta v_{BM30} 1 \) dB.

The location of the poles \( x \) in the complex \( s \)-plane has an influence on the gain and tuning \( Q_{ERB} \) of the auditory filters in the model. \( Q_{ERB} \) measures the tuning of a rectangular filter with the same power as the area under the spectrum of the BM IR at 1 kHz \[ i.e., Q_{ERB} = f_s/BW_{rect} \] where \( BW_{rect} \) is the equivalent rectangular bandwidth (Moore and Glasberg (1983)). Its value is not only controlled by the damping parameter \( Y(s) \), but is also influenced by the coupling of the different cochlear sections through the cochlear fluids. \( Q_{ERB} \) can be established from the tuning of an auditory filter evaluated at a section \( n \) of the cochlea, and inferred from the group delay of otoacoustic emissions, \( \tau_{SFOAE} \) recorded in the ear canal (Shera and Guinan, 2003; Shera et al., 2010). Figure 1 shows the relation between \( x_{30} \) and \( Q_{ERB} \) and the delay \( N_{SFOAE} = f_s \tau_{SFOAE} \) (in cycles) for a specific frequency in the OAE, evaluated at \( f_s = 1 \) kHz for stimulation with a 40-dB-peSPL click. All model parameters, except for \( x_{30} \), were kept constant. As \( x_{30} \) increases linearly from 0.035 to 0.25, \( Q_{ERB} \) decreases from 19 to 1.75, demonstrating a power-law relation between \( x_{30} \) and \( Q_{ERB} \). \( N_{SFOAE} \) (black squares) shows a similar decreasing trend for poles of 0.04, 0.06, and 0.08 with a \( Q_{ERB}/N_{SFOAE} \) ratio close to 1, in agreement with ratios found in Shera et al. (2010) at 1 kHz.

The model described here employs the concept of scaling symmetry so that \( Q_{ERB} \) remains constant along the cochlear partition. The value of \( x_{30} \) can be varied as a parameter so

![FIG. 1. Relationship between \( x_{30} \) from Eq. (4) describing the compressive nonlinearity and \( Q_{ERB} \) and \( N_{SFOAE} \). \( x_{30} \) was varied while the stimulus was a 40-dB-peSPL click: \( Q_{ERB} = f_s/BW_{rect} \) where the bandwidth of the filter \( BW_{rect} \) was determined from a rectangular filter with the same power as the area under the spectrum of the BM IR at 1 kHz. \( N_{SFOAE} = f_s \tau_{SFOAE} \) was determined from the negative slope of the unwrapped CEOAE phase-vs-frequency plot evaluated at \( f_s = 1 \) kHz for CEOAEs simulated to a 40-dB-peSPL click. As CEOAEs and SFOAEs are equivalent for low level stimuli (Kalluri and Shera, 2007), \( N_{CEOAE} \) evaluated at 1 kHz reflects \( N_{SFOAE} \) at 1 kHz.](image-url)
that the influence of auditory filter tuning on BM mechanics and OAE properties can be investigated. The default value of $\alpha_{30}$ was 0.06, corresponding to a model $Q_{ERB}$ of 10 and a $N_{SFOAE}$ of 10.7. This value was found to match well with the psychoacoustically obtained $Q_{ERB}$ value of 9.26 and 11 for humans in Glasberg and Moore (1990) and Oxenham and Shera (2003), respectively. Furthermore, Shera and Guinan (2003) found an $N_{SFOAE}$ value of 10 at 1 kHz for humans. All model performance plots in Fig. 2 were obtained for a model with $\alpha_{30} = 0.06$ and a compression slope of 0.4 dB/dB.

III. MODEL PERFORMANCE

The main characteristics of the model are presented in Fig. 2. Panel (A) shows normalized BM impulse responses from the 1-kHz cochlear characteristic frequency (CF) location for different excitation levels (in dB SPL). The simulated BM IRs show increased compression and a shift of the envelope maxima towards shorter latencies as stimulus intensity increases. At the same time, the BM IR fine-structure is near invariant with stimulus level. These results are in qualitative agreement with recorded BM IRs (Reocio and Rhode, 2000) and demonstrate that the model nonlinearity was implemented appropriately.

Panel (B) of Fig. 2 shows BM I/O functions (i.e., stimulus level vs rms level of the BM displacement $y_{BM}$) for pure-tone stimulation. The I/O functions were obtained at CF (1 kHz) as well as at cochlear locations corresponding to one octave above and below CF. At CF, the I/O function shows a compression slope of 0.4 dB/dB for stimulation levels between 30 and 97 dB SPL, and linear behavior beyond these boundaries. One octave above and below CF, the I/O functions are nearly linear.

