

1 **On The Choice of Average Solar Zenith Angle**

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ABSTRACT

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4 Simulations with idealized climate models often choose to neglect spatiotemporal variations
5 in solar radiation, but doing so comes with an important decision about how to average solar
6 radiation in space and time. Since both clear-sky and cloud albedo are increasing functions of
7 the solar zenith angle, one can choose an absorption-weighted zenith angle which reproduces
8 the spatial- or time-mean absorbed solar radiation. Here, we perform calculations for a pure
9 scattering atmosphere and with a more detailed radiative transfer model, and find that the
10 absorption-weighted zenith angle is usually between the daytime-weighted and insolation-
11 weighted zenith angles, but much closer to the insolation-weighted zenith angle in most cases,
12 especially if clouds are responsible for much of the shortwave reflection. Use of daytime-
13 average zenith angle may lead to a high bias in planetary albedo of $\sim 3\%$, equivalent to a
14 deficit in shortwave absorption of $\sim 10 \text{ W m}^{-2}$ in the global energy budget (comparable to
15 the radiative forcing of a roughly sixfold change in CO_2 concentration). Other studies that
16 have used general circulation models with spatially constant insolation have underestimated
17 the global-mean zenith angle, with a consequent low bias in planetary albedo of $\sim 2\text{-}6\%$, or
18 a surplus in shortwave absorption of $\sim 7\text{-}20 \text{ W m}^{-2}$ in the global energy budget.

1. Introduction

Comprehensive climate models suggest that a global increase in absorbed solar radiation by 1 W m^{-2} would lead to an $0.6\text{-}1.1 \text{ }^\circ\text{C}$ increase in global-mean surface temperatures (Soden and Held 2006). The amount of solar radiation absorbed or reflected by the Earth depends on the solar zenith angle (ζ), or angle the sun makes with a line perpendicular to the surface. When the sun is low in the sky (high ζ), much of the incident sunlight may be reflected even for a clear sky; when the sun is high in the sky (low ζ), even thick clouds may not reflect most of the incident sunlight. The difference in average zenith angle between the equator and poles is an important reason why the albedo is typically higher at high latitudes.

In order to simulate the average climate of a planet in radiative-convective equilibrium, one must globally average the incident solar radiation, and define either a solar zenith angle which is constant in time, or which varies diurnally (i.e., the sun rising and setting). The top-of-atmosphere incident solar radiation per unit ground area, or insolation I , is simply the product of the solar constant S_0 and the cosine of the solar zenith angle, $\mu \equiv \cos \zeta$:

$$I = S_0 \cos \zeta, \tag{1}$$

where the planetary-mean insolation is simply $\langle I \rangle = S_0/4 \approx 342 \text{ W m}^{-2}$ (in this paper, we will denote spatial averages with $\langle x \rangle$ and time averages with \bar{x}). A global-average radiative transfer calculation requires specifying both an effective cosine of solar zenith angle μ^* , and an effective solar constant, S_0^* , such that the resulting insolation matches the planetary-mean insolation:

$$\langle I \rangle = S_0/4 = S_0^* \mu^*. \tag{2}$$

Matching the mean insolation constrains only the product $S_0^* \mu^*$, and not either parameter individually, so additional assumptions are needed.

The specifics of these additional assumptions are quite important to simulated climate, because radiative transfer processes, most importantly cloud albedo, depend on μ (e.g., Hartmann (1994)). For instance, the most straightforward choice for a planetary-average

43 calculation might seem to be a simple average of μ over the whole planet, including the dark
 44 half, so that $S_{0S}^* = S_0$ and $\mu_S^* = 1/4$. However, this simple average would correspond to a sun
 45 that was always near setting, only $\sim 15^\circ$ above the horizon; with such a low sun, the albedo
 46 of clouds and the reflection by clear-sky Rayleigh scattering would be highly exaggerated.
 47 A more thoughtful, and widely used choice, is to ignore the contribution of the dark half of
 48 the planet to the average zenith angle. With this choice of daytime-weighted zenith angle,
 49 $\mu_D^* = 1/2$, and $S_{0D}^* = S_0/2$.

50 A slightly more complex option is to calculate the insolation-weighted cosine of the zenith
 51 angle, μ_I^* :

$$\mu_I^* = \frac{\int \mu S_0 \mu P(\mu) d\mu}{\int S_0 \mu P(\mu) d\mu}, \quad (3)$$

52 where $P(\mu)$ is the normalized probability distribution function of global surface area as a
 53 function of the cosine of the zenith angle, μ . For the purposes of a planetary average,
 54 $P(\mu)$ simply equals 1. This can be seen by rotating coordinates so that the north pole is
 55 aligned with the subsolar point, where $\mu = 1$; then μ is given by the sine of the latitude
 56 over the illuminated northern hemisphere, and since area is evenly distributed in the sine of
 57 the latitude, it follows that area is evenly distributed over all values of μ between 0 and 1.
 58 Hereafter, when discussing planetary averages, it should be understood that integrals over
 59 μ implicitly contain the probability distribution function $P(\mu) = 1$. Evaluation of (3) gives
 60 $\mu_I^* = 2/3$, and $S_{0I}^* = 3S_0/8$. Since most of the sunlight falling on the daytime hemisphere
 61 occurs where the sun is high, μ_I^* is considerably larger than μ_D^* . A schematic comparison
 62 of these three different choices – simple average, daytime-weighted, and insolation-weighted
 63 zenith angles – is given in Figure 1.

64 The daytime-average cosine zenith angle of 0.5 has been widely used. The early studies
 65 of radiative-convective equilibrium by *Manabe and Strickler* (1964), *Manabe and Wetherald*
 66 (1967), *Ramanathan* (1976), and the early review paper by *Ramanathan and Coakley* (1978),
 67 all took $\mu^* = 0.5$. The daytime-average zenith angle has also been used in simulation of
 68 climate on other planets (e.g., *Wordsworth et al.* (2010)), as well as estimation of global

69 radiative forcing by clouds and aerosols (*Fu and Liou* 1993; *Zhang et al.* 2013).

70 To our knowledge, no studies of global-mean climate with single-column models have
71 used an insolation-weighted cosine zenith angle of $2/3$. However, the above considerations
72 regarding spatial averaging over variations in insolation also apply to the temporal averaging
73 of insolation that is required to represent the diurnal cycle, or combined diurnal and annual
74 cycles of insolation, with a zenith angle that is constant in time. In this context, *Hartmann*
75 (1994) strongly argues for the use of insolation-weighted zenith angle, and provides a figure
76 with appropriate daily-mean insolation-weighted zenith angles as a function of latitude for
77 the solstices and the equinoxes (see *Hartmann* (1994), Figure 2.8). *Romps* (2011) also
78 uses an equatorial insolation-weighted zenith in a study of radiative-convective equilibrium
79 with a cloud-resolving model; though other studies that have focused on tropical radiative-
80 convective equilibrium, such as the work by *Tompkins and Craig* (1998), have used a daytime-
81 weighted zenith angle. In large-eddy simulations of marine low clouds, *Bretherton et al.*
82 (2013) advocate for the greater accuracy of the insolation-weighted zenith angle, noting that
83 the use of daytime-weighted zenith angle gives a 20 W m^{-2} stronger negative shortwave
84 cloud radiative effect than the insolation-weighted zenith angle. Biases of such a magnitude
85 would be especially disconcerting for situations where the surface temperature is interactive,
86 as they could lead to dramatic biases in mean temperatures.

87 Whether averaging in space or time, an objective decision of whether to use daytime-
88 weighted or insolation-weighted zenith angle requires some known and unbiased reference
89 point. In section 2, we develop the idea of absorption-weighted zenith angle as such an un-
90 biased reference point. We show that if albedo depends nearly linearly on the zenith angle,
91 which is true if clouds play a dominant role in solar reflection, then the insolation-weighted
92 zenith angle is likely to be less biased than the daytime-weighted zenith angle. We then
93 calculate the planetary-average absorption-weighted zenith angle for the extremely idealized
94 case of a purely conservative scattering atmosphere. In section 3, we perform calculations
95 with a more detailed shortwave radiative transfer model, and show that differences in plan-

96 etary albedo between $\mu_D^*=1/2$ and $\mu_I^* = 2/3$ can be $\sim 3\%$, equivalent to a radiative forcing
97 difference of over 10 W m^{-2} . In section 4 we show that the superiority of insolation-weighting
98 also applies for diurnally- or annually-averaged insolation. Finally, in section 5, we discuss
99 the implications of our findings for recent studies with global models.

100 2. Absorption-Weighted Zenith Angle

101 For the purposes of minimizing biases in solar absorption, the zenith angle should be
102 chosen to most closely match the spatial- or time-mean albedo. By this, we do not intend
103 that the zenith angle should be tuned so as to match the observed albedo over a specific
104 region or time period; rather, we wish to formulate a precise geometric closure on (2). If the
105 albedo is a known function of the zenith angle (i.e., $\alpha = f_\alpha(\mu) = f_\alpha(\cos \zeta)$), then we can
106 choose a zenith angle, μ_A^* , such that its albedo matches the albedo that would be calculated
107 from a full average over space or time (as weighted by the probability density function $P(\mu)$):

$$f_\alpha(\mu_A^*) \int S_0 \mu P(\mu) d\mu = \int S_0 \mu f_\alpha(\mu) P(\mu) d\mu \quad (4)$$

108 If the albedo function f_α is smooth and monotonic in the zenith angle – the likely (albeit
109 not universal) case for planetary reflection – then f_α can be inverted, and the problem is
110 well-posed, with a unique solution:

$$\mu_A^* = f_\alpha^{-1} \left[\frac{\int \mu f_\alpha(\mu) P(\mu) d\mu}{\int \mu P(\mu) d\mu} \right], \quad (5)$$

111 where f_α^{-1} represents the inverse function of f_α . Note that for the case of planetary-average
112 climate, $P(\mu) = 1$, and thus (5) simplifies to:

$$\langle \alpha \rangle = 2 \int_0^1 \mu f_\alpha(\mu) d\mu, \quad (6)$$

$$\mu_A^* = f_\alpha^{-1} \left[2 \int_0^1 \mu f_\alpha(\mu) d\mu \right], \quad (7)$$

113 where $\langle \alpha \rangle$ is the planetary albedo, or ratio of reflected to incident global shortwave radiation.
114 Note that a bias in planetary albedo by 1% would lead to a bias in planetary-average absorbed

115 shortwave radiation of 3.42 W m^{-2} .

