

- Sampling theorem
- Undersampling, antialiasing
- FIR digital filters
- Quantization noise, oversampling
- OpAmps, DACs, ADCs

Thu/Fri: Lab 4 Checkoff Mon: email project teams

Handouts

- lecture slides,
- Lpset 8

CRC

03_01_02_03_03_0a


Cyclic redundancy check - CRC


## Digital Representations of Analog Waveforms

Continuous time Continuous values

Discrete time
Discrete values


## Discrete Time

Let's use an impulse train to sample a continuous-time function at a regular interval T:

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## Sampling Theorem

Let $x(t)$ be a band-limited signal, ie, $X(j \omega)=0$ for $|\omega|>\omega_{M}$. Then $x(t)$ is uniquely determined by its samples $x(n T), n=0, \pm 1, \pm 2, \ldots$, if

$$
\omega_{\mathrm{S}}>2 \omega_{\mathrm{M}} \quad \begin{aligned}
& 2 \omega_{\mathrm{M}} \text { is called the } \\
& \text { "Nyquist rate" and }
\end{aligned} \quad \begin{aligned}
& \omega_{\mathrm{s}} / 2 \text { the "Nyquist } \\
& \text { frequency" }
\end{aligned}
$$

where

$$
\omega_{\mathrm{s}}=\frac{2 \pi}{T}
$$

Given these samples, we can reconstruct $x(t)$ by generating a periodic impulse train in which successive impulses have amplitudes that are successive sample values, then passing the train through an ideal LPF with gain T and a cutoff frequency greater than $\omega_{M}$ and less than $\omega_{s}-\omega_{M}$.

## Undersampling $\rightarrow$ Aliasing

If $\omega_{s} \leq 2 \omega_{M}$ there's an overlap of frequencies between one image and its neighbors and we discover that those overlaps introduce additional frequency content in the sampled signal, a phenomenon called aliasing.

here are now tones at 1 (= $6-5$ ) and $4(=6-2)$ in addition to the original tones at 2 and 5 .


## Antialias Filters

If we wish to create samples at some fixed frequency $\omega_{\mathrm{s}}$, then to avoid aliasing we need to use a low-pass filter on the original waveform to remove any frequency content $\geq \omega_{s} / 2$.


The frequency response of human ears essentially drops to zero above 20 kHz . So the "Red Book" standard for CD Audio chose a 44.1 kHz sampling rate, yielding a Nyquist frequency of 22.05 kHz . The 2 kHz of elbow room is needed because practical antialiasing filters have finite slope...
$\mathrm{fs}=(3$ samples/line $)(490$ lines/frame $)(30$ frames $/ \mathrm{s})=44.1 \mathrm{kHz}$
More info: http://www.cs.columbia.edu/~hgs/audio/44.1.html

## Digital Filters

Equation for an N -tap finite impulse response (FIR) filter:


What components are part of the $t_{P D}$ of this circuit? How does $t_{\text {PD }}$ grow as $N$ gets larger?

## Filter coefficients

- Use Matlab command: $b=\operatorname{fir} 1\left(N, \omega_{C} /\left(\omega_{S} / 2\right)\right)$
-N is the number of taps (we'll get $\mathrm{N}+1$ coefficients). Larger N gives sharper roll-off in filter response; usually want N to be as large as reasonably possible.
$-\omega_{c}$ is the cutoff frequency ( 3 kHz in Lab 5)
- $\omega_{\mathrm{S}}$ is the sample frequency ( 48 kHz in Lab 5)
- The second argument to the fir1 command is the cutoff frequency as a fraction of the Nyquist frequency (i.e., half the sample rate).
- By default you get a lowpass filter, but can also ask for a highpass, bandpass, bandstop.
- The b coefficients are real numbers between 0 and 1 . But since we don't want to do floating point arithmetic, we usually scale them by some power of two and then round to integers.
- Since coefficients are scaled by $2^{s}$, we'll have to re-scale the answer by dividing by $2^{S}$. But this is easy - just get rid of the bottom $S$ bits!


## Retiming the FIR circuit

Apply the cut-set retiming transformation repeatedly...


