### 5.03, Inorganic Chemistry

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## Lecture 2 May 11: Ligand Field Theory

The ligand field problem is defined by the following Hamiltonian,

$$
\begin{gathered}
H=-\frac{h^{2}}{2 m} \nabla^{2} \text { with } E_{n}=K E=\frac{p^{2}}{2 m} \text { where } \nabla^{2}=\frac{\partial^{2}}{\partial x^{2}}+\frac{\partial^{2}}{\partial y^{2}}+\frac{\partial^{2}}{\partial z^{2}} \\
\underbrace{-\frac{h^{2}}{2 m} \nabla^{2}-\frac{Z e^{2}}{r}}_{\begin{array}{c}
\text { hydrogen atom } \\
\text { (1e- problem) }
\end{array}} \\
\underbrace{N}_{\text {free ion or atom }}\left[-\frac{h^{2}}{2 m} \nabla_{i}^{2}-\frac{z^{*} e^{2}}{r_{i}}\right]+\frac{1}{2} \sum_{i=1}^{N} \sum_{\substack{j=1 \\
i \neq j}}^{N} \frac{e^{2}}{r_{i j}}
\end{gathered} \underbrace{\underbrace{|r|}}_{\underbrace{\sum_{i=1}^{N} \frac{\rho(R)}{\left|R-r_{i}\right|} d \tau_{R}}_{\text {ligand field }}}
$$

The Hamiltonian contains three types of terms arising from the electrons interacting with the positively charged nucleus of the atom (defined by $1 e^{-}$interactions, $r_{i}$ ), $2 e^{-}$ interactions arising from $\mathrm{e}^{-}-\mathrm{e}^{-}$repulsions $\left(\mathrm{r}_{\mathrm{ij}}\right)$, the interaction of electrons with electron cloud of the ligands ( $\left|R-r_{i}\right|$ where $R$ is the position of the ligand),


The electronic part of the Hamiltonian is spectroscopically probed in two regimes, depending on the strength of the ligand field.

In the weak field, the $2 e^{-}$energies are greater than the $1 e^{-}$energies, i.e. $Q_{i j}\left(\frac{1}{r_{i j}}\right) \gg V_{O_{h}}$ of which $\Delta_{o}$ is a measure of $V_{O_{h}}$. So the strategy here is to first determine state symmetries followed by applications of ligand field.

perturbation of the free ion states by ligand field

In the strong field, the $1 e^{-}$energies (orbital energies) are first determined, followed by the perturbation of $2 \mathrm{e}^{-}$terms... begin with configurations and see how they are perturbed by $\mathrm{Q}_{\mathrm{ij}}$.


The overall approach to determining the energy levels of a molecule is:

* determine free ion states
* determine how atomic states are split in a weak field limit (PE $\gg \Delta_{0}$ )
* determine states arising from configurations in a strong field limit (PE $\ll \Delta_{0}$ )
* correlate between strong and weak fields (Tanabe-Sugano diagrams).

The Weak Field
The problem begins by considering a free ion ( $\mathrm{K}_{\mathrm{h}}$ symmetry). Different atomic configurations give rise to different terms or energy levels. The many $\mathrm{e}^{-}$system is characterized by a total orbital and total spin angular momentum,
total orbital angular momentum, L

$$
\begin{array}{ll}
L=\sum_{i}^{n} \ell_{i} & L=0,1,2,3 \ldots \\
M_{L}=\sum_{i}^{n} m_{\ell_{i}} & M_{L}=L, L-1, \ldots 1-L,-L
\end{array}
$$

total spin angular momentum, S

$$
\begin{aligned}
& S=\sum_{i}^{n} s_{i} \quad S=\frac{1}{2}, 1, \frac{3}{2} \ldots \\
& M_{s}=\sum_{i}^{n} m_{s_{i}} \quad M_{s}=S, S-1 \ldots 1-S,-S
\end{aligned}
$$

A term is symbolized by


We will therefore need to

1) determine terms of a given configuration
2) determine the eigenfunction of these terms
3) calculate terms energies

As an illustrative example, consider the $\mathrm{p}^{2}$ configuration,


A shorthand notation for this configuration is $\left({ }^{+} \overline{0}\right)$, which is a Slater determinant

$$
(\stackrel{+}{1} \overline{0})=\frac{1}{\sqrt{2}}\left|\begin{array}{ll}
(\stackrel{+}{1})^{1} & (\stackrel{+}{1})^{2} \\
(\overline{0})^{1} & (\overline{0})^{2}
\end{array}\right|=\frac{1}{\sqrt{2}}[(\stackrel{+}{1}, \overline{0})-(\overline{0}, \stackrel{+}{1})]
$$