116 If the albedo is a linear function of the zenith angle, we can write:

$$f_\alpha(\mu) = \alpha_{\max} - \alpha_\Delta \mu, \quad (8)$$

117 where α_{\max} is the maximum albedo (for $\mu = 0$), and α_Δ is the drop in albedo in going from
 118 $\mu = 0$ to $\mu = 1$. In this case, we can show that the absorption-weighted zenith angle is
 119 exactly equal to the insolation-weighted zenith angle, regardless of the form of $P(\mu)$. From
 120 (3), (4), and (8), it follows that:

$$\begin{aligned} \alpha_{\max} \int \mu P(\mu) d\mu - \alpha_\Delta \mu_A^* \int \mu P(\mu) d\mu &= \alpha_{\max} \int \mu P(\mu) d\mu - \alpha_\Delta \int \mu^2 P(\mu) d\mu \\ \mu_A^* &= \frac{\int \mu^2 P(\mu) d\mu}{\int \mu P(\mu) d\mu} = \mu_I^*. \end{aligned} \quad (9)$$

121 Thus, if the albedo varies roughly linearly with μ , then we expect the insolation-weighted
 122 zenith angle to closely match the absorption-weighted zenith angle.

123 For planetary-average climate, the simplicity of $P(\mu)$ allows us to perform an additional
 124 analytic calculation of the absorption-weighted zenith angle. Consider an albedo similar to
 125 (8), but which may now vary nonlinearly, as some power of the cosine of the zenith angle:

$$f_\alpha(\mu) = \alpha_{\max} - \alpha_\Delta \mu^b. \quad (10)$$

126 The power b is likely equal to or less than 1, so that the albedo is more sensitive to the zenith
 127 angle when the sun is low than when the sun is high. For a general value of b , the planetary
 128 albedo and absorption-weighted zenith angle are given by:

$$\begin{aligned} \langle \alpha \rangle &= \alpha_{\max} - \frac{\alpha_\Delta}{1 + b/2} \\ \mu_A^* &= \left(\frac{1}{1 + b/2} \right)^{1/b}. \end{aligned} \quad (11)$$

129 As noted above, if the albedo depends linearly on μ ($b=1$), then the absorption-weighted
 130 zenith angle has a cosine of $2/3$, which is equal to planetary-average value of the insolation-
 131 weighted cosine zenith angle (μ_I^*). For $0 < b < 1$, μ_A^* always falls between $e^{-1/2} \approx 0.607$ and

132 2/3, suggesting that $\mu_I^* = 2/3$ is generally a good choice for the zenith angle in planetary-
 133 mean calculations. The albedo must be a strongly nonlinear function of μ , with significant
 134 weight at low μ , in order to obtain values of $\mu_A^* < 0.6$.

135 *a. Example: A Pure Scattering Atmosphere*

136 How strongly does the planetary albedo depend on μ for a less idealized function $f_\alpha(\mu)$?
 137 For a pure conservative scattering atmosphere, with optical thickness τ^* , two-stream coeffi-
 138 cient γ (which we will take $=3/4$, corresponding to the Eddington approximation (*Pierre-*
 139 *humbert* 2010)), and scattering asymmetry parameter \hat{g} , *Pierrehumbert* (2010) (Eqn. 5.38)
 140 gives the atmospheric albedo as:

$$\alpha_a = \frac{(1/2 - \gamma\mu)(1 - e^{-\tau^*/\mu}) + (1 - \hat{g})\gamma\tau^*}{1 + (1 - \hat{g})\gamma\tau^*}. \quad (12)$$

141 Defining a constant surface albedo of α_g , and a diffuse atmospheric albedo α'_a , the total
 142 albedo is:

$$\alpha = 1 - \frac{(1 - \alpha_g)(1 - \alpha_a)}{(1 - \alpha_g)\alpha'_a + (1 - \alpha'_a)}. \quad (13)$$

143 Using this expression, we can calculate how the albedo depends on zenith angle for different
 144 sky conditions. Figure 2 shows the dependence of the albedo on the cosine of the solar zenith
 145 angle, for a case of Rayleigh scattering by the clear sky ($\tau^* \approx 0.12$, $\hat{g} = 0$), for a cloudy-sky
 146 example ($\tau^* = 3.92$, $\hat{g} = 0.843$), and for a linear mix of 68.6 % cloudy and 31.4 % clear
 147 sky, which is roughly the observed cloud fraction as measured by satellites (*Rossow and*
 148 *Schiffer* 1999). Values of average cloud optical thickness are taken from *Rossow and Schiffer*
 149 (1999), with the optical thickness equal to the sum of cloud and Rayleigh scattering optical
 150 thicknesses (3.8 and 0.12, respectively), and the asymmetry parameter set to a weighted
 151 average of cloud and Rayleigh scattering asymmetry parameters (0.87 and 0, respectively).
 152 Figure 2 also shows the appropriate choice of the cosine of the absorption-weighted zenith
 153 angle, μ_A^* , for the clear and cloudy-sky examples. The clear-sky case has $\mu_A^* = 0.55$, the
 154 cloudy-sky case has $\mu_A^* = 0.665$, and the mixed-sky case has $\mu_A^* = 0.653$.

155 We can also use these results to calculate what bias would result from the use of the
156 daytime-weighted zenith angle ($\mu_D^*=1/2$) or the insolation-weighted zenith angle ($\mu_I^*=2/3$).
157 The planetary albedo is generally overestimated by use of μ_D^* and underestimated by use of
158 μ_I^* ; the first three rows of Table 1 summarize our findings for a pure scattering atmosphere.
159 For a clear sky, the daytime-weighted zenith angle is a slightly more accurate choice than
160 the insolation-weighted zenith angle. On the other hand, for a cloudy sky with moderate
161 optical thickness, the insolation-weighted zenith angle is essentially exact, and a daytime-
162 weighted zenith angle may overestimate the planetary albedo by over 7%. For Earthlike
163 conditions, with a mixed sky that has low optical thickness in clear regions, and moderate
164 optical thickness in cloudy regions, a cosine-zenith angle close to but slightly less than the
165 planetary insolation-weighted mean value of $2/3$ is likely the best choice. The common
166 choice of $\mu^*=1/2$ will overestimate the negative shortwave radiative effect of clouds, while
167 choices of μ^* that are larger than $2/3$ will underestimate the negative shortwave radiative
168 effect of clouds. Our calculations here, however, are quite simplistic, and do not account for
169 atmospheric absorption or wavelength-dependent optical properties. In the following section,
170 we will use a more detailed model to support the assertion that the insolation-weighted zenith
171 angle leads to smaller albedo biases than the daytime-weighted zenith angle.

172 **3. Calculations with a Full Radiative Transfer Model**

173 The above calculations provide a sense for the magnitude of planetary albedo bias that
174 may result from different choices of average solar zenith angle. In this section, we calculate
175 albedos using version 3.8 of the shortwave portion of the Rapid Radiative Transfer Model,
176 for application to GCMs (RRTMG_SW, v3.8; *Iacono et al. (2008)*; *Clough et al. (2005)*);
177 hereafter we refer to this model as simply “RRTM” for brevity. Calculations with RRTM
178 allow for estimation of biases associated with different choices of μ when the atmosphere has
179 more realistic scattering and absorption properties than we assumed in the pure scattering

180 expressions above (12), (13). RRTM is a broadband, two-stream, correlated k-distribution
 181 radiative transfer model, which has been tested against line-by-line radiative transfer models,
 182 and is used in several general circulation models (GCMs). For calculation of radiative fluxes
 183 in partly cloudy skies, the model uses the Monte-Carlo independent column approximation
 184 (McICA; *Pincus et al.* (2003)), which stochastically samples 200 profiles over the prescribed
 185 range of combinations of cloud fraction at different vertical levels, and averages the fluxes
 186 that result.

187 We use RRTM to calculate the albedo as a function of zenith angle for a set of built-
 188 in reference atmospheric profiles, and several cloud profile assumptions. The atmospheric
 189 profiles we use are the Tropical atmosphere, the 1976 U.S. Standard Atmosphere, and the
 190 Subarctic Winter atmosphere, and we perform calculations with clear skies, as well as two
 191 cloud profile assumptions (Table 2). One cloud profile is a mixed sky, intended to mirror
 192 Earth’s climatological cloud distribution, with four cloud layers having fractional coverage,
 193 water path, and altitudes based *Rossow and Schiffer* (1999); we call this case “RS99”. The
 194 other cloud profile is simply fully overcast with a low-level “Stratocumulus” cloud deck,
 195 having a water path of 100 g/m². Table 2 gives the values for assumed cloud fractions,
 196 altitudes, and in-cloud average liquid and ice water in clouds at each level. Cloud fractions
 197 have been modified from Table 4 of *Rossow and Schiffer* (1999) because satellites see clouds
 198 from above, and will underestimate true low cloud fraction that is overlain by higher clouds.
 199 If multiple cloud layers are randomly overlapping, and seen from above, then, indexing cloud
 200 layers as (1,2,...) from the top down, we denote $\hat{\sigma}_i$ as the observed cloud fraction in layer i ,
 201 and σ_i as the true cloud fraction in layer i . Then the true cloud fraction in layer i is:

$$\sigma_i = \hat{\sigma}_i \left(1 - \sum_{j=1}^{i-1} \hat{\sigma}_j \right)^{-1}, \quad (14)$$

202 which can be seen because the summation gives the fraction of observed cloudy sky above
 203 level i , so the term in parentheses gives the fraction of clear sky above level i , which is equal to
 204 the ratio of observed cloud fraction to true cloud fraction in layer i (again assuming random

205 cloud overlap). Applying this correction to observed cloud fractions $(\hat{\sigma}_1, \hat{\sigma}_2, \hat{\sigma}_3, \hat{\sigma}_4)=(0.196,$
 206 $0.026, 0.190, 0.275)$ from Table 4 of *Rossow and Schiffer* (1999) gives the cloud fractions
 207 listed in Table 2: $(\sigma_1, \sigma_2, \sigma_3, \sigma_4)=(0.196, 0.032, 0.244, 0.467)$.

208 To isolate the contributions from changing atmospheric (and especially cloud) albedo as
 209 a function of μ , the surface albedo is set to a value of 0.12 for all calculations, independent
 210 of the solar zenith angle. The surface albedo value of 0.12 is chosen following the observed
 211 global mean surface reflectance from Figure 5 of *Donohoe and Battisti* (2011) (average of the
 212 hemispheric values from observations). Using RRTM calculations of albedo at 22 roughly
 213 evenly-spaced values of μ , we interpolate $f_\alpha(\mu)$ to a grid in μ with spacing 0.001, calculate the
 214 planetary albedo $\langle\alpha\rangle$ from equation (6), and find the value of μ_A^* whose albedo most closely
 215 matches $\langle\alpha\rangle$. The dependence of albedo on μ is shown in Figure 3; atmospheric absorption
 216 results in generally lower values of albedo than in the pure scattering cases above, as well as
 217 lower sensitivity of the albedo to zenith angle. For partly or fully cloudy skies, the albedo is
 218 approximately linear in the zenith angle. Note that $f_\alpha(\mu)$ here is not necessarily monotonic,
 219 as it decreases for very small μ . This implies that the inverse problem can return two
 220 solutions for μ_A^* in some cases; we select the larger result if this occurs.

221 For clear skies, biases in $\langle\alpha\rangle$ are nearly equal in magnitude for μ_D^* and μ_I^* (Table 1). For
 222 partly cloudy or overcast skies, however, biases in $\langle\alpha\rangle$ are much larger for μ_D^* than for μ_I^* ; the
 223 insolation-weighted zenith angle has an albedo bias that is lower by an order of magnitude
 224 than the albedo bias of the daytime-weighted zenith angle. The bias in solar absorption for
 225 partly-cloudy or overcast skies for μ_D^* is on the order of 10 W m^{-2} . While we have only
 226 tabulated biases for the 1976 U.S. Standard Atmosphere, results are similar across reference
 227 atmospheric profiles.

228 4. Diurnal and Annual Averaging

229 Thus far, we have presented examples of albedo biases only for the case of planetary-mean
 230 calculations. The absorption-weighted zenith angle can also be calculated and compared to
 231 daytime-weighted and insolation-weighted zenith angles for the case of diurnal- or annual-
 232 average solar radiation at a single point on the Earth’s surface, using (5). The latitude and
 233 temporal averaging period both enter into the calculation of the probability density function
 234 $P(\mu)$, as well as the bounds of the integrals in (5). We will look at how μ_A^* varies as a
 235 function of latitude for two cases: an equinoctial diurnal cycle and a full average over annual
 236 and diurnal cycles. In both cases, we will use $f_\alpha(\mu)$ as calculated by RRTM, for the 1976
 237 U.S. Standard Atmosphere, and the mixed-sky cloud profile of RS99.

238 For an equinoctial diurnal cycle at latitude ϕ , the cosine of the zenith angle is given by
 239 $\mu(h) = \cos \phi \cos(\pi(h - 12)/12)$, where h is the local solar time in hours. Since time (h) is
 240 uniformly distributed, this can be analytically transformed to obtain the probability density
 241 function $P(\mu)$:

$$P(\mu) = \frac{2}{\pi \sqrt{\cos^2 \phi - \mu^2}}, \quad (15)$$

242 which is valid for $0 \leq \mu < \cos \phi$. For the equinoctial diurnal cycle, daytime-weighting gives
 243 $\mu_D^* = (2/\pi) \cos \phi$, while insolation-weighting gives $\mu_I^* = (\pi/4) \cos \phi$. Figure 4 shows that the
 244 absorption-weighted zenith angle is once again much closer to the insolation-weighted zenith
 245 angle than to the daytime-weighted zenith angle for partly cloudy skies. We can also look at
 246 how the time-mean albedo $\bar{\alpha}$ compares to the albedo calculated from μ_D^* or μ_I^* . Albedo biases
 247 at the equator are -0.2% for insolation-weighting, and +2.6% for daytime-weighting, which
 248 translates to solar absorption biases of +0.9 W m⁻² and -11.2 W m⁻², respectively. For
 249 clear-sky calculations (not shown), results are also similar to what we found for planetary-
 250 average calculations: the two choices are almost equally biased, with albedo underestimated
 251 by $\sim 0.5\%$ when using μ_I^* , and overestimated by $\sim 0.5\%$ when using μ_D^* .

252 For the full annual and diurnal cycles of solar insolation, $P(\mu)$ must be numerically tab-

253 ulated. For each latitude band, we calculate μ every minute over a year, and construct $P(\mu)$
254 histograms with bin width 0.001 in μ ; then we calculate the insolation-weighted, daytime-
255 weighted, and absorption weighted cosine zenith angles and corresponding albedos (Figure
256 5). For partly cloudy skies, the insolation-weighted zenith angle is a good match to the
257 absorption-weighted zenith angle, with biases in albedo of less than 0.2%. Albedo biases for
258 the daytime-weighted zenith angle are generally $\sim 2\text{-}3\%$, with a maximum of over 3% around
259 60 degrees latitude. The solar absorption biases at the equator are similar to those found in
260 the equinoctial diurnal average, though slightly smaller. Overall, these findings indicate that
261 insolation-weighting is generally a better approach than daytime-weighting for representing
262 annual or diurnal variations in solar insolation.

263 5. Discussion

264 The work presented here addresses potential climate biases in two major lines of inquiry
265 in climate science. One is the use of single-column models in radiative-convective equilibrium
266 as a tool to simulate and understand important aspects of planetary-mean climate, such as
267 surface temperature and precipitation. The second is the increasing use of idealized three-
268 dimensional general circulation models (GCMs) for understanding large-scale atmospheric
269 dynamics. Both of these categories span a broad range of topics, from understanding the
270 limits of the circumstellar habitable zone and the scaling of global-mean precipitation with
271 temperature in the case of single-column models, to the location of midlatitude storm tracks
272 and the strength of the Hadley circulation in the case of idealized GCMs. Both categories
273 of model often sensibly choose to ignore diurnal and annual variations in solar insolation,
274 so as to reduce simulation times and avoid unnecessary complexity. Our work suggests that
275 spatial or temporal averaging of solar radiation, however, can lead to biases in total absorbed
276 solar radiation on the order of 10 W m^{-2} , especially if the models used have a large cloud
277 area fraction.