## Retimed FIR filter circuit

"Transposed Form" of a FIR filter


What components are part of the $t_{P D}$ of this circuit? How does $t_{\text {PD }}$ grow as $N$ gets larger?
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## N-tap FIR: less hardware, N+1 cycles...



## Lab 5a overview

Assignment: build a voice recorder that records and plays back 8-bit PCM data @ 6KHz


About 11 seconds of speech @ 6KHz

## BRAM Operation



## Lab 5a* w/ FIR filter

- Since we're down-sampling by a factor of 8 , to avoid aliasing (makes the recording sound "scratchy") we need to pass the incoming samples through a low-pass antialiasing filter to remove audio signal above 3 kHz (Nyquist frequency of a 6 kHz sample rate).

- We need a low-pass reconstruction filter (the same filter as for antialiasing!) when playing back the 6 kHz samples. Actually we'll run it at 48 kHz and achieve a 6 kHz playback rate by feeding it a sample, 7 zeros, the next sample, 7 more zeros, etc.


FIR Filter - Data Input

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## Discrete Values

If we use N bits to encode the magnitude of one of the discretetime samples, we can capture $2^{\mathrm{N}}$ possible values.

So we'll divide up the range of possible sample values into $2^{N}$ intervals and choose the index of the enclosing interval as the encoding for the sample value.


FIR Filter - Playback

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## Quantization Error

Note that when we quantize the scaled sample values we may be off by up to $\pm 1 / 2$ step from the true sampled values


Quantization Noise


Time Domain
$2^{N} \longrightarrow$ Max signal


Freq. Domain

NOISE $(j \omega)$


In most cases it's "white noise" with uniform frequency distribution

## Decibel (dB) - 3dB point

$$
d B=20 \log \left(\frac{V_{o}}{V_{i}}\right) \quad d B=10 \log \left(\frac{P_{o}}{P_{i}}\right)
$$

$$
\log _{10}(2)=.301
$$

$$
100 \mathrm{~dB}=100,000=10^{5}
$$

3 dB point $=$ ?

$$
80 \mathrm{~dB}=10,000=10^{4}
$$

$$
60 \mathrm{~dB}=1,000=10^{3}
$$

half power point

## Common Decibel Units

| dB UNIT | reference | application |
| :---: | :---: | :---: |
| dbV | 1 Volt rms | routine voltage measurements [comparisons!] |
| dBm | $\begin{aligned} & 1 \mathrm{~mW} \text { into } 50 \Omega \quad[0.224 \mathrm{~V}] \text { or } \\ & 600 \Omega[0.775 \mathrm{~V}] \end{aligned}$ | radio-frequency $[50 \Omega$ ] or audio [ $600 \Omega$ ] power measurements [in England, the dBu is used to mean 0.775 V reference without regard to impedance or power] |
| dB mV | 1 millivolt rms | signal levels in cable systems |
| dbW | 1 Watt | audio power amplifier output [usually into 8, 4, or $2 \Omega$ impedances] |
| dBf | 1 femtowatt [ $10^{-15}$ watt] | communications and stereo receiver sensitivity [usually $50 \Omega, 75 \Omega$ unbalanced, or $300 \Omega$ balanced antenna input impedances] |
| dB (SPL) | $0.0002 \mu \mathrm{bar}$,$\quad=\quad 20 \mu \mathrm{~Pa}$   <br> $[=$ Pascals $]$ $[1$ bar <br> dynes $/ \mathrm{cm}^{2}$ $\sim 1 \mathrm{AT}]$  | Sound Pressure Level measurements: the reference is the "threshold of hearing". |

## SNR: Signal-to-Noise Ratio

$S N R=10 \log _{10}\left(\frac{P_{\text {SIGNAL }}}{P_{\text {NOISE }}}\right)=10 \log _{10}\left(\frac{A_{\text {SIGNAL }}^{2}}{A_{\text {NOISE }}^{2}}\right)=20 \log _{10}\left(\frac{A_{\text {SIGNAL }}}{A_{\text {NOISE }}}\right)$
$\sim_{R M S}$ amplitude
SNR is measured in decibels (dB). Note that it's a logarithmic scale: if SNR increases by 3dB the ratio has increased by a factor 2. When applied to audible sounds: the ratio of normal speech levels to the faintest audible sound is $60-70 \mathrm{~dB}$.