All the possible configurations are summarized in the following table which defines the configurations in terms of $M_{L}$ and $M_{S}$

| $M_{L}$ | 1 | 0 | -1 |
| :---: | :---: | :---: | :---: |
| 2 |  | $(1,1)$ |  |
| 1 | $(1,0)$ | $(\stackrel{+}{1}, \overline{0})(\overline{1}, \stackrel{+}{0})$ | $(1,0)$ |
| 0 | $\stackrel{+}{(1,-\stackrel{+}{1})}$ | $\begin{aligned} & \stackrel{+}{(0,-}) \\ & (\stackrel{+}{0}) \\ & (1,-\overline{1}) \\ & (\overline{1},-\stackrel{+}{1}) \end{aligned}$ | $(\overline{1},-\overline{1})$ |
| - 1 | $\left(0^{+},-{ }^{+}\right)$ | $(\stackrel{+}{0},-\overline{1})(\overline{0},-\stackrel{+}{1})$ | $(\overline{0},-\overline{1})$ |
| -2 |  | $\left(-{ }_{1}^{+},-\overline{1}\right)$ |  |

The table summarizing the number of constituent configurations in terms of $M_{L}$ and $M_{S}$,

| $M_{\mathbf{L}}$ | $\mathbf{1}$ | $\mathbf{0}$ | $\mathbf{- 1}$ |
| ---: | ---: | ---: | ---: |
| $\mathbf{2}$ |  | 1 |  |
| $\mathbf{1}$ | 1 | 2 | 1 |
| $\mathbf{0}$ | 1 | 3 | 1 |
| $\mathbf{- 1}$ | 1 | 2 | 1 |
| $\mathbf{- 2}$ |  | 1 |  |

Considering the definitions of $L$ and $S$, begin with highest $M_{L}$ wavefunction and eliminate $1 e^{-}$wavefunctions from $+L$ to $-L$ and $+S$ to $-S$. The highest $M_{L}$ is $2, M_{S}=0$
$M_{L}=2, M_{S}=0$, which is a ${ }^{1} D$ term

Removing the count of configurations arising from a ${ }^{1} D$ term (i.e., from $M_{L}=2,1,0$, $-1,-2$ and $\left.M_{S}=1,0,-1\right)$, leaves

| $\mathbf{M L}_{\mathbf{L}}$ | $\mathbf{1}$ | $\mathbf{0}$ | $\mathbf{- 1}$ |
| ---: | ---: | ---: | ---: |
| $\mathbf{2}$ |  |  |  |
| $\mathbf{1}$ | 1 | 1 | 1 |
| $\mathbf{0}$ | 1 | 2 | 1 |
| $\mathbf{- 1}$ | 1 | 1 | 1 |
| $\mathbf{- 2}$ |  |  |  |

The highest $M_{L}$ is 1 and highest $M_{S}$ of this $M_{L}$ value is also 1 , thus giving rise to a ${ }^{3} \mathrm{P}$ term

Again, eliminating states of the ${ }^{3} P$ term,


This leaves only one configuration of $M_{L}=0$ and $M_{S}=0$, which is a ${ }^{1} S$ term

Thus the terms arising from a $\mathrm{p}^{2}$ configuration are: ${ }^{1} \mathrm{D},{ }^{3} \mathrm{P}$, and ${ }^{1} \mathrm{~S}$. May predict the ground state from Hund's rule:

1) state with maximum $M_{S}$ is lowest in energy
2) for states of the same spin multiplicity, the state with largest $M_{\llcorner }$will be lowest in energy
3) note, Hund's rule does not address excited state ordering

Hund's rule identifies ${ }^{3} \mathrm{P}$ as the ground state. Summarizing the energy (term) levels
 this ordering must be determined from spectroscopy

How about the d ion states?
$d^{1}$ ion
$\ldots$ is straightforward. There are 10 permutations of an electron in 5 d orbitals, $\mathrm{s}=$ $1 / 2$ or $s=-1 / 2$ in orbitals of $m_{\ell}$ of 2 to -2

| $M_{\mathbf{L}}$ | $\mathbf{1}$ | $\mathbf{0}$ | $\mathbf{- 1}$ |
| ---: | ---: | ---: | ---: |
| $\mathbf{2}$ |  |  |  |
| $\mathbf{1}$ | 1 | 1 | 1 |
| $\mathbf{0}$ | 1 | 2 | 1 |
| $\mathbf{- 1}$ | 1 | 1 | 1 |
| $\mathbf{- 2}$ |  |  |  |

This gives $M_{L}=2,1,0,-1,-2$ and $M_{S}=1,0,-1$, thus giving values of $L=2$ and $S=1$ or a ${ }^{2} D$ state. This is the only term for the $d^{1}$ configuration
$d^{2}$ ion
... has many terms that result from the permutation of $2 \mathrm{e}^{-}$in the orbital subshells derived from $\ell=2, \mathrm{~m}_{\ell}=2,1,0,-1,-2$

| $M_{L}$ | 1 | 0 | - 1 |
| :---: | :---: | :---: | :---: |
| 4 |  | $\left({ }^{+}, \overline{2}\right)$ |  |
| 3 | $(2,1)$ | $\left({ }_{(2,1}^{+}\right)(\overline{2}, \stackrel{+}{1})$ | $(\overline{2}, \overline{1})$ |
| 2 | $\left(\stackrel{+}{(2,}{ }_{0}^{+}\right)$ | $\left(\stackrel{+}{2},{ }_{0}\right)(\stackrel{+}{1}, \overline{1})\left(\overline{2},{ }^{+}\right)$ | $(2,0)$ |
| 1 | $(\stackrel{+}{2},-\stackrel{+}{1})(\stackrel{+}{1}, \stackrel{+}{0})$ |  | $(\overline{2},-\overline{1})(\overline{1}, \overline{0})$ |
| 0 | $(\stackrel{+}{(2,-+})(\stackrel{+}{1},-\stackrel{+}{1})$ | $\begin{gathered} (\stackrel{+}{(2,-\overline{2})(\stackrel{+}{1},-\overline{1})(\overline{2},-\stackrel{+}{2})} \\ (\overline{1},-\stackrel{+}{1})(\overline{0}, \stackrel{+}{0}) \end{gathered}$ | $(\overline{2},-\overline{2})(\overline{1},-\overline{1})$ |

where the $M_{\llcorner }$terms are not shown for the convenience of space. Reducing the terms yields for a $d^{2}$ system: ${ }^{3} F,{ }^{3} P,{ }^{1} G,{ }^{1} D$ and ${ }^{1} S$

The Russell-Saunders terms for all $d^{n}$ free ions:


Now need to determine the states that arise from the application of an $\mathrm{O}_{\mathrm{h}}$ field on the free ion.

The degeneracy of the wavefunction is removed upon application of the ligand field. The ligand field causes the terms to split owing to the change in symmetry from $\mathrm{K}_{\mathrm{h}}$ to $O_{h}$. We can use the basis functions of the Oh character table to determine how the fee ion states split,

|  | 0 | E | $6 \mathrm{C}_{4}$ | $3 \mathrm{C}_{2}\left(\equiv \mathrm{C}_{4}{ }^{2}\right)$ | $8 \mathrm{C}_{3}$ | $6 \mathrm{C}_{2}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :--- | :--- |
| $\ell=0$ | $\Gamma_{\mathrm{s}}$ | 1 | 1 | 1 | 1 | 1 | $\rightarrow$ | $\mathrm{~A}_{1 \mathrm{~g}}$ |
| $\ell=1$ | $\Gamma_{\mathrm{p}}$ | 3 | 1 | -1 | 0 | 1 | $\rightarrow$ | $\mathrm{~T}_{1 \mathrm{u}}$ |
| $\ell=2$ | $\Gamma_{\mathrm{d}}$ | 5 | -1 | 1 | -1 | 1 | $\rightarrow$ | $\mathrm{E}_{\mathrm{g}}+\mathrm{T}_{2 \mathrm{~g}}$ |
|  | $\vdots$ |  |  |  |  |  |  |  |
|  | $\Gamma_{\mathrm{f}}=\mathrm{A}_{2 \mathrm{u}}+\mathrm{T}_{1 \mathrm{u}}+\mathrm{T}_{2 \mathrm{u}}$ |  |  |  |  |  |  |  |
| $\Gamma_{\mathrm{g}}=\mathrm{A}_{1 \mathrm{~g}}+\mathrm{E}_{\mathrm{g}}+\mathrm{T}_{1 \mathrm{~g}}+\mathrm{T}_{2 \mathrm{~g}}$ |  |  |  |  |  |  |  |  |

Taking the terms of a $\mathrm{p}^{2}$ ion in a spherical field $\left(\mathrm{K}_{\mathrm{h}}\right)$ to an $\mathrm{O}_{\mathrm{h}}$ ligand field leads to the further splitting,


## The Strong Field

The problem in the strong field begins with the electron configurations derived from the molecular orbital. As an example, consider the strong field configurations for two electrons in an octahedral ligand field. The two-electron occupancy of the $\mathrm{ML}_{6}\left(\mathrm{O}_{\mathrm{h}}\right) \mathrm{MO}$ gives rise to three configurations:

$$
\left(\mathrm{t}_{2 g}\right)^{2}<\left(\mathrm{t}_{2 g}\right)^{1}\left(\mathrm{e}_{\mathrm{g}}\right)^{1}<\left(\mathrm{e}_{\mathrm{g}}\right)^{2}
$$

ground state $1 \mathrm{e}^{-}$excitation $2 \mathrm{e}^{-}$excitation
Must now determine what states from $\mathrm{d}^{2}$ weak field correlate to these configurations. Because the electrons interact differently with each other, when in different orbitals (different $\mathrm{r}_{\mathrm{ij}}$ ), for a given electronic configuration, many states can arise.

Let's begin with the $1 e^{-}$excited state, $\left(t_{2 g}\right)^{1}\left(e_{g}\right)^{1}$. The state symmetry takes the symmetry of the individually occupied orbitals, and the electrons can be singlet or triplet paired, ${ }^{1}\left(\chi \cdot \chi^{\prime}\right)$ and ${ }^{3}\left(\chi \cdot \chi^{\prime}\right)$

$$
\begin{gathered}
-\frac{\uparrow}{v} e_{g} \\
-\sim-1 t_{2 g} \\
t_{2 g} \times e_{g}=T_{1 g}+T_{2 g}
\end{gathered}
$$

The spin can be $S=0$ (singlet) or $S=1$ (triplet), thus all potential states that arise from the one electron excitation of a $d^{2}$ ion in a $O_{h}$ field are:
${ }^{1} \mathrm{~T}_{1 \mathrm{~g}}+{ }^{1} \mathrm{~T}_{2 \mathrm{~g}}+{ }^{3} \mathrm{~T}_{1 \mathrm{~g}}+{ }^{3} \mathrm{~T}_{2 \mathrm{~g}}$

The $\left(t_{2 g}\right)^{2}$ and $\left(e_{g}\right)^{2}$ configurations are more problematic because the electrons are degenerate. The direct product electrons in $n$ degenerate orbitals of $\chi$ and $\chi^{\prime}$ symmetry will give $\chi \cdot \chi^{\prime}$ orbital symmetries.
$\left(t_{2 g}\right)^{2} \longrightarrow t_{2 g} \times t_{2 g}=A_{1 g}+E_{g}+T_{2 g}+T_{1 g}$
$\left(e_{g}\right)^{2} \longrightarrow e_{g} \times e_{g}=A_{1 g}+A_{2 g}+E_{g}$

But there is a problem, t singlet and triplet spin pairing cannot be assigned to each state because some of these states will violate the Pauli exclusion principle. In 5.04 a procedure will be derived that shows how to eliminate these Pauli violating states. Here the result will be given:

$$
\begin{aligned}
& \left(\mathrm{t}_{2 g}\right)^{2} \Longrightarrow \mathrm{t}_{2 g} \times \mathrm{t}_{2 g}={ }^{1} \mathrm{~A}_{1 g}+{ }^{1} \mathrm{E}_{g}+{ }^{1} \mathrm{~T}_{2 g}+{ }^{3} \mathrm{~T}_{1 g} \\
& \left(\mathrm{e}_{g}\right)^{2} \Longrightarrow \mathrm{e}_{g} \times \mathrm{e}_{g}={ }^{1} \mathrm{~A}_{1 g}+{ }^{3} \mathrm{~A}_{2 g}+{ }^{1} \mathrm{E}_{g}
\end{aligned}
$$

The states created in the weak field must be the same as the states obtained in the strong field since we are dealing with the $d^{2}$ problem, just from different perspectives. We see this is the case. A correlation diagram relates the weak and strong field configurations. The $\mathrm{d}^{2}$ correlation diagram is:


Note that for $d^{2}\left(d^{n}\right)$ in $O_{h}$, the same diagram is obtained for $d^{8}\left(d^{10-n}\right) T_{d} . T_{d}$ symmetry does not require the g or u subscripts.