Panel (C) shows cochlear excitation patterns, calculated as the rms level of $y_{BM}$ per cochlear section $n$ for stimulation with a pure tone of 1 kHz with stimulus intensities between 10 and 90 dB SPL. All model performance plots in Fig. 2 were obtained for a model with $\alpha_{30} = 0.06$ and a compression slope of 0.4 dB/dB.

FIG. 2. (A) Overlaid $y_{BM}$ BM IRs simulated for the 1-kHz cochlear CF location for clicks with intensities between 0 and 90 dB peSPL. The IRs were normalized by the pressure at the stapes of the cochlea such that compression is observed as a reduction of the IR amplitude. (B) I/O functions calculated as stimulus level in dB SPL versus rms level of $y_{BM}$ evaluated at CF (1-kHz location) and at one octave above and below CF. The stimulus was a 1-kHz pure tone with intensities between 10 and 100 dB SPL. (C) Cochlear excitation patterns calculated as the rms level of $y_{BM}$ per cochlear section $n$ for stimulation with a pure tone of 1 kHz with stimulus intensities between 10 and 90 dB SPL. (D) CEOAE level curve calculated as the level of the click in dB peSPL versus the rms level of the CEOAE (i.e., waveform starting 5 ms after click onset) for 10 frozen models. Level curves are shown for a smooth model where the BM irregularities were turned off, and for a rough model where the irregularities were turned on. The rough model was either nonlinear or linear. The level difference between the smooth and rough (non)linear level curve reflects OAE gain. (E) SFOAE level curve calculated as the level of the pure tone versus the level of the SFOAE for ten different subjects. The SFOAE levels were obtained from the spectral level difference at the pure tone frequency between a recording where the BM irregularities were first turned on, and then turned off. (F) Group delay in ms, calculated as the negative slope of the unwrapped phase of the CEOAE, shown as an average over 40 frozen models for four different stimulus levels.
starting 5 ms after the click onset, and the BM irregularities were either turned on (rough) or off (smooth). CEOAE level curves were obtained for rough linear and nonlinear model implementations. The difference between the smooth and rough level curves reflects OAE emission gain (∼20 dB).

Panel (E) contains SFOAE level curves for the same frozen models as in panel (D). SFOAE levels were derived from the difference in spectral level at the stimulus frequency obtained from two simulations. In the first simulation, the BM irregularities were turned on (SFOAE response in the emission), and in the second simulation, the BM irregularities were turned off (no SFOAE). Unlike the CEOAE level curves in panel (D), the SFOAE level curves show irregular growth patterns. Notches in SFOAE growth curves have been observed experimentally by Schairer et al. (2006), and these may be explained by the shift of the SFOAE fine-structure patterns with level curves (Kalluri and Shera, 2007). When probing a frequency close to a dip of the fine structure, irregularities in the level curves are expected as the fine-structure dip shifts with intensity. This effect is expected to be less prominent when probing a frequency close to the peak of the fine-structure since they are more robust to changes in stimulus level. The location of the 1-kHz pure tone in relation to the SFOAE fine-structure was random for the frozen models presented in panel (E), leading to irregular SFOAE growth curves.

Mean group delays, representing the negative slope of the unwrapped phase spectrum of CEOAEs for 40 frozen models, were calculated for clicks between 50 and 80 dB peSPL and are shown in panel (F). The mean group delay at 1 kHz for stimulus levels of 50 dB peSPL was 9.5 ms. The group delay decreases with increasing frequency to 5.5 and 2 ms at 2 and 4 kHz, respectively. These values are within the range of the experimental group delays found by Pigasse (2008) for tone-burst OAEs at 66 dB peSPL (i.e., 9.5, 7, and 4 ms at 1, 2, and 4 kHz), and Shera et al. (2002) for low level SFOAEs (i.e., 11, 7, and 4.5 ms at 1, 2, and 4 kHz). The simulated group delays decrease more rapidly with frequency than the experimental data, which may result from simplified model assumption that \( Q_{\text{ERB}} \) is constant along the BM (Shera et al., 2010).

