

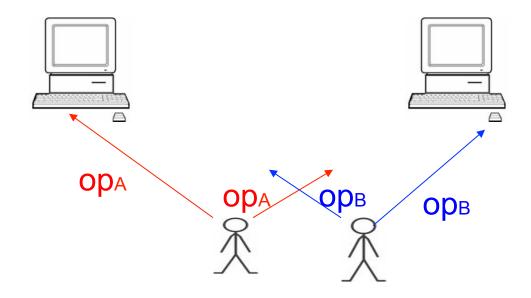
6.033: Replication

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With slides by Jinyang Li and Robert Morris

Fault tolerance -> replication

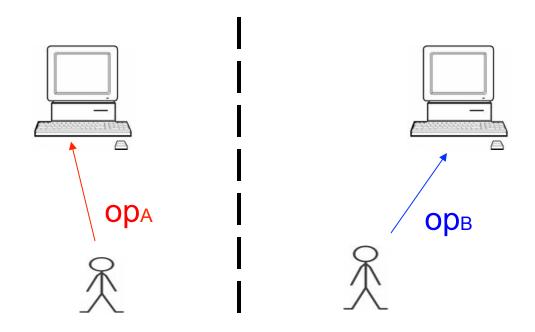
- How to recover from a single failure?
 - Wait for reboot
 - Data is durable, but service is unavailable temporarily
- Can we continue despite failure?
 - Useful for DNS, file server, master in two phasecommit, etc.

Replication



- Send requests to both
- If one is down, send to the other one

Network partition



- Client cannot know if a server is down
- Different clients see different results
- Same client may see state switching back&forth

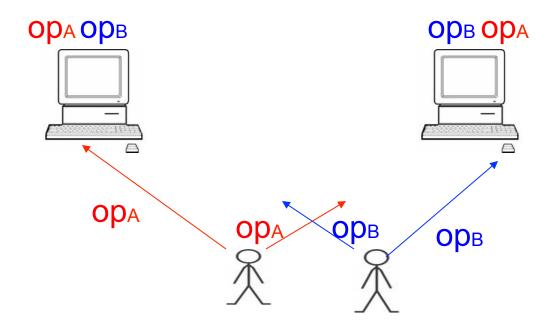
Consistency

- Tolerate inconsistency
 - DNS
- Reconcile later, but may have conflicts
 - Unison
- Single-copy consistency
 - Looks like one copy of the data
 - Each request sees result of previous requests

Replicated state machine (RSM)

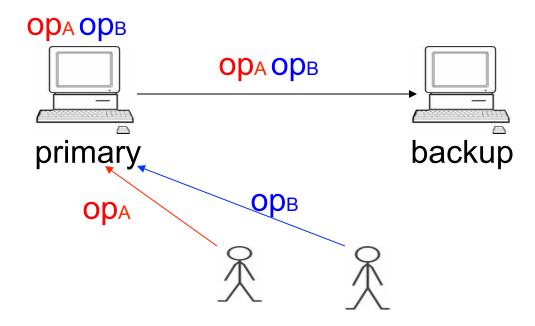
- RSM is a general replication method
- RSM Rules:
 - All replicas start in the same initial state
 - Every replica apply operations in the same order
 - All operations must be deterministic
- All replicas end up in the same state

RSM



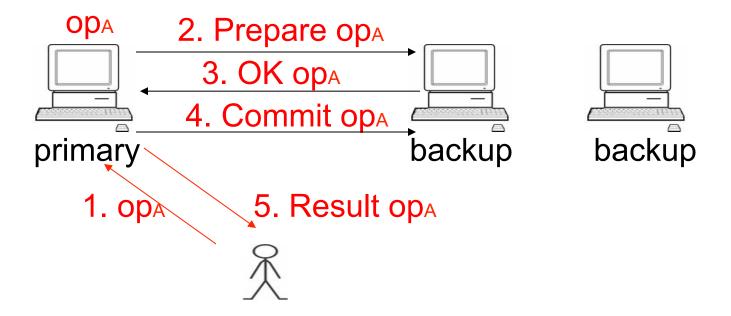
 How to maintain a single order in the face of concurrent client requests?

RSM using primary/backup



- Primary/backup: ensure a single order of ops:
 - Primary orders operations
 - Backups execute operations in order

When does primary respond?



After backups have committed to op

Challenge: failures

- Primary failure
 - Backups ping primary periodically
 - No response: one backup becomes primary
- What if the network between primary/backup fails?
 - Primary is still running
 - Backup becomes a new primary
 - Two primaries at the same time!
- Maybe partition with majority continues
 - Better agree who is that majority and what last op was

Paxos: fault tolerant agreement

- Paxos lets all nodes agree on the same value despite node failures, network failures and delays
- Extremely useful:
 - e.g. Nodes agree that X is the primary
 - e.g. Nodes agree that Y is the last operation executed
- "Paxos Made Simple" by L. Lamport

Paxos Properties

- Correctness (safety):
 - All nodes agree on the same value
 - The agreed value X has been proposed by some node
- Fault-tolerance:
 - If less than N/2 nodes fail, the rest nodes should reach agreement eventually w.h.p
 - Liveness is not guaranteed
- Assume: fail-stop

Paxos: general approach

- One (or more) node decides to be the leader
- Leader proposes a value and solicits acceptance from majority
- Leader announces result or try again

Challenges

- What if >1 nodes become leaders simultaneously?
 - What if there is a network partition
- What if a leader crashes after deciding but before announcing results?
 - New leader shouldn't choose a different value

Paxos setup

- Each node runs as a proposer, acceptor and learner
- Proposer (leader) proposes a value and solicit acceptence from acceptors
- Leader announces the chosen value to learners

Strawman

- Designate a single node X as acceptor (e.g. one with smallest id)
 - Each proposer sends its value to X
 - X decides on one of the values
 - X announces its decision to all *learners*
- Problem?
 - Failure of the single acceptor halts decision
 - Need multiple acceptors!

Strawman 2: multiple acceptors

- Each proposer (leader) propose to all acceptors
- Each acceptor accepts the first proposal it receives and rejects the rest
- If the leader receives positive replies from a majority of acceptors, it chooses its own value
 - There is at most 1 majority, hence only a single value is chosen
- Leader sends chosen value to all learners
- Problem:
 - What if multiple leaders propose simultaneously and there is no majority accepting?

Paxos solution: two phases

- Prepare: agree to an ordered proposal #
- Accept: agree to value for proposal #
 - Each acceptor may accept multiple proposals
- Why do proposals have number #?
 - May need multiple rounds (e.g., leader fails)
 - Later rounds should supersede earlier rounds, but if a proposal with value v is chosen, all higher proposals have value v

Paxos state

- Acceptor maintains across reboots:
 - na, va: highest accept # and its corresponding accepted value
 - n_p: highest prepare # seen
- Proposer maintains:
 - myn: my proposal # in current Paxos
- Each round of Paxos has an instance #

Proposer

```
    PROPOSE(v)
        choose my<sub>n</sub> > n<sub>p</sub>
        send PREPARE(my<sub>n</sub>) to all nodes
        if PREPARE_OK(n<sub>a</sub>, v<sub>a</sub>) from majority then
        v<sub>a</sub> = v<sub>a</sub> with highest n<sub>a</sub>, or choose own v otherwise
        send ACCEPT (n<sub>a</sub>, v<sub>a</sub>) to all
        if ACCEPT_OK(n<sub>a</sub>) from majority then
        # done
        send DECIDED(v<sub>a</sub>) to all
```

Acceptor

PREPARE(n)

```
If n > n_p

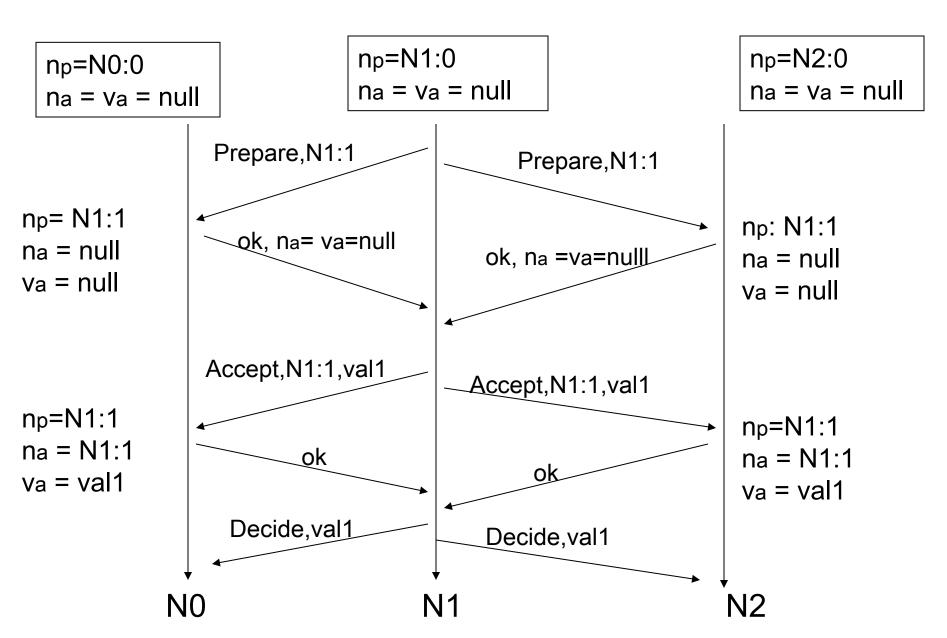
n_p = n

reply <PREPARE_OK, n_a, v_a > n_p
```

ACCEPT(n, v)

```
If n >= np
  na = n
  va = v
  reply with <ACCEPT_OK, n>
```

Paxos operation: 3 phase example



Paxos properties

- When is the value V chosen?
 When majority of acceptors records n_a/v_a
- What if an acceptor doesn't hear accept announcement?

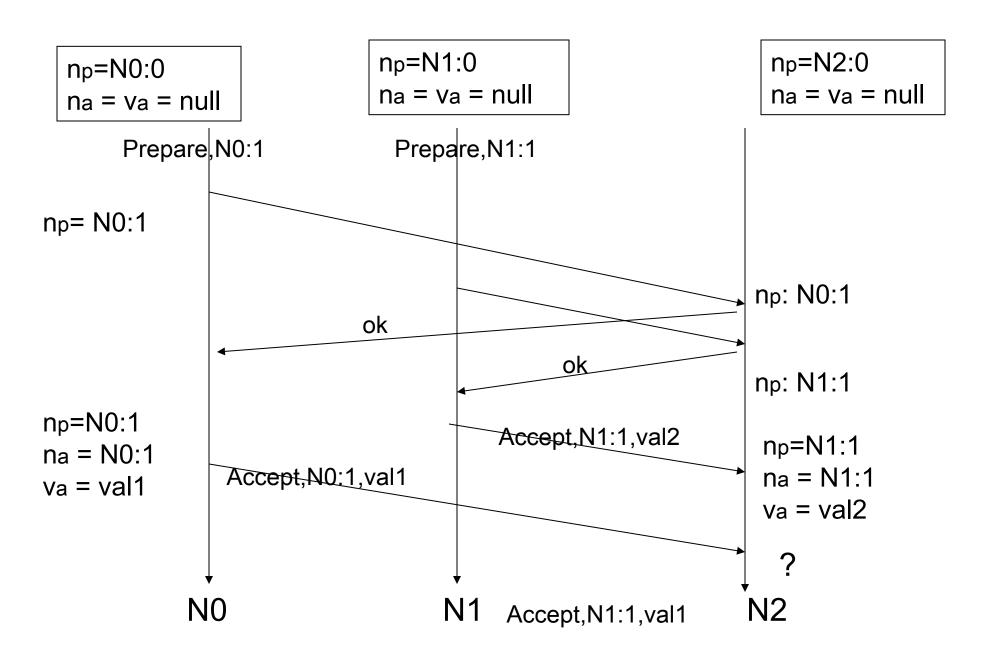
Can start new round

Will choose v_a, if majority accepted

Understanding Paxos

- What if more than one leader is active?
- Suppose two leaders use different proposal number, N0:10, N1:11
- Can both leaders see a majority of prepare-ok?

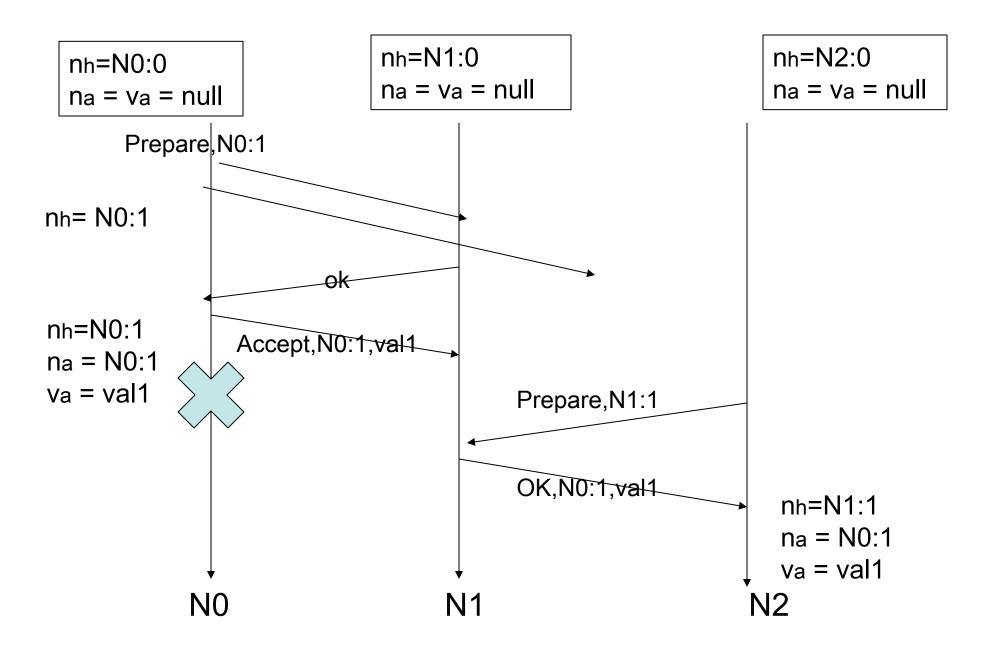
Scenario 1



Understanding Paxos

What if leader fails while sending accept?

Scenario 2



Understanding Paxos

- What if acceptor fails after accepting?
 - For example, N1
 - If N0 and N1 down, N2 must wait
 - If N0 or N1 reboots, must use N0's val1!
 - Must log accepts + proposals (!), etc. on disk

Using Paxos for RSM

- RSM requires consistent replica membership
 - Membership: <pri>primary, backups>
 - RSM goes through a series of membership changes <vid-0, primary, backups><vid-1, primary, backups> ...
- Use Paxos to agree on the <pri>primary, backups>
 for a particular vid
 - vid == paxos instance #

Example

vid1: N1

N2 joins

vid2: N1,N2

N3 joins

vid3: N1,N2, N3

N3 fails

vid4: N1,N2

All nodes start with static config vid1:N1

A majority in vid1:N1 accept vid2: N1,N2

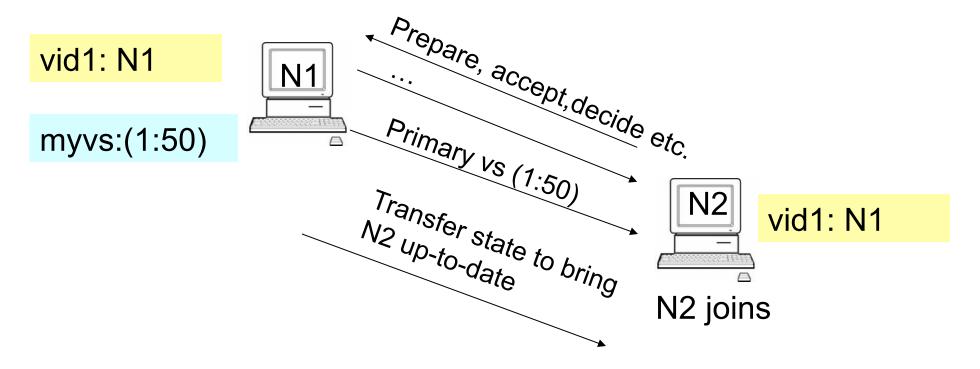
A majority in vid2:N1,N2 accept vid3: N1,N2,N3

A majority in vid3:N1,N2,N3 accept vid4: N1,N2

Viewstamp replication

- All ops have a viewstamp vs = (instance #, seq #)
- To execute an op with vs, a replica must have executed all ops < vs
- A newly joined replica need to transfer state to ensure its state reflect executions of all ops < vs

Example



- Primary in new view is last primary, if alive
- Otherwise, backup with highest view stamp
- Resume responding to client after backups and primary are in sync

Many optimizations/issues

- Send read ops only to primary
- Garbage collect logs
- •
- What if replicas lie (i.e., Byzantine)?

- Much more to say, take 6.824
 - You implement a RSM w. Paxos

Summary

- Fault tolerance -> replication
- Consistency
- Replicated state machine
- Paxos to achieve consensus
 - Hard case: network partition