278 Single column models are a valuable tool for understanding the controls on global-mean
279 surface temperature, and its sensitivity to forcing by changes in solar radiation or green-
280 house gases. The extent to which a single-column model with unbiased planetary albedo
281 and relative humidity, accurately captures the global-mean surface temperature of both the
282 real Earth, and more complex three-dimensional GCMs, is a key test of the magnitude of
283 nonlinearities in the climate system. For instance, variability in tropospheric relative humid-
284 ity, as induced by large-scale vertical motions in the tropics, can give rise to dry-atmosphere
285 “radiator fin” regions that emit longwave radiation to space more effectively than would a
286 horizontally uniform atmosphere, resulting in a cooling of global mean temperatures relative
287 to a reference atmosphere with homogeneous relative humidity (*Pierrehumbert* 1995). The
288 relative and absolute importance of nonlinearities such as these subtropical “radiator fins,”
289 or impacts of ice on planetary albedo, or interactions between clouds and large-scale dynam-
290 ics, or spatiotemporal variability in lapse rates, represents a fundamental and unanswered
291 question in climate science, in part because single-column models have generally been tuned
292 in ways that makes unbiased comparison of their absolute temperature with global models
293 difficult.

294 The recent work of *Popke et al.* (2013) elegantly seeks to bridge this gap, by using a
295 global model (ECHAM6) with uniform insolation, and no rotation. They thus simulate
296 planetary radiative-convective equilibrium over a slab ocean, allowing for organization of
297 convection and circulations up to planetary scales. One of their findings that deserves more
298 explanation is that their planetary albedo is ~ 0.2 , much lower than the observed value of
299 0.3 (e.g., *Hartmann* (1994)), and surface temperatures are ~ 28 °C, substantially warmer
300 than the observed surface temperatures of ~ 14 °C. The warm temperatures and nonrotating
301 dynamics spur comparison of their simulated cloud and relative humidity distributions to the
302 Earth’s Tropics, but the basic question of why albedo is so low, and temperatures so warm,
303 goes largely unanswered. While part of the answer is likely their low value of surface albedo
304 (0.07), our work also suggests that their use of a uniform equatorial equinox diurnal cycle,

305 with $\mu_J^* = \pi/4$, may underestimate cloud and clear-sky albedo. For RS99 clouds and an
306 equatorial equinox diurnal cycle, we estimate a time-mean albedo of 32.7%; the same cloud
307 field would give a planetary albedo of 34.6% if the planetary-average insolation-weighted
308 cosine zenith angle of 2/3 were used. Thus, we estimate that the shortwave absorption in
309 *Popke et al.* (2013) may be biased by $\sim 6.7 \text{ W m}^{-2}$.

310 Previous simulations by *Kirtman and Schneider* (2000), and *Barsugli et al.* (2005) also
311 found very warm global-mean temperatures when insolation contrasts were removed, though
312 planetary rotation was retained in both studies. *Kirtman and Schneider* (2000) found a
313 global-mean surface temperature of 26 °C with a reduced global-mean insolation of only
314 315 W m^{-2} ; realistic global-mean insolation led to too-warm temperatures and numerical
315 instability. *Kirtman and Schneider* (2000) offer little explanation for the extreme warmth of
316 their simulations, but apparently also chose to homogenize radiation by using an equatorial
317 equinox diurnal cycle, with $\mu_J^* = \pi/4$. *Barsugli et al.* (2005) obtained a global-mean surface
318 temperature of $\sim 38 \text{ °C}$ when using a realistic global-mean insolation of 340 W m^{-2} . Similarly
319 to *Popke et al.* (2013), *Barsugli et al.* (2005) also invoke a low planetary albedo of 0.21 as a
320 plausible reason for their global warmth, and explain their low albedo as a consequence of
321 a dark all-ocean surface. This work, however, suggests that their unphysical use of constant
322 $\mu = 1$ may lead to a large albedo bias on its own. For RS99 clouds, we estimate an albedo
323 of 28.8% for $\mu=1$, as compared to 34.6% for $\mu=2/3$, so their albedo bias may be as large
324 as -5.8%, with a resulting shortwave absorption bias of +19.8 W m^{-2} . Application of these
325 three studies (*Kirtman and Schneider* 2000; *Barsugli et al.* 2005; *Popke et al.* 2013) to ask
326 questions about the importance of climate nonlinearities may thus be impeded by biases in
327 planetary albedo and temperature due to a sun that is too high in the sky. While it was
328 not the primary focus of these studies to query the importance of climate nonlinearities,
329 these studies nonetheless serve as a reminder that care is required when using idealized solar
330 geometry in models.

331 Our work here has focused on matching the top-of-atmosphere absorbed shortwave ra-

332 diation, but this does not guarantee unbiased partitioning into atmospheric and surface
333 absorption. Because global-mean temperatures are quite sensitive to planetary albedo, we
334 have focused in this work on matching the top-of-atmosphere shortwave absorption, but our
335 methods could easily be extended to match some other quantity instead, such as the short-
336 wave radiation absorbed by the surface. Based on our calculations with RRTM, it appears
337 that a single value of $\mu \sim 0.58$ will give both the correct planetary albedo and the correct
338 partitioning of absorbed shortwave radiation for clear skies; however, for partly cloudy or
339 overcast skies, a single value of μ cannot simultaneously match both the planetary albedo
340 and the partitioning of absorbed shortwave radiation. Together with the correspondence be-
341 tween global precipitation and free-tropospheric radiative cooling (e.g., *Takahashi (2009)*),
342 the dependence of atmospheric solar absorption on zenith angle suggests that idealized simu-
343 lations could obtain different relationships between temperature and precipitation due solely
344 to differences in solar zenith angle.

345 Finally, we note that the use of an appropriately-averaged solar zenith angle still has
346 obvious limitations. Any choice of insolation that is constant in time cannot hope to capture
347 any covariance between albedo and insolation, which might exist due to diurnal or annual
348 cycles of cloud fraction, height, or optical thickness. Furthermore, use of an absorption-
349 weighted zenith angle will do nothing to remedy model biases in cloud fraction or water
350 content that arise from the model's convection or cloud parameterizations. We hope that
351 the methodology and results introduced in this paper will mean that future studies make
352 better choices with regards to solar zenith angle averaging, and thus will not convolute real
353 biases in cloud properties with artificial biases in cloud radiative effects that are solely related
354 to zenith angle averaging.

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TABLE 1. Table of planetary albedos and biases.

| Radiative Transfer Model | Atmospheric Profile | $\langle\alpha\rangle$ | μ_A^* | Biases in α (%) | |
|--------------------------|------------------------------------|------------------------|-----------|------------------------|-----------|
| | | (%) | | $\mu=1/2$ | $\mu=2/3$ |
| Pure scattering | clear sky | 19.9 | 0.550 | 0.78 | -1.40 |
| Pure scattering | 68.6% ISCCP cloud, 31.4% clear | 31.9 | 0.653 | 5.57 | -0.49 |
| Pure scattering | ISCCP cloud | 37.4 | 0.665 | 7.77 | -0.08 |
| RRTM | 1976 U.S. Standard - clear | 14.1 | 0.576 | 0.56 | -0.53 |
| RRTM | 1976 U.S. Standard - RS99 clouds | 34.8 | 0.657 | 3.16 | -0.19 |
| RRTM | 1976 U.S. Standard - Stratocumulus | 51.5 | 0.686 | 3.53 | 0.37 |

TABLE 2. Cloud profiles used in calculations with RRTM. The multiple cloud layers of *Rossow and Schiffer* (1999) are used together, and are assumed to overlap randomly. Cloud fractions are based on Table 4 of *Rossow and Schiffer* (1999), but adjusted for random overlap and observation from above (see text). Cloud-top altitudes are based on top pressures from *Rossow and Schiffer* (1999) and pressure-height profile from 1976 U.S. Standard Atmosphere. Cloud water/ice allocation uses 260 K as a threshold temperature.

| Cloud Profile | fraction (-) | top altitude (km) | water path (g/m ²) | ice path (g/m ²) |
|---|-----------------|----------------------|-----------------------------------|---------------------------------|
| <i>Rossow and Schiffer</i> (1999) RS99 low | 0.475 | 2 | 51 | 0 |
| <i>Rossow and Schiffer</i> (1999) RS99 medium | 0.244 | 5 | 0 | 60 |
| <i>Rossow and Schiffer</i> (1999) RS99 convective | 0.032 | 9 | 0 | 261 |
| <i>Rossow and Schiffer</i> (1999) RS99 cirrus | 0.196 | 10.5 | 0 | 23 |
| Stratocumulus | 1.0 | 2 | 100 | 0 |

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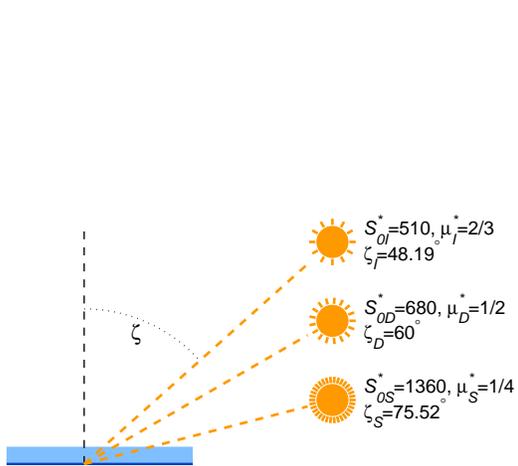


FIG. 1. Schematic example of three different choices of zenith angle and solar constant that give the same insolation. The solar zenith angle ζ is shown for each of the three choices, which correspond to simple average, daytime-weighted, and insolation-weighted choices of μ , as in the text.