IV. DISCUSSION AND PERSPECTIVES

This study describes the implementation of a nonlinear, time-domain transmission-line model of the cochlea. This approach is computationally expensive, currently allowing the probing of 20 cochlear sections at once with stimulus durations up to 100 ms. Future development can improve this calculation performance, but the simulations are worth the wait as the cascaded model approach preserves the timing across the cochlear partition much better than parallel auditory filterbanks. This benefit is mainly due to the simulation of forward and reverse traveling waves rather than the simulation of a series of uncoupled BM IRs. Whereas parallel filterbanks generally require adjustments to account for BM coupling characteristics (e.g., delay of the filters, frequency glides, two-tone suppression), these characteristics are implicit in a cascaded transmission-line model.

The model was calibrated for transient stimuli using measured BM IRs and data from reflection-source emissions such as stimulus-frequency and click-evoked OAEs. Even though the model also simulates distortion-product (DP) OAEs, the model parameters were not calibrated in terms of DPOAE amplitudes. However, such a calibration can be performed with data from existing DPOAE emission recordings. Because the reflection and distortion-source emission components can be analyzed independently, the relative contribution of these two components can be adjusted to reflect characteristic DPOAE fine-structure properties. Since the model can also simulate spontaneous otoacoustic emissions through manipulation of the middle-ear resistance \( R_{\text{ME}} \), the model is well suited to study the generator mechanisms of human otoacoustic emissions.

The model described here is scaling symmetric so that \( Q_{\text{ERB}} \) is constant along the cochlear partition. Consequently, the implemented nonlinearity works equally well at all cochlear sections. The downside of this approach is that variation in \( Q_{\text{ERB}} \) and the breaking of scaling symmetry, in humans often observed for frequencies below 1 kHz (Shera et al., 2010), is not accounted for. The model can easily be adapted to allow section dependent \( Q_{\text{ERB}} \) variations, though this was not incorporated in the current version. This feature may be especially interesting for studying the consequences of different gradations of outer-hair-cell-related hearing loss. Regardless of the \( Q_{\text{ERB}} \) variation across frequency, \( Q_{\text{ERB}} \) can be set as a cochlear parameter, thereby allowing the user full control over the BM tuning properties. The model thus provides an alternative to the existing parallel auditory filterbank models as preprocessors for human auditory perception models where realistic excitation patterns are desired. The model and instructions on how to run it are available at http://bit.ly/L3zuw3. Over time, the model will be fully integrated in the Auditory Model Toolbox (Søndergaard et al., 2011).

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1 The pressure \( p_n \) across a section \( n \) of the cochlea is given by

\[
p_n = b q_0 \rho_0 \left[ \frac{1}{\omega_n^2} \delta_{\text{BM}} + \delta_{\text{BM}} + \omega_n (1 + \rho e^{-\gamma_n/\omega_n}) b_{\text{BM}} \right],
\]

such that the traveling-wave equation evaluated at a section \( n \) of the cochlea becomes
\[-M_\alpha p_{n+1} + \left[ \frac{o_{\alpha} dx^2 M_{m-1} M_m}{0 \times 0} M_\alpha p_n + M_{m-1} + M_m \right] p_n - M_{m-1} p_{n+1} \]
\[= o_{\alpha} \beta dx^2 M_{m-1} M_m \left[ \delta y_{\text{BM}} + o_{\alpha} \left(1 + re^{-\gamma p_{\text{BM}}/o_{\alpha}} \right) y_{\text{BM}} \right].\]

The traveling-wave equation at the apical boundary is described by Eq. (2), where \(n = N\) and \(p_{n+1} = 0\). The middle ear boundary of the cochlea is described by
\[\left[1 + \frac{M_{\alpha} dx}{M_{\text{ME}}} \right] p_0 - p_1 = \frac{M_{\alpha} dx}{M_{\text{ME}}} \left[ p_0 + A_\alpha R_{\text{ME}} y_{\text{ME}} \right],\]

where \(R_{\text{ME}}\) is a matching resistor.

In a scaling symmetrical model, such as the one presented here, the \(y_{\text{BM}}\) amplitude scales across the cochlea, such that a pole trajectory for one cochlear location describes all cochlear locations. For stimulus levels below 30 dB SPL, the double pole \(z\) of \(Y(z)\) was 0.06 (maximally active). For stimulus levels between 30 and 97 dB SPL, the double pole \(z\) of \(Y(z)\) was designed to follow a hyperbolic function between 0.06 (\(z_{0,30}\)) and 0.7 (\(z_{0,97}\)) corresponding to \(Y(\alpha)\) values of 4.3652 and 9.7836, respectively. The compression slope was set to 0.4 dB/dB (default), in range with \(R_{\text{ME}}\) values of 4.


