## Evolution of cooperation



Martin Nowak, Harvard University

## bioSpacetime

13700 Universe 4567 Sun

3500 chemical evidence of life on Earth
2100 bacterial fossils (simple multi-cellular) 1800 eucaria
600 complex multi-cellularity
120 insect societies
3 human language
million years ago

## What is it that evolves?



Populations of reproducing individuals

## Mutation



## Selection



## Cooperation



## What is cooperation?

## Donor pays a cost, c

## Recipient gets a benefit, $b$

Cost and benefit are measured in terms of fitness. Reproduction can be genetic or cultural.

## Prisoner's Dilemma

## I cooperate <br> I defect

you cooperate $b-c$

- c
you defect
b
$b>c>0$


## What is the dilemma?

Two rational players defect and end up with a low payoff, 0.
Two irrational players might cooperate and receive a higher payoff, $b-c$.

Natural selection chooses defection


In any mixed population, defectors have a higher payoff than cooperators.

Natural selection needs help to favor cooperators over defectors.

## Five mechanisms for the evolution of

 cooperation:Kin selection
Direct reciprocity
Indirect reciprocity
Spatial selection
Group selection

## Kin selection

The interaction occurs between genetic relatives.
'I will jump into the river to save
2 brothers or 8 cousins'
J.B.S Haldane


## Kin selection

Hamilton's rule

$$
r>c / b
$$


$r$... coefficient of relatedness
c ... cost of cooperation
b ... benefit of cooperation

Inclusive fitness theory

## Direct reciprocity

'I help you, you help me.'

Robert Trivers, 1971


## Repeated Prisoner's Dilemma

Player 1 : C D C D C C C .... Player 2 : D C D D C C C ....

The Folk theorem, Fudenberg \& Maskin

## Repeated Prisoner's Dilemma

## Player 1 : C D C D C C C .... Player 2 : D C D D C C C ....

What is a good strategy for playing this game?
Robert Axelrod

## Tit-for-tat

- I start with cooperation.
- If you cooperate, then I will cooperate.
- If you defect, then I will defect.

Anatol Rapaport

## Tit-for-tat is unforgiving

Errors destroy cooperation

Tit-for-tat : CCCCDCDCDCDDDDDD....
Tit-for-tat : CCCDCDCDCDDDDDDD....

## Let natural selection design a strategy

Random

## Let natural selection design a strategy

Always defect


Random

## Let natural selection design a strategy

Tit-for-tat


Always defect


Random

## Let natural selection design a strategy

Tit-for-tat
Generous Tit-for-
tat


Always defect

Random

## Generous Tit-for-tat

- I start with cooperation.
- If you cooperate, then I will cooperate.
- If you defect, then I will cooperate with a certain probability ( $q=1-c / b$ ).


## Evolution of forgiveness

## Let natural selection design a strategy

Tit-for-tat


Always defect

Random

## Let natural selection design a strategy

Tit-for-tat


Generous Tit-for-
tat


Always defect
Always cooperate


## Let natural selection design a strategy

Tit-for-tat
Generous Tit-for-
tat

C

Always defect
Always cooperate

War and peace

## Let natural selection design a strategy

Tit-for-tat
Generous Tit-for-
tat


Always defect
Always cooperate

Win-stay, lose-shift

## Win-stay, lose-shift

- If I am doing well (payoff $b$ or $b-c$ ) then I will repeat my move.
- If I am doing badly (payoff 0 or $-c$ ) then I will change my move.

If $b / c<2$ then a stochastic variant of WSLS does well
(where you return to C after DD only with a certain probability).

## Direct reciprocity

... allows the evolution of cooperation if
$w>c / b$
b...benefit
c...cost
w...probability of another round

## Indirect reciprocity

'I help you.
Somebody helps me.'


## Indirect reciprocity works via reputation



The reputation of A increases.
donor recipient donor's reputation
cooperate defect
-C
0

The reputation of A decreases.
A does not help $B$

+1
-1

## Experimental confirmation:

People help those who help others.

Helpful people have a higher payoff in the end.

## Gossip spreads reputation



Games of indirect reciprocity are cognitively demanding; individuals need to monitor the social network of a group.
=> evolution of social intelligence
Individuals must be able to talk to each other about others.
=> evolution of human language


David Haig:
"For direct reciprocity you need a face.
For indirect reciprocity you need a name."

Direct and indirect reciprocity
are the key components for understanding the evolution of any pro-social behavior in humans.

But 'what made us human' is indirect reciprocity, because it selected for both social intelligence and human language.

## A rule for indirect reciprocity

## $q>c / b$

q ... probability to know someone's reputation
c ... cost of cooperation
b ... benefit of cooperation

## Spatial selection

Spatial games
Games on graphs
Games in phenotype space
Games on sets

## Spatial games



Nowak \& May, Nature 1992


## Games on graphs


'Evolutionary graph theory' (Lieberman et al, Nature 2005)


Cooperators pay a cost $c$ for each neighbor to receive benefit $b$.

simulations by Christoph Hauert

## Graph selection favors cooperation if

## $b / c>k$

k...(average) number of neighbors
weak selection

## Games in phenotype space

Cooperation by similarity

# 00 CCCCOOCO 

Phenotype space

$$
\frac{b}{c}>1+\frac{2}{\sqrt{3}}
$$

## Evolutionary set theory



People belong to sets.
People interact with others in the same sets.