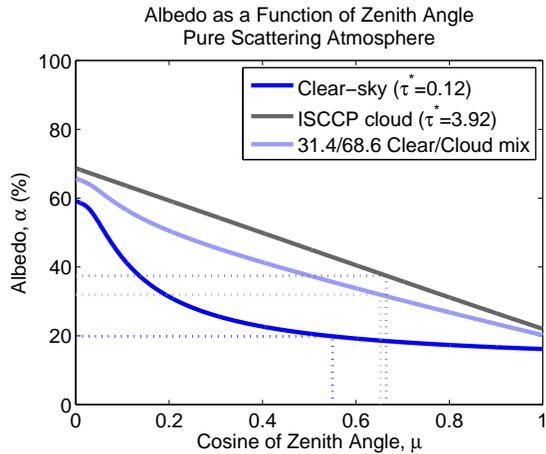


FIG. 2. Plot of albedo against cosine of the zenith angle, for a pure conservative scattering atmospheric column, based on *Pierrehumbert* (2010), Eqn. (5.41). We show calculations for a clear-sky case with $\tau^*=0.12$ and $\hat{g}=0$ (blue), for a cloudy case, with $\tau^*=3.92$ and $\hat{g}=0.843$ (gray), and a linear mix of the two for a sky that is 68.6 % cloudy and 31.4 % clear (blue-gray). The average cloud fraction and optical thickness are taken after International Satellite Cloud Climatology Project (ISCCP) measurements (*Rossow and Schiffer* 1999), and the surface albedo is set to a constant of 0.12, independent of μ . The values of the cosines of absorption-weighted zenith angle are indicated by the x-locations of the vertical dotted lines, and the planetary average albedos are indicated by the y-locations of the horizontal dotted lines (see also Table 1).

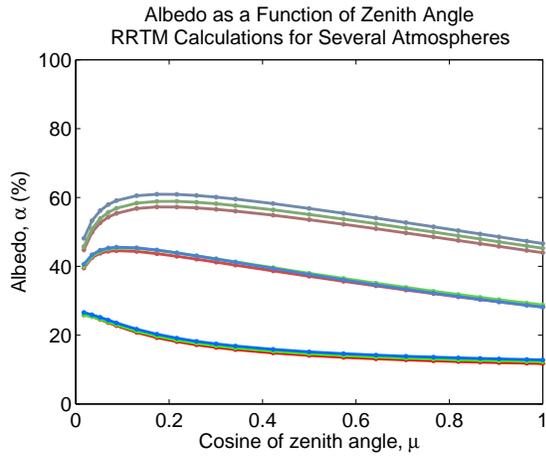


FIG. 3. Plot of albedo against cosine of the zenith angle, for calculations from RRTM. Albedo is shown for three atmospheric profiles: Tropical (red), 1976 U.S. Standard (green), and Subarctic winter (blue). We also show results for clear-sky radiative transfer (bottom set of lines), as well two cloud profile assumptions: observed RS99 cloud climatology (middle set of lines), and Stratocumulus overcast (upper set of lines) – see Table 2 for more details on cloud assumptions. The surface albedo is set to a constant of 0.12 in all cases, independent of μ .

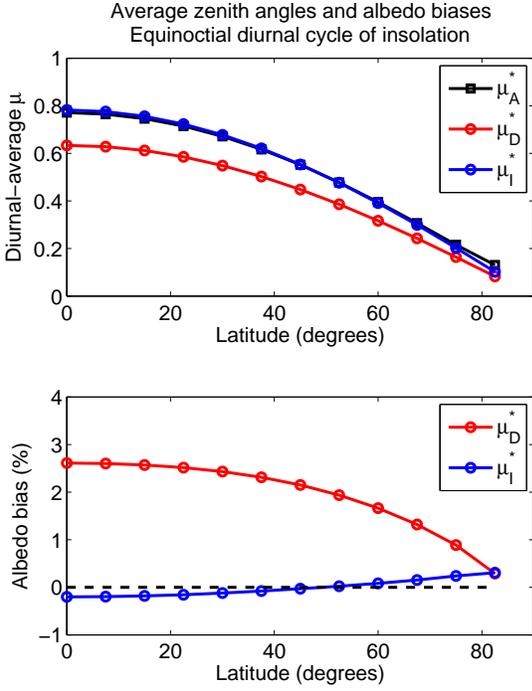


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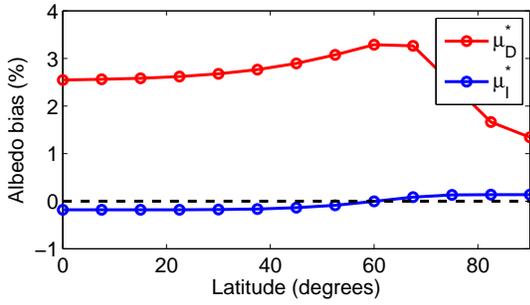
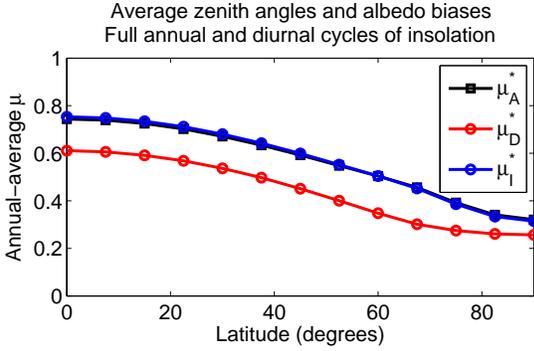


FIG. 5. Plot of annual-average zenith angles (top), and biases in time-mean albedo (bottom) for full annual and diurnal cycles of solar insolation, as a function of latitude. Albedo is calculated in RRTM, using the 1976 U.S. Standard Atmosphere and RS99 clouds (Table 2). Albedo biases for the daytime-weighted zenith angle (μ_D^* , red) and the insolation-weighted zenith angle (μ_I^* , blue) are calculated relative to the absorption-weighted zenith angle (μ_A^* , black).