## Oversampling

To avoid aliasing we know that $\omega_{\mathrm{s}}$ must be at least $2 \omega_{\mathrm{M}}$. Is there any
advantage to oversampling, i.e., $\omega_{\mathrm{s}}=\mathrm{K} \cdot 2 \omega_{\mathrm{M}}$ ?

Suppose we look at the frequency spectrum of quantized samples of a sine wave: (sample freq. $=\omega_{\mathrm{s}}$ )


Total signal+noise power remains the same, so SNR is unchanged. But noise is spread over twice the freq. range so it's relative level has dropped.

$$
\alpha / 2 \xrightarrow[2\left(\omega_{s} / 2\right)]{\longrightarrow}
$$

Now let's use a low pass filter to eliminate half the noise! Note that we're not affecting the signal at all...


Oversampling+LPF reduces noise by $3 \mathrm{~dB} /$ octave

## Bubble Level



- 3 axis transmitted, only x,y axis data used
- 16 bit 2's complement format
- 9600 baud, Isb first
- MEMS Accelerometer - MicroElectroMechanical Systems
- MEMS components generally 1-100 microns
- Silicon based - MEMS device fabricated on same silicon as circuits
- Circuits and digital processing key to MEMS


## Movement sensing

## Capacitance

- Accelerometers
- Acceleration - movement from one point to another
- Tilt sensing - measures inclination/angle with respect to gravity
- Gyroscopes
- Rotation sensing - measures angular rate.

MEMS Capacitors*

*6.777J OCW


2 Axis Acceleromter


Courtesy of Analog Devices, Inc. Used with permission.


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Giant "MEMS" Capacitor

Very small input range for "open loop" configuration

approximation


## Mems

- Passenger sensor
- Tire pressure sensor
- Airbag deployment
- Phone rotation


## Our Analog Building Block: OpAmp

## The Power of (Negative) Feedback



$$
\frac{v_{\text {in }}+v_{\text {id }}}{R_{1}}+\frac{v_{\text {out }}+v_{\text {id }}}{R_{2}}=0 \quad v_{\text {id }}=\frac{v_{\text {out }}}{a} \quad \frac{v_{\text {in }}}{R_{1}}=-\frac{v_{\text {out }}}{a}\left[\frac{1}{R_{1}}+\frac{a}{R_{2}}+\frac{1}{R_{2}}\right]
$$

$$
\frac{v_{\text {out }}}{v_{\text {in }}}=-\frac{R_{2} a}{(1+a) R_{1}+R_{2}} \approx-\frac{R_{2}}{R_{1}}(\text { if } \quad a \gg 1)
$$

- Overall (closed loop) gain does not depend on open loop gain
- Trade gain for robustness
- Easier analysis approach: "virtual short circuit approach"
- $\mathrm{v}_{+}=\mathrm{v}_{-}=0$ if OpAmp is linear


## Basic OpAmp Circuits


$V_{\text {out }} \approx V_{\text {in }}$
Differential Input


$v_{\text {out }} \approx \frac{R_{1}+R_{2}}{R_{1}} v_{\text {in }}$


$$
v_{\text {out }} \approx-\frac{1}{R C} \int_{-\infty}^{t} v_{\text {in }} d t
$$

## OpAmp as a Comparator

## Analog Comparator:

Is $\mathrm{V}+>\mathrm{V}$ - ? The Output is a DIGITAL signal

Analog Comparator: Analog to TTL
LM 311 Needs Pull-Up
LM311 uses a
single supply
voltage


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DAC: digital to analog converter

How can we convert a N-bit binary number to a voltage?


- Video signal generation
- Audio / RF "direct digital synthesis"
- Telecommunications (light modulation)
- Scientific \& Medical (ultrasound, ...)


## R-2R Ladder DAC Architecture



R-2R Ladder achieves large current division ratios with only two resistor values

## Quantization* A Graphical Example

How many bits are needed to represent 256 shades of gray (from white to black)?

| Bits | Range |  | Bits | Range |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 2 | 5 | 32 |  |
| 2 | 4 | 6 | 64 |  |
| 3 | 8 | 7 | 128 |  |
| 4 | 16 | 8 | 256 |  |

* Acknowledgement: Quantization slides and photos by Prof Denny Freemen 6.003


## Quantization: Images

Converting an image from a continuous representation to a discrete representation involves the same sort of issues.

This image has $280 \times 280$ pixels, with brightness quantized to 8 bits.


Quantizing Images


8 bit image


7 bit image

Quantizing Images


8 bit image


6 bit image

Quantizing Images


8 bit image


5 bit image

Quantizing Images


8 bit image


4 bit image

Quantizing Images


8 bit image


3 bit image

Quantizing Images


Quantizing Images


8 bit image


1 bit image

3 Bits Quantization


## 1 Bit Quantization + Noise



1 bit

## Conclusions

- For a given application, select the resolution that meets the design target and cost target.
- For bits means higher cost, higher power consumption
- Digital processing may help.


## Non-idealities in Data Conversion

Offset - a constant voltage offset that appears at the output when the digital input is 0


Binary code
Integral Nonlinearity - maximum deviation from the ideal analog output voltage

Gain error - deviation of slope from ideal value of 1

Differential nonlinearity - the largest increment in analog output for a 1-bit change


Binary code
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Binary code


## Successive-Approximation A/D

- D/A converters are typically compact and easier to design. Why not A/D convert using a D/A converter and a comparator?
- DAC generates analog voltage which is compared to the input voltage
- If DAC voltage > input voltage then set that bit; otherwise, reset that bit
- This type of ADC takes a fixed amount of time proportional to the bit length


Comparator out


Example: 3-bit A/D conversion, 2 LSB $<\mathrm{V}_{\text {in }}<3$ LSB

## Glitching and Thermometer D/A

- Glitching is caused when switching times in a D/A are not synchronized
- Example: Output changes from 011 to 100 - MSB switch is delayed
- Filtering reduces glitch but

| Binary |  | Thermometer |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 |
| 0 | 1 | 0 | 0 | 1 |
| 1 | 0 | 0 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | increases the D/A settling time

- One solution is a thermometer code D/A - requires $2^{\mathrm{N}}-1$ switches but no ratioed currents


Successive-Approximation A/D


Serial conversion takes a time equal to $\mathrm{N}\left(\mathrm{t}_{\mathrm{D} / \mathrm{A}}+\mathrm{t}_{\text {comp }}\right)$

Flash A/D Converter


- Brute-force A/D conversion
- Simultaneously compare the analog value with every possible reference value
- Fastest method of A/D conversion
- Size scales exponentially with precision (requires $2^{\mathrm{N}}$ comparators)


## So, what's the big deal?

- Can be run at high sampling rates, oversampling by, say, 8 or 9 octaves for audio applications; low power implementations
- Feedback path through the integrator changes how the noise is spread across the sampling spectrum.

- Pushing noise power to higher frequencies means more noise is eliminated by LPF: $\mathrm{N}^{\text {th }}$ order $\Sigma \Delta \mathrm{SNR}=\left(3+\mathrm{N}^{*} 6\right) \mathrm{dB} /$ octave

AD Supply Voltages Consideration

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Noise caused by current spikes in fast switching digital circuits:

$$
i_{c}=C \frac{d v}{d t}
$$

- $A V_{D D}$ Positive Analog Supply Voltage
- $\mathrm{AV}_{\mathrm{SS}}$ Analog Ground
- DV ${ }_{D D}$ Positive Digital Supply Voltage

DV ${ }_{\text {SS }}$ Digital Ground

Digital/Analog Grounds


Labkit Hardware

- Xilinx FPGA
- Logic analyzer pods
- 4 banks/pods of 16 data lines
- (analyzerN_clock) and a 16-bit data bus (analyzerN_data[15:0]) $\mathrm{N}=1,2,3,4$
- VGA video output
- RS-232 Serial IO
- PS/2 keyboard and mouse input
- AC97 audio input/output
- Intel standard for PC audio systems
- codec's ADCs and DACs operate at a 48 kHz sample rate, with 18 bits of precision
- 128Mbits Flash memory, (2) 512k x 36 ZBT SRAM


## Labkit Hardware