People adopt strategy and set membership of successful individuals.

## Evolutionary set theory


$N$ people $M$ sets
K set memberships per person u...strategy mutation rate V...set mutation rate

$$
\frac{b}{c}>1+2 \sqrt{\frac{K^{*}}{M}}
$$

Tarnita et al, PNAS 2009

## Group selection

'There can be no doubt that a tribe including many members who [...] are always ready to give aid to each other and to sacrifice themselves for the common good, would be victorious over other tribes; and this would be natural selection.'

Charles Darwin, The Descent of Man, 1871

## Group selection



Play the game with others in your group.

Offspring are added to the group.

Groups divide when reaching a certain size.

Groups die.

## Group selection

favors cooperators if
$b / c>1+n / m$
n ... group size
$m$... number of groups

## Five mechanisms for cooperation

Kin selection : cooperate with genetic relatives.
Direct reciprocity : I help you, you help me.
Indirect reciprocity : I help you, somebody helps me.
Spatial selection : Neighbors help each other.
Group selection : groups of cooperators out-compete other groups.

## Five rules for cooperation

Kin selection :
$b / c>1 / r$
r...coefficient of relatedness

Direct reciprocity : $\quad b / c>1 / w_{w}$...probability of another round
Indirect reciprocity : $b / c>1 / q_{\text {q...probability to know reputation }}$
Spatial selection: b/c>k k...number of neighbors (for graphs)
Group selection: $b / c>1+n / m$ n...group size m...number of groups

## The "sigma theorem"

For any game


In any structured population, A is more abundant than B for weak selection if

$$
\sigma a+b>c+\sigma d
$$

The critical benefit to cost ratio


$$
\left(\frac{b}{c}\right)^{*}=\frac{\sigma+1}{\sigma-1}
$$

## Five rules for cooperation

Kin selection :
$b / c>1 / r$
r. ..coefficient of relatedness

Direct reciprocity : $\quad b / c>1 / w_{w} \ldots$...probability of another round
Indirect reciprocity : $b / c>1 / q_{q}$...probability to know reputation
Spatial selection : b/c>(s+1)/(s-1) s...structure coefficient
Group selection: $\quad b / c>1+n / m \quad n$...group size m...number of groups

## Cooperators

- Ben Allen (Harvard)
- Tibor Antal (Edinburgh)
- Peter Blake (Harvard)
- Ivana Bozic (Harvard)
- Anna Dreber (Stockholm)
- Feng Fu (Harvard)
- Drew Fudenberg (Harvard)
- Christoph Hauert (UBC)
- Lorens Imhof (Bonn)
- Erez Lieberman (Harvard)
- Hisashi Ohtsuki (Tokyo)
- David Rand (Harvard)
- Karl Sigmund (Vienna)
- Corina Tarnita (Harvard)
- Christine Taylor (Princeton)
- Arne Traulsen (Ploen)


# SUPER 

 $\square$Altruism, Evolution, and Why We Need
Each Other to Succeed
Martin A. Nowak
with Roger Highfield

March 2011

NATURE OUTLOOK PARKINSON'S DISEASE


How standard natural selection explains the evolution of eusociality

AFTER KATRINA
Disasters widen rich-poor divide
THE DOGS OF SCIENCE
Psychiatric disorders made simple
MULTIPLE EXCITONS
Three's company, four's a crowd

NATUREJOBS
The two-body problem

Inclusive fitness theory rests on fragile assumptions.

Inclusive fitness theory is not needed to explain the evolution of eusociality (or other social phenomena).

Once "fitness" is calculated every aspect of "relatedness" is included.

Nowak, Tarnita, Wilson, "The evolution of eusociality", Nature 2010

## What is inclusive fitness?

Hamilton: "Inclusive fitness may be imagined as the personal fitness which an individual actually expresses in its production of adult offspring as it becomes after it has been first stripped and then augmented in a certain way. It is stripped of all components which can be considered as due to the individual's social environment, leaving the fitness which he would express if not exposed to any of the harms or benefits of that environment. This quantity is then augmented by certain fractions of the quantities of harm and benefit which the individual himself causes to the fitnesses of his neighbours. The fractions in question are simply the coefficients of relationship appropriate to the neighbours whom he affects; unit for clonal individuals, one-half for sibs, onequarter for half-sibs, one-eighth for cousins,....and finally zero for all neighbours whose relationship can be considered negligibly small."

The standard approach (evolutionary game theory)

interaction
=> payoff
=> fitness
=> reproduction

The inclusive fitness approach

## 0园

only one actor is considered
IF = the effect of this action on his own payoff + the effect of this actions on the payoff of others x relatedness

The standard approach for social evolution (evolutionary game theory)

fitness

The inclusive fitness approach:

fitness subdivided into additive components


The two methods cannot be equivalent.
In general it is not possible to decompose fitness into additive components caused by individual actions.

## Inclusive fitness is not simple